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14 *Richard Junge and the Class*

15 **UNITED STATES DISTRICT COURT**
16 **NORTHERN DISTRICT OF CALIFORNIA**
17 **SAN FRANCISCO DIVISION**

18 JULIA JUNGE and RICHARD JUNGE, on
19 behalf of themselves and a class of similarly
20 situated investors,

21 Plaintiffs,

22 v.

23 GERON CORPORATION and JOHN A.
24 SCARLETT,

25 Defendants.

Case No. 3:20-cv-00547-WHA (DMR)

Class Action

(Consolidated with Case No. 3:20-cv-01163-WHA); (Related to Case No. 3:20-cv-02823-WHA; 3:22-mc-80051-WHA)

LEAD PLAINTIFFS' MOTION FOR APPROVAL OF DISTRIBUTION PLAN, AND FOR APPROVAL OF EPIQ CLASS ACTION AND CLAIMS SOLUTIONS, INC.'S ADDITIONAL FEES AND EXPENSES, AND MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT THEREOF

Judge: Hon. William H. Alsup
Courtroom: 12, 19th Floor
Date: January 25, 2024
Time: 8:00 a.m.

NOTICE OF MOTION

TO: ALL PARTIES AND THEIR ATTORNEYS OF RECORD

PLEASE TAKE NOTICE that in accordance with Federal Rule of Civil Procedure 23(e), Paragraph 28 of the Stipulation and Agreement of Settlement, dated September 2, 2022 (ECF No. 247) (“Stipulation”) in the above-captioned action (the “Action”), the Order re Motions for Final Approval of Settlement and Attorneys’ Fees (ECF No. 309), and the Judgment Approving Class Action Settlement (ECF No. 310), Lead Plaintiffs Julia Junge and Richard Junge (“Lead Plaintiffs”), on behalf of themselves and the Class, will and do hereby move this Court for entry of the accompanying [Proposed] Order Approving Distribution Plan (“Class Distribution Order”) that will, among other things:

- (i) approve the administrative determinations of the Claims Administrator, Epiq Class Action and Claims Solutions, Inc. (“Epiq”), accepting and rejecting Claims submitted in connection with the Settlement reached in the Action;
- (ii) direct the distribution of the Net Settlement Fund to Claimants whose Claims are accepted by Epiq as valid and approved by the Court (“Authorized Claimants”);
- (iii) direct that distribution checks state that the check must be cashed within 90 days after the issue date;
- (iv) direct that Authorized Claimants will forfeit all recovery from the Settlement if they fail to cash their distribution checks in a timely manner;
- (v) release claims related to the administration process;
- (vi) authorize the destruction of Claim Forms and supporting documents at an appropriate time;
- (vii) resolve the one (1) request for judicial review by a Claimant whose Claim has been recommended for rejection by Epiq (Claim No. 1543); and

1 (viii) approve Epiq’s request for additional fees and expenses incurred and estimated to be
2 incurred in the administration of the Settlement in the amount of \$735,949.89.¹

3 This motion is supported by the below memorandum of points and authorities, the attached
4 Declaration of Stephanie Amin-Giwner dated December 15, 2023 (“12/15 Amin-Giwner Decl.”)²
5 submitted on behalf of Epiq, and its exhibits, the previous filings and orders in this case, and such
6 other materials as the Court may consider.

7 Pursuant to Civil Local Rule 7-2, the motion is noticed for a hearing on January 30, 2024.
8 The Claimant who has asked for judicial review of Epiq’s determination to reject his Claim (Claim
9 No. 1543) will be notified by mail and email of the hearing date in the event he wishes to attend.

10 This Motion, 12/15 Amin-Giwner Declaration and its exhibits, and the time, date and
11 location of the noticed hearing will be posted on the settlement website
12 (www.geronsecuritieslitigation.com) and Class Counsel’s website.

13 Lead Plaintiffs have conferred with Defendants concerning this Motion and have been
14 informed that Defendants do not oppose.

15 **STATEMENT OF ISSUES TO BE DECIDED**

16 1. Whether the Court should enter the proposed Class Distribution Order, which will,
17 among other things, approve the Claims Administrator’s administrative determinations accepting
18 and rejecting Claims submitted in connection with the Settlement, and direct the distribution of the
19 Net Settlement Fund to Authorized Claimants.

20 2. Whether the Court should approve the Claims Administrator’s request for additional
21 fees and expenses.

22
23
24 _____
25 ¹ Capitalized terms that are not otherwise defined herein shall have the same meaning as set forth in
26 the Stipulation. ECF No. 247. Unless otherwise noted, all emphasis is added, and all internal citations
27 and quotation marks are omitted.

28 ² Epiq has redacted personally identifiable information concerning Claimants from the exhibits to the
12/15 Amin-Giwner Declaration, including regarding Claim 1543, which has requested judicial
review of Epiq’s determination to reject his Claim. If the Court wishes, Class Counsel would provide
an unredacted version to the Court.

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MEMORANDUM OF POINTS AND AUTHORITIES**I. GENERAL BACKGROUND**

The Action was settled for \$24 million in cash, plus interest. *See* ECF No. 247. On September 28, 2023, the Court entered the Order re Motions for Final Approval of Settlement and Attorneys' Fees which, among other things, provided that "Epiq may receive up to a grand total of \$500,000 for costs. Should Epiq seek additional funds, a new motion must be brought to explain why such funds are merited." ECF No. 309. On October 3, 2023, the Court entered the Judgment Approving Class Action Settlement. *See* ECF No. 310.

The Effective Date of the Settlement has occurred. *See* Stipulation, ¶33. Accordingly, the Net Settlement Fund may be distributed to Authorized Claimants. In accordance with Paragraph 28 of the Stipulation, Lead Plaintiff respectfully requests that the Court enter the proposed Class Distribution Order approving the Distribution Plan.

Further, under the Order re Motions for Final Approval of Settlement and Attorneys' Fees (ECF No. 309), Epiq seeks an additional payment of \$735,949.89.

II. CLAIMS ADMINISTRATION

Through December 4, 2023, Epiq received and processed 7,654 Claims. 12/15 Amin-Giwner Decl. ¶4. Epiq has fully processed all Claims received through December 4, 2023 in accordance with the Stipulation and the Court-approved Plan of Allocation set forth in the Settlement Notice and has worked with Claimants to help them perfect their Claims. *See id.*, ¶¶7-65. Many of the Claims were initially deficient or ineligible for one or more reasons, including being incomplete, not signed, not properly documented, or otherwise deficient, which required substantial follow-up work by Epiq. *Id.*, ¶¶22-65.

If Epiq determined a Claim to be defective or ineligible, Epiq sent a letter or an email to the Claimant describing the defect(s) or condition(s) of ineligibility in the Claim and the steps necessary to cure any curable defect(s) in the Claim ("Deficiency Notices"). *Id.*, ¶¶22-27. The Deficiency Notices advised the Claimant that the appropriate information or documentary evidence to complete the Claim had to be sent within 20 days from the date of the Deficiency Notice or Epiq would recommend the Claim for rejection to the extent that the deficiency or condition of ineligibility was

1 not cured. *Id.*, ¶¶23, 26. Examples of the Deficiency Notices are attached as Exhibits A, B, and C to
2 the 12/15 Amin-Giwner Declaration.

3 Of the 7,654 Claims that are the subject of this Motion, Epiq has determined that 4,268
4 Claims are acceptable in whole or in part (representing over \$69 million in Recognized Loss under
5 the Plan of Allocation), and that 3,386 Claims should be rejected because they are ineligible for
6 payment from the Net Settlement Fund. 12/15 Amin-Giwner Decl. ¶¶5, 64. Lead Plaintiffs
7 respectfully request that the Court approve Epiq's administrative determinations accepting and
8 rejecting Claims as stated in the 12/15 Amin-Giwner Declaration. *Id.*, ¶5; Exhibits AA-1 (Timely
9 Eligible Submitted Claims), AA-2 (Late but Otherwise Eligible Claims) and AA-3 (Rejected
10 Submitted Claims).

11 **A. Disputed Claims**

12 Epiq carefully reviewed Claimants' responses to the Deficiency Notices and worked with
13 them to resolve deficiencies where possible. *Id.*, ¶¶22-27. Consistent with Paragraph 26(e) of the
14 Stipulation, the Deficiency Notices specifically advised Claimants of their right, within 20 days after
15 the mailing or emailing of the Deficiency Notice, to contest the rejection of the Claim and request
16 Court review of Epiq's administrative determination of the Claim. *Id.*, ¶¶23, 26; *see also id.*,
17 Exhibits A-C.

18 Epiq received thirty-seven (37) requests for Court review of its administrative
19 determinations. *Id.*, ¶28. To resolve these disputes without necessitating the Court's intervention,
20 Epiq or Class Counsel contacted the Claimants requesting Court review in an attempt to answer all
21 questions; fully explain Epiq's administrative determination of the Claim's status; and, where
22 applicable, facilitate the submission of missing information or documentation. *Id.*, ¶29. As a result
23 of these efforts, 28 Claimants have provided information or documents to cure the deficiency. *Id.*

24 Of the remaining 9 Claimants who had requested judicial review, after Epiq's follow-up with
25 each of these Claimants:

- 26 • 5 Claimants were able to cure the deficiency (*Id.*, ¶¶44-56);
- 27 • 3 Claimants either did not have a Recognized Loss under the Plan of Allocation or
28 did not have purchases of Geron common stock during the Class Period, and elected

to withdraw their request for judicial review of Epiq’s determination to reject the Claim (*Id.*, ¶¶35-43); and

- 1 Claimant (Claim No. 1543) has requested judicial review of Epiq’s determination that his Claim did not have a Recognized Loss under the Plan of Allocation and should be rejected. *Id.*, ¶¶32-33.

Claimant 1543 has requested Court review of Epiq’s recommended rejection of his Claim. *Id.* The Court should accept Epiq’s determination that Claim No. 1543 should be rejected because Claim No. 1543 has no recognized loss under the Plan of Allocation. Under the Plan of Allocation, in-and-out traders who purchased and sold Geron common stock during the Class Period, but before the corrective disclosure on September 27, 2018 (the end of the Class Period), do not have compensable damages shown to be caused by Defendants’ alleged conduct. Specifically, for shares purchased during the Class Period and sold on or before September 26, 2018, the Recognized Loss will be zero. See Settlement Notice, ¶62(A); ECF No. 262-5, <https://www.geronsecuritieslitigation.com/Content/Documents/Notice.pdf> (last visited Dec. 14, 2023). Claimant 1543 purchased 4,000 shares of Geron common stock on March 22, 2018, and sold 4,000 shares of Geron common stock on March 28, 2018 for a loss of approximately \$8,689, as follows:

2. PURCHASES FROM MARCH 19, 2018, THROUGH SEPTEMBER 26, 2018 – Separately list each purchase of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on September 26, 2018. (Must be documented.)			
Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price per Share	Confirm Proof of Purchase Enclosed
03/22/18	2000+	\$ 6.27	<input checked="" type="checkbox"/>
03/22/18	100	\$ 5.39	<input checked="" type="checkbox"/>
03/22/18	1800	\$ 5.39	<input checked="" type="checkbox"/>
03/22/18	100	\$ 5.39	<input checked="" type="checkbox"/>

3. PURCHASES FROM SEPTEMBER 27, 2018 THROUGH DECEMBER 24, 2018 – State the total number

4. SALES FROM MARCH 19, 2018 THROUGH DECEMBER 24, 2018 – Separately list each sale of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on December 24, 2018. (Must be documented.)			IF NONE, CHECK HERE <input type="checkbox"/>
Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Confirm Proof of Sale Enclosed
03/28/18	1000	\$ 3.84	<input checked="" type="checkbox"/>
03/28/18	3000	\$ 3.60	<input checked="" type="checkbox"/>
		\$.	<input type="checkbox"/>
		\$.	<input type="checkbox"/>
5. HOLDINGS AS OF DECEMBER 24, 2018 – State the total number			Confirm Proof of

12/15 Amin-Giwner Decl., Exhibit D, at 3.

Although Claimant 1543 lost money due to a decline in Geron’s share price, because he sold his shares before the alleged corrective disclosure at the end of the Class Period, he does not have a cognizable loss under Section 10(b) of the Securities Exchange Act of 1934. *See Dura Pharms., Inc. v. Broudo*, 544 U.S. 336, 342 (2005) (“Shares are normally purchased with an eye toward a later sale. But if, say, the purchaser sells the shares quickly before the relevant truth begins to leak out, the misrepresentation will not have led to any loss.”); *see also In re LDK Solar Sec. Litig.*, No. C 07-5182 WHA, 2010 WL 3001384, at *3 (N.D. Cal. July 29, 2010) (Alsup, J.) (overruling objection to settlement by so-called “in and out” investor who lost money, but sold shares before corrective disclosures).³

For this reason, Epiq’s recommendation to reject Claim No. 1543 should be accepted by the Court.

B. Late Claims and Final Cut-Off Date

The 7,654 Claims received through December 4, 2023 include 49 Claims that were postmarked or received after July 14, 2023, the Court-approved Claim submission deadline. *See* 12/15 Amin-Giwner Decl., ¶57. Those late Claims have been fully processed, and 23 of them are, but for their late submission, otherwise eligible to participate in the Settlement. *Id.* Although these 23 Claims were late, they were received while the processing of timely Claims was ongoing. *Id.* Due to the amount of time needed to process the timely Claims received, the processing of these

³ Claimant 1543 asserts that his loss for tax purposes is \$11,447.19. 12/15 Amin-Giwner Decl., Exhibit D, at 16. The discrepancy is immaterial because Claimant 1543’s shares were sold before the alleged corrective disclosure at the end of the Class Period and therefore he cannot recover for any losses under the Securities Exchange Act of 1934.

late Claims did not delay the completion of the Claims administration process or the distribution of the Net Settlement Fund. *Id.* The Court has discretion to accept Claims received after the Claim submission deadline. *See* Settlement Notice, ¶56; ECF No. 262-5; <https://www.geronsecuritieslitigation.com/Content/Documents/Notice.pdf> (last visited Dec. 14, 2023). Lead Plaintiffs respectfully submit that, when the equities are balanced, it would be unfair to prevent an otherwise eligible Claim from participating in the distribution of the Net Settlement Fund solely because it was received after the Court-approved Claim submission deadline if it were submitted while timely Claims were still being processed.

To facilitate the efficient distribution of the Net Settlement Fund, however, there must be a final cut-off date after which no other Claims may be accepted so that there may be a proportional distribution of the Net Settlement Fund and the distribution may be accomplished. 12/15 Amin-Giwner Decl., ¶58. Accordingly, Epiq recommends that the Court order that no Claim Form received after December 4, 2023 be eligible for payment. *Id.*, ¶¶58, 66(viii).

III. DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUND

A. Initial Distribution of the Net Settlement Fund

Under the proposed Distribution Plan, Epiq will distribute the Net Settlement Fund. *Id.*, ¶66. Epiq recommends the following distribution plan (the “Distribution Plan”):

i. Epiq will conduct an initial distribution (the “Initial Distribution”) of the Net Settlement Fund as follows: Epiq will calculate award amounts to all Authorized Claimants by calculating their *pro rata* share of the Net Settlement Fund in accordance with the Plan of Allocation.

ii. Epiq will, pursuant Paragraph 70 of the Settlement Notice and to the terms of the Plan of Allocation, eliminate from the distribution any Authorized Claimant whose *pro rata* share of the Net Settlement Fund as calculated under subparagraph (A)(i) above is less than \$10.00. Such Claimants will not receive any distribution from the Net Settlement Fund and Epiq will send letters to those Authorized Claimants advising them of that fact.

iii. After eliminating Claimants who would have received less than \$10.00, Epiq will calculate the *pro rata* share of the Net Settlement Fund for Authorized Claimants who would

1 have received \$10.00 or more pursuant to the calculations described in subparagraph (A)(i) above
2 (“Distribution Amount”).

3 iv. To encourage Authorized Claimants to deposit their payments promptly, all
4 distribution checks will bear a notation: “CASH PROMPTLY. VOID AND SUBJECT TO
5 REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER ISSUE DATE].” For
6 Authorized Claimants whose checks are returned as undeliverable, Epiq will endeavor to locate
7 new addresses through reasonable methods, including processing undeliverable checks through an
8 address trace service and contacting Claimants via email or by telephone. Where a new address is
9 located, Epiq will update the Settlement Database accordingly and reissue a distribution check to
10 the Authorized Claimant at the new address. In the event a distribution check is lost or damaged,
11 or otherwise requires reissuance, Epiq will issue replacements. Distribution reissues will be
12 undertaken only upon written instructions from the Authorized Claimant, provided that the
13 Authorized Claimant returns the previous check where appropriate. For all checks, Epiq will void
14 the initial payment prior to reissuing a payment. In order not to delay further distributions to
15 Authorized Claimants who have timely cashed their checks, Epiq’s outreach program shall end 30
16 days after the initial void date. Authorized Claimants will be informed that, if they do not cash their
17 Initial Distribution checks within 90 days of the issue date, or they do not cash check reissued within
18 30 days of the mailing of such reissued check, their check will lapse, their entitlement to recovery
19 will be irrevocably forfeited, and the funds will be reallocated to other Authorized Claimants.
20 Reissue requests for lost or damaged checks will be granted after the void date on the checks as
21 long as the request for the reissue is received no later than 45 days prior to the next planned
22 distribution. Requests for reissued checks in connection with any subsequent distributions (should
23 such distributions occur) will be handled in the same manner.

24 v. Authorized Claimants who do not cash their Initial Distribution checks
25 within the time allotted or on the conditions set forth above will irrevocably forfeit all recovery
26 from the Settlement. The funds allocated to all such stale-dated checks will be available for
27 distribution to other Authorized Claimants, if Lead Counsel, in consultation with Epiq, determines
28 that it is cost-effective to conduct a second distribution. Similarly, Authorized Claimants who do

1 not cash their second or subsequent distribution checks, should such distributions occur, within the
2 time allotted or on the conditions set forth above will irrevocably forfeit any further recovery from
3 the Net Settlement Fund.

4 vi. After Epiq has made reasonable and diligent efforts to have Authorized
5 Claimants cash their Initial Distribution checks, which efforts shall consist of the follow-up efforts
6 described above, Epiq will conduct a second distribution of the Net Settlement Fund (the “Second
7 Distribution”), in which any amounts remaining in the Net Settlement Fund after the Initial
8 Distribution will be distributed, in an equitable and economic fashion, to all Authorized Claimants
9 in and who cashed their Initial Distribution check.

10 vii. In order to allow a final distribution of any funds remaining in the Net
11 Settlement Fund after completion of the Second Distribution, whether by reason of returned funds,
12 tax refunds, interest, uncashed checks, or otherwise:

13 a. If cost effective, after the Second Distribution is conducted, Epiq will
14 conduct a further distribution of the Net Settlement Fund, in which all funds
15 remaining in the Net Settlement Fund, will be distributed to Authorized
16 Claimants who cashed their Second Distribution checks in an equitable and
17 economic fashion. Additional re-distributions may occur thereafter until
18 Lead Counsel, in consultation with Epiq, determines that further re-
19 distribution is not cost-effective; or

20 b. Any balance below \$5,000 that still remains in the Net Settlement Fund
21 after redistribution(s) shall be donated to a 501(c)(3) non-profit
22 organization unaffiliated with Lead Counsel, subject to approval by the
23 Court.

24 viii. No new Claim Forms may be accepted after December 4, 2023, and no
25 further adjustments to Claim Forms received on or before December 4, 2023 which would result in
26 an increased Recognized Claim Amount, may be made after December 4, 2023.

27 ix. Unless otherwise ordered by the Court, one year after the Second
28 Distribution, Epiq will destroy the paper copies of the Submitted Claims and all supporting

1 documentation, and one year after all funds have been distributed, Epiq will destroy electronic
2 copies of the same. *Id.*, ¶66.

3 **IV. RELEASE OF CLAIMS**

4 In order to allow the full and final distribution of the Net Settlement Fund, it is necessary to
5 (i) bar any further claims against the Net Settlement Fund beyond the amounts allocated to
6 Authorized Claimants, and (ii) provide that all persons involved in any aspect of Claims processing
7 or who are involved in the administration or taxation of the Settlement Fund or the Net Settlement
8 Fund, be released and discharged from all claims arising out of that involvement. *See* Stipulation
9 ¶30.

10 Accordingly, Lead Plaintiffs respectfully request that the Court release and discharge all
11 persons involved in the review, verification, calculation, tabulation, or any other aspect of the
12 processing of the Claims submitted in connection with the Settlement, or who are otherwise involved
13 in the administration or taxation of the Settlement Fund or the Net Settlement Fund from all claims
14 arising out of that involvement, and bar all Class Members and other Claimants, whether or not they
15 receive payment from the Net Settlement Fund, from making any further claims against the Net
16 Settlement Fund, Lead Plaintiffs, Class Counsel, the Claims Administrator, the Escrow Agent or
17 any other agent retained by Lead Plaintiffs or Class Counsel in connection with the administration
18 or taxation of the Settlement Fund or the Net Settlement Fund, or any other person released under
19 the Settlement beyond the amounts allocated to Authorized Claimants.

20 This Court has approved similar releases in connection with the distribution of settlement
21 proceeds. *See, e.g., SEB Investment Mgmt AB v. Symantec Corp.*, Case No. 3:18-cv-02902-WHA,
22 Order at 4 (N.D. Ca. July 19, 2022), ECF No. 445 (Alsup, J.) (“[A]ll persons involved in the review,
23 verification, calculation, tabulation, or any other aspect of the processing of the Claims submitted,
24 or who are otherwise involved in the administration or taxation of the Settlement Fund or the Net
25 Settlement Fund, are hereby released and discharged from any and all claims arising out of that
26 involvement, and all Class Members and other Claimants, whether or not they receive payment from
27 the Net Settlement Fund, are hereby barred from making any further claims against the Net
28 Settlement Fund, Lead Plaintiffs, Class Counsel, the Claims Administrator, the Escrow Agent or

1 any other agent retained by Lead Plaintiffs or Class Counsel in connection with the administration
2 or taxation of the Settlement Fund or the Net Settlement Fund, or any other person released under
3 the Settlement beyond the amounts allocated to Authorized Claimants.”); *In re Diamond Foods,*
4 *Inc., Sec. Litig.*, No. 3:11-cv-05386, Order at 4 (N.D. Cal. Oct. 16, 2014), ECF No. 338 (Alsup, J.)
5 (“[A]ll persons involved in the review, verification, calculation, tabulation, or any other aspect of
6 the processing of the claims submitted herein, or otherwise involved in the administration or taxation
7 of the Settlement Fund or the Net Settlement Fund are released and discharged from all claims
8 arising out of such involvement, and all Class Members, whether or not they are to receive payment
9 from the Net Settlement Fund, are barred from making any further claim against the Net Settlement
10 Fund or the released persons beyond the amount allocated to them pursuant to this Order.”).

11 **V. FEES AND EXPENSES OF CLAIMS ADMINISTRATOR**

12 Under the Order re Motions for Final Approval of Settlement and Attorneys’ Fees (ECF No.
13 309), the Court ruled that “Epiq may receive up to a grand total of \$500,000 for costs. Should Epiq
14 seek additional funds, a new motion must be brought to explain why such funds are merited.” Epiq’s
15 total fees and expenses incurred and estimated to be incurred since inception total \$1,148,974. 12/15
16 Amin-Giwner Decl., ¶71.

17 Through this motion, Epiq seeks additional payment of \$648,974 ($\$1,148,974 - \$500,000 =$
18 $\$648,974$) for Epiq’s fees and expenses incurred or expect to be incurred, as set forth in the 12/15
19 Amin-Giwner Declaration in Paragraphs 67-78.

20 Further, Epiq requests through this Motion, \$86,975.89 to reimburse Brokers for expenses
21 incurred by Brokers to comply with the Court’s orders to provide notice to Class Members.

22 Accordingly, in total, Epiq requests an additional award of \$735,949.89 to be paid from the
23 Settlement Fund.

24 **VI. CONCLUSION**

25 For the foregoing reasons, Lead Plaintiffs respectfully request that the Court grant their
26 Motion for Approval of Distribution Plan and enter the [Proposed] Order Approving Distribution
27 Plan.
28

1 DATED: December 15, 2023

Respectfully submitted,

KAPLAN FOX & KILSHEIMER LLP

By: /s/ Jeffrey P. Campisi
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**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

JULIA JUNGE and RICHARD JUNGE, on behalf of themselves and a class of similarly situated investors,

Plaintiffs,

v.

GERON CORPORATION and JOHN A. SCARLETT,

Defendants.

Case No. 3:20-cv-00547-WHA (DMR)

Class Action

(Consolidated with Case No. 3:20-cv-01163-WHA)

(Related to Case No. 3:20-cv-02823-WHA; 3:22-mc-80051-WHA)

[PROPOSED] ORDER APPROVING DISTRIBUTION PLAN

Lead Plaintiffs moved this Court for an order approving a distribution plan for the Net Settlement Fund in the above-captioned securities class action (the “Action”). Having reviewed and considered all the materials and arguments submitted in support of the motion, including Lead Plaintiffs’ Motion for Approval of Distribution Plan, and for Approval of Epiq Class Action and Claims Solutions, Inc.’s (“Epiq”) Additional Fees and Expenses, and Memorandum of Point and Authorities in Support Thereof, and the Declaration of Stephanie Amin-Giwner in Support of Lead Plaintiffs’ Motion for Approval of Distribution Plan, and in support of Epiq Class Action and Claims Solutions, Inc.’s Additional Fees and Expenses, dated December 15, 2023 (“12/15 Amin-Giwner Declaration”),

NOW, THEREFORE, IT IS HEREBY ORDERED THAT:

1. This Order incorporates by reference the definitions in the Stipulation and Agreement of Settlement, dated September 2, 2022 (ECF No. 247) (“Stipulation”), and the 12/14 Amin-Giwner, and all capitalized terms used in this Order shall have the same meanings as defined in the Stipulation and the 12/15 Amin-Giwner Declaration.

2. This Court has jurisdiction over the subject matter of the Action and over all parties to the Action, including all Class Members.

3. Lead Plaintiffs’ plan for distribution of the Net Settlement Fund to Authorized Claimants is **APPROVED**. Accordingly:

1 (a) The administrative determinations of Epiq, the Court-approved Claims
2 Administrator, to accept the Timely Eligible Submitted Claims stated in Exhibit AA-1 to the 12/15
3 Amin-Giwner Declaration and the Late but Otherwise Eligible Submitted Claims stated in Exhibit
4 AA-2 to the 12/15 Amin-Giwner Declaration are adopted.

5 (b) The Claims Administrator’s administrative determinations to reject the
6 Rejected Submitted Claims, as stated in Exhibit AA-3 to the 12/15 Amin-Giwner Declaration are
7 adopted.

8 (c) The Court has considered the request for judicial review of Epiq’s
9 recommendation to reject Claim No. 1543 and approves Epiq’s determination to reject Claim No.
10 1543 because the Claimant has no Recognized Loss under the Plan of Allocation. *See Dura*
11 *Pharms., Inc. v. Broudo*, 544 U.S. 336, 342 (2005).

12 (d) The Court approves Epiq Distribution Plan, as set forth in Paragraph 66 of
13 the 12/15 Amin-Giwner Declaration, which provides for the following:

14 (i) Epiq will conduct an initial distribution (the “Initial Distribution”) of
15 the Net Settlement Fund as follows: Epiq will calculate award amounts to all Authorized Claimants
16 by calculating their *pro rata* share of the fund in accordance with the Plan of Allocation.

17 (ii) Epiq will, pursuant Paragraph 70 of the Settlement Notice and to the
18 terms of the Plan of Allocation, eliminate from the distribution any Authorized Claimant whose *pro*
19 *rata* share of the Net Settlement Fund as calculated above is less than \$10.00. Such Claimants will
20 not receive any distribution from the Net Settlement Fund and Epiq will send letters to those
21 Authorized Claimants advising them of that fact.

22 (iii) After eliminating Claimants who would have received less than
23 \$10.00, Epiq will calculate the *pro rata* share of the Net Settlement Fund for Authorized Claimants
24 who would have received \$10.00 or more pursuant to the calculations described above
25 (“Distribution Amount”).

26 (iv) To encourage Authorized Claimants to deposit their payments
27 promptly, all distribution checks will bear a notation: “CASH PROMPTLY. VOID AND SUBJECT
28 TO REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER ISSUE DATE].” For

1 Authorized Claimants whose checks are returned as undeliverable, Epiq will endeavor to locate
2 new addresses through reasonable methods, including processing undeliverable checks through an
3 address trace service and contacting Claimants via email and/or by telephone. Where a new address
4 is located, Epiq will update the Settlement Database accordingly and reissue a distribution check to
5 the Authorized Claimant at the new address. In the event a distribution check is lost or damaged, or
6 otherwise requires reissuance, Epiq will issue replacements. Distribution reissues will be
7 undertaken only upon written instructions from the Authorized Claimant, provided that the
8 Authorized Claimant returns the previous check where appropriate. For all checks, Epiq will void
9 the initial payment prior to reissuing a payment. In order not to delay further distributions to
10 Authorized Claimants who have timely cashed their checks, Epiq's outreach program shall end 30
11 days after the initial void date. Authorized Claimants will be informed that, if they do not cash their
12 Initial Distribution checks within 90 days of the issue date, or they do not cash checks reissued
13 within 30 days of the mailing of such reissued checks, their checks will lapse, their entitlement to
14 recovery will be irrevocably forfeited, and the funds will be reallocated to other Authorized
15 Claimants. Reissue requests for lost or damaged checks will be granted after the void date on the
16 checks as long as the request for the reissue is received no later than 45 days prior to the next
17 planned distribution. Requests for reissued checks in connection with any subsequent distributions
18 (should such distributions occur) will be handled in the same manner.

19 (v) Authorized Claimants who do not cash their Initial Distribution
20 checks within the time allotted or on the conditions set forth above will irrevocably forfeit all
21 recovery from the Settlement. The funds allocated to all such stale-dated checks will be available
22 for distribution to other Authorized Claimants, if Lead Counsel, in consultation with Epiq,
23 determines that it is cost-effective to conduct a second distribution. Similarly, Authorized Claimants
24 who do not cash their second or subsequent distribution checks, should such distributions occur,
25 within the time allotted or on the conditions set forth above will irrevocably forfeit any further
26 recovery from the Net Settlement Fund.

27 (vi) After Epiq has made reasonable and diligent efforts to have
28 Authorized Claimants cash their Initial Distribution checks, which efforts shall consist of the

1 follow-up efforts described above, Epiq will conduct a second distribution of the Net Settlement
2 Fund (the “Second Distribution”), in which any amounts remaining in the Net Settlement Fund after
3 the Initial Distribution will be distributed, in an equitable and economic fashion, to all Authorized
4 Claimants in and who cashed their Initial Distribution check.

5 (vii) In order to allow a final distribution of any funds remaining in the
6 Net Settlement Fund after completion of the Second Distribution, whether by reason of returned
7 funds, tax refunds, interest, uncashed checks, or otherwise:

8 a. If cost effective, after the Second Distribution is conducted, Epiq will
9 conduct a further distribution of the Net Settlement Fund, in which all funds
10 remaining in the Net Settlement Fund, will be distributed to Authorized
11 Claimants who cashed their Second Distribution checks in an equitable and
12 economic fashion. Additional re-distributions may occur thereafter until
13 Lead Counsel, in consultation with Epiq, determines that further re-
14 distribution is not cost-effective; or

15 b. Any balance below \$5,000 that still remains in the Net Settlement Fund
16 after redistribution(s) shall be donated to a 501(c)(3) non-profit
17 organization unaffiliated with Lead Counsel and to be approved by the
18 Court.

19 (viii) No new Claim Forms may be accepted after December 4, 2023, and
20 no further adjustments to Claim Forms received on or before December 4, 2023 which would result
21 in an increased Recognized Claim Amount, may be made after December 4, 2023.

22 (ix) Unless otherwise ordered by the Court, one year after the Second
23 Distribution, Epiq will destroy the paper copies of the Submitted Claims and all supporting
24 documentation, and one year after all funds have been distributed, Epiq will destroy electronic
25 copies of the same.

26 4. The Court finds that the administration of the Settlement and the proposed
27 distribution of the Net Settlement Fund comply with the terms of the Stipulation and Plan of
28 Allocation approved by this Court and that all persons involved in the review, verification,

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**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

JULIA JUNGE and RICHARD JUNGE, on
behalf of themselves and a class of similarly
situated investors,

Plaintiffs,

v.

GERON CORPORATION and JOHN A.
SCARLETT,

Defendants.

Case No. 3:20-cv-00547-WHA (DMR)

Class Action
(Consolidated with Case No. 3:20-cv-
01163-WHA)

(Related to Case No. 3:20-cv-02823-
WHA; 3:22-mc-80051-WHA)

**DECLARATION OF STEPHANIE
AMIN-GIWNER IN SUPPORT OF
LEAD PLAINTIFFS' MOTION FOR
APPROVAL OF DISTRIBUTION PLAN,
AND IN SUPPORT OF EPIQ CLASS
ACTION AND CLAIMS SOLUTIONS,
INC.'S MOTION FOR APPROVAL OF
ADDITIONAL FEES AND EXPENSES**

1 I, Stephanie Amin-Giwner, declare as follows:

2 1. I am a Director for Epiq Class Action and Claims Solutions, Inc. (“Epiq”). I submit this
3 declaration in the above captioned action (the “Action”) in support of Lead Plaintiffs’ motion for
4 Approval of Distribution Plan.¹

5 2. The following statements are based on my personal knowledge, a review of Epiq’s files
6 and records related to its work in the Action, and information provided to me by other Epiq employees
7 working under my supervision, and if called on to do so, I could and would testify competently thereto.

8 **OVERVIEW OF CLAIMS PROCESSING**

9 3. On September 28, 2023, the Court entered the Order Re Motions for Final Approval of
10 Class Action Settlement and Attorney’s Fees (ECF No. 309), which granted final approval of the
11 Settlement, including by incorporation, the Plan of Allocation, which is set forth in the Settlement Notice.

12 *See* Settlement Notice, ¶¶61-72; ECF No. 262-5,
13 <https://www.geronsecuritieslitigation.com/Content/Documents/Notice.pdf> (last visited Dec. 14, 2023).

14 On October 3, 2023, the Court entered the Judgment Approving Class Action Settlement. ECF No. 310.

15 4. Epiq has completed the processing of the 7,654 Proof of Claim and Release Forms (the
16 “Claim Form”) received as of December 4, 2023 (the “Submitted Claims”), and hereby submits its
17 administrative determinations accepting and rejecting those claims in preparation for a distribution of
18 the Net Settlement Fund to Authorized Claimants.

19 5. Following the processes described below at paragraphs 7-61, Epiq has determined that of
20 the 7,654 Submitted Claims, 3,945 are acceptable in whole, 337 are acceptable in part, and 3,372 should
21 be rejected because they are either ineligible, wholly deficient, or have no Recognized Loss when
22 calculated in accordance with the Plan of Allocation. As described in more detail below at paragraph
23 57, 23 of the Submitted Claims recommended for acceptance herein, in whole or in part, were submitted
24
25

26 ¹ Capitalized terms that are not otherwise defined herein shall have the same meaning as set forth in the Stipulation and
27 Agreement of Settlement dated September 2, 2022 (the “Stipulation”) (ECF No. 247). Unless otherwise noted, all
28 emphasis is added, and all internal citations and quotation marks are omitted.

1 after the 11:59 PM PST, July 14, 2023 deadline. Epiq believes no delay or prejudice to other Claimants
 2 has resulted from the provisional acceptance of these late Submitted Claims.

SUBMITTED CLAIMS	
	<u>Number of Claims</u>
Accepted in whole	3,945
Accepted in part	337
Rejected	3,372
TOTAL SUBMITTED CLAIMS	<u>7,654</u>
SUMMARY OF REJECTED SUBMITTED CLAIMS	
No Eligible Purchases During the Class Period	672
Claim Form Did Not Result in a Recognized Loss	1,511
Deficient Claim Form with Condition of Ineligibility Never Cured	1,053
Duplicate Claim Form ²	87
Withdrawn Claim Form	49
TOTAL REJECTED SUBMITTED CLAIMS	<u>3,372</u>

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 14 6. Claimants with deficient Claims were advised in the Deficiency Notice sent to them (*see*
 15 paragraph 23 *infra*) that they had the right to contest Epiq's administrative determination of deficiencies
 16 or ineligibility within twenty (20) days from the date of notification and that they could request that the
 17 dispute be submitted to the Court for review. A total of 37 Claimants contested Epiq's administrative
 18 determinations and requested review by the Court. Of those, 28 Claimants provided information or
 19 documentation sufficient to cure the defect. These 28 claims are recommended for acceptance herein
 20 and Epiq advised these Claimants that their respective requests for Court review were considered
 21 withdrawn. To resolve the remaining 9 disputes without necessitating the Court's intervention, Epiq
 22 contacted each of the 9 Claimants. Epiq answered all their questions, fully explained Epiq's assessment
 23 of the Claim and facilitated the submission of missing information or documentation where applicable.
 24 As a result of these efforts, 5 Claimants subsequently cured the deficiencies in their Claims and their
 25

26 ² In the Claim Form at page 6, paragraph 6, Claimants are directed to submit separate Claim Forms for each account or
 27 separate legal entity. See <https://www.geronsecuritieslitigation.com/Content/Documents/Claim%20Form.pdf> (last
 28 visited Dec. 15, 2023). These claims are not treated as duplicates by Epiq.

1 Claims are now recommended for approval herein and. Separately, 3 Claims had deficiencies that could
2 not be cured through additional documentation—and, thus, they were ineligible for recovery, and
3 withdrew their requests for Court review after receiving more detailed explanations of the reasons for
4 the rejection of their Claims. Accordingly, only one request for Court review remains. A more detailed
5 explanation regarding this Claim is set forth in paragraphs 32-34, below.

6 **PROCEDURES FOLLOWED IN PROCESSING SUBMITTED CLAIMS**

7 7. Under the terms of the Order Setting Schedule in Advance of March 30, 2023 Settlement
8 Fairness Hearing (ECF No. 258) and as set forth in the Settlement Notice, each Class Member who
9 wished to be eligible to receive a distribution from the Net Settlement Fund was required to complete
10 and submit to Epiq a properly executed Claim Form postmarked no later than February 16, 2023 at
11 midnight PST, together with adequate supporting documentation for the transactions and holdings
12 reported therein. Thereafter, the Court extended the February 16, 2023 deadline to July 14, 2023 at 11:59
13 PM PST to submit a Claim. ECF No. 282.

14 8. In preparation for receiving and processing Submitted Claims, and consistent with its
15 standard practices in claims administrations of this size and type, Epiq: (i) created a unique database to
16 store Claim Form details and images of Claim Forms and supporting documentation; (ii) trained staff in
17 the specifics of the project so that Claims would be properly processed; (iii) formulated a system so that
18 telephone and email inquiries would be properly responded to; (iv) developed various computer
19 programs and screens for entry of Class Members' identifying information, as well as their transactional
20 information; and (v) developed a proprietary "calculation module" that would calculate Recognized Loss
21 pursuant to the Plan of Allocation of the Net Settlement Fund set forth in the Settlement Notice.

22 9. Class Members, and their banks, brokers, and other nominees seeking to share in the Net
23 Settlement Fund were directed in the Settlement Notice and in the Supplemental Settlement Notice to
24 submit their Claim Forms either to the post office box address for Epiq specifically designated for the
25 Settlement or online at Epiq's website for the Settlement, or to Epiq's team that handles large electronic
26 claims (the "Securities Team"). Any correspondence Epiq received to the post office box was reviewed
27 and, where necessary, appropriate responses were provided to the senders.

1 17. Epiq maintains a Securities Team, comprised of Business Analysts, who among other
2 things, coordinate and supervise the receipt and handling of all Electronic Claims. The Securities Team
3 works closely with the Project Managers for the case, Susanna Webb and Jessie Mahn. Grant Lambert,
4 Project Director and I directed and oversaw the work performed by the Securities Team in this matter.
5 The Securities Team reviewed and analyzed each electronic file to ensure that it was formatted in
6 accordance with Epiq's required format, and to identify any potential data issues or inconsistencies
7 within the file. If any issues or inconsistencies arose, Epiq notified the sender. If the electronic file was
8 deemed to be in an acceptable format, it was then loaded to Epiq's database.

9 18. Once the file was loaded, the Electronic Claims were coded to identify them as Electronic
10 Claims and codes were applied to denote any deficiencies or ineligible conditions that existed within
11 them. These codes are similar to those applied to Paper Claims. In lieu of manually applying these
12 codes, the Securities Team performed programmatic reviews on Electronic Claims to identify deficient
13 and ineligible conditions (such as, but not limited to, price per share/net amount validation issues, out of
14 balance conditions, and transactions outside the Class Period, etc.). The output was then manually
15 verified and confirmed as accurate. Additional reviews were performed to identify other Claim
16 anomalies such as shares acquired by merger or price per share outliers.

17 19. The review process also included flagging any Electronic Claims that were not
18 accompanied by a signed Claim Form, which serves as a "Master Proof of Claim Form" for all accounts
19 referenced on the electronic file submitted. This process was reviewed by the Securities Team and,
20 where appropriate, Epiq contacted the institutional filers whose electronic files were missing information.
21 This ensures that all claims are submitted by properly authorized representatives of the Claimants.

22 20. Finally, at the end of the process, Epiq performed various targeted reviews of Electronic
23 Claims. Specifically, Epiq used criteria such as the calculated Recognized Loss and other criteria to flag
24 and reach out to a number of electronic filers and request that various sample purchases, sales, and
25 holdings selected by Epiq be further supported by providing confirmation slips or other transaction-
26 specific supporting documentation. These reviews help to ensure that electronic data supplied by
27 Claimants does not contain inaccurate information.
28

EXCLUDED PERSONS

21. Epiq has reviewed all Submitted Claims to ensure that they were not submitted by or on behalf of excluded persons, to the extent that the identities of such persons or entities were known to Epiq through the list of Defendants and other excluded persons and entities set forth in the Stipulation and in the Settlement Notice, and through the Claimants’ certifications on the Claim Forms. Epiq has also reviewed all Submitted Claims against the list of persons who were excluded from the Class pursuant to requests for exclusion, which requests for exclusion are set forth in Appendix 1 to the Judgment entered by the Court on October 3, 2023. ECF No. 310.

THE DEFICIENCY PROCESS FOR PAPER AND ONLINE CLAIMS

22. Of the 5,432 Submitted Claims received via physical delivery and through the Settlement Website, 2,279, or approximately 42% of them were incomplete or had one or more defects or conditions of ineligibility, such as the Claim Form not being signed, not being properly documented, or indicating no eligible purchases of Geron common stock during the Class Period. Much of Epiq’s efforts in handling an administration involve Claimant communications so that all Claimants have a sufficient opportunity to cure any deficiencies and file a complete Claim Form. The “Deficiency Process,” which involved contacting Claimants and responding to inquiries from Claimants by either telephone or email, was intended to assist them in properly completing their otherwise deficient submissions so that they would be eligible to participate in the Settlement.

23. If a Submitted Claim was determined to be defective or ineligible, a Notice of Incomplete Proof of Claim Submission (“Deficiency Notice”) was sent to the Claimant describing the defect(s) or condition(s) of ineligibility in his, her, or its claim and what was necessary to cure any “curable” defect(s) in the Claim. The Deficiency Notice advised the Claimant that the submission of the appropriate information or documentary evidence to complete the Claim had to be sent within twenty (20) days from the date of the letter. The Deficiency Notice further advised that if the appropriate information was not submitted in this timeframe, the Claim would be recommended for rejection to the extent the deficiency or condition of ineligibility was not cured. The Deficiency Notice also advised Claimants that if they desired to contest the administrative determination, they were required to submit a written statement to

1 Epiq within 20 days requesting Court review of the determination and setting forth the basis for their
2 request. Attached hereto as Exhibit A is an example of the Deficiency Notice.

3 24. For Claimants who submitted additional documentation in response to the Deficiency
4 Notice but whose Claims remained in defective or ineligible status, a Second Notice of Incomplete Proof
5 of Claim Submission (“Second Deficiency Notice”) was sent to the Claimant describing the defect(s) or
6 condition(s) of ineligibility in his, her, or its claim and what was necessary to cure any “curable” defect(s)
7 in the Claim. A “curable” Claim is a claim for which the Claimant can take action to fix or “cure” the
8 Claim such that it would move the Claim a denied status to an accepted status. An example of a curable
9 Claim is one that is missing required documentation or a signature. The Second Deficiency Notice
10 advised the Claimant that the submission of the appropriate information or documentary evidence to
11 complete the Claim had to be sent within twenty (20) days from the date of the Second Deficiency Notice.
12 The Second Deficiency Notice further advised that if the appropriate information was not submitted in
13 this timeframe, the Claim would be recommended for rejection to the extent the deficiency or condition
14 of ineligibility was not cured. The Second Deficiency Notice also advised Claimants that if they desired
15 to contest the administrative determination, they could request Court review of the determination, but it
16 would be considered late. Attached hereto as Exhibit B is an example of the Second Deficiency Notice.³

17 25. Claimants’ responses to the Deficiency Notices were scanned into Epiq’s database and
18 associated with the corresponding Claim Form. The responses were then carefully reviewed and
19 evaluated by Epiq’s team of processors. If a Claimant’s response corrected the defect(s), Epiq updated
20 the database manually to reflect the change in status of the Claim.

21 **THE DEFICIENCY PROCESS FOR ELECTRONIC CLAIMS**

22 26. Of the 2,222 electronic Claims received, 1,259 were deficient or ineligible. As to all
23 Electronic Claims, Epiq contacted the banks, brokers, nominees, and other filers who submitted data
24 electronically to confirm receipt of their submissions and Epiq notified the filer of any deficiencies or
25

26 ³ Approximately 623 Claims were sent a Deficiency Notice that included an incorrect date. Epiq sent a corrected
27 Deficiency Notice at no cost to the Class. Attached hereto as Exhibit C is an example of the corrected Deficiency Notice.

1 Electronic Claims that were ineligible, where applicable. Using the following process, Epiq provided
2 Electronic Claim submitters whose submissions were deficient with an email attaching a Transaction
3 Report that listed the specific Electronic Claims that were incomplete along with a list of the specific
4 portions of the Claims that were incorrect or incomplete. With respect to the Electronic Claims, the
5 Transaction Reports:

6 a. were sent electronically to filers who submitted deficient or ineligible
7 Electronic Claims;

8 b. identified individual transactions and entire Electronic Claims that were
9 found to be deficient or ineligible so that the filer had the opportunity to correct the deficient
10 condition within 20 days or contest the determination of ineligibility;

11 c. stated that any deficient transactions or Electronic Claims that remain
12 uncured, as well as any transactions or Electronic Claims that were identified as ineligible on the
13 Transaction Report, were rejected;

14 d. notified the filer that, within 20 days, it could, on behalf of the Claimant,
15 request that the Court review Epiq's administrative determination if it wished to contest the rejection
16 of any transactions or Electronic Claims; and

17 e. provided Epiq's contact information so that the filer could contact Epiq if it
18 had any questions or required assistance.

19 27. The responses to the Transaction Reports were reviewed by Epiq's Securities Team,
20 scanned or loaded into Epiq's database, and were associated with the corresponding Electronic Claim.
21 If the response corrected the defect(s) or affected the Electronic Claim's status, Epiq manually or
22 programmatically updated the database to reflect the change in status of the Electronic Claim.

23 **DISPUTED CLAIMS THAT SOUGHT JUDICIAL REVIEW**

24 28. As noted above, Claimants were advised that they had the right to contest Epiq's
25 administrative determination of deficiencies or ineligibility within twenty (20) days from the date of
26 notification and that they could request that the dispute be submitted to the Court for review. More
27 specifically, such persons were advised in the Deficiency Notices or the Transaction Reports that if they
28

1 disputed Epiq's determinations, they had to provide a statement of reasons indicating the grounds for
2 contesting the rejection, along with supporting documentation. A total of 37 Claimants contested Epiq's
3 administrative determinations and requested review by the Court. Of those, 28 provided
4 information/documentation to sufficient to cure their claim at the same time. Their claims are
5 recommended for acceptance herein and they were advised that their request for Court review was
6 considered withdrawn.

7 29. To resolve the remaining 9 disputes without necessitating the Court's intervention, Epiq
8 contacted these Claimants by phone and/or email and Epiq answered all their questions, fully explained
9 Epiq's determination of the Submitted Claim's status, and facilitated the submission of missing
10 information or documentation where applicable. As a result of these efforts, 5 Claimants were able to
11 cure the deficiencies in their Claims. Thus, their Claims are now recommended for acceptance herein
12 and a recovery under the proposed Plan of Distribution.

13 30. The remaining 4 Claimants did not have deficiencies that could be cured through
14 additional documentation—and, thus, they were ineligible for recovery. These 4 Claimants did not suffer
15 a Recognized Loss under the Court-approved Plan of Allocation or did not purchase Geron common
16 stock during the Class Period. After outreach by Epiq, 3 Claimants withdrew their requests for Court
17 review after receiving more detailed explanations of the reasons for the rejection of their Claims. At
18 present, there is 1 Claimant (Claim No. 1543) whose request for Court review remains, which is described
19 below. Exhibit D includes a copy of the disputed Claim with its supporting documentation.⁴

20 31. Below is a discussion of the 9 Claims that have or had requested judicial review of Epiq's
21 determination.

22 **One Rejected Claimant Has Requested Court Review – Claim No. 1543**

23 32. Claim No. 1543 is recommended for rejection herein because it does not calculate to a
24 Recognized Loss under the Plan of Allocation. The Claim Form, postmarked on January 28, 2023 and
25

26 ⁴ The documentation is redacted to show only the financial and transaction information related to the Claimant's transactions
27 in Geron common stock.

1 received by Epiq on February 3, 2023, indicated purchases of 4,000 shares of Geron common stock on
2 March 22, 2018 and sales of 4,000 shares of Geron common stock on March 28, 2018, for a market loss
3 of approximately \$8,689. *See* Exhibit D, at 3. Pursuant to paragraph 62(A) of the Settlement Notice, for
4 shares purchased during the Class Period and sold before September 26, 2018, the Recognized Loss will
5 be zero. Epiq sent a Deficiency Notice informing the Claimant that the Claim did not calculate to a
6 Recognized Loss and would be recommended for rejection. *See* Exhibit D, at 14. The Claimant responded
7 to the Deficiency Notice and requested Court review of Epiq's determination in a Letter dated June 4,
8 2023. A copy of Claim No. 1543 and letter requesting Court review is attached to Exhibit D, at 16.

9 33. On October 9, 2023, Susanna Webb, an Epiq Project Manager, spoke with Claimant No.
10 1543 regarding his Claim, and explained why his Claim was not eligible. Claimant 1543 understood the
11 explanation, but advised that he wished to maintain his request for Court review.

12 34. More details on each of the withdrawn requests for Court review and cured Claims are set
13 forth below.

14 **Court Review Request Withdrawn – Ineligible for Recovery**

15 **1) Claim No. 118 – No Class Period Purchase/Not Class Member**

16 35. Claim No. 118 is recommended for rejection because the Claim Form did not report any
17 eligible Class Period purchases of Geron common stock. The Claim Form, postmarked November 10,
18 2022 and received by Epiq on November 14, 2022, indicated holdings of Geron common stock as of the
19 end of the Class Period, but did not provide any purchases of Geron common stock during the Class
20 Period, as required to be a Class Member. A copy of Claim 118 is attached as Exhibit E.

21 36. On May 22, 2023, Epiq mailed a Deficiency Letter to Claimant 118 informing him that
22 his Claim was rejected because it did not include any purchases of Geron common stock during the Class
23 Period. Exhibit F, at 1. On June 7, 2023, Epiq received an email from Claimant 118 requesting Court
24 review of his Claim. *Id.* at 3.

25 37. On October 4, 2023, Susanna Webb, an Epiq Project Manager, spoke with Claimant 118
26 regarding his Claim and explained why his Claim was not eligible. Claimant 118 understood and agreed
27 to withdraw his request for Court review. In addition, on October 4, 2023, Ms. Webb sent an email to
28

1 Claimant 118 confirming the status of the Claim, to which Claimant 118 responded on the same day
2 confirming withdrawal of his request for Court review of Claim No. 118. A copy of this email is attached
3 as Exhibit G.

4 **2) Claim No. 746 – No Recognized Loss**

5 38. Claim No. 746 was initially recommended for rejection herein because the Claim Form
6 did not report any eligible Class Period purchases of Geron common stock. The Claim Form, received
7 by Epiq on December 30, 2022, did not include any provide any purchases of Geron common stock
8 during the Class Period, as required to be a Class Member. A copy of Claim 746 is attached as Exhibit
9 H.

10 39. On May 22, 2023, Epiq mailed a Deficiency Letter to Claimant 746 informing him that
11 Claim 746 was rejected because it did not state any Class Period purchases. On June 6, 2023, Epiq
12 received a letter from Claimant 746 requesting Court review of his Claim. This letter was mailed along
13 with documentation indicating a purchase of 250 shares of Geron common stock on March 20, 2018 and
14 a sale of 250 shares Geron common stock on March 20, 2018. Exhibit I, at 7.

15 40. Epiq updated the Claim to include a purchase of 250 shares of Geron common stock on
16 March 20, 2018 and a sale of 250 shares of Geron common stock on March 20, 2018 pursuant to the
17 documentation submitted in response to the Deficiency Letter. While Claimant 746 remedied the initial
18 defect, pursuant to paragraph 62(A) of the Settlement Notice, for shares purchased during the Class
19 Period and sold before September 26, 2018, the Recognized Loss will be zero. Accordingly, Epiq
20 recommends that Claim No. 746 be rejected.

21 41. On September 22, 2023, Susanna Webb, an Epiq Project Manager, spoke with Claimant
22 746 regarding his Claim and explained the calculation of his Claim and why his Claim was not eligible.
23 Claimant 746 understood the explanation and agreed to withdraw his request for Court review. On
24 October 16, 2023, Ms. Webb sent an email to Claimant 746 confirming the withdrawal of his request for
25 Court review. A copy of this email is attached as Exhibit J.

26 **3) Claim No. 1454 – No Recognized Loss**

1 42. Claim No. 1454 is recommended for rejection herein because the Claim did not calculate
2 to a Recognized Loss under the Court-ordered Plan of Allocation. Exhibit L, at 1. The Claim Form,
3 postmarked on January 27, 2023 and received by Epiq on January 30, 2023, indicated a purchase of 5,000
4 shares of Geron common stock on September 13, 2018 and a sale of 5,000 shares of Geron common
5 stock on September 13, 2018. A copy of the Claim is attached as Exhibit K.

6 43. Claim 1454 did not calculate to a Recognized Loss under the Court-ordered Plan of
7 Allocation. Pursuant to paragraph 62(A) of the Settlement Notice, for shares purchased during the Class
8 Period and sold before September 26, 2018, the Recognized Loss will be zero. On May 22, 2023, Epiq
9 mailed a Deficiency Letter to Claimant 1454 informing her that her Claim was rejected because it did
10 not calculate to a Recognized Loss under the Court-approved Plan of Allocation. Exhibit L, at 1. On
11 June 12, 2023, Epiq received a letter from Claimant 1454 containing additional documentation which
12 did not resolve the Claim's deficient status. On July 20, 2023, Epiq sent a second Deficiency Letter to
13 Claimant 1454 informing her that her Claim was rejected because it did not calculate to a Recognized
14 Loss under the Court-approved Plan of Allocation. *Id.* at 9. On August 14, 2023, Epiq received a letter
15 dated August 8, 2023 from Claimant 1454 requesting Court review of the Claim. *Id.* at 11. On October
16 4, 2023, Susanna Webb, an Epiq Project Manager, spoke with Claimant 1454 regarding the Claim and
17 explained why the Claim was not eligible. Claimant 1454 understood and agreed to withdraw her request
18 for Court review. On October 16, 2023, Ms. Webb sent an email to Claimant 1454 confirming the
19 withdrawal of her request for Court review. A copy of this email is attached as Exhibit M.

20 **Court Review Request Withdrawn – Claim Defect Cured and Recommended for Approval**

21 **1) Claim No. 653 – Claim Defect Cured**

22 44. Claim No. 653 is recommended for acceptance herein. Claim No. 653 initially showed a
23 holding difference of 685 shares of Geron common stock. This holding difference was caused by
24 Beginning and Purchased shares claimed totaling 685 lower total than Sold and Ending shares. On
25 December 8, Jeff Campisi of Kaplan Fox & Kilsheimer LLP (Class Counsel) and I discussed this claim,
26 and determined that the Claimant had submitted sufficient information to assume a beginning holdings
27 of 5,685 shares of Geron common stock. On December 11, 2023, Ms. Webb sent an email to Claimant
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1 653 confirming that the claim was complete, and the withdrawal of his request for Court review. A copy
2 of the Claim and this email are attached as Exhibit N.

3 **2) Claim No. 800000414 – Missing Trade Documentation Cured**

4 45. Claim No. 800000414 is recommended for acceptance herein. The Claim was submitted
5 online via the claim filing portal on the Settlement website on November 18, 2022 and indicated
6 purchases and sales during the Class Period and unsold holdings of Geron common stock at the end of
7 the look back period. The supporting documentation uploaded with the Claim was not adequate, as it
8 was not a valid third party document. A copy of the supporting documentation is attached as Exhibit O.

9 46. On May 22, 2023, Epiq mailed a Deficiency Letter to Claimant 800000414 informing him
10 that the Claim required additional documentation to be considered complete. Exhibit P, at 1. On June
11 5, 2023, Epiq received a letter from Claimant 800000414 providing additional documentation which did
12 not resolve the claim's deficient status, and requesting Court review. *Id.* at 3-6. On July 6, 2023, Epiq
13 sent a second Deficiency Letter to Claimant 800000414 informing him that the Claim required additional
14 documentation to be considered complete. *Id.* at 7. On July 26, 2023, Epiq received a letter dated July
15 18, 2023 from Claimant 800000414 providing additional documentation which did not resolve the
16 Claim's deficient status, and requesting Court review. *Id.* at 10.

17 47. On October 4, 2023, Susanna Webb, an Epiq Project Manager, spoke with Claimant
18 800000414 regarding the Claim and explained the documentation that was still needed to cure the Claim.
19 Claimant 800000414 provided this documentation via email on October 4, 2023. On October 5, 2023,
20 Ms. Webb sent an email to Claimant 800000414 informing him that his Claim was in good standing, and
21 that the request for Court review would be withdrawn. *See* Exhibit Q.

22 **3) Claim No. 800001413 – Missing Documentation Cured**

23 48. Claim No. 800001413 is recommended for acceptance herein. The Claim was submitted
24 online via the claim filing portal on the Settlement website on November 18, 2022 and indicated
25 purchases and sales during the Class Period and unsold holdings of Geron common stock at the end of
26 the look back period. The supporting documentation uploaded with the Claim was not adequate, as it
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1 did not indicate any Class Period purchases. A copy of the supporting documentation is attached as
2 Exhibit R.

3 49. On May 22, 2023, Epiq mailed a Deficiency Letter to Claimant 800001413 informing her
4 that the Claim required additional independent, third party documentation to be considered complete.
5 Exhibit S, at 1. On June 10, 2023, Epiq received an email from Claimant 800001413 containing
6 additional documentation which did not resolve the claim's deficient status, and requesting Court review.
7 *Id.* at 14.

8 50. On October 2, 2023, Ms. Webb spoke with Claimant 800001413 regarding the Claim and
9 explained the documentation needed to cure the defect, which Claimant 800001413 subsequently
10 provided. On October 3, 2023, Ms. Webb sent an email to Claimant 800001413 confirming Claim was
11 in good standing, and that the request for Court review would be withdrawn. A copy of this email is
12 attached as Exhibit T.

13 **4) Claim No. 800001410 – Missing Documentation Cured**

14 51. Claim No. 800001410 is recommended for acceptance herein. The Claim was submitted
15 online via the claim filing portal on the Settlement website on February 2, 2023 and indicated purchases
16 and sales during the Class Period and unsold holdings of Geron common stock at the end of the look
17 back period. The supporting documentation uploaded with the Claim was not adequate. A copy of the
18 supporting documentation is attached as Exhibit U.

19 52. On May 22, 2023, Epiq mailed a Deficiency Letter to Claimant 800001410 informing her
20 that the Claim required additional documentation to be considered complete. Exhibit V, at 1. On June
21 10, 2023 Epiq received a letter from Claimant 800001410 containing additional documentation which
22 did not resolve the Claim's deficient status, and requesting Court review. *Id.* at 14.

23 53. On October 3, 2023, Ms. Webb spoke with Claimant 800001410 regarding the Claim and
24 explained the documentation needed. Claimant 800001410 provided the documentation needed for the
25 claim to be cured by email that same day. On October 3, 2023, Ms. Webb sent an email to Claimant
26 800001413 and Claimant 800001410 confirming that both Claims were in good standing, and that the
27 request for Court review would be withdrawn. A copy of this email is attached as Exhibit W.
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1 **5) Claim No. 800001463 –Deficiency Cured**

2 54. Claim No. 800000463 is recommended for acceptance herein. The Claim was submitted
3 online via the claim filing portal on the Settlement website on February 10, 2023 and indicated purchases
4 and sales during the Class Period and unsold holdings of Geron common stock at the end of the look
5 back period. The Claim also provided shares that were transferred into the account but did not provide
6 documentation regarding the initial purchase of those shares. A copy of the supporting documentation
7 is attached as Exhibit X.

8 55. On May 22, 2023, Epiq mailed a Deficiency Letter to Claimant 800001463 informing
9 them that the Claim required additional documentation to be considered complete. Exhibit Y, at 1. On
10 June 21, 2023, Epiq received a letter from Claimant 800001463 dated June 9, 2023 containing additional
11 documentation which did not resolve the claim's deficient status, and requesting Court review. *Id.* at 3.

12 56. On September 26, 2023, Ms. Webb emailed Claimant 800001463 explaining the
13 documentation needed. Jeff Campisi of Kaplan Fox & Kilsheimer LLP (Class Counsel) spoke with the
14 Claimant on October 20, 2023. On October 24, 2023, Epiq and Class Counsel received by email
15 documentation which cured the deficiency. On October 27, 2023, Ms. Webb sent an email confirming
16 that the Claim was in good standing, and that the request for Court review would be withdrawn. A copy
17 of this email is attached as Exhibit Z.

18 **LATE BUT OTHERWISE ELIGIBLE CLAIM FORMS**

19 57. Through December 4, 2023, Epiq received 49 Submitted Claims that were postmarked
20 after the 11:59 p.m. PST July 14, 2023 claim submission deadline established by the Court. Epiq has
21 fully processed these Claims. Of these late Submitted Claims, 23 have been found to be otherwise
22 eligible in whole or in part. Epiq has not rejected any Claim solely based on its late submission, and
23 Epiq believes no delay or prejudice to other Claimants has resulted from the provisional acceptance of
24 these Late but Otherwise Eligible Submitted Claims. To the extent they are eligible, but for the fact that
25 they were late, they are recommended for payment.

26 58. However, there must be a final cut-off date after which no more Claims will be accepted
27 so that there may be a proportional distribution of the Net Settlement Fund and the distribution may be
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1 accomplished. Accordingly, as requested in the accompanying motion papers, Epiq recommends that
2 the Court order that no Claim Form received after December 4, 2023 be eligible for payment.

3 QUALITY ASSURANCE

4 59. An integral part of all of Epiq's settlement administration projects is its Quality Assurance
5 review. This process is also labor intensive and time consuming. Specifically, Epiq's personnel worked
6 throughout the entire administration process to ensure that Claims were processed properly; that
7 deficiency and ineligibility codes were properly applied to Claims; that deficiency notices were mailed
8 to the appropriate Claimants; and that Epiq's computer programs were operating properly.

9 60. In support of the work described above, Epiq staff designed, implemented and tested the
10 following programs for this administration: (i) data entry screens that store Claim information
11 (including all transactional data included in each Claim and in any supporting documentation), attach
12 message codes and, where necessary, apply text to denote conditions existing within the Claim; (ii)
13 screens for the analyst to review images of the Claim Form and any supporting documentation provided;
14 (iii) programs to load and analyze transactional data submitted electronically for all Electronic Claims
15 (a load program converts the data submitted into the format required by the calculation program, and
16 an analysis program determines if the data is consistent and complete); (iv) a program to compare the
17 claimed transaction prices against the reported market prices to confirm that the claimed transactions
18 were within an acceptable range of the reported market prices; (v) a calculation program to analyze the
19 transactional data for all Claims, and calculate the Recognized Loss based on the Plan of Allocation;
20 and (vi) programs to generate various reports throughout and at the conclusion of the administration,
21 including lists of all eligible and ineligible Claims.

22 61. Epiq's Securities Team performed a final quality control check once all of the Submitted
23 Claims were processed, deficiency notices were mailed, and deficiency responses were reviewed and
24 processed, to ensure the correctness and completeness of all of the processed Claims before Epiq
25 prepared its final reports to Lead Counsel and the Court in connection with the finalization of this
26 declaration. Here, in connection with this Quality Assurance wrap-up, Epiq: (i) confirmed that Claims
27 that are recommended for approval have no codes denoting ineligibility; (ii) confirmed that Claims that
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1 are recommended for rejection have codes denoting ineligibility; (iii) confirmed that all Claims
 2 requiring “deficiency” notices were sent such notices; (iv) performed a sample review of deficient
 3 Claims; (v) reviewed a sampling of Claims with high Recognized Loss amounts to confirm Epiq’s
 4 determinations; (vi) sampled Claims that had been determined to be ineligible, including those with no
 5 Recognized Loss calculated in accordance with the Plan of Allocation, in order to verify that all
 6 transactions had been captured correctly; and (vii) retested the accuracy of the calculation program.

7 62. The 3,386 Submitted Claims are recommended for rejection by the Court for the following
 8 reasons:

Summary of Rejected Claims	
Reason for Rejection	Number of Claims
No Eligible Purchases During the Class Period	672
Claim Form Did Not Result in a Recognized Loss	1,511
Deficient Claim Form with Condition of Ineligibility Never Cured	1,053
Duplicate Claim Form	87
Withdrawn Claim Form	49
TOTAL	3,372

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17 63. A list of the Submitted Claims to date and Epiq’s recommendations as to their disposition
 18 is contained in the Administrator’s Report attached hereto as Exhibit AA. Exhibit AA-1, entitled
 19 “Timely Eligible Submitted Claims,” lists all timely filed accepted claims and states their Recognized
 20 Loss. Exhibit AA-2, entitled “Late but Otherwise Eligible Submitted Claims,” lists all late filed, accepted
 21 claims, and states the amount of their Recognized Loss. Exhibit AA-3, entitled “Rejected Submitted
 22 Claims,” lists all wholly rejected claims and states the reason for their rejection. Exhibit AA provides
 23 the claimant’s Claim Number and Recognized Loss amount or Reason for Rejection.

24 64. Epiq has determined that 4,282 Submitted Claims should be accepted in whole or in part.
 25 The Submitted Claims recommended for acceptance represent a total Recognized Loss of
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 27
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1 \$70,855,587.63 under the Plan of Allocation. Of that total, \$70,578,843.32 is for Timely Eligible Claims
2 and \$276,744.33 is for Late But Otherwise Eligible Claims.

3 65. According to the Plan of Allocation, A Claimant’s “Recognized Claim” will be the sum
4 of his, her, or its Recognized Loss Amounts as calculated under the Plan of Allocation. Each Authorized
5 Claimant shall be allocated a *pro rata* share of the Net Settlement Fund based on his, her, or its
6 Recognized Claim in comparison to the total Recognized Claims of all Authorized Claimants. Upon
7 approval by the Court, Epiq will prepare and mail checks (or wire transfers where applicable) to
8 Authorized Claimants for their payment amount, subject to the provisions of the Plan of Allocation and
9 any order entered by the Court approving the Distribution Motion.

10 **DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUND**

11 66. Should the Court concur with Epiq’s determinations concerning the accepted and rejected
12 claims, Epiq recommends the following distribution plan (the “Distribution Plan”):

13 i. Epiq will conduct an initial distribution (the “Initial Distribution”) of the Net
14 Settlement Fund as follows: Epiq will calculate award amounts to all Authorized Claimants by calculating
15 their *pro rata* share of the fund in accordance with the Plan of Allocation.

16 ii. Epiq will, pursuant Paragraph 70 of the Settlement Notice and to the terms of the
17 Plan of Allocation, eliminate from the distribution any Authorized Claimant whose *pro rata* share of the
18 Net Settlement Fund as calculated under subparagraph (a)(i) above is less than \$10.00. Such Claimants
19 will not receive any distribution from the Net Settlement Fund and Epiq will send letters to those
20 Authorized Claimants advising them of that fact.

21 iii. After eliminating Claimants who would have received less than \$10.00, Epiq will
22 calculate the *pro rata* share of the Net Settlement Fund for Authorized Claimants who would have
23 received \$10.00 or more pursuant to the calculations described in subparagraph (A)(i) above
24 (“Distribution Amount”).

25 iv. To encourage Authorized Claimants to deposit their payments promptly, all
26 distribution checks will bear a notation: “CASH PROMPTLY. VOID AND SUBJECT TO
27 REDISTRIBUTION IF NOT CASHED BY [DATE 90 DAYS AFTER ISSUE DATE].” For Authorized
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1 Claimants whose checks are returned as undeliverable, Epiq will endeavor to locate new addresses
2 through reasonable methods, including processing undeliverable checks through an address trace service
3 and contacting Claimants via email and/or by telephone. Where a new address is located, Epiq will update
4 the Settlement Database accordingly and reissue a distribution check to the Authorized Claimant at the
5 new address. In the event a distribution check is lost or damaged, or otherwise requires reissuance, Epiq
6 will issue replacements. Distribution reissues will be undertaken only upon written instructions from the
7 Authorized Claimant, provided that the Authorized Claimant returns the previous check where
8 appropriate. For all checks, Epiq will void the initial payment prior to reissuing a payment. In order not
9 to delay further distributions to Authorized Claimants who have timely cashed their checks, Epiq's
10 outreach program shall end 30 days after the initial void date. Authorized Claimants will be informed
11 that, if they do not cash their Initial Distribution checks within 90 days of the issue date, or they do not
12 cash checks reissued within 30 days of the mailing of such reissued checks, their checks will lapse, their
13 entitlement to recovery will be irrevocably forfeited, and the funds will be reallocated to other Authorized
14 Claimants. Reissue requests for lost or damaged checks will be granted after the void date on the checks
15 as long as the request for the reissue is received no later than 45 days prior to the next planned distribution.
16 Requests for reissued checks in connection with any subsequent distributions (should such distributions
17 occur) will be handled in the same manner.

18 v. Authorized Claimants who do not cash their Initial Distribution checks within the
19 time allotted or on the conditions set forth above will irrevocably forfeit all recovery from the Settlement.
20 The funds allocated to all such stale-dated checks will be available for distribution to other Authorized
21 Claimants, if Lead Counsel, in consultation with Epiq, determines that it is cost-effective to conduct a
22 second distribution. Similarly, Authorized Claimants who do not cash their second or subsequent
23 distribution checks, should such distributions occur, within the time allotted or on the conditions set forth
24 above will irrevocably forfeit any further recovery from the Net Settlement Fund.

25 vi. After Epiq has made reasonable and diligent efforts to have Authorized Claimants
26 cash their Initial Distribution checks, which efforts shall consist of the follow-up efforts described above,
27 Epiq will conduct a second distribution of the Net Settlement Fund (the "Second Distribution"), in which
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1 68. In connection with the Original Class Notice (also called the Notice of Pendency in some
2 of Epiq’s submissions), Epiq’s invoices totaled \$159,745.01 for which Epiq was paid in full from the
3 Settlement Fund under Paragraph 15 of the Settlement Agreement (described in the 2/2/23 Mahn Decl.
4 as the “Notice of Pendency”). ECF No. 262-8, at 12: 15-16.

5 69. In connection with granting final approval of the Settlement, the Court approved payment
6 to Epiq of up to a “grand total” of \$500,000 for fees/costs. ECF No. 309. After deducting the \$159,745.01
7 Epiq was already paid in full satisfaction of its fees and expense for its work in connection with the
8 Original Class Notice, under the Court’s Order (ECF No. 309), it appears that the Court has permitted
9 Epiq to be paid \$340,254.99 for its work through July 31, 2023 in connection solely with the
10 administration of the Settlement.

11 70. In the Supplemental Declaration of Stephanie Amin-Giwner Regarding: (1) Claims
12 Received to Date; (II) Objections; (III) Requests for Exclusion; (IV) Analysis of Supplemental
13 Settlement Notice Mailing; (V) Call Center Services and the Settlement Website; (VI) Epiq Fees to Date,
14 I incorrectly stated that the costs of the administration of the Original Class Notice and the Settlement
15 totaled \$817,415.42 through July 31, 2023 (“8/17/23 Amin-Giwner Dec.”). ECF No. 303, ¶25. Upon
16 further review of Epiq’s invoices attached to the 8/17/23 Amin-Giwner Dec., I have confirmed that the
17 Epiq fees of \$817,415.42 pertain only to Epiq’s work in connection with the Settlement do not include
18 the \$159,745.01 that Epiq was paid in connection with the Original Class Notice.

71. Epiq's fees and expenses for its work in connection with the Action are set forth in the chart below:

Epiq Fees and Expenses (Notice of Pendency)	\$159,745.01
Epiq Fees and Expenses (Settlement) September 1, 2022 thru November 30, 2023	\$912,041.99
Epiq's Estimated Fees and Expenses to conduct Initial Distribution	\$77,187.00
Total Epiq Fees and Expenses	\$1,148,974.00
Fees and Expenses approved by Court (ECF No. 309)	(\$500,000)
Outstanding Epiq Fees and Expenses	\$648,974.00
Amount requested for reimbursement for brokers	\$86,975.89
TOTAL amount requested for payment from Settlement Fund	\$735,949.89

72. The Court provided that should Epiq seek additional payment for its costs related to the Settlement, a new motion must be brought to explain why such reimbursements are merited. ECF No. 309. Epiq respectfully submits that its request for additional fees in the amount of \$648,974 for work performed solely in connection with the Settlement, including to conduct the Initial Distribution, be granted for the reasons set forth below.

73. Of Epiq’s total fees and expenses of \$1,148,974.00, Epiq’s out of pocket expenses for the Settlement Notice alone include the following costs:

Printing and mailing the Settlement Notice and Proof of Claim Form	\$242,673.20
Postage (Settlement Notice and Proof of Claim Form)	\$106,293.26
Printing and mailing the Supplemental Settlement Notice	\$149,482.55
Postage (Supplemental Settlement Notice)	\$58,161.11
Publication Notice	\$30,077.00
TOTAL	\$586,687.12

74. In addition to the fees and expenses above, as described above (*see* ¶¶7-27), a significant portion of Epiq’s work in the administration of this Settlement related to the Claim review and deficiency notice process for the Settlement. Accordingly, Epiq’ fees associated with this work reflects a large portion of its total fees and expenses. These costs include, but are not limited to, the following activities:

- Entering each claimant’s contact information and transactions in publicly traded Geron common stock into the database that Epiq developed to process Claims submitted in this Settlement. *See* ¶¶8-10;
- Reviewing the claimant-provided data to determine whether a claimant had in fact purchased publicly traded Geron Common stock during the Class Period, whether the claim had been submitted by an excluded party, and to identify any deficiencies or ineligibility conditions that might exist within the claim. *See* ¶¶11-18;
- Identifying additional deficient conditions either at the claim level or transaction level (in this matter, approximately 3,578 (or 46%) of the 7,654 Submitted Claims contained one or more deficiencies). *See* ¶¶26-27;
- Quality control and quality assurance activities to ensure claims were accurately processed and decided. *See* ¶¶59-61;

- 1 • Where appropriate, sending Deficiency Notices notifying claimants of defects in their
2 Claim Form and providing instructions as to how they could cure their claim. *See* ¶¶21;
3 26;
- 4 • Engaging in extensive claimant communications and support at the noticing, claim filing,
5 and cure period of the administration, working with claimants to assist them in curing
6 their claims via phone and email. *See* ¶¶22-24; 26;
- 7 • Reviewing claimants' responses to Deficiency Notices, and if the response corrected the
8 defect, the claim was updated accordingly. *See* ¶¶25; 27;
- 9 • Epiq received 38 requests for Court review of its decisions. Epiq contacted each of those
10 claimants by telephone and/or email and explained the administrative determination. As
11 a result of this communication, 35 requests for Court review have been resolved. *See*
12 ¶¶28-56.

13 75. Included in Epiq's fees is hourly time spent by Epiq's project management team, business
14 analysts and data analysts, as described below:

- 15 • **Project Managers** oversee and are responsible for all aspects of a settlement
16 administration at Epiq. They work closely with all internal departments to ensure desired
17 outcomes and serve as our clients'/counsel's day-to-day contact on all administration
18 deliverables. The Project Manager was available to address questions from Lead Counsel
19 during the process of the claims review, including in weekly status calls, and in preparing
20 numerous declarations to this Court to report on the status of the Settlement notice
21 program. In addition, the Project Manager worked with Grant Lambert, Project Director
22 and conducted extensive outreach to the nominees, banks and brokers in connection with
23 both the Settlement Notice and the Supplemental Settlement Notice. The Project Manager
24 was also responsible for the outreach to Claimants with deficient claims, explaining the
25 defect with the claim and helping the Claimant to cure their claims, where applicable.
- 26 • **Project Coordinators** assist the Project Manager on the details of the administration.
27 Project Coordinators are akin to paralegals or associates at law firms, working on detailed
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1 analyses of data, claims, report requirements, responding to client requests, coordinating
2 with internal departments, reviewing claims processing guidelines, developing scripting
3 for toll-free call lines, providing weekly reporting on case metrics and, as needed,
4 communicating directly with counsel or claimants.

- 5 • **Business Analysts** and the **Project Director** are a vital aspect of the Epiq securities team.
6 They are responsible for many of the quality assurance aspects of the administration,
7 including developing and testing the programming of the proprietary “calculation
8 module” to calculate Recognized Loss pursuant to the Plan of Allocation and the data
9 integrity review conduct on Electronic Claims. Epiq Business Analysts have routine
10 contact with third party filers, nominees and custodians to facilitate the submission and
11 processing of Electronic Claims and to obtain additional information from those entities
12 as needed. Here, the Project Director, Grant Lambert, was responsible for following up
13 with the nominees, banks and brokers to ensure that they timely responded to the Notices
14 and either provided names and addresses of their client who may be potential Class
15 Members or requested Notices to be sent to their clients and confirmed that these Notices
16 were mailed timely,
- 17 • **Director of Client Services**, is part of Epiq’s Client Services leadership and as such, I
18 oversee Epiq’s Securities department, including the project team responsible for this
19 settlement. My oversight of the work performed here, included participating in status
20 calls with Lead Counsel, responding to questions from Lead Counsel and Claimants. In
21 addition, I attended meetings with the team handling this matter and oversaw their work,
22 I reviewed, declaration, reports and communications. In addition, I submitted multiple
23 declarations to this Court regarding the nominee mailing process and the outreach
24 conducted by Epiq as well as the Settlement administration in general. Finally, I attended
25 both Settlement Fairness Hearings before the Court in March and August 2023.
- 26 • **Data Analysts** assist with ensuring class member and claimant data is imported into and
27 maintained in the case database of record at Epiq. They assist in pulling regular and ad
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1	Original Class Notice	StoneX	6/2/2022	\$52.50
2	Original Class Notice	Cetera	6/10/2022	\$52.50
3	Original Class Notice	Truist	6/6/2022	\$26.25
4	Original Class Notice	Pershing	6/14/2022	\$36.75
5	Original Class Notice	Apex	6/21/2022	\$6,167.60
6	Original Class Notice	Piper Sandler	6/22/2022	\$21.32
7	Original Class Notice	Charles Schwab	6/23/2022	\$521.54
8	Original Class Notice	Fidelity	8/5/2022	\$775.05
9	Original Class Notice	Broadridge	7/11/2022	\$1,965.16
10	Original Class Notice	Broadridge	7/15/2022	\$22,031.42
11	Original Class Notice	UBS	7/22/2022	\$41.79
12	Settlement	Pershing	11/3/2022	\$36.75
13	Settlement	Broadridge	12/28/2022	\$49,213.61
14	Settlement	Broadridge	12/22/2022	\$2,622.01
15	Settlement	Broadridge	12/29/2022	\$438.08
16	Settlement	BNY Mellon	12/30/2022	\$352.80
17	Settlement	Wells Fargo	1/24/2023	\$26.25
18	Settlement	Broadridge	5/9/2023	\$2,030.19
19	Settlement	Broadridge	5/9/2023	\$354.32
20	Total Amount Due			\$86,975.89

21 Under 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

22 Executed this 15th day of December, 2023 at Massapequa Park, New York.

23
24
25
26 *Stephanie Amin-Giwner*
27 Stephanie Amin-Giwner

EXHIBIT A

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537

Claim Number: [REDACTED]

Response Deadline: June 11, 2023

May 22, 2023

Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp, et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Deficiency: Trade Discrepancy/Claim Does Not Balance.

There is a discrepancy between the number of shares of common stock of Geron (GERN) you reported on your Claim as purchased and/or sold and the number of shares held at the beginning of the Class Period (opening of trading on March 19, 2018) and at the end of the 90-day look-back period (close of trading on December 24, 2018).

How to Resolve: You must provide any missing transactions, missing beginning or unsold holdings, or adjustments to your Claim so that it correctly balances by the Response Deadline to Epiq at the mailing address provided above. You must also support any additional transactions with acceptable documentation.



Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: If you fail to respond, or to the extent your response fails to cure the deficiency identified, the transaction(s) that relate to the discrepancy will be rejected applying First-In-First-Out ("FIFO") matching, and the transaction(s) will not be considered in the calculation of your Claim's Recognized Loss pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial Claim.

The formula used to calculate the discrepancy is as follows: Shares Held at the Beginning of the Class Period + All Shares Purchased – Unsold Shares as of December 24, 2018.

This calculation should equal zero if a Claim is properly balanced and all transactions and holdings have been accurately reported. The discrepancy in your Claim is displayed below.

Quantity	Type of Transaction	Date
500	Holding Difference	12/24/2018

Ineligibility Condition: No Recognized Loss Amount Unless Other Defects Are Cured. This is NOT a curable deficiency, unless you had additional transactions in Geron common stock during the Class Period (i.e., from March 19, 2018 to September 26, 2018, inclusive) that are not reflected in your Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which is available on the settlement website and was previously mailed to you), the Claim referenced above does not calculate to a Recognized Loss due to certain defects listed in this letter, and therefore will not be recommended to the Court as eligible to receive a distribution from the Net Settlement Fund. *Please be aware that having a Recognized Loss is not the same as having a market loss. It is possible to have a market loss, in which you experience a loss in value of your shares, and still have no Recognized Loss under the Plan of Allocation.* In addition, if you had an overall market gain from your transactions in Geron common stock during the Class Period, your Recognized Loss is \$0.00.

How to Resolve: You may seek to resolve this condition of ineligibility by resolving the other defects listed in this letter and/or by submitting additional transactions in Geron common stock during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Loss. You must also support any additional transactions with acceptable documentation. You must submit the required documentation by the Response Deadline to Epiq at the address listed above.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be recommended by Epiq to the Court as potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator



EXHIBIT B

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537

Claim Number: [REDACTED]

Response Deadline: July 27, 2023

July 7, 2023

Second Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp, et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have also reviewed the further documentation you submitted, and have determined, based on our additional review of your Claim, that the Claim remains deficient (which may be curable) or ineligible for the reason(s) identified below.

This is our second attempt to contact you, and in order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: No Acceptable Documentation (Missing or Inadequate Documentation). This may be a curable deficiency, if appropriate documentation is provided.

The Claim referenced above did not include any documentation to support the Claim, or the documentation previously provided was deemed inadequate.

How to Resolve: You may seek to resolve this condition of ineligibility by submitting by the Response Deadline to Epiq at the mailing address provided above, acceptable documentation to support your entire Claim, including all of the transactions and holding amounts set forth in the Claim.



Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be recommended to the Court for consideration as eligible for a distribution from the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured. Once you resolve this condition of ineligibility, your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for you to be eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

Deficiency: Trade Discrepancy/Claim Does Not Balance.

There is a discrepancy between the number of shares of common stock of Geron (GERN) you reported on your Claim as purchased and/or sold and the number of shares held at the beginning of the Class Period (opening of trading on March 19, 2018) and at the end of the 90-day look-back period (close of trading on December 24, 2018).

How to Resolve: You must provide any missing transactions, missing beginning or unsold holdings, or adjustments to your Claim so that it correctly balances by the Response Deadline to Epiq at the mailing address provided above. You must also support any additional transactions with acceptable documentation.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: If you fail to respond, or to the extent your response fails to cure the deficiency identified, the transaction(s) that relate to the discrepancy will be rejected applying First-In-First-Out ("FIFO") matching, and the transaction(s) will not be considered in the calculation of your Claim's Recognized Loss pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial Claim.

The formula used to calculate the discrepancy is as follows: Shares Held at the Beginning of the Class Period + All Shares Purchased – Unsold Shares as of December 24, 2018.

This calculation should equal zero if a Claim is properly balanced and all transactions and holdings have been accurately reported. The discrepancy in your Claim is displayed below.

Quantity	Type of Transaction	Date
700	Holding Difference	12/24/2018

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

Although Epiq will continue to receive and process responses to this letter, please do be aware that the Court Review deadline has passed. You can request Court review of our determination, but it would be considered late. To do this, you must send a letter to Epiq at the mailing address listed above. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator



EXHIBIT C

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesSettlement.com
Email: info@GeronSecuritiesSettlement.com
Phone: (844) 754-5537



IMPORTANT NOTICE

Dear Claimant:

We recently sent you a letter regarding your deficiency, “No Proof of Unsold Holdings,” in the Geron Securities Litigation matter in which you filed a claim.

That letter incorrectly stated the date through which to provide documentation as September 26, 2018. The correct date through which to provide documentation is **December 24, 2018**. The correct date is stated in the Settlement Notice in Paragraph 62(D) and Proof of Claim and Release Form in Part II, Question 5. The Settlement Notice and Proof of Claim and Release Form are available for review on the settlement website, www.GeronSecuritiesLitigation.com.

If you have any questions about this notice, please give us a call at (844) 754-5537.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

Exhibit D (Claim No. 1543)

EXHIBIT D

(Claim No. 1543)

Geron Securities Litigation
Toll-Free Number: 1-844-754-5537
Email: info@GeronSecuritiesLitigation.com
Website: www.GeronSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive money from the Net Settlement Fund in connection with the Settlement of this Action, you must complete and sign this Proof of Claim and Release Form ("Claim Form") and mail it by First-Class Mail to the address below, or submit it online at www.GeronSecuritiesLitigation.com, with supporting documentation, postmarked if mailed (or if submitted online, received by the Claims Administrator) by no later than midnight Pacific Time on February 16, 2023. You may submit your Claim Form any time before the deadline.

Mail to:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
1-844-754-5537

Failure to submit your Claim Form by the deadline will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Do not mail or deliver your Claim Form to the Court, Lead Counsel, Defendants' Counsel, or any of the Parties to the Action. Submit your Claim Form only to the Claims Administrator (Epiq Class Action & Claims Solutions) at the address (or website address online) set forth above.

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PART III – RELEASE OF CLAIMS AND SIGNATURE.....	4
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Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's First Name MI Beneficial Owner's Last Name
[Redacted]

Joint Beneficial Owner's First Name MI Joint Beneficial Owner's Last Name
[Redacted]

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include your name and "IRA" in the "Entity Name" box below (e.g., John Jones IRA).

Entity Name (if Beneficial Owner is not an individual)
[Redacted]

Representative or Custodian Name if applicable (executor, administrator, trustee, c/o, etc.), (if different from Beneficial Owner[s] listed above)
[Redacted]

Address 1 (street name and number)
[Redacted]

Address 2 (apartment, unit or box number)
[Redacted]

City State Zip or Foreign Postal Code
[Redacted]

Country
USA

Last four digits of Social Security Number or Taxpayer Identification Number
[Redacted]

Telephone Number (Day) Telephone Number (Evening)
[Redacted]

Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim)
[Redacted]

Account Number (where securities were traded)
[Redacted]

Type of Beneficial Owner: Specify one of the following:
 Individual IRA/401K Estate
 Joint Pension Plan Trust
 Corporation Partnership UGMA Custodian
 Other (describe: _____)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

PART II – SCHEDULE OF TRANSACTIONS IN GERON COMMON STOCK

Please provide the requested information on your holdings and trading of Geron common stock. During the Class Period, Geron common stock traded on the Nasdaq under the symbol GERN, CUSIP: 374163103. Please include proper documentation with your Claim Form as described in the Instructions, ¶¶4 & 13 on pages 6-7 below.

1. HOLDINGS AS OF MARCH 19, 2018 – State the total number of shares of Geron common stock held as of the opening of trading on March 19, 2018. (Must be documented.) If none, write “zero” or “0.”

ZERO

2. PURCHASES FROM MARCH 19, 2018, THROUGH SEPTEMBER 26, 2018 – Separately list each purchase of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on September 26, 2018. (Must be documented.)

Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price per Share	Confirm Proof of Purchase Enclosed
032218	2000	\$ 6.27	<input checked="" type="checkbox"/>
032218	100	\$ 5.39	<input checked="" type="checkbox"/>
032218	1800	\$ 5.39	<input checked="" type="checkbox"/>
032218	100	\$ 5.39	<input checked="" type="checkbox"/>

3. PURCHASES FROM SEPTEMBER 27, 2018 THROUGH DECEMBER 24, 2018 – State the total number of shares of Geron common stock purchased from after the opening of trading on September 27, 2018, through the close of trading on December 24, 2018. If none, write “zero” or “0.”

ZERO

4. SALES FROM MARCH 19, 2018 THROUGH DECEMBER 24, 2018 – Separately list each sale of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on December 24, 2018. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Confirm Proof of Sale Enclosed
032818	1000	\$ 3.84	<input checked="" type="checkbox"/>
032818	3000	\$ 3.60	<input checked="" type="checkbox"/>
		\$.	<input type="checkbox"/>
		\$.	<input type="checkbox"/>

5. HOLDINGS AS OF DECEMBER 24, 2018 – State the total number of shares of Geron common stock held as of the close of trading on December 24, 2018. (Must be documented.) If none, write “zero” or “0.”

Confirm Proof of Position Enclosed

ZERO

IF YOU NEED ADDITIONAL SPACE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF THEIR SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.



PART III - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 5 OF THIS CLAIM FORM.**

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged any and all of the Released Plaintiffs' Claims against Defendants and Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting, commencing, instituting, or continuing to prosecute any action or other proceeding in any court of law or equity, arbitration tribunal, or administrative forum, asserting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties. This release shall not apply to any of the Excluded Plaintiffs' Claims.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, and is (are) not excluded by definition from the Class as set forth in the Settlement Notice;
3. that the claimant(s) did not submit a request for exclusion from the Class;
4. that I (we) own(ed) the Geron common stock identified in the Claim Form and have not assigned the claim against any of the Defendants or Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Geron common stock and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator, or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Redacted signature area]

Date: 08 - 17 - 1951
MM DD YYYY

Print claimant name here

[Redacted signature area]

Date: [] - [] - []
MM DD YYYY

Signature of joint claimant, if any

[Redacted signature area]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Redacted signature area]

Date: [] - [] - []
MM DD YYYY

Signature of person signing on behalf of claimant

[Redacted signature area]

Print name of person signing on behalf of claimant

[Redacted signature area]

Print name of person signing on behalf of claimant

[Redacted signature area]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶7 in the Instructions and Checklist of this Claim Form.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



INSTRUCTIONS AND CHECKLIST

1. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

2. Use the Schedule of Transactions on page 3 of this Claim Form to supply all required details of your transaction(s) in, and holdings of, common stock of Geron Corporation ("Geron"). On this schedule, provide all of the requested information with respect to your holdings, purchases, and sales of Geron common stock (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

3. **Please note:** Only publicly traded Geron common stock purchased during the Class Period (i.e., from March 19, 2018, through September 26, 2018, inclusive) is eligible to receive a payment under the Settlement. However, sales of Geron common stock during the period from September 27, 2018, through and including the close of trading on December 24, 2018, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase and sale information during this period must also be provided.

4. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Geron common stock as set forth in the Schedule of Transactions on page 3 of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Parties and the Claims Administrator do not independently have information about your investments in Geron common stock. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

5. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Geron common stock. The complete name(s) of the beneficial owner(s) must be entered. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

6. **If you purchased Geron common stock in more than one account, a Claim should be submitted for each account.** Separate Claim Forms should be submitted for each account or separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Geron common stock made on behalf of a single beneficial owner.

7. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the Social Security Number (or taxpayer identification number), address, and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Geron common stock; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



Attachments

Patch Code 3





ACCOUNT # [REDACTED]

Printer-friendly page | Page help

History & Statements

Transactions | **Statements** | Confirmations | Shareholder Library

Type: All transaction types

Symbol(s): GERN [Symbol lookup](#)
(separate symbols with commas)

View range: Month-to-date | 1 day | 7 days | 14 days | 30 days | 60 days

View year: 2023 | 2022 | 2021 | 2020 | **2018** | 2017 | 2016 | [Show More](#)

View dates: Jan 1 2018 to Dec 31 2018
You can search a date range of up to one year.

Sweeps: Hide sweeps
Sweeps are uninvested cash transactions that move in and out of cash alternatives.

[View](#) or [Download](#)

Account Balances

1/1/2018 Cash balance	---
12/31/2018 Cash balance	\$0.00
Net change	---
1/1/2018 Cash alternatives balance	---
12/31/2018 Cash alternatives balance	\$466.89
Net change	---

Looking for tax documents?

To find 1099s and other tax documents, [go to the Tax Center](#).

Search results for 1/1/2018 to 12/31/2018

Date/Time	Description	Amount	Commission	Reg Fee	Details
02/05/2018	Bought 1000 GERN @ 2.5364	-2,543.35	6.95	0.00	
02/09/2018	Bought 489 GERN @ 2.4099	-1,185.39	6.95	0.00	
02/09/2018	Bought 400 GERN @ 2.4099	-963.96	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.4099	-481.98	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.4099	-481.98	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.4099	-481.98	0.00	0.00	
02/09/2018	Bought 100 GERN @ 2.4099	-240.99	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 400 GERN @ 2.41	-964.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 400 GERN @ 2.41	-964.00	0.00	0.00	
02/09/2018	Bought 300 GERN @ 2.41	-723.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 100 GERN @ 2.41	-241.00	0.00	0.00	
02/09/2018	Bought 16 GERN @ 2.41	-38.56	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 16 GERN @ 2.41	-38.56	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00	
02/09/2018	Bought 55 GERN @ 2.41	-132.55	0.00	0.00	
02/09/2018	Bought 400 GERN @ 2.41	-964.00	0.00	0.00	
02/09/2018	Bought 55 GERN @ 2.41	-132.55	0.00	0.00	
02/09/2018	Bought 100 GERN @ 2.41	-241.00	0.00	0.00	

02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00
02/09/2018	Bought 469 GERN @ 2.41	-1,130.29	0.00	0.00
02/09/2018	Bought 200 GERN @ 2.41	-482.00	0.00	0.00
02/13/2018	Sold 1000 GERN @ 2.1536	2,146.50	6.95	0.00
02/13/2018	Sold 1000 GERN @ 2.1527	2,145.60	6.95	0.00
02/13/2018	Sold 1500 GERN @ 2.1536	3,223.21	6.95	0.00
02/13/2018	Sold 2500 GERN @ 2.1647	5,404.39	6.95	0.00
02/13/2018	Bought 1000 GERN @ 2.129	-2,135.95	6.95	0.00
02/13/2018	Sold 139 GERN @ 2.1501	291.90	6.95	0.00
02/13/2018	Sold 361 GERN @ 2.1501	776.14	0.00	0.00
02/26/2018 00:00:01	TRANSFER OF SECURITY OR OPTION IN (GERN)	0.00	0.00	0.00
03/05/2018 14:29:46	Sold 2000 GERN @ 2.5261	5,045.13	6.95	0.12
✓ 03/22/2018 10:41:07	Bought 2000 GERN @ 6.2754	-12,557.75	6.95	0.00
✓ 03/22/2018 11:30:46	Bought 100 GERN @ 5.397	-546.65	6.95	0.00
✓ 03/22/2018 11:30:46	Bought 1800 GERN @ 5.391	-9,703.80	0.00	0.00
✓ 03/22/2018 11:30:46	Bought 100 GERN @ 5.39	-539.00	0.00	0.00
✓ 03/28/2018 10:43:20	Sold 1000 GERN @ 3.84	3,832.96	6.95	0.09
✓ 03/28/2018 10:47:12	Sold 3000 GERN @ 3.6002	10,793.40	6.95	0.25

An indication on this page that an interest payment is "taxable" or "non-taxable" refers to federal taxation only. Interest income may be subject to AMT or state and local taxes. TD Ameritrade does not provide tax advice. Please consult a qualified tax advisor to discuss your individual tax situation.

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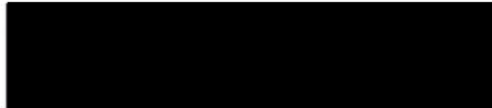
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As your agreement for the receipt and use of market data provides, the securities markets (1) reserve all rights to the market data that they make available to you; and (2) shall not be liable for any loss due either to their negligence or to any cause beyond their reasonable control.

ACCOUNT





Account History - [REDACTED] Search results for 1/1/2018 to 12/31/2018

Fri Jan 13 2023 9:01:35 am ET

Date	Description	Net Change
02/05/2018	Bought 1000 GERN @ 2.5364	-2,543.35
Transaction ID: 2899596060	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission: 6.95	Reg Fee:	
02/09/2018	Bought 489 GERN @ 2.4099	-1,185.39
Transaction ID: 2896485638	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission: 6.95	Reg Fee:	
02/09/2018	Bought 400 GERN @ 2.4099	-963.96
Transaction ID: 2896485648	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission:	Reg Fee:	
02/09/2018	Bought 200 GERN @ 2.4099	-481.98
Transaction ID: 2896485655	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission:	Reg Fee:	
02/09/2018	Bought 200 GERN @ 2.4099	-481.98
Transaction ID: 2899490951	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission:	Reg Fee:	
02/09/2018	Bought 200 GERN @ 2.4099	-481.98
Transaction ID: 2899490984	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission:	Reg Fee:	
02/09/2018	Bought 100 GERN @ 2.4099	-240.99
Transaction ID: 2899491008	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	
Commission:	Reg Fee:	
02/09/2018	Bought 200 GERN @ 2.41	-482.00
Transaction ID: 2899491041	Order Number:	
Underlying:	Strike:	
Put/Call:	Expiration:	
Session:	Routing:	
Date Entered:	Time Entered:	

Put/Call:
Session:
Date Entered:
Commission: 6.95

Expiration:
Routing:
Time Entered:
Reg Fee:

Date	Description	Net Change
02/13/2018	Sold 2500 GERN @ 2.1647	5,404.39

Transaction ID: 2900009220
Underlying:
Put/Call:
Session:
Date Entered:
Commission: 6.95

Order Number:
Strike:
Expiration:
Routing:
Time Entered:
Reg Fee:

Date	Description	Net Change
02/13/2018	Bought 1000 GERN @ 2.129	-2,135.95

Transaction ID: 2900009238
Underlying:
Put/Call:
Session:
Date Entered:
Commission: 6.95

Order Number:
Strike:
Expiration:
Routing:
Time Entered:
Reg Fee:

Date	Description	Net Change
02/13/2018	Sold 139 GERN @ 2.1501	291.90

Transaction ID: 2900009269
Underlying:
Put/Call:
Session:
Date Entered:
Commission: 6.95

Order Number:
Strike:
Expiration:
Routing:
Time Entered:
Reg Fee:

Date	Description	Net Change
02/13/2018	Sold 361 GERN @ 2.1501	776.14

Transaction ID: 2900009297
Underlying:
Put/Call:
Session:
Date Entered:
Commission:

Order Number:
Strike:
Expiration:
Routing:
Time Entered:
Reg Fee:

Date:	Description	Net Change
02/26/2018 00:00:01	TRANSFER OF SECURITY OR OPTION IN (GERN)	0.00

Transaction ID: 18563970656
Quantity: 2000
Put/Call:
Expiration:

Symbol: GERN
Underlying:
Strike:

Date	Description	Net Change
03/05/2018 14:29:46	Sold 2000 GERN @ 2.5261	5,045.13

Transaction ID: 18692207335
Underlying:
Put/Call:
Session: Regular Market Hours
Date Entered: 03/05/2018
Commission: 6.95

Order Number: 242458596
Strike:
Expiration:
Routing:
Time Entered: 14:29:46
Reg Fee: 0.12

Date	Description	Net Change
03/22/2018 10:41:07	Bought 2000 GERN @ 6.2754	-12,557.75

Transaction ID: 18822907942
Underlying:
Put/Call:
Session: Regular Market Hours
Date Entered: 03/22/2018
Commission: 6.95

Order Number: 256052865
Strike:
Expiration:
Routing:
Time Entered: 10:41:07
Reg Fee:

Date	Description	Net Change
03/22/2018 11:30:46	Bought 100 GERN @ 5.397	-546.65

Transaction ID: 18823257526
Underlying:
Put/Call:
Session: Regular Market Hours
Date Entered: 03/22/2018
Commission: 6.95

Order Number: 256381249
Strike:
Expiration:
Routing:
Time Entered: 11:30:46
Reg Fee:

Date	Description	Net Change
03/22/2018 11:30:46	Bought 1800 GERN @ 5.391	-9,703.80

Transaction ID: 18823257542	Order Number: 256381249
Underlying:	Strike:
Put/Call:	Expiration:
Session: Regular Market Hours	Routing:
Date Entered: 03/22/2018	Time Entered: 11:30:46
Commission: 0.00	Reg Fee:

Date	Description	Net Change
03/22/2018 11:30:46	Bought 100 GERN @ 5.39	-539.00

Transaction ID: 18823257563	Order Number: 256381249
Underlying:	Strike:
Put/Call:	Expiration:
Session: Regular Market Hours	Routing:
Date Entered: 03/22/2018	Time Entered: 11:30:46
Commission: 0.00	Reg Fee:

Date	Description	Net Change
03/28/2018 10:43:20	Sold 1000 GERN @ 3.84	3,832.96

Transaction ID: 18873419282	Order Number: 261581872
Underlying:	Strike:
Put/Call:	Expiration:
Session: Regular Market Hours	Routing:
Date Entered: 03/28/2018	Time Entered: 10:43:20
Commission: 6.95	Reg Fee: 0.09

Date	Description	Net Change
03/28/2018 10:47:12	Sold 3000 GERN @ 3.6002	10,793.40

Transaction ID: 18873482052	Order Number: 261581889
Underlying:	Strike:
Put/Call:	Expiration:
Session: Regular Market Hours	Routing:
Date Entered: 03/28/2018	Time Entered: 10:47:12
Commission: 6.95	Reg Fee: 0.25



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c/o Epiq
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Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
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400610880001852671
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Claim Number: 1543

Response Deadline: June 11, 2023



May 22, 2023

Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: No Recognized Loss.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which is available on the settlement website and was previously mailed to you), the Claim referenced above does not calculate to a Recognized Loss and therefore is not eligible to receive a distribution from the Net Settlement Fund. Please be aware that having a Recognized Loss is not the same as having a market loss. It is possible to have a market loss, in which you experience a loss in value of your stock, and still have no Recognized Loss under the Plan of Allocation. Unless you had additional transactions in Geron common stock during the Class Period (i.e., from March 19, 2018, to September 26, 2018, inclusive) (the "Class Period") that are not reflected in your Claim, this is NOT a curable deficiency.



How to Resolve: You may seek to resolve this condition of ineligibility by submitting additional transactions in Geron common stock during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Loss by making a submission by the Response Deadline to Epiq at the mailing address provided above. You must also support any additional transactions with acceptable documentation.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured. Your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

June 4, 2023

Epic Class Action & Claims Solutions, Inc.
Geron Securities Litigation
PO Box 4574
Portland, OR 97208-4574

RE: Claim Number 1543

Dear Claims Administrator:

Per your attached letter dated May 22, 2023 I am including additional information as requested.

- I request Court review of the rejection of the claim. As advised by my accountant, I am attaching a copy of the relevant page of my 2018 Form 1099-B which reflects the recognized loss of the purchase of Geron (highlighted in yellow). As you will see, there are two transactions with acquisition dates of 3/22/18 and subsequent sale dates of 3/28/18 resulting in recognized losses of \$9,001.28 and \$2,445.91 for a total of \$11,447.19.

Please feel free to contact me at [REDACTED] if you need additional information.

Sincerely,

[REDACTED]

cc: Personal Attorney

TD Ameritrade Clearing, Inc. [REDACTED]
Proceeds from Broker and Barter Exchange Transactions
 2018 1099-B OMB No. 1545-0015 (continued) 01/17/2019

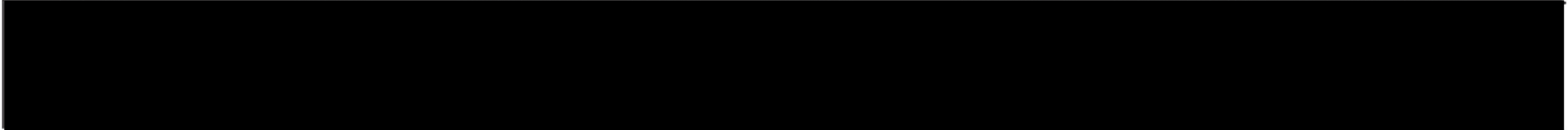
SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross or (Net)	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional Information
---------------------------------	----------	--	----------------------	----------------------------	--	---	------------------------



GERN COM / CUSIP: 374163103 / Symbol: GERN

02/13/18	4,500.000	9,700.40	Various	10,849.70		-1,149.30	Total of 3 transactions
02/13/18	2,000.000	4,287.34	Various	5,356.45	1,089.11 W	0.00	Total of 4 transactions
03/05/18	2,000.000	5,046.13	Various	5,214.05	280.44 W	-111.82	Sale
03/26/18	3,000.000	10,793.40	03/22/18	19,784.68		-9,001.28	Sale
03/28/18	1,000.000	3,832.98	03/22/18	6,278.87	2,445.91 W	0.00	Sale
Security total:		33,859.23		47,493.75	3,795.48 W	-10,039.06	

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* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Summary Information

2018

(continued)

01/17/2019

INTEREST INCOME

2018 1099-INT

OMB No: 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2018	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

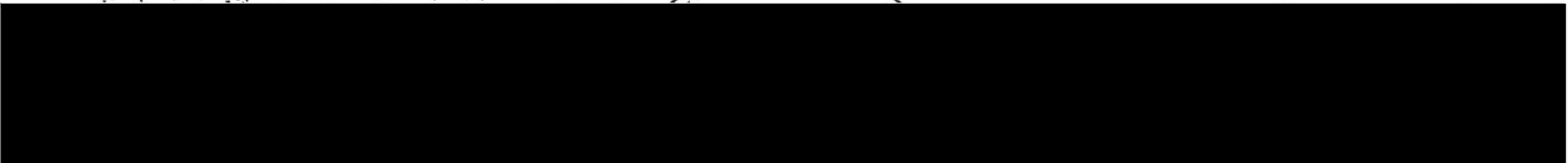
Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

<p>Member FINRA/SIPC</p> <p>PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900</p>	Tax Information [REDACTED]	Statement Date: 01/17/2019 Document ID: [REDACTED]	2018
	[REDACTED]	[REDACTED]	[REDACTED]

Summary Information

DIVIDENDS AND DISTRIBUTIONS - 2018 1099-DIV*		OMB No. 1545-0110	MISCELLANEOUS INCOME 2018 1099-MISC*		OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS 2018 1099-B*		
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2018 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2017	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2018	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
6- Foreign country or US possession:			<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	662,998.46	840,498.49	0.00		

Withholding		Amount
Federal income tax withheld		0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2018 may require an amended tax form.

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

CERTIFIED MAIL®



ELMINGTON

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CLAIMS ADMINISTRATOR
EPIC CLASS ACTION + CLAIMS SOLUTIONS INC.
GERON SECURITIES LITIGATION
PO BOX 4574
PORTLAND OR. 97208-4574

9720834574 B027



Exhibit D (Claim No. 118)

EXHIBIT E

(Claim No. 118)

Geron Securities Litigation
Toll-Free Number: 1-844-754-5537
Email: info@GeronSecuritiesLitigation.com
Website: www.GeronSecuritiesLitigation.com

This document was received
in the same envelope as the
previously labeled document
Document Control

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive money from the Net Settlement Fund in connection with the Settlement of this Action, you must complete and sign this Proof of Claim and Release Form ("Claim Form") and mail it by First-Class Mail to the address below, or submit it online at www.GeronSecuritiesLitigation.com, with supporting documentation, postmarked if mailed (or if submitted online, received by the Claims Administrator) by no later than midnight Pacific Time on February 16, 2023. You may submit your Claim Form any time before the deadline.

Mail to:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
1-844-754-5537

Failure to submit your Claim Form by the deadline will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Do not mail or deliver your Claim Form to the Court, Lead Counsel, Defendants' Counsel, or any of the Parties to the Action. Submit your Claim Form only to the Claims Administrator (Epiq Class Action & Claims Solutions) at the address (or website address online) set forth above.

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PART II – SCHEDULE OF TRANSACTIONS IN GERON COMMON STOCK.....	3
PART III – RELEASE OF CLAIMS AND SIGNATURE.....	4
INSTRUCTIONS AND CHECKLIST.....	6

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

PART II – SCHEDULE OF TRANSACTIONS IN GERON COMMON STOCK

Please provide the requested information on your holdings and trading of Geron common stock. During the Class Period, Geron common stock traded on the Nasdaq under the symbol GERN, CUSIP: 374163103. Please include proper documentation with your Claim Form as described in the Instructions, ¶¶4 & 13 on pages 6-7 below.

1. HOLDINGS AS OF MARCH 19, 2018 – State the total number of shares of Geron common stock held as of the opening of trading on March 19, 2018. (Must be documented.) If none, write “zero” or “0.”

1 0 2 8 1

2. PURCHASES FROM MARCH 19, 2018, THROUGH SEPTEMBER 26, 2018 – Separately list each purchase of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on September 26, 2018. (Must be documented.) *NONE*

Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price per Share	Confirm Proof of Purchase Enclosed
		\$	<input type="checkbox"/>
		\$	<input type="checkbox"/>
		\$	<input type="checkbox"/>
		\$	<input type="checkbox"/>

3. PURCHASES FROM SEPTEMBER 27, 2018 THROUGH DECEMBER 24, 2018 – State the total number of shares of Geron common stock purchased from after the opening of trading on September 27, 2018, through the close of trading on December 24, 2018. If none, write “zero” or “0.”

0

4. SALES FROM MARCH 19, 2018 THROUGH DECEMBER 24, 2018 – Separately list each sale of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on December 24, 2018. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Confirm Proof of Sale Enclosed
		\$	<input type="checkbox"/>
		\$	<input type="checkbox"/>
		\$	<input type="checkbox"/>
		\$	<input type="checkbox"/>

5. HOLDINGS AS OF DECEMBER 24, 2018 – State the total number of shares of Geron common stock held as of the close of trading on December 24, 2018. (Must be documented.) If none, write “zero” or “0.”

1 0 2 8 1

Confirm Proof of Position Enclosed

IF YOU NEED ADDITIONAL SPACE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF THEIR SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

PART III - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 5 OF THIS CLAIM FORM.**

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged any and all of the Released Plaintiffs' Claims against Defendants and Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting, commencing, instituting, or continuing to prosecute any action or other proceeding in any court of law or equity, arbitration tribunal, or administrative forum, asserting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties. This release shall not apply to any of the Excluded Plaintiffs' Claims.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, and is (are) not excluded by definition from the Class as set forth in the Settlement Notice;
3. that the claimant(s) did not submit a request for exclusion from the Class;
4. that I (we) own(ed) the Geron common stock identified in the Claim Form and have not assigned the claim against any of the Defendants or Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Geron common stock and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator, or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HERewith ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Signature box]

Signature of claimant

Date: [MM] [DD] - [YYYY]

[Print name box]

Print claimant name here

[Signature box]

Signature of joint claimant, if any

Date: [MM] [DD] - [YYYY]

[Print name box]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Signature box]

Signature of person signing on behalf of claimant

Date: [MM] [DD] - [YYYY]

[Print name box]

Print name of person signing on behalf of claimant

[Print name box]

Print name of person signing on behalf of claimant

[Capacity box]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶7 in the Instructions and Checklist of this Claim Form.)

INSTRUCTIONS AND CHECKLIST

1. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

2. Use the Schedule of Transactions on page 3 of this Claim Form to supply all required details of your transaction(s) in, and holdings of, common stock of Geron Corporation (“Geron”). On this schedule, provide all of the requested information with respect to your holdings, purchases, and sales of Geron common stock (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

3. **Please note:** Only publicly traded Geron common stock purchased during the Class Period (i.e., from March 19, 2018, through September 26, 2018, inclusive) is eligible to receive a payment under the Settlement. However, sales of Geron common stock during the period from September 27, 2018, through and including the close of trading on December 24, 2018, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase and sale information during this period must also be provided.

4. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Geron common stock as set forth in the Schedule of Transactions on page 3 of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Parties and the Claims Administrator do not independently have information about your investments in Geron common stock. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

5. Use Part I of this Claim Form entitled “CLAIMANT INFORMATION” to identify the beneficial owner(s) of the Geron common stock. The complete name(s) of the beneficial owner(s) must be entered. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as “Claimants” in Part I of this Claim Form.

6. **If you purchased Geron common stock in more than one account, a Claim should be submitted for each account.** Separate Claim Forms should be submitted for each account or separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual’s name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Geron common stock made on behalf of a single beneficial owner.

7. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the Social Security Number (or taxpayer identification number), address, and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Geron common stock; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person’s accounts.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

8. By submitting a signed Claim Form, you will be swearing that you:
- (a) owned the Geron common stock you have listed in the Claim Form; or
 - (b) are expressly authorized to act on behalf of the owner thereof

9. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

10. If the Court approves the Settlement, payments to eligible Authorized Claimants pursuant to the Plan of Allocation (or such other plan of allocation as the Court approves) will be made after any appeals are resolved, and after the completion of all claims processing. The claims process will take substantial time to complete fully and fairly. Please be patient.

11. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its pro rata share of the Net Settlement Fund. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

12. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Settlement Notice, you may contact the Claims Administrator, Epiq, at the above address, by email at info@GeronSecuritiesLitigation.com, or by toll-free phone at 1-844-754-5537, or you can visit the Settlement website, www.GeronSecuritiesLitigation.com, where copies of the Claim Form and Settlement Notice are available for downloading.

13. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the *mandatory* electronic filing requirements and file layout, you may visit the Settlement website at www.GeronSecuritiesLitigation.com or you may email the Claims Administrator's electronic filing department at info@GeronSecuritiesLitigation.com. **Any file not in accordance with the required electronic filing format will be subject to rejection.** The *complete* name of the beneficial owner of the securities must be entered where called for (see ¶5 above). No electronic files will be considered to have been submitted unless the Claims Administrator issues an email to that effect. **Do not assume that your file has been received until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@GeronSecuritiesLitigation.com to inquire about your file and confirm it was received.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM WITHIN 60 DAYS OF YOUR SUBMISSION. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, CONTACT THE CLAIMS ADMINISTRATOR TOLL FREE AT 1-844-754-5537.

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

REMINDER CHECKLIST

1. Sign the above release and certification. If this Claim Form is being made on behalf of joint claimants, then both must sign.
2. Attach only *copies* of acceptable supporting documentation as these documents will not be returned to you.
3. Do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days of your submission. Your claim is not deemed filed until you receive an acknowledgement postcard. **If you do not receive an acknowledgement postcard within 60 days, please call the Claims Administrator toll free at 1-844-754-5537.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, you must send the Claims Administrator written notification of your new address. If you change your name, inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, contact the Claims Administrator at the address below, by email at info@GeronSecuritiesLitigation.com, or by toll-free phone at 1-844-754-5537, or you may visit www.GeronSecuritiesLitigation.com. **DO NOT** call Geron or its counsel with questions regarding your claim.

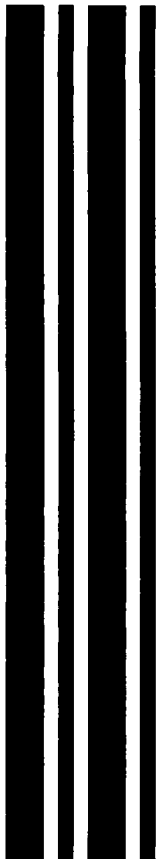
THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY FIRST-CLASS MAIL OR SUBMITTED ONLINE AT WWW.GERONSECURITIESLITIGATION.COM, POSTMARKED (OR RECEIVED) BY NO LATER THAN MIDNIGHT PACIFIC TIME ON FEBRUARY 16, 2023. IF MAILED, THE CLAIM FORM SHOULD BE ADDRESSED AS FOLLOWS:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
1-844-754-5537

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date before the deadline is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when received online by the Claims Administrator by the deadline.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



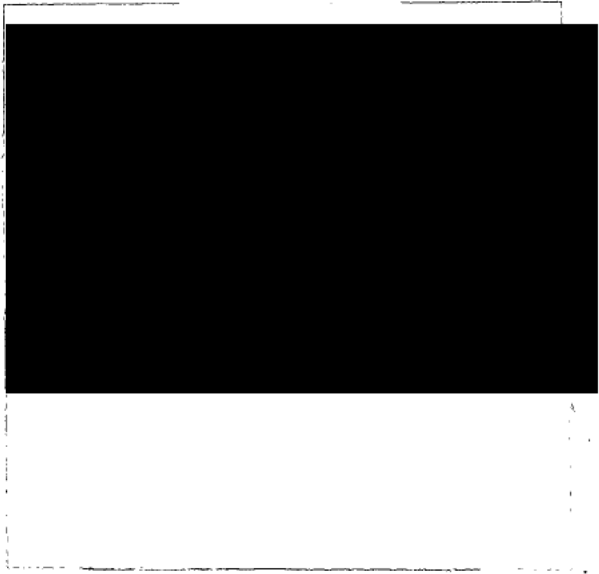
Attachments

Patch Code 3





PO BOX 2577
OMAHA NE 68103-2577



Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

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Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:
03/01/18 - 03/31/18

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED]

Portfolio Summary

Current

Prior

Period

of

Estimated

Estimated

Cash Activity Summary

Retirement Account Summary

Performance Summary

Statement for Account # [REDACTED]
 03/01/18 - 03/31/18

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
GERON CORP COM	GERN	10,281	4.25	43,694.25	11/24/03	112,468.54	10.94	(68,774.29)	-	-
Total Stocks				\$44,296.00		\$113,141.22		\$(68,845.22)	\$0.00	0.0%

Other - Cash

[REDACTED]

Insured Deposit Account Interest Credited

Begin	Number	Interest	Interest	MTD	MTD
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

Statement for Account # [REDACTED]

03/01/18 - 03/31/18

Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

PERIODIC DISTRIBUTION

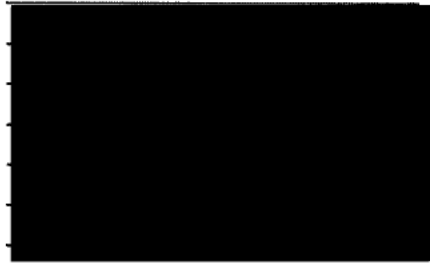
If you are currently receiving a periodic distribution from your IRA or you have a one-time distribution scheduled for more than six (6) months out from the date of this statement and you would like to change or revoke your current federal tax withholding elections on file, please visit www.tdameritrade.com and complete the Tax Withholding Update Form. Just click on "Forms & Agreements" (under Client Services), select "Retirement" and then scroll down to "IRA Update Tax Withholding". You can also contact an IRA Specialist at 888-723-8504 to have the form faxed, mailed, or e-mailed to you. Be sure to sign your name on the form exactly as it appears on your IRA, then return the form to TD Ameritrade using the address at the top. **Your current withholding will continue automatically if you do not specifically request a change.**

Please note that even if you choose not to have federal income tax withheld from your distribution, you still may owe federal taxes on the taxable portion of your withdrawal. You may also be subject to tax penalties in the event that your withholding is not adequate. We recommend you discuss your election with your accountant or tax advisor before making any changes.

TD Ameritrade does not provide tax advice. Please consult a tax-planning professional with regard to your personal circumstances.

Transactions	Statements	Confirmations	Shareholder Library
--------------	------------	---------------	---------------------

Account Balances



Type: Buys

Symbol(s): GERN Symbol lookup

(separate symbols with commas)

View range: Month-to-date | 1 day 7 days 14 days 30 days 60 days

View year: 2022 2021 2020 2019 2018 2017 2016 2015 Show More...

View dates: Mar. 19 2018 to: Sept. 26 2018

You can search a date range of up to one year.

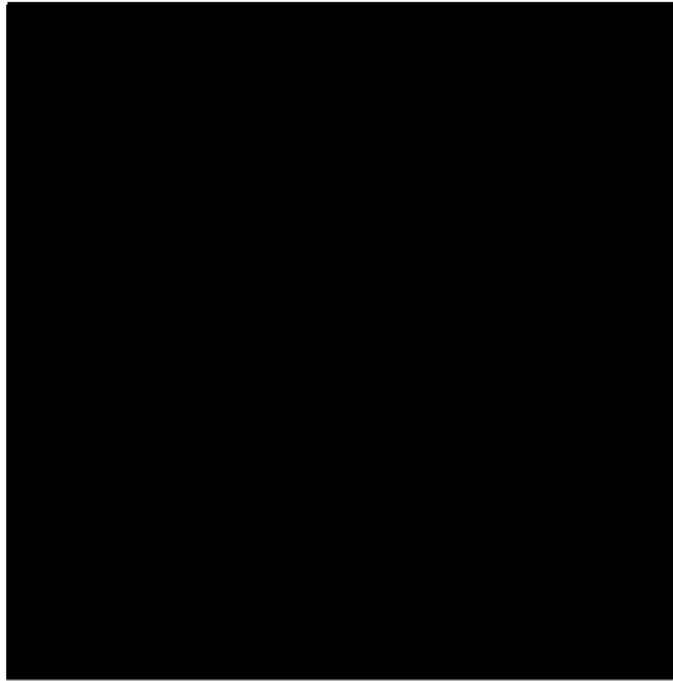
Sweeps: Hide sweeps
Sweeps are uninvested cash transactions that move in and out of cash alternatives.

View or Download

Search results for 3/19/2018 to 9/26/2018

Date/Time	Description	Amount	Commission	Reg Fee	Details
[Your account has no transactions on file for the selected criteria]					

An indication on this page that an interest payment is "taxable" or "non-taxable" refers to federal taxation only. Interest income may be subject to AMT or state and local taxes. TD Ameritrade does not provide tax advice. Please consult a qualified tax advisor to discuss your individual tax situation.



Transactions	Statements	Confirmations	Shareholder Library
--------------	------------	---------------	---------------------

Type: Buys

Symbol(s): GERN [Symbol lookup](#)

(separate symbols with commas)

View range: Month-to-date | 1 day 7 days 14 days 30 days 60 days

View year: 2022 2021 2020 2019 2018 2017 2016 2015 Show More...

View dates: Sept. 27 2018 to: Dec. 24 2018

You can search a date range of up to one year.

Sweeps: Hide sweeps
Sweeps are uninvested cash transactions that move in and out of cash alternatives.

View or Download

Search results for 9/27/2018 to 12/24/2018

Date/Time	Description	Amount	Commission	Reg Fee	Details
[Your account has no transactions on file for the selected criteria]					

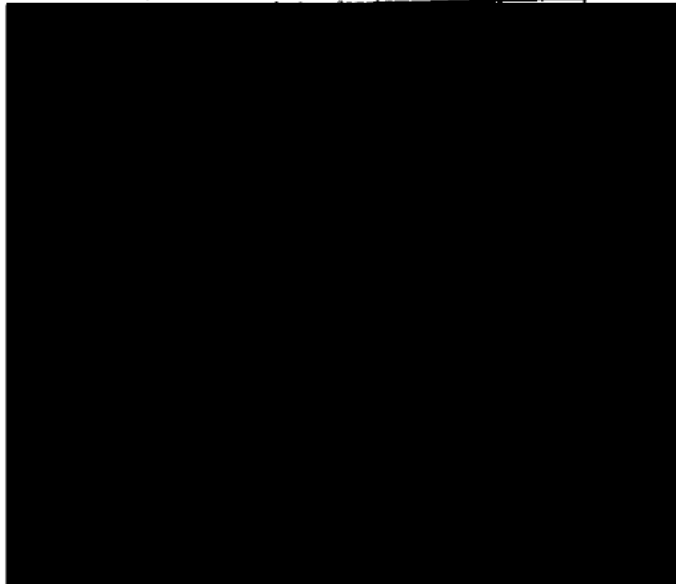
Account Balances



Looking for tax documents?

To find 1099s and other tax documents, go to the Tax Center.

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Transactions	Statements	Confirmations	Shareholder Library
--------------	------------	---------------	---------------------

Type:

Symbol(s): [Symbol lookup](#)

(separate symbols with commas)

View range: Month-to-date | [1 day](#) [7 days](#) [14 days](#) [30 days](#) [60 days](#)

View year: [2022](#) [2021](#) [2020](#) [2019](#) [2018](#) [2017](#) [2016](#) [2015](#) [Show More...](#)

View dates: to:

You can search a date range of up to one year.

Sweeps: Hide sweeps
Sweeps are uninvested cash transactions that move in and out of cash alternatives.

[View](#) or [Download](#)

Search results for 3/19/2018 to 12/24/2018

Date/Time	Description	Amount	Commission	Reg Fee	Details
[Your account has no transactions on file for the selected criteria]					

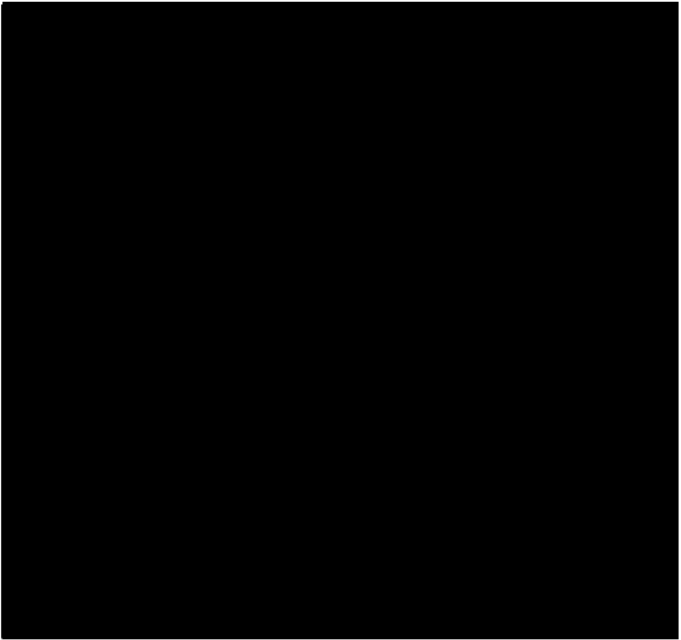
Account Balances



Looking for tax documents?

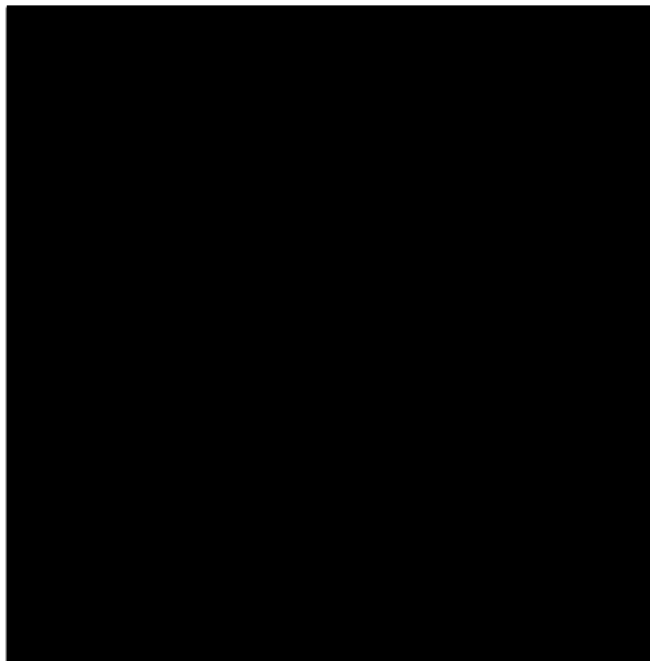
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PO BOX 2577
OMAHA NE 68103-2577



Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.¹ The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only. There is no guarantee that you will receive this amount or any dividend.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally liquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to set your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Bonds Quantity: The figure on the statement represents the number of bonds. For example, \$3,000 of a \$1,000 face value bond would display as "3" in the quantity.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

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Future/Options Disclosures for EU Clients: In accordance with the Packaged Retail and Insurance-based Investment Products Regulation - EU No 1286, retail investors based in the European Economic Area (EEA) can access Key Information Documents (KIDs) through the following landing page: <https://tdameritrade.com/priips-regulation-page>

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

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In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:

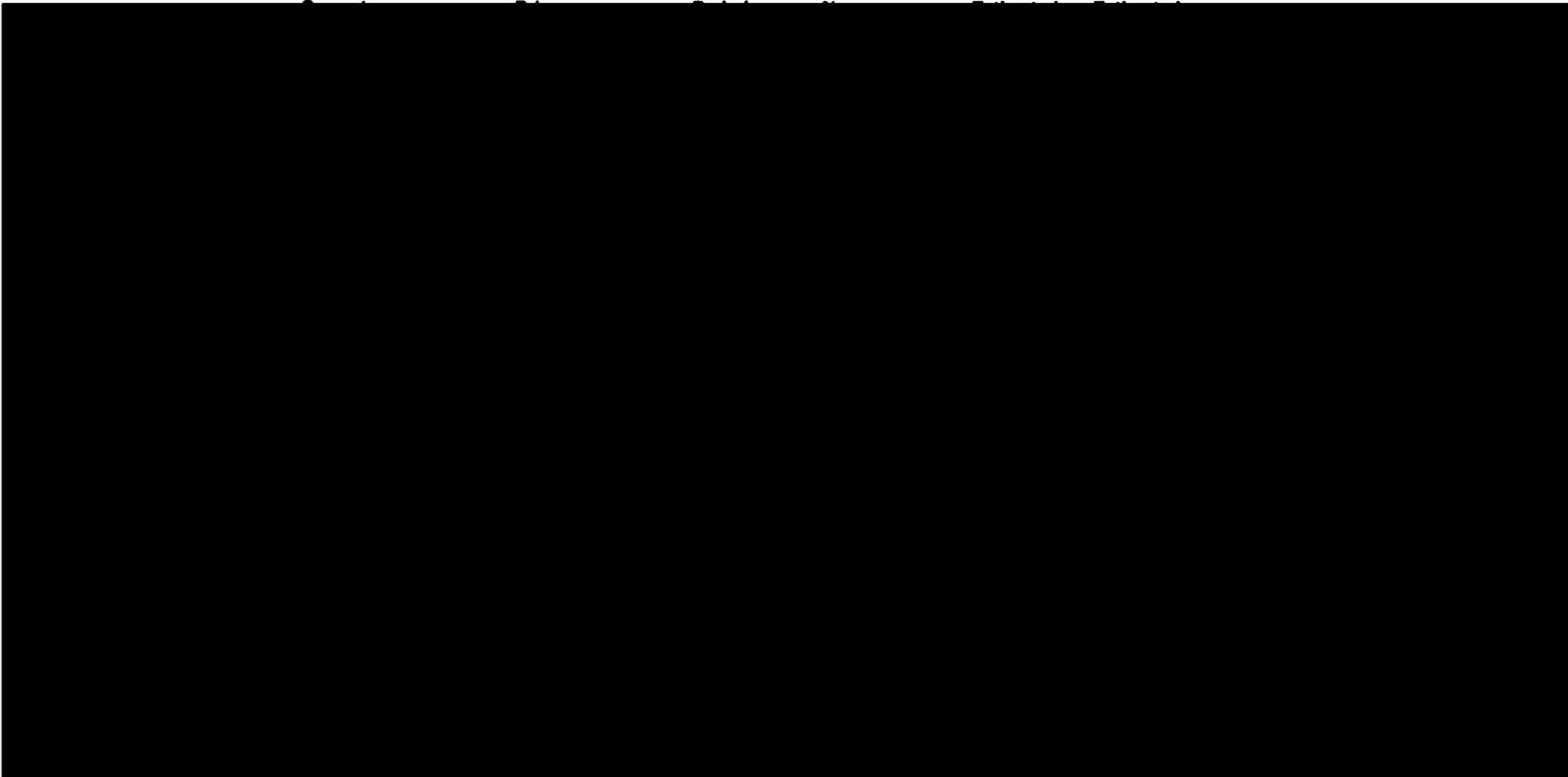
12/01/18 - 12/31/18

Statement for Account # 423-288190



800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Portfolio Summary



Statement for Account # [REDACTED]
 12/01/18 - 12/31/18

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
GERON CORP COM	GERN	10,281	1.00	10,281.00	11/24/03	112,468.54	10.94	(102,187.54)	-	-

Insured Deposit Account Interest Credited

Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
Total Interest Income						\$0.00

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

ANNUAL MARGIN DISCLOSURE

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin. These risks include the following: **You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.**

Statement for Account # [REDACTED]

12/01/18 - 12/31/18

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of September 30, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$2.8 billion and \$524.9 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington, D.C. and the Denver, CO office of the Commission.

CALIFORNIA RESIDENTS

If your total payments of interest and interest-dividends on Federally Tax-Exempt non-California municipal bonds were \$10 or greater and you or your Partnership had a California address then TD Ameritrade will report this information to the California Franchise Tax Board each tax year per state statute.

OPTION-APPROVED ACCOUNTS

The Options Clearing Corporation has issued a supplement to the Options Disclosure Document. You can review a copy on their site at <http://www.theocc.com/about/publications/character-risks.jsp>. We also sent this information to the message center within your account. If you would like to receive a printed copy, please call 800-669-3900.

Exhibit F (Claim No. 118)

EXHIBIT F

(Claim No. 118)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



400610880001841740
000.0003241.00000000.0001.0002.02173.INS: 0 0



*Attn -
Epiq
Class Action &
Claims Solutions*

Claim Number: 118
Response Deadline: June 11, 2023

May 22, 2023

Epiq

Notice of Incomplete Proof of Claim Submission
Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: No Class Period Purchases (No Eligible Transactions).

The Claim referenced above did not contain any eligible purchases of common stock of Geron (GERN) during the Class Period (i.e., from March 19, 2018, to September 26, 2018, inclusive) (the "Class Period"). Unless you had additional purchases of Geron common stock during the Class Period that were not reflected in your original Claim, this is NOT a curable deficiency.

How to Resolve: You may seek to resolve this condition of ineligibility by submitting documentation showing additional purchases of Geron common stock during the Class Period that were not previously reflected in your Claim and by submitting the documentation by the Response Deadline to Epiq at the mailing address provided above.



Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable). Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be recommended to the Court for consideration as potentially eligible for a distribution from the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured. Once you resolve this condition of ineligibility, your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

Ineligibility Condition: Missing Signature.

The Claim referenced above is missing either (i) a signature for an owner, co-owner, joint tenant, custodian, agent, executor, administrator, guardian, and/or trustee; or (ii) proof of the authority and/or the capacity of the person who signed the Claim to sign on behalf of the beneficial owner as specified in the Settlement Notice and Proof of Claim and Release Form.

How to Resolve: You can resolve this condition of ineligibility by signing below and returning this notice to the Claims Administrator at the address above by the Response Deadline.

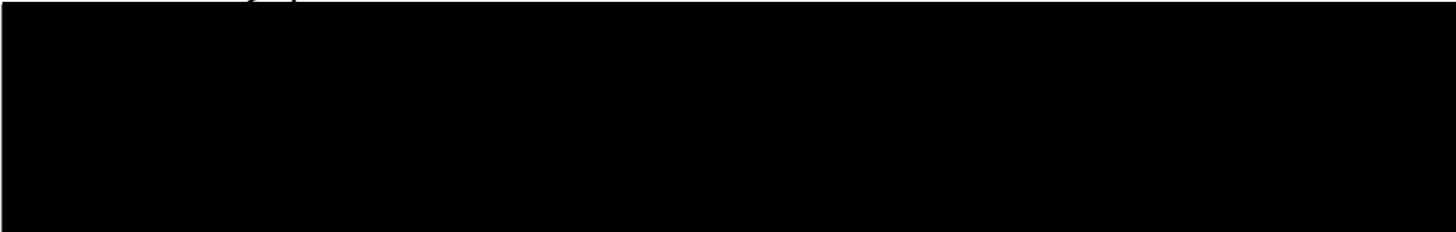
If you purchased common stock of Geron (GERN) jointly, all owners must sign.

If the sole beneficial owner, or one of the beneficial owners in a joint transaction, is deceased, you must provide a copy of the death certificate.

If you submitted the Claim on behalf of another party (for example, as executor, president, trustee, custodian, power of attorney, etc.), you must expressly state the capacity in which you are acting, provide evidence of your authority to legally act on behalf of the person or entity (i.e. the beneficiary/ies) on whose behalf you are acting, and the information required in Instruction 7 of the Proof of Claim and Release Form.

Your signature(s) below will be treated as an execution of your previously-submitted Claim and your affirmation of the Release contained on page 5 of the Proof of Claim and Release Form.

Under penalty of perjury, I (we) certify that all of the information provided by me (us) on the Claim previously submitted is true, correct, and complete and that the documents submitted with the Claim and/or herewith are true and correct copies of what they purport to be.



Print Name of Person Signing
on Behalf of Claimant

Print Name of Person Signing
on Behalf of Joint Claimant

owner

owner

Capacity of Person Signing
on Behalf of Claimant*

Capacity of Person Signing
on Behalf of Joint Claimant*

Subject: Re: Geron Securities Litigation Assistance

Date: Jun 7, 2023 at 12:21:07 PM

To: info_GeronSecuritiesLitigati info@geronsecuritieslitigation.com

Dear Kelsey, I have arranged for TD Ameritrade to directly send you clear documentation of my proof of purchases during the class period. This documentation will be signed by a TD Ameritrade principal. I assume that fulfills your requirement for a third party signature. I have previously sent you signed statements from my wife [redacted] and I, as required but if you need more you must let me know so I can adhere to the documentation requirements and deadline outlined. If however the documents submitted, do not in your mind fulfill the necessary requirements, I most definitely request court review.

Please respond within a reasonable period so I can assemble any additional data you require within the time period allotted . It may be that you have rejected purchases made in my IRA out side of the class period. I agree with that and only provided that information because you requested it as part of my much larger purchases in my personal account which do fulfill the requirements for participation. As to my personal TD Ameritrade account, this is the account I want to be sure is accounted for and clearly documents substantial purchases made during the class period. Please review this letter and assist me in fulfilling any outstanding requirements, if they exist, still to be met. Sincerely, [redacted]

Sent from my iPad

see signature from myself and my wife [redacted] - enclosed.

On Jun 1, 2023, at 7:22 AM, info_GeronSecuritiesLitigati <info@geronsecuritieslitigation.com> wrote:

[redacted]

Thank you for your email.

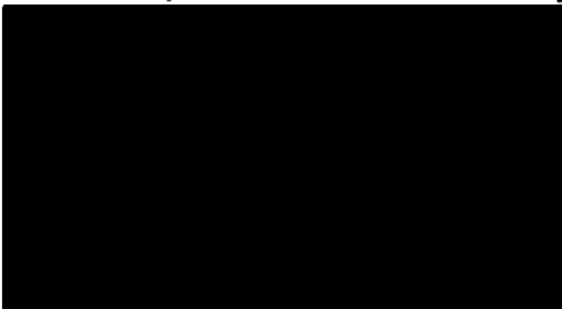
PO - Outside Class Period

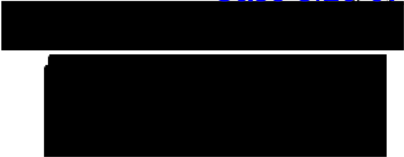
Sent from my iPad

On Nov 8, 2022, at 4:19 PM, [REDACTED] wrote:

Dear Geron Securities Litigation Administrator, I am a member of the class action suit brought on behalf of investor for the period of 3/19/2018 to 9/26/2018. During this period, I had approximately 60 transactions regarding the purchase of Geron shares. I have a print out from my TD Ameritrade account documenting these purchases. What is the best way to fulfill your documentation requirements? My purchases amounted to several hundred thousand dollars with no sales during this period. I am anxious to be sure that I do everything properly. Do you want the files submitted electronically or can I send hard copy print outs. I note the forms I received would require hand filling in each transaction. Also, would it be helpful to have verification directly from my broker at TD Ameritrade? Shall I notify him that you might be contacting him? Since I had no sales during this period my extensive losses have been "paper" losses but were devastating and required the sale of virtually all my other securities in order to meet call options I had purchased during the class period. There were many other direct purchases as well. Do I still qualify for compensation since I did not sell during the class action period but only bought? Any assistance you can provide would be greatly appreciated as this period was one of the most painful times of my financial life and came coincident with my retirement. Also, if my purchases during this period do qualify for compensation, what is the approximate value of such? Thank you in advance for your help, I may need some hand holding to be sure I have done everything correctly. Sincerely, [REDACTED]

[REDACTED] Also please note, although I received the initial notice of the class action suit by mail I have yet to receive a mailed packet. I want to be sure you have my contact information correct.





PH

8 JUN 20

PM

10



Genov Securities Litigation

c/o EPIQ

P.O. BOX 4574

Portland OR

97208-4574

97208-457474



Exhibit G (Claim No. 118)

EXHIBIT G

(Claim No. 118)

From: [REDACTED]
To: info_GeronSecuritiesLitigati
Subject: Re: Request for Court Review - Claim Number 118
Date: Wednesday, October 4, 2023 1:03:51 PM

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Dear Ms. Webb, thank you for your email. This is to confirm that I am withdrawing my request for a court review and any claims related to Claim number 118 acknowledging that my Geron purchases for that claim fell outside the class period. My claim for Number 117 (Joint account with [REDACTED]), remains full and active. Thank you for contacting me to clarify.
Sincerely, [REDACTED]

Sent from my iPad

On Oct 4, 2023, at 8:59 AM, info_GeronSecuritiesLitigati
<info@geronsecuritieslitigation.com> wrote:

Hello [REDACTED].

Thank you for taking the time to speak with me today. As confirmed in the call, Claim Number 117 (Joint account with [REDACTED]) is in complete status, and will be eligible for payment.

However, the purchases provided for the IRA account in Claim Number 118 were made outside of the class period, and **will** not be eligible for payment.

Please respond confirming that you agree with this assessment, and the request for Court Review for Claim Number 118 can be withdrawn.

If anything further is needed, I can be reached at the contact information below.

Thank you,
Susanna

Susanna Webb
Project Manager
Epiq | Class Action & Mass Tort Solutions
Mobile: [REDACTED]
Email: [REDACTED]

EXHIBIT H

(Claim No. 746)

Geron Securities Litigation
Toll-Free Number: 1-844-754-5537
Email: info@GeronSecuritiesLitigation.com
Website: www.GeronSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive money from the Net Settlement Fund in connection with the Settlement of this Action, you must complete and sign this Proof of Claim and Release Form ("Claim Form") and mail it by First-Class Mail to the address below, or submit it online at www.GeronSecuritiesLitigation.com, with supporting documentation, postmarked if mailed (or if submitted online, received by the Claims Administrator) by no later than midnight Pacific Time on February 16, 2023. You may submit your Claim Form any time before the deadline.

Mail to:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
1-844-754-5537

Failure to submit your Claim Form by the deadline will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Do not mail or deliver your Claim Form to the Court, Lead Counsel, Defendants' Counsel, or any of the Parties to the Action. Submit your Claim Form only to the Claims Administrator (Epiq Class Action & Claims Solutions) at the address (or website address online) set forth above.

TABLE OF CONTENTS

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PART I – CLAIMANT INFORMATION	2
PART II – SCHEDULE OF TRANSACTIONS IN GERON COMMON STOCK	3
PART III – RELEASE OF CLAIMS AND SIGNATURE	4
INSTRUCTIONS AND CHECKLIST	6

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



PART I – CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's First Name MI Beneficial Owner's Last Name

Joint Beneficial Owner's First Name MI Joint Beneficial Owner's Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include your name and "IRA" in the "Entity Name" box below (e.g., John Jones IRA).

Entity Name (if Beneficial Owner is not an individual)

Representative or Custodian Name if applicable (executor, administrator, trustee, c/o, etc.), (if different from Beneficial Owner[s] listed above)

Address 1 (street name and number)

Telephone Number (Day) Telephone Number (Evening) SAME

the Claims Administrator to use it in providing you with

Account Number (where securities were traded)

Type of Beneficial Owner: Specify one of the following:

- Individual
- Joint
- Corporation
- Other (describe: _____)
- IRA/401K
- Pension Plan
- Partnership
- Estate
- Trust
- UGMA Custodian

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

PART III - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 5 OF THIS CLAIM FORM.**

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged any and all of the Released Plaintiffs' Claims against Defendants and Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting, commencing, instituting, or continuing to prosecute any action or other proceeding in any court of law or equity, arbitration tribunal, or administrative forum, asserting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties. This release shall not apply to any of the Excluded Plaintiffs' Claims.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, and is (are) not excluded by definition from the Class as set forth in the Settlement Notice;
3. that the claimant(s) did not submit a request for exclusion from the Class;
4. that I (we) own(ed) the Geron common stock identified in the Claim Form and have not assigned the claim against any of the Defendants or Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Geron common stock and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator, or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Redacted signature area]

Date: 12 - 22 - 2022
MM DD YYYY

[Redacted signature area]

Signature of joint claimant, if any

Date: [] - [] - []
MM DD YYYY

[Redacted name area]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Redacted signature area]

Signature of person signing on behalf of claimant

Date: [] - [] - []
MM DD YYYY

[Redacted name area]

Print name of person signing on behalf of claimant

[Redacted name area]

Print name of person signing on behalf of claimant

[Redacted capacity area]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant - see ¶7 in the Instructions and Checklist of this Claim Form.)



INSTRUCTIONS AND CHECKLIST

1. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

2. Use the Schedule of Transactions on page 3 of this Claim Form to supply all required details of your transaction(s) in, and holdings of, common stock of Geron Corporation ("Geron"). On this schedule, provide all of the requested information with respect to your holdings, purchases, and sales of Geron common stock (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

3. **Please note:** Only publicly traded Geron common stock purchased during the Class Period (i.e., from March 19, 2018, through September 26, 2018, inclusive) is eligible to receive a payment under the Settlement. However, sales of Geron common stock during the period from September 27, 2018, through and including the close of trading on December 24, 2018, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase and sale information during this period must also be provided.

4. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Geron common stock as set forth in the Schedule of Transactions on page 3 of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Parties and the Claims Administrator do not independently have information about your investments in Geron common stock. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

5. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Geron common stock. The complete name(s) of the beneficial owner(s) must be entered. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

6. **If you purchased Geron common stock in more than one account, a Claim should be submitted for each account.** Separate Claim Forms should be submitted for each account or separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Geron common stock made on behalf of a single beneficial owner.

7. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the Social Security Number (or taxpayer identification number), address, and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Geron common stock; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



NO POSTAGE
NECESSARY
IF MAILED
IN THE
UNITED STATES

BUSINESS REPLY MAIL
FIRST-CLASS MAIL PERMIT NO. 581 PORTLAND, OR

POSTAGE WILL BE PAID BY ADDRESSEE

GERON SECURITIES LITIGATION
C/O EPIQ
PO BOX 4574
PORTLAND OR 97208-9833

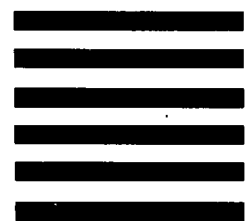


Exhibit I (Claim No. 746)

EXHIBIT I

(Claim No. 746)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



400610880001847119
000 0000282 00000000 0001 0001 00282 INS: 0 0

Claim Number: 746

Response Deadline: June 11, 2023

May 22, 2023

Notice of Incomplete Proof of Claim Submission
Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: No Class Period Purchases (No Eligible Transactions).

The Claim referenced above did not contain any eligible purchases of common stock of Geron (GERN) during the Class Period (i.e., from March 19, 2018, to September 26, 2018, inclusive) (the "Class Period"). Unless you had additional purchases of Geron common stock during the Class Period that were not reflected in your original Claim, this is NOT a curable deficiency.

How to Resolve: You may seek to resolve this condition of ineligibility by submitting documentation showing additional purchases of Geron common stock during the Class Period that were not previously reflected in your Claim and by submitting the documentation by the Response Deadline to Epiq at the mailing address provided above.



Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable). Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be recommended to the Court for consideration as potentially eligible for a distribution from the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured. Once you resolve this condition of ineligibility, your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

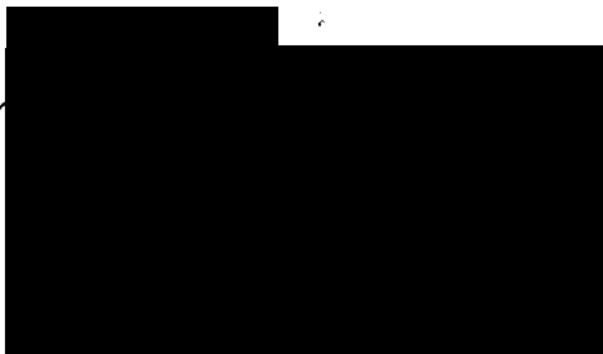
If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator



PO BOX 2577
OMAHA NE 68103-2577



Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginal Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only.

Account Activity: All account activity is listed in data order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in data order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary.

Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed, (ii) federal and state laws, and the rules and regulations promulgated thereunder, and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T) : If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:
03/01/18 - 03/31/18

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED]
[REDACTED]

Portfolio Summary

Current Prior Period % Estimated Estimated

[REDACTED]

Statement for Account # [REDACTED]

03/01/18 - 03/31/18

Online Cash Services Summary

Description	Current	Year To Date
[REDACTED]		

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									\$ 0.00
[REDACTED]									

Statement for Account # [REDACTED]

03/01/18 - 03/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
[REDACTED]									
03/20/18	03/22/18	Cash	Buy - Securities Purchased	GERON CORP COM Commission/Fee 6.95	GERN	250	4.25	(1,069.45)	(1,069.45)
03/20/18	03/22/18	Cash	Sell - Securities Sold	GERON CORP COM Commission/Fee 6.95 Regulatory Fee 0.02	GERN	250-	4.1952	1,041.83	(27.62)
[REDACTED]									

Statement for Account # [REDACTED]

03/01/18 - 03/31/18

Account Activity

Total Interest Income

\$0.02.

Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
------------------------	--------------	---------------	----------	-------	------------	-------------	--------

Statement for Account # [REDACTED]

03/01/18 - 03/31/18

Insured Deposit Account Activity

Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								[REDACTED]
[REDACTED]								
Deposit Account Bank NA								
Closing Balance								[REDACTED]

Closing Balance

TD Bank NA

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Important Information

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

Statement for Account # [REDACTED]

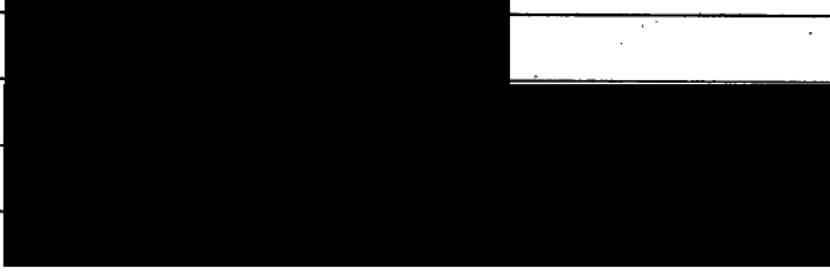
03/01/18 - 03/31/18


Important Information

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

Requesting Court Review





Alabama

Geron Securities Litigation

c/o Epig

PO Box 4574

Portland, OR 97208

9720834574 B027

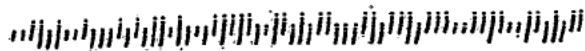


Exhibit I.- Page 12

Exhibit J (Claim No. 746)

EXHIBIT J

(Claim No. 746)

From: [Webb, Susanna](#)
To: [REDACTED]
Subject: Geron Securities Litigation -- Court Review Response – Claim 746
Date: Monday, October 16, 2023 1:29:00 PM

Hello [REDACTED],

Thank you for taking the time to speak with me.

Per our conversation on September 22, 2023, we will consider your request for court review as withdrawn. If you disagree, please advise.

If you need any further information, I can be reached at the contact information below.

Sincerely,
Susanna W
Geron Securities Litigation
Settlement Administrator

Susanna Webb
Project Manager
Epiq | Class Action & Mass Tort Solutions
Mobile: [REDACTED]
Email: [REDACTED]

Exhibit K (Claim No. 1454)

EXHIBIT K

(Claim No. 1454)

Geron Securities Litigation
Toll-Free Number: 1-844-754-5537
Email: info@GeronSecuritiesLitigation.com
Website: www.GeronSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive money from the Net Settlement Fund in connection with the Settlement of this Action, you must complete and sign this Proof of Claim and Release Form (“Claim Form”) and mail it by First-Class Mail to the address below, or submit it online at www.GeronSecuritiesLitigation.com, with supporting documentation, postmarked if mailed (or if submitted online, received by the Claims Administrator) by no later than midnight Pacific Time on February 16, 2023. You may submit your Claim Form any time before the deadline.

Mail to:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
1-844-754-5537

Failure to submit your Claim Form by the deadline will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Do not mail or deliver your Claim Form to the Court, Lead Counsel, Defendants’ Counsel, or any of the Parties to the Action. Submit your Claim Form only to the Claims Administrator (Epiq Class Action & Claims Solutions) at the address (or website address online) set forth above.

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PART III – RELEASE OF CLAIMS AND SIGNATURE.....	4
INSTRUCTIONS AND CHECKLIST.....	6

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



PART I - CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's First Name MI Beneficial Owner's Last Name

Joint Beneficial Owner's First Name MI Joint Beneficial Owner's Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include your name and "IRA" in the "Entity Name" box below (e.g., John Jones IRA).

Entity Name (if Beneficial Owner is not an individual)

Representative or Custodian Name if applicable (*executor, administrator, trustee, c/o, etc.*), (if different from Beneficial Owner[s] listed above)

Address 1 (street name and number)

Address 2 (apartment, unit or box number)

City State Zip or Foreign Postal Code

Country

Last four digits of Social Security Number or Taxpayer Identification Number

Telephone Number (Evening) - -

Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim)

Type of Beneficial Owner: Specify one of the following:

- Individual
- Joint
- Corporation
- Other (describe: _____)
- IRA/401K
- Pension Plan
- Partnership
- Estate
- Trust
- UGMA Custodian

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

PART II – SCHEDULE OF TRANSACTIONS IN GERON COMMON STOCK

Please provide the requested information on your holdings and trading of Geron common stock. During the Class Period, Geron common stock traded on the Nasdaq under the symbol GERN, CUSIP: 374163103. Please include proper documentation with your Claim Form as described in the Instructions, ¶¶ 4 & 13 on pages 6-7 below.

1. HOLDINGS AS OF MARCH 19, 2018 – State the total number of shares of Geron common stock held as of the opening of trading on March 19, 2018. (Must be documented.) If none, write “zero” or “0.”

					0
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2. PURCHASES FROM MARCH 19, 2018, THROUGH SEPTEMBER 26, 2018 – Separately list each purchase of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on September 26, 2018. (Must be documented.)

Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price per Share	Confirm Proof of Purchase Enclosed
9 13 2018	5 0 0 0	\$ 6 . 89	<input checked="" type="checkbox"/>
		\$.	<input type="checkbox"/>
		\$.	<input type="checkbox"/>
		\$.	<input type="checkbox"/>

3. PURCHASES FROM SEPTEMBER 27, 2018 THROUGH DECEMBER 24, 2018 – State the total number of shares of Geron common stock purchased from after the opening of trading on September 27, 2018, through the close of trading on December 24, 2018. If none, write “zero” or “0.”

					0
--	--	--	--	--	---

4. SALES FROM MARCH 19, 2018 THROUGH DECEMBER 24, 2018 – Separately list each sale of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on December 24, 2018. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Confirm Proof of Sale Enclosed
0 9 13 2018	5 0 0 0	\$ 5 . 67	<input checked="" type="checkbox"/>
		\$.	<input type="checkbox"/>
		\$.	<input type="checkbox"/>
		\$.	<input type="checkbox"/>

5. HOLDINGS AS OF DECEMBER 24, 2018 – State the total number of shares of Geron common stock held as of the close of trading on December 24, 2018. (Must be documented.) If none, write “zero” or “0.”

Confirm Proof of Position Enclosed

					0
--	--	--	--	--	---

IF YOU NEED ADDITIONAL SPACE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF THEIR SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.



PART III - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 5 OF THIS CLAIM FORM.**

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged any and all of the Released Plaintiffs' Claims against Defendants and Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting, commencing, instituting, or continuing to prosecute any action or other proceeding in any court of law or equity, arbitration tribunal, or administrative forum, asserting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties. This release shall not apply to any of the Excluded Plaintiffs' Claims.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, and is (are) not excluded by definition from the Class as set forth in the Settlement Notice;
3. that the claimant(s) did not submit a request for exclusion from the Class;
4. that I (we) own(ed) the Geron common stock identified in the Claim Form and have not assigned the claim against any of the Defendants or Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Geron common stock and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator, or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. **If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Redacted signature area]

Date: 01 - 26 - 2023
MM DD YYYY

[Redacted signature area]

Date: [] - [] - []
MM DD YYYY

Signature of joint claimant, if any

[Redacted name area]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Redacted signature area]

Date: [] - [] - []
MM DD YYYY

Signature of person signing on behalf of claimant

[Redacted name area]

Print name of person signing on behalf of claimant

[Redacted name area]

Print name of person signing on behalf of claimant

[Redacted capacity area]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶7 in the Instructions and Checklist of this Claim Form.)



INSTRUCTIONS AND CHECKLIST

1. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

2. Use the Schedule of Transactions on page 3 of this Claim Form to supply all required details of your transaction(s) in, and holdings of, common stock of Geron Corporation ("Geron"). On this schedule, provide all of the requested information with respect to your holdings, purchases, and sales of Geron common stock (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

3. **Please note:** Only publicly traded Geron common stock purchased during the Class Period (i.e., from March 19, 2018, through September 26, 2018, inclusive) is eligible to receive a payment under the Settlement. However, sales of Geron common stock during the period from September 27, 2018, through and including the close of trading on December 24, 2018, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase and sale information during this period must also be provided.

4. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Geron common stock as set forth in the Schedule of Transactions on page 3 of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Parties and the Claims Administrator do not independently have information about your investments in Geron common stock. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

5. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Geron common stock. The complete name(s) of the beneficial owner(s) must be entered. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

6. **If you purchased Geron common stock in more than one account, a Claim should be submitted for each account.** Separate Claim Forms should be submitted for each account or separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Geron common stock made on behalf of a single beneficial owner.

7. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the Social Security Number (or taxpayer identification number), address, and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Geron common stock; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



Attachments

Patch Code 3



I D Ameritrade

1/26/23, 4:40 PM

09/13/2018 09:10:43	Bought 441 GERN @ 6.9	-3,049.85	6.95	0.
09/13/2018 09:10:43	Bought 2459 GERN @ 6.89	-16,942.51	0.00	0.00
09/13/2018 09:10:43	Bought 2100 GERN @ 6.87	-14,427.00	0.00	0.00
09/13/2018 11:26:32	Sold 100 GERN @ 5.6757	560.60	6.95	6.95
09/13/2018 11:26:32	Sold 4300 GERN @ 5.6742	24,398.23	0.00	0.00
09/13/2018 11:26:32	Sold 100 GERN @ 5.6738	567.36	0.00	0.00
09/13/2018 11:26:32	Sold 500 GERN @ 5.671	2,835.41	0.00	0.00



LOS ANGELES CA 900
27 JAN 2023 PM 9 L

Geron Securities Litigation
c/o Epig Class Action Solutions
P.O. Box 4574

Portland, Oregon 97208-4574
97208-457474

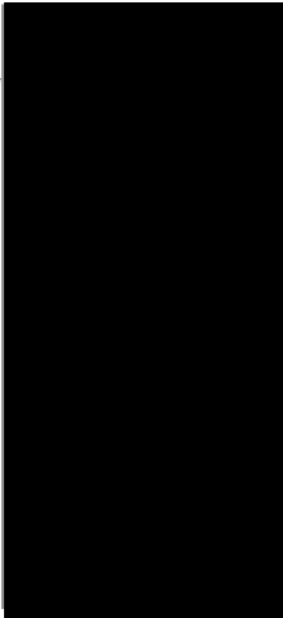


Exhibit L (Claim No. 1454)

EXHIBIT L

(Claim No. 1454)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537

Claim Number: 1454

Response Deadline: June 11, 2023

May 22, 2023

Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: No Recognized Loss.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which is available on the settlement website and was previously mailed to you), the Claim referenced above does not calculate to a Recognized Loss and therefore is not eligible to receive a distribution from the Net Settlement Fund. Please be aware that having a Recognized Loss is not the same as having a market loss. It is possible to have a market loss, in which you experience a loss in value of your stock, and still have no Recognized Loss under the Plan of Allocation. Unless you had additional transactions in Geron common stock during the Class Period (i.e., from March 19, 2018, to September 26, 2018, inclusive) (the "Class Period") that are not reflected in your Claim, this is NOT a curable deficiency.

March 19, 2018 12/24/18
60 days from 6/10/23



How to Resolve: You may seek to resolve this condition of ineligibility by submitting additional transactions in Geron common stock during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Loss by making a submission by the Response Deadline to Epiq at the mailing address provided above. You must also support any additional transactions with acceptable documentation.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured. Your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

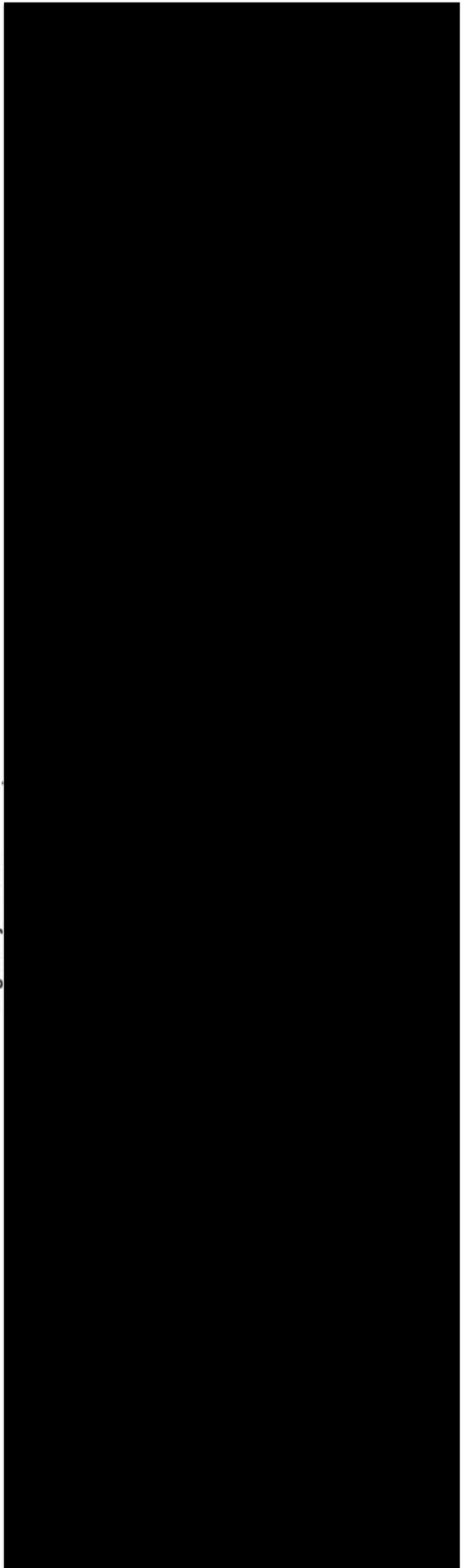
Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

Statement for Account # [REDACTED]
 09/01/18 - 09/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/13/18	09/17/18	Margin	Sell - Securities Sold	GERON CORP COM	GERN	100-	5.6757	560.60	180,890.45
				Commission/Fee 6.95 Regulatory Fee 0.02					
09/13/18	09/17/18	Margin	Sell - Securities Sold	GERON CORP COM	GERN	4,300-	5.6742	24,398.23	205,288.68
				Regulatory Fee 0.83					
09/13/18	09/17/18	Margin	Sell - Securities Sold	GERON CORP COM	GERN	100-	5.6738	567.36	205,856.04
				Regulatory Fee 0.02					
09/13/18	09/17/18	Margin	Sell - Securities Sold	GERON CORP COM	GERN	500-	5.671	2,835.41	208,691.45
				Regulatory Fee 0.09					



Statement for Account # [REDACTED]
 09/01/18 - 09/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/13/18	09/17/18	Margin	Buy - Securities Purchased	GERON CORP COM	GERN	441	6.90	(3,049.85)	(100,982.29)
09/13/18	09/17/18	Margin	Buy - Securities Purchased	Commission/Fee 6.95 GERON CORP COM	GERN	2,459	6.89	(16,942.51)	(117,924.80)
09/13/18	09/17/18	Margin	Buy - Securities Purchased	GERON CORP COM	GERN	2,100	6.87	(14,427.00)	(132,351.80)

PART I – CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's First Name MI Beneficial Owner's Last Name
[Redacted]

Joint Beneficial Owner's First Name MI Joint Beneficial Owner's Last Name
[Redacted]

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include your name and "IRA" in the "Entity Name" box below (e.g., John Jones IRA).

Entity Name (if Beneficial Owner is not an individual)
[Redacted]

Representative or Custodian Name if applicable (executor, administrator, trustee, c/o, etc.), (if different from Beneficial Owner[s] listed above)
[Redacted]

Address 1 (street name and number)
[Redacted]

Address 2 (apartment, unit or box number)
[Redacted]

City State Zip or Foreign Postal Code
[Redacted]

Last four digits of Social Security Number or Taxpayer Identification Number
[Redacted]

Telephone Number (Day) Telephone Number (Evening)
[Redacted]

Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim)
[Redacted]

Account Number (where securities were traded)
[Redacted]

Type of Beneficial Owner: Specify one of the following:
 Individual IRA/401K Estate
 Joint Pension Plan Trust
 Corporation Partnership UGMA Custodian
 Other (describe: _____)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

PART II – SCHEDULE OF TRANSACTIONS IN GERON COMMON STOCK

Please provide the requested information on your holdings and trading of Geron common stock. During the Class Period, Geron common stock traded on the Nasdaq under the symbol GERN, CUSIP: 374163103. Please include proper documentation with your Claim Form as described in the Instructions, ¶¶ 4 & 13 on pages 6-7 below.

1. HOLDINGS AS OF MARCH 19, 2018 – State the total number of shares of Geron common stock held as of the opening of trading on March 19, 2018. (Must be documented.) If none, write “zero” or “0.”

[][][][][][] 0

2. PURCHASES FROM MARCH 19, 2018, THROUGH SEPTEMBER 26, 2018 – Separately list each purchase of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on September 26, 2018. (Must be documented.)

Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price per Share	Confirm Proof of Purchase Enclosed
09/13/18	[][][] 600	\$ 4860.00	<input checked="" type="checkbox"/>
09/13/18	[][][] 4400	\$ 30428.00	<input checked="" type="checkbox"/>
[][][][][][]	[][][][][][][]	\$ [][][][] . [][]	<input type="checkbox"/>
[][][][][][][]	[][][][][][][][]	\$ [][][][][] . [][]	<input type="checkbox"/>

3. PURCHASES FROM SEPTEMBER 27, 2018 THROUGH DECEMBER 24, 2018 – State the total number of shares of Geron common stock purchased from after the opening of trading on September 27, 2018, through the close of trading on December 24, 2018. If none, write “zero” or “0.”

[][] 5000

4. SALES FROM MARCH 19, 2018 THROUGH DECEMBER 24, 2018 – Separately list each sale of Geron common stock from after the opening of trading on March 19, 2018, through and including the close of trading on December 24, 2018. (Must be documented.)

IF NONE, CHECK HERE

Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Confirm Proof of Sale Enclosed
09/13/18	[][][] 600	\$ 3403.00	<input checked="" type="checkbox"/>
09/13/18	[][][] 4400	\$ 24959.00	<input checked="" type="checkbox"/>
[][][][][][][]	[][][][][][][][]	\$ [][][][][] . [][]	<input type="checkbox"/>
[][][][][][][][]	[][][][][][][][][]	\$ [][][][][][] . [][]	<input type="checkbox"/>

5. HOLDINGS AS OF DECEMBER 24, 2018 – State the total number of shares of Geron common stock held as of the close of trading on December 24, 2018. (Must be documented.) If none, write “zero” or “0.”

[][][][][][] 0

Confirm Proof of Position Enclosed

IF YOU NEED ADDITIONAL SPACE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT. PRINT THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF THEIR SOCIAL SECURITY/TAXPAYER IDENTIFICATION NUMBER ON EACH ADDITIONAL PAGE. IF YOU DO ATTACH EXTRA SCHEDULES, CHECK THIS BOX.

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Redacted signature area]

Print claimant name here

Date: 06 - 10 - 2023
MM DD YYYY

[Signature of joint claimant, if any]

Signature of joint claimant, if any

Date: [] - [] - []
MM DD YYYY

[Print joint claimant name here]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Signature of person signing on behalf of claimant]

Signature of person signing on behalf of claimant

Date: [] - [] - []
MM DD YYYY

[Print name of person signing on behalf of claimant]

Print name of person signing on behalf of claimant

[Print name of person signing on behalf of claimant]

Print name of person signing on behalf of claimant

[Capacity of person signing on behalf of claimant]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶7 in the Instructions and Checklist of this Claim Form.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



LOS ANGELES CA 900

12 JUN 2023 PM 4 L

*Geron Securitized Litigation
c/o Epig. Class Action & Claims Solutions
P.O. Box 4574*

Portland, Oregon 97208-4574

97208-457474 



Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



Claim Number: 1454

Response Deadline: August 9, 2023

July 20, 2023

Second Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have also reviewed the further documentation you submitted, and have determined, based on our additional review of your Claim, that the Claim remains deficient (which may be curable) or ineligible for the reason(s) identified below.

This is our second attempt to contact you, and in order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: No Recognized Loss.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which is available on the settlement website and was previously mailed to you), the Claim referenced above does not calculate to a Recognized Loss and therefore is not eligible to receive a distribution from the Net Settlement Fund. Please be aware that having a Recognized Loss is not the same as having a market loss. It is possible to have a market loss, in which you experience a loss in value of your stock, and still have no Recognized Loss under the Plan of Allocation. Unless you had additional transactions in Geron common stock during the Class Period (i.e., from March 19, 2018, to September 26, 2018, inclusive) (the "Class Period") that are not reflected in your Claim, this is NOT a curable deficiency.

Bought \$34,419.36 on 9/13/18

Sold \$28,361.60 on 9/13/18

8/4/23 - phoned, complained about process - suffered a loss.



How to Resolve: You may seek to resolve this condition of ineligibility by submitting additional transactions in Geron common stock during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Loss by making a submission by the Response Deadline to Epiq at the mailing address provided above. You must also support any additional transactions with acceptable documentation.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility is an absolute requirement in order for your Claim to be eligible to participate in the Settlement. If you have other deficiencies and cure them, your Claim still will not be eligible, even for "partial acceptance," unless this deficiency is cured. Your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

Although Epiq will continue to receive and process responses to this letter, please do be aware that the Court Review deadline has passed. You can request Court review of our determination, but it would be considered late. To do this, you must send a letter to Epiq at the mailing address listed above. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

8/8/23

To: Geron Securities Litigation

From: 

Claim nbr. — 1454

Please note, I suffered a loss — —

9/13/18 Bought Geron for total cost of
\$ 34,419.36

and on

9/13/18 Sold Geron for total proceeds of
\$28,361.60

I should not be excluded from the class when I bought and sold in the class, regardless of plan of allocation.

Ask the Judge! I request Court review of the rejection of the Claim

LOS ANGELES CA 900

9 AUG 2023 PM 4 L



Geron Securitized Litigation

c/o Epig

P.O. Box 4574

Portland, Oregon 97208 - 4574

97208-457474

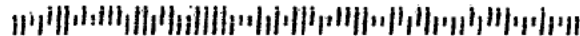


Exhibit M (Claim No. 1454)

EXHIBIT M

(Claim No. 1454)

From: info_GeronSecuritiesLitigati
To: [REDACTED]
Subject: Geron Securities Litigation -- Court Review Response – Claim 1454
Date: Monday, October 16, 2023 1:22:00 PM

Hello [REDACTED],

Thank you for taking the time to speak with me.

Per our conversation on October 4, 2023, we will consider your request for court review as withdrawn. If you disagree, please advise.

If you need any further information, I can be reached at the contact information below.

Sincerely,
Susanna W
Geron Securities Litigation
Settlement Administrator

Susanna Webb
Project Manager
Epiq | Class Action & Mass Tort Solutions
Mobile: [REDACTED]
Email: [REDACTED]

Exhibit N (Claim No. 653)

EXHIBIT N

(Claim No. 653)

Geron Securities Litigation
Toll-Free Number: 1-844-754-5537
Email: info@GeronSecuritiesLitigation.com
Website: www.GeronSecuritiesLitigation.com

PROOF OF CLAIM AND RELEASE FORM

To be eligible to receive money from the Net Settlement Fund in connection with the Settlement of this Action, you must complete and sign this Proof of Claim and Release Form ("Claim Form") and mail it by First-Class Mail to the address below, or submit it online at www.GeronSecuritiesLitigation.com, with supporting documentation, postmarked if mailed (or if submitted online, received by the Claims Administrator) by no later than midnight Pacific Time on February 16, 2023. You may submit your Claim Form any time before the deadline.

Mail to:

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574
1-844-754-5537

Failure to submit your Claim Form by the deadline will subject your claim to rejection and may preclude you from being eligible to receive a payment from the Settlement.

Do not mail or deliver your Claim Form to the Court, Lead Counsel, Defendants' Counsel, or any of the Parties to the Action. Submit your Claim Form only to the Claims Administrator (Epiq Class Action & Claims Solutions) at the address (or website address online) set forth above.

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PART III – RELEASE OF CLAIMS AND SIGNATURE.....	4
INSTRUCTIONS AND CHECKLIST.....	6

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



PART I – CLAIMANT INFORMATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's First Name MI Beneficial Owner's Last Name

Joint Beneficial Owner's First Name MI Joint Beneficial Owner's Last Name

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include your name and "IRA" in the "Entity Name" box below (e.g., John Jones IRA).

Entity Name (if Beneficial Owner is not an individual)

Representative or Custodian Name if applicable (executor, administrator, trustee, c/o, etc.), (if different from Beneficial Owner[s] listed above)

Address 1 (street name and number)

Address 2 (apartment, unit or box number)

City State Zip or Foreign Postal Code

Country

Last four digits of Social Security Number or Taxpayer Identification Number

Telephone Number (Day) Telephone Number (Evening)

Email address (Email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim)

Account Number (where securities were traded)

Type of Beneficial Owner: Specify one of the following:

- Individual
- Joint
- Corporation
- Other (describe: _____)
- IRA/401K
- Pension Plan
- Partnership
- Estate
- Trust
- UGMA Custodian

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

PART III - RELEASE OF CLAIMS AND SIGNATURE

**YOU MUST ALSO READ THE RELEASE AND CERTIFICATION BELOW
AND SIGN ON PAGE 5 OF THIS CLAIM FORM.**

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally, and forever compromised, settled, released, resolved, relinquished, waived, and discharged any and all of the Released Plaintiffs' Claims against Defendants and Defendants' Released Parties, and shall forever be barred and enjoined from prosecuting, commencing, instituting, or continuing to prosecute any action or other proceeding in any court of law or equity, arbitration tribunal, or administrative forum, asserting any or all of the Released Plaintiffs' Claims against any of the Defendants' Released Parties. This release shall not apply to any of the Excluded Plaintiffs' Claims.

CERTIFICATION

By signing and submitting this Claim Form, the claimant(s) or the person(s) who represent(s) the claimant(s) agree(s) to the release above and certifies (certify) as follows:

1. that I (we) have read and understand the contents of the Settlement Notice and this Claim Form, including the releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the claimant(s) is a (are) Class Member(s), as defined in the Settlement Notice, and is (are) not excluded by definition from the Class as set forth in the Settlement Notice;
3. that the claimant(s) did not submit a request for exclusion from the Class;
4. that I (we) own(ed) the Geron common stock identified in the Claim Form and have not assigned the claim against any of the Defendants or Defendants' Released Parties to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the claimant(s) has (have) not submitted any other claim covering the same purchases of Geron common stock and knows (know) of no other person having done so on the claimant's (claimants') behalf;
6. that the claimant(s) submit(s) to the jurisdiction of the Court with respect to claimant's (claimants') claim and for purposes of enforcing the releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Counsel, the Claims Administrator, or the Court may require;
8. that the claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the determination by the Court of the validity or amount of this claim, and waives any right of appeal or review with respect to such determination;
9. that I (we) acknowledge that the claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (i) the claimant(s) is (are) exempt from backup withholding or (ii) the claimant(s) has (have) not been notified by the IRS that he, she, or it is subject to backup withholding as a result of a failure to report all interest or dividends or (iii) the IRS has notified the claimant(s) that he, she, or it is no longer subject to backup withholding. If the IRS has notified the claimant(s) that he, she, it, or they is (are) subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

[Redacted signature area]

Date: 12 - 20 - 2022
MM DD YYYY

Print claimant name here

[Redacted signature area]

Date: [] - [] - []
MM DD YYYY

Signature of joint claimant, if any

[Redacted signature area]

Print joint claimant name here

If the claimant is other than an individual, or is not the person completing this form, the following also must be provided:

[Redacted signature area]

Date: [] - [] - []
MM DD YYYY

Signature of person signing on behalf of claimant

[Redacted signature area]

Print name of person signing on behalf of claimant

[Redacted signature area]

Print name of person signing on behalf of claimant

[Redacted signature area]

Capacity of person signing on behalf of claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of claimant – see ¶7 in the Instructions and Checklist of this Claim Form.)



INSTRUCTIONS AND CHECKLIST

1. **Submission of this Claim Form does not guarantee that you will be eligible to receive a payment from the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Settlement Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

2. Use the Schedule of Transactions on page 3 of this Claim Form to supply all required details of your transaction(s) in, and holdings of, common stock of Geron Corporation ("Geron"). On this schedule, provide all of the requested information with respect to your holdings, purchases, and sales of Geron common stock (including free transfers and deliveries), whether such transactions resulted in a profit or a loss. **Failure to report all transaction and holding information during the requested time period may result in the rejection of your claim.**

3. **Please note:** Only publicly traded Geron common stock purchased during the Class Period (i.e., from March 19, 2018, through September 26, 2018, inclusive) is eligible to receive a payment under the Settlement. However, sales of Geron common stock during the period from September 27, 2018, through and including the close of trading on December 24, 2018, will be used for purposes of calculating your claim under the Plan of Allocation. Therefore, in order for the Claims Administrator to be able to balance your claim, the requested purchase and sale information during this period must also be provided.

4. You are required to submit genuine and sufficient documentation for all of your transactions in and holdings of Geron common stock as set forth in the Schedule of Transactions on page 3 of this Claim Form. Documentation may consist of copies of brokerage confirmation slips or monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. The Parties and the Claims Administrator do not independently have information about your investments in Geron common stock. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OF THE DOCUMENTS OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, do not highlight any portion of the Claim Form or any supporting documents.**

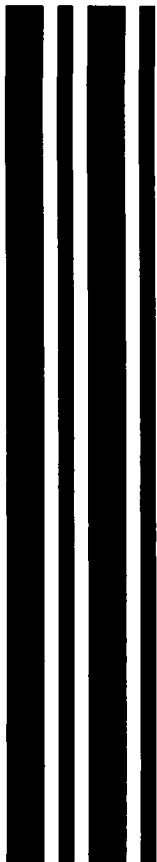
5. Use Part I of this Claim Form entitled "CLAIMANT INFORMATION" to identify the beneficial owner(s) of the Geron common stock. The complete name(s) of the beneficial owner(s) must be entered. If there were joint beneficial owners, each must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.

6. **If you purchased Geron common stock in more than one account, a Claim should be submitted for each account.** Separate Claim Forms should be submitted for each account or separate legal entity (e.g., an individual should not combine his or her IRA holdings and transactions with holdings and transactions made solely in the individual's name). Generally, a single Claim Form should be submitted on behalf of one legal entity including all holdings and transactions made by that entity on one Claim Form. However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account. The Claims Administrator reserves the right to request information on all the holdings and transactions in Geron common stock made on behalf of a single beneficial owner.

7. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the Social Security Number (or taxpayer identification number), address, and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Geron common stock; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

Questions? Visit www.GeronSecuritiesLitigation.com or call 1-844-754-5537



Attachments

Patch Code 3





E*TRADE Securities
Investment Account

TRADE CONFIRMATION

Account Number: [REDACTED]

Account Name: [REDACTED]

E*TRADE Securities LLC
PO Box 484
Jersey City, NJ 07303-0484
1-800-ETRADE-1 (1-800-387-2331)
etrade.com

Customer Update
Tax questions? No problem. Get helpful tips, tools, and key dates in the Tax Center. Visit etrade.com/tax today.

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
03/19/18	03/21/18	6 1	GERN	BUY	5,000	\$4.33	Cash	PRINCIPAL	\$21,650.00
								COMMISSION	\$4.95
								NET AMOUNT	\$21,654.95
03/19/18	03/21/18	6 1	GERN	SELL	4,865	\$3.85	Cash	PRINCIPAL	\$18,730.25
								FINRA TAF	\$0.58
								FEE	\$0.44
								NET AMOUNT	\$18,729.23
03/19/18	03/21/18	6 1	GERN	SELL	100	\$3.953	Cash	PRINCIPAL	\$385.30
								COMMISSION	\$4.95
								FINRA TAF	\$0.01
								FEE	0.01
								NET AMOUNT	\$380.33
03/19/18	03/21/18	6 1	GERN	SELL	500	\$3.84	Cash	PRINCIPAL	\$1,920.00
								FINRA TAF	\$0.06
								FEE	\$0.05
								NET AMOUNT	\$1,919.89
03/19/18	03/21/18	6 1	GERN	SELL	220	\$3.83	Cash	PRINCIPAL	\$842.60
								FINRA TAF	\$0.03
								FEE	\$0.02
								NET AMOUNT	\$842.55

▲ DETACH HERE
MICHAEL P STEELE
2885 GRAZELAND DR
SARASOTA FL 34240-8214

DETACH HERE ▲

Use This Deposit Slip Acct: [REDACTED]

Please do not send cash

Dollars	Cents

TOTAL DEPOSIT

Make checks payable to E*TRADE Securities LLC.
Mail deposits to:

E*TRADE Securities LLC
PO Box 484
Jersey City, NJ 07303-0484

031920180001 900665668472



AS-IS
Document Control

Type of Transaction (Market)
 1. NYSE Euronext
 2. American Stock Exchange
 3. Other U.S. Registered Exchanges
 4. Mutual Funds
 5. Chicago Board Options Exchange
 6. Over-the-Counter, Pacific Exchange options
 7. Underwriting
 8. Foreign Exchange or Other
 (Capacity in which your broker is acting)
 1,2,3,4,5,8 As agent
 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.
 7 As principal

If the symbol (I) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you, the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is "Foreign" and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS. Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

Terms and Conditions

Please refer to the E*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E*TRADE Customer Agreement or your account, please e-mail us through etrade.com or call 1-800-ETRADE1. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E*TRADE Securities LLC ("ETS"), Member FINRA/SIPC. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. ETS or its affiliates may be a principal in your transaction.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 1-800-298-9999 or www.finra.org.

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel, prior to execution, will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees, among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

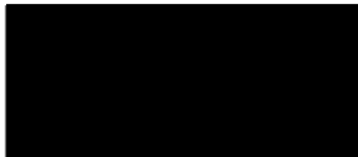
Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity that could affect the yield; complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GMNA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer.

*Additional Fees may include a broker assist fee, an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.



Payment for Order Flow Disclosure

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECNs (collectively, "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements.

Trades noted as "AVG PRICE SHOWN-DETAILS ON REQ" are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request.

Rev 09/16 81237804

E*TRADE Pro Elite
Investment Account

July 1, 2022 - September 30, 2022

Account Number: [REDACTED]
Account Type: [REDACTED]
Account Status: [REDACTED]

Customer Update:

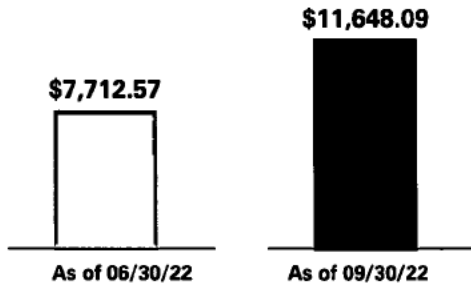
Keep your profile up to date
Have your goals, circumstances, or contact information changed? If so, let us know by updating your profile anytime at etrade.com/investorprofile.

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484
1-800-387-2331 etrade.com
Member SIPC

Invest to make an impact.
Learn how sustainable investing offers growth potential while supporting your values at us.etrade.com/knowledge/sustainable-investing.



Account At A Glance



Net Change: **\$3,935.52**

DETACH HERE



DETACH HERE

Use This Deposit Slip **Acct:** [REDACTED]

Please do not send cash

Make checks payable to E*TRADE Securities LLC

Dollars	Cents

TOTAL DEPOSIT

Mail deposits to:

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

093020220001 111665668476

E*TRADE
from Morgan Stanley

AS-IS**Document Control**

Please refer to the E*TRADE Securities LLC ("ETS") Customer Agreement (the "Customer Agreement") at www.etrade.com/custagree for a complete discussion of the terms and conditions governing your account and the Relationship Summary at www.etrade.com/formcrs for information about ETS services. If you have questions regarding the Customer Agreement, your account, or positions and balances please contact us through etrade.com or call 800-387-2331. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT, EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU.

Securities products and services are offered by ETS, Member SIPC. Your account is carried by ETS, Member SIPC, which maintains your funds and securities deposited with ETS directly by you or your advisor firm. Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify us at 800-387-2331 within five (5) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's Broker Check Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided by outside quotation services for the securities held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. Prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. Value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market. Bonds and/or fixed income securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over-the-counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of instruments prior to maturity may result in a loss of principal.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflects these amounts classified to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-8300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are considered securities rather than cash.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC and FINRA requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS posts SEC Rule 608 quarterly reports that include order routing disclosures including the material aspects of the firms relationships with outside market centers at www.etrade.com. In addition, on request, ETS may provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or nondirected orders, and the time of the transactions, if any, that resulted from such orders. ETS regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price dis-improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Margin Accounts. The amount of margin required will be the greater of the (1) amount required by applicable laws, regulations, rules of applicable self-regulatory organizations and clearinghouses, or (2) amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at <https://us.etrade.com/options-uninvested-cash>, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a

bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks a potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or remitted to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (including DPP and REIT securities). Account statements may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

In case of errors or questions about your Electronic Fund Transfers please contact us at 800-387-2331 immediately or in writing at E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484 or by visiting etrade.com. If you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

ETS is a subsidiary of Morgan Stanley. If you have a complaint, please call 800-387-2331, or write to: E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484.

Definitions:

Activity/Trade Date. Trade date or transaction date of other entries.
Total Portfolio Percent. Percentage of your holding by issue of security.
DIVCPN% Yield. Annual dividend or bond % yield.
Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.
Symbol/CUSIP. The symbol or identification number for each security.
 *** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place or settle after the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-387-2331 with any questions.

S1R8240 - 01/22

E*TRADE Pro Elite
Investment AccountE*TRADE
from Morgan Stanley



E*TRADE Pro Elite
Investment Account

Account Number: [REDACTED]

Statement Period : July 1, 2022 - September 30, 2022

Account Type: INDIVIDUAL

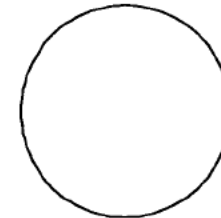
ACCOUNT OVERVIEW

Last Statement Date: June 30, 2022

Beginning Account Value (On 06/30/22): \$ 7,712.57
 Ending Account Value (On 09/30/22): \$ 11,648.09
 Net Change: \$ 3,935.52

For current rates, please visit etrade.com/rates

ASSET ALLOCATION (AS OF 09/30/22)



100.00% - Stocks, Options & ETF (Long)

ACCOUNT VALUE SUMMARY

	AS OF 09/30/22	AS OF 06/30/22	% CHANGE
Cash & Equivalents	\$ -75.54	\$ -75.54	0.00%
Total Cash/Margin Debt	\$ -75.54	\$ -75.54	0.00%
Stocks, Options & ETF (Long)	\$ 11,723.63	\$ 7,788.11	50.53%
Total Value of Securities	\$ 11,723.63	\$ 7,788.11	50.53%
Net Account Value	\$ 11,648.09	\$ 7,712.57	51.03%

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep deposits may be swept to Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, Members FDIC, and depending on the sweep program may also be swept to third party banks. Subject to other funds a customer might maintain at the recipient bank, sweep funds will receive a maximum of \$250,000 in FDIC insurance coverage at each federally insured depository institution to which funds are swept. Securities products and cash balances other than sweep deposits are not FDIC insured, not guaranteed deposits or obligations of Morgan Stanley Bank, Morgan Stanley Private Bank, or any third party bank to which they might be swept, and are subject to investment risk, including possible loss of the principal invested.



E*TRADE Pro Elite
Investment Account

Account Number: [REDACTED]

Statement Period : July 1, 2022 - September 30, 2022

Account Type: INDIVIDUAL

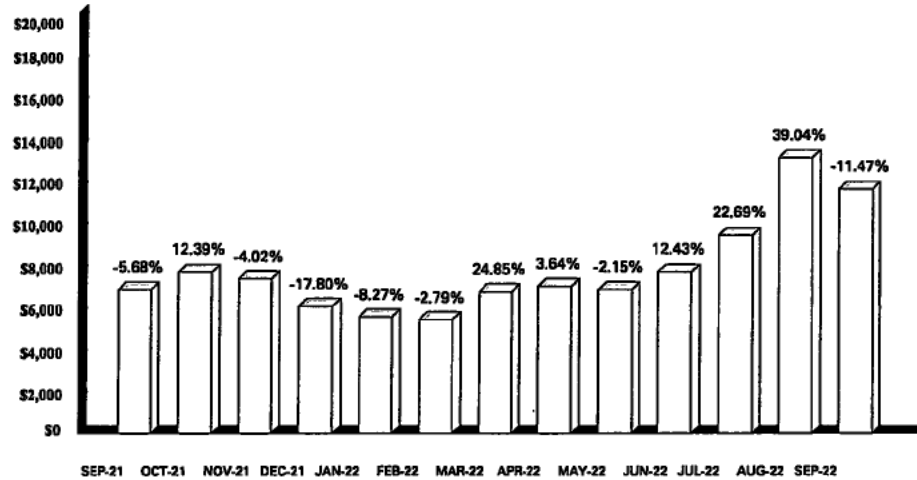
NET ACCOUNT VALUE BY MONTH END

--

ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
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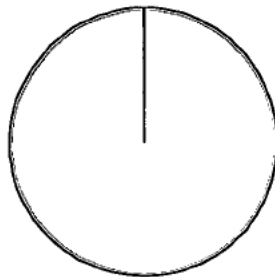
NO ACTIVITY THIS PERIOD



TOP 10 ACCOUNT HOLDINGS (AS OF 09/30/22)

--

0.20% - OTHER



99.80% - GERN



E*TRADE Pro Elite
Investment Account

Account Number: [REDACTED]

Statement Period : July 1, 2022 - September 30, 2022

Account Type: INDIVIDUAL

ACCOUNT HOLDINGS

CASH & CASH EQUIVALENTS (0.00% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
CASH BALANCE		
Opening Balance		-75.54
Closing Balance	0.00	-75.54

Under the Extended Insurance Sweep Deposit Account (ESDA) Program, cash balances from your brokerage account into the ESDA Program may shift from one program bank to another on a daily basis and a different combination or subset of the Program Banks may be used from day to day with dynamic deposit limits. Your ESDA Program cash balances will be FDIC-insured up to an aggregate of \$500,000 for individual accounts and \$1,000,000 for joint accounts. Uninvested cash balances in the ESDA program are not covered by SIPC. The balance in your bank deposit sweep account may be withdrawn on your order and proceeds returned to your securities account or remitted to you. To see a list of Program Banks please visit www.etrade.com/esdaagreement or call us at 1-800-387-2331.

TOTAL CASH & CASH EQUIVALENTS 0.00% \$-75.54

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (100.00% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
GERON CORP	GERN	Cash	5,000	2.3400	11,700.00	99.80		
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
TOTAL STOCKS, OPTIONS & ETF					\$11,723.63	100.00%		
TOTAL PRICED PORTFOLIO HOLDINGS (ON 09/30/22)					\$11,648.09			



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21 DEC 2022 PM 3 L



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UNITED STATES

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POSTAGE WILL BE PAID BY ADDRESSEE

GERON SECURITIES LITIGATION
C/O EPIQ
PO BOX 4574
PORTLAND OR 97208-9833



From: [info_GeronSecuritiesLitigati](#)
To: [REDACTED]
Subject: Geron Securities Settlement- Claim Number 653
Date: Monday, December 11, 2023 9:24:00 PM

Hello Mr. [REDACTED],

Your claim is in good standing and will be recommended to the Court for acceptance. Accordingly, we will consider your request for Court review as withdrawn. If you do not agree, please advise. Otherwise, we do not need anything further from you at this time.

Thank you,
Susanna W

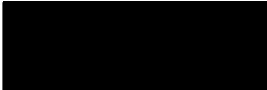
Geron Securities Settlement
Claims Administrator

Susanna Webb
Project Manager
Epiq | Class Action & Mass Tort Solutions

Exhibit O (Claim No. 800000414)

EXHIBIT O

(Claim No. 800000414)



TRADITIONAL IRA

VANGUARD



Show details

GERM	GERM CORP	5,000,000	—	\$20,614.71	\$23,295.90	\$3,781.19	—	\$3,781.19
------	-----------	-----------	---	-------------	-------------	------------	---	------------

Show details

Date sold	Date acquired	Event							
09/19/2018	01/29/2018 <u>Noncovered</u>	Sell	1,000,000	First in, first out (FIFO)	\$2,161.70	\$5,433.78	\$3,272.08	—	\$3,272.08
09/19/2018	03/27/2018 <u>Noncovered</u>	Sell	300,000	First in, first out (FIFO)	\$1,603.00	\$1,630.13	\$27.10	—	\$27.10
09/19/2018	04/19/2018 <u>Noncovered</u>	Sell	200,000	First in, first out (FIFO)	\$752.04	\$1,096.76	\$334.52	—	\$334.52
09/19/2018	04/27/2018 <u>Noncovered</u>	Sell	250,000	First in, first out (FIFO)	\$832.66	\$1,358.45	\$495.80	—	\$495.80
09/19/2018	07/11/2018 <u>Noncovered</u>	Sell	500,000	First in, first out (FIFO)	\$1,800.70	\$2,716.89	\$907.19	—	\$907.19
09/19/2018	08/01/2018 <u>Noncovered</u>	Sell	500,000	First in, first out (FIFO)	\$1,897.90	\$2,716.89	\$1,023.99	—	\$1,023.99
09/19/2018	08/03/2018 <u>Noncovered</u>	Sell	500,000	First in, first out (FIFO)	\$1,740.04	\$2,716.89	\$976.85	—	\$976.85
09/19/2018	08/27/2018 <u>Noncovered</u>	Sell	500,000	First in, first out (FIFO)	\$2,367.00	\$2,716.89	\$334.89	—	\$334.89
09/19/2018	09/06/2018 <u>Noncovered</u>	Sell	250,000	First in, first out (FIFO)	\$1,433.45	\$1,358.44	-\$75.01	—	-\$75.01
10/01/2018	09/01/2018 <u>Noncovered</u>	Sell	1,000,000	First in, first out (FIFO)	\$5,507.06	\$1,560.76	\$3,946.22	—	\$3,946.22

Exhibit P (Claim No. 800000414)

EXHIBIT P

(Claim No. 800000414)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537

Claim Number: 800000414

Response Deadline: June 11, 2023

May 22, 2023

Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Ineligibility Condition: Inadequate Documentation.

The Claim referenced above sets forth Class Period purchases of common stock of Geron (GERN), but none of the documentation previously provided was deemed adequate.

How to Resolve: You can resolve this condition of ineligibility only by submitting acceptable documentation to support your Claim by the Response Deadline to Epiq at the mailing address provided above, including all of the transactions and holding amounts set forth in the Claim.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)



PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Curing this condition of ineligibility in its entirety or in part is an absolute requirement in order for your Claim to be evaluated for eligibility to participate in the Settlement. If the deficiency is only cured in part, the Claim will be calculated based on the transactions for which acceptable documentation was submitted. If you have other deficiencies and cure them, your Claim still will not be eligible, unless this deficiency is cured at least in part and, to the extent that it is cured, it calculates to a Recognized Claim under the Plan of Allocation.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator



Geron Securities Litigation
% Epiq
P O Box 4574
Portland, OR 97208-4574

Re: Claim Number 800000414



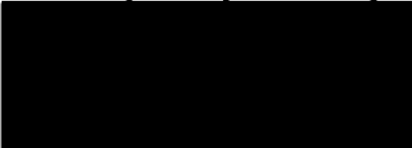
To Whom It May Concern:

I am including the following:

1. Copy of your notice dated May 22, 2023.
2. This is my request that the Court review the rejection of my claim due to Inadequate Documentation.
3. The new documentation - Vanguard brokerage statements which show clearly my transactions making my claim eligible.
4.
 - September 30, 2018 Brokerage statement: Purchase of 1,000 shares of Geron on September 21 at a price of \$5.50 per share.
 - October 31, 2018 Brokerage statement: Sell of 1,000 of Geron on October 1 at a price of \$1.5678 per share.
 - Total Loss: \$3,932.20

I believe these documents and information should adequately clarify my claim.

Thank you very much for your time and efforts.





[Redacted]

Vanguard Voyager Services®
 Voyager Services: 800-284-7245

20180330 163134 RSRJMS38 406C 00000267204589 L

Account activity for Vanguard Brokerage Account - [Redacted] continued

Completed transactions continued

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
09/21	09/19	GERN	GERON CORP	Sell	Cash	-4,000.0000	5.4356	7.28	21,735.12
[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]



[Redacted]

Vanguard Voyager Services®
 Voyager Services: 800-284-7245

Account activity for Vanguard Brokerage Account [Redacted] continued

Completed transactions

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
10/03	10/01	GERN	GERON CORP	Sell	Cash	-1,000.0000	1.5678	7.02	1,560.78
[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

2018102 094504 R58JN638 409C 0000067304589 L

SOUTH JERSEY NJ 080

31 MAY 2023 PM 6 L

usa forever

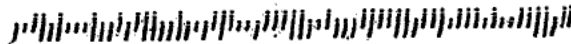
GERON SECURITIES LITIGATION

c/o EPIQ

P.O. Box 4574

PORTLAND OR. 97208-4574

572084574 8027



Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



Claim Number: 800000414

Response Deadline: July 26, 2023

July 6, 2023

Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Deficiency: Partial Documentation.

You either did not supply documentation to support some of the transactions listed on your Claim, or the documentation that you submitted with your Claim does not include some or all of the required information for the transaction(s) listed in the chart below.

How to Resolve: For a transaction to be considered in your Recognized Loss calculation, you must submit genuine and sufficient documentation for that transaction by the Response Deadline to Epiq at the mailing address provided above. To cure this deficiency, you must provide acceptable documentation for the transaction(s) listed below.



Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: If you fail to respond, or to the extent your response fails to cure the deficiency identified for a given transaction below, Epiq will recommend to the Court that the transaction(s) be rejected, along with any corresponding transaction(s), following First-In- First-Out ("FIFO") matching, and the transaction(s) will not be considered in the calculation of your Claim's Recognized Loss pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial Claim.

The specific transaction(s) for which you must submit complete documentation is (are) listed below.

Date	Quantity	Price per Share	Type of Transaction
03/27/2018	300	\$5.34	Purchase
04/19/2018	200	\$3.76	Purchase
04/27/2018	250	\$3.73	Purchase
07/11/2018	500	\$3.62	Purchase
08/01/2018	500	\$3.39	Purchase
08/03/2018	500	\$3.48	Purchase
08/27/2018	500	\$4.76	Purchase
09/06/2018	250	\$5.73	Purchase

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

000 0000005 00000000 0002 0002 000004 INS: 0 0



[REDACTED]
July 18, 2023

Geron Securities Litigation
% Epiq
P O Box 4574
Portland, OR 97208-4574

Re: Claim Number 800000414 - [REDACTED]

To Whom It May Concern:

I am including the following:

1. Copy of your notice dated July 6, 2023.
2. This is my request that the Court review the rejection of my claim due to Inadequate Documentation.
3. The new documentation per your request of Purchase transactions from March 27, 2018 through September 6, 2018 from my Vanguard IRA brokerage account. Also, a brokerage statement showing I sold all of these shares on September 19, 2018.
4. Additional documentation which I submitted earlier.

September 30, 2018 Brokerage statement: Purchase of 1,000 shares of Geron on September 21 at a price of \$5.50 per share.

October 31, 2018 Brokerage statement: Sell of 1,000 of Geron on October 1 at a price of \$1.5678 per share.

Total Loss: \$3,932.20

I believe these documents and information should adequately clarify my claim.

Thank you very much for your time and efforts.
[REDACTED]



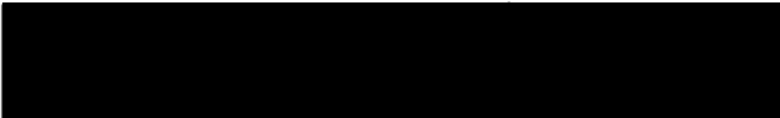
P.O. Box 2600
Valley Forge, PA 19482-2600

March 27, 2018

Page 1 of 2

Connect with Vanguard® > vanguard.com

Vanguard Voyager Services®
800-284-7245



Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
03/27/2018	03/29/2018	Buy	300.00000	\$5.32010	\$1,596.03	\$7.00	\$1,603.03
(Notes: 1, 2)							—
							—

Notes:

1. This trade was unsolicited.
2. Capacity: As agent only

*When applicable on bond transactions.



First time seeing the new confirmation?

We've improved our account transaction confirmation. It's simpler to read and can now include multiple transactions on one confirmation, saving paper and postage. Learn more at vanguard.com/econfirm.



P.O. Box 2600
Valley Forge, PA 19482-2600

April 19, 2018

Page 1 of 3

Connect with Vanguard® > vanguard.com

Vanguard Voyager Services®

800-284-7245



Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
04/19/2018	04/23/2018	Buy	200.00000	\$3.72620	\$745.24	\$7.00	\$752.24
(Notes: 1, 2)							—
							—



Notes:

1. This trade was unsolicited.
2. Capacity: As agent only
3. Average price info available upon request.

*When applicable on bond transactions.



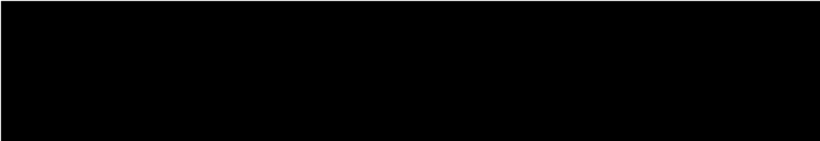
P.O. Box 2600
Valley Forge, PA 19482-2600

April 27, 2018

Page 1 of 2

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Vanguard Voyager Services®
800-284-7245



Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
04/27/2018	05/01/2018	Buy	250.00000	\$3.70260	\$925.65	\$7.00	\$932.65
(Notes: 1, 2)							—

Notes:

1. This trade was unsolicited.
2. Capacity: As agent only

*Applicable for certain bond transactions.



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We've improved our account transaction confirmation. It's simpler to read and can now include multiple transactions on one confirmation, saving paper and postage. Learn more at vanguard.com/eenfirm.



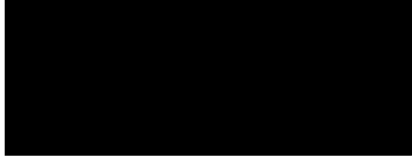
P.O. Box 2600
Valley Forge, PA 19482-2600

July 11, 2018

Page 1 of 3

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Vanguard Voyager Services®
800-284-7245

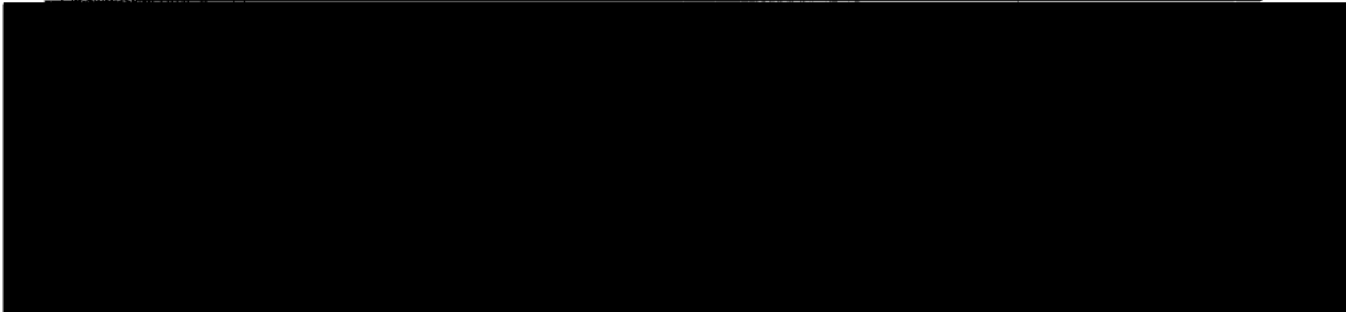


Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
07/11/2018	07/13/2018	Buy	500.00000	\$3.60540	\$1,802.70	\$7.00	\$1,809.70
						—	
(Notes: 1, 2)						—	





P.O. Box 2600
Valley Forge, PA 19482-2600

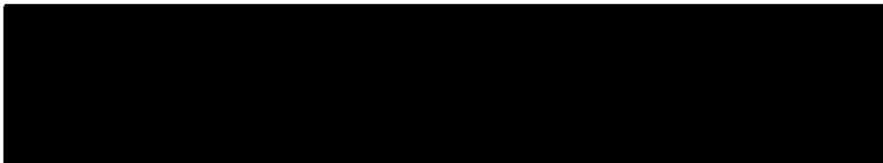
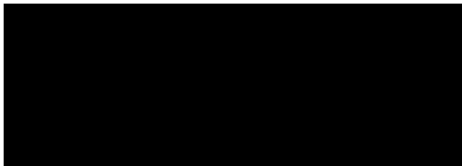
August 01, 2018

Page 1 of 2

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Vanguard Voyager Services®

800-284-7245



Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.


Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
08/01/2018	08/03/2018	Buy	500.00000	\$3.37180	\$1,685.90	\$7.00	\$1,692.90
						—	
(Notes: 1, 2)						—	

Notes:

1. This trade was unsolicited.
2. Capacity: As agent only

*Applicable for certain bond transactions.



First time seeing the new confirmation?

We've improved our account transaction confirmation. It's simpler to read and can now include multiple transactions on one confirmation, saving paper and postage. Learn more at vanguard.com/confirm.



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August 03, 2018

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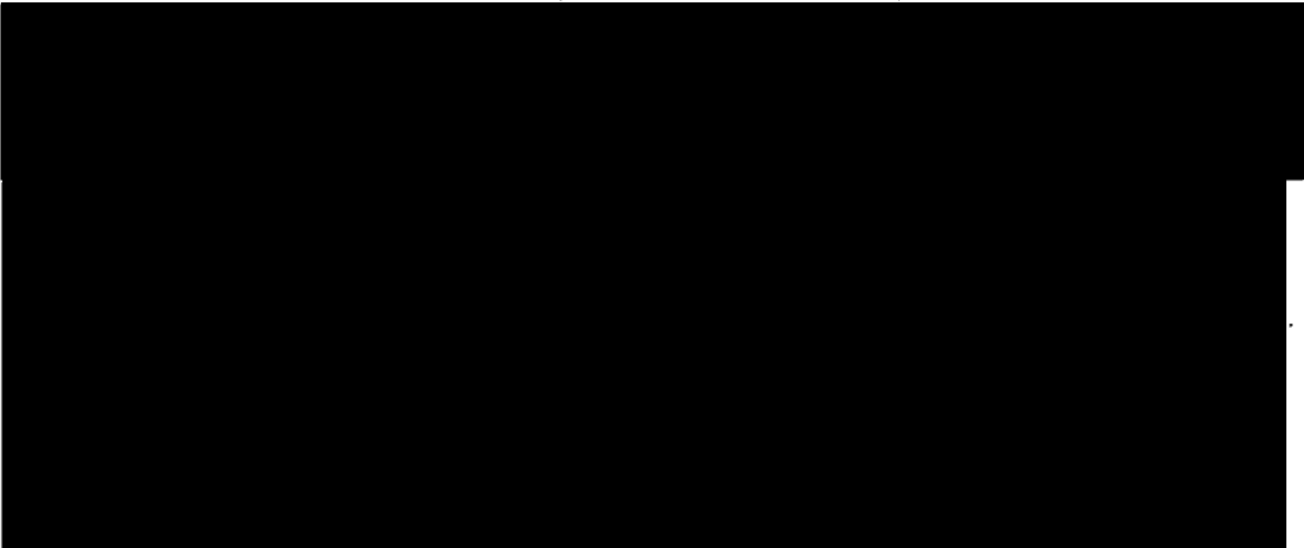


Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
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Valley Forge, PA 19482-2600

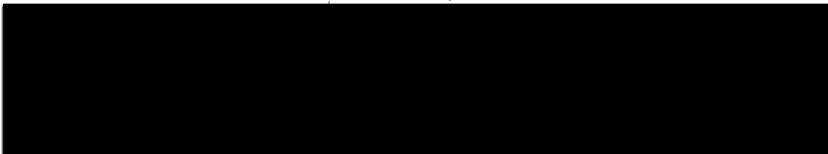
August 27, 2018

Page 1 of 2

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Brokerage transaction confirmation

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Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued Interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
08/27/2018	08/29/2018	Buy	500.00000	\$4.75000	\$2,375.00	\$7.00	\$2,382.00
(Notes: 1, 2)							—

Notes:

1. This trade was unsolicited.
2. Capacity: As agent only

*Applicable for certain bond transactions.



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Valley Forge, PA 19482-2600

September 06, 2018

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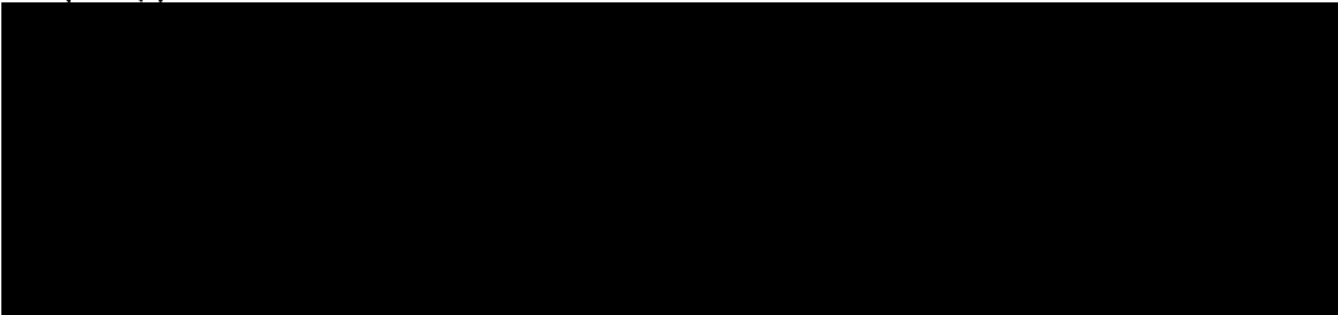
Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
09/06/2018	09/10/2018	Buy	250.00000	\$5.70580	\$1,426.45	\$7.00	\$1,433.45
						—	

(Notes: 1, 2)



Notes:

1. This trade was unsolicited.
2. Capacity: As agent only

*Applicable for certain bond transactions.



P.O. Box 2600
Valley Forge, PA 19482-2600

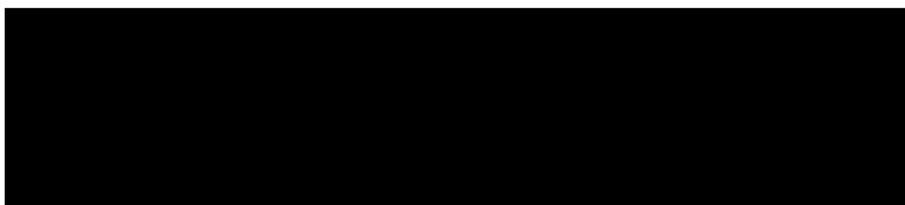
September 19, 2018

Page 1 of 3

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Vanguard Voyager Services®

800-284-7245



Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
09/19/2018	09/21/2018	Sell	4,000.00000	\$5.43560	\$21,742.40	\$7.00	\$21,735.12
						—	
(Notes: 1, 2)						\$0.28	
			Cost basis method	Acquisition date	Acquisition quantity	Shares relieved	
			RFO				

Notes:

1. This trade was unsolicited.
2. Capacity: As agent only

*Applicable for certain bond transactions.



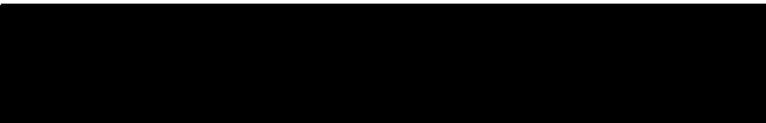
P.O. Box 2600
Valley Forge, PA 19482-2600

September 21, 2018

Page 1 of 3

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Vanguard Voyager Services®
800-284-7245



Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
GERON CORP							
Symbol: GERN CUSIP: 374163-10-3							
09/21/2018	09/25/2018	Buy	1,000.00000	\$5.50000	\$5,500.00	\$7.00	\$5,507.00
						—	
(Notes: 1, 2)						—	





P.O. Box 2600
Valley Forge, PA 19482-2600

October 01, 2018

Page 1 of 3

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Vanguard Voyager Services®

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Brokerage transaction confirmation

Please review this confirmation and contact us immediately if the information isn't correct. All transactions are in your cash account unless otherwise indicated. Notes, if applicable, are listed following the final transaction entry.

Trades

Trade date/ Time *	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
-----------------------	--------------------	-------------	----------	-----------------------------	-----------	---	------------



GERON CORP

Symbol: GERN CUSIP: 374163-10-3

10/01/2018	10/03/2018	Sell	1,000.00000	\$1.56780	\$1,567.80	\$7.00	\$1,560.78
						—	
(Notes: 1, 2)						\$0.02	

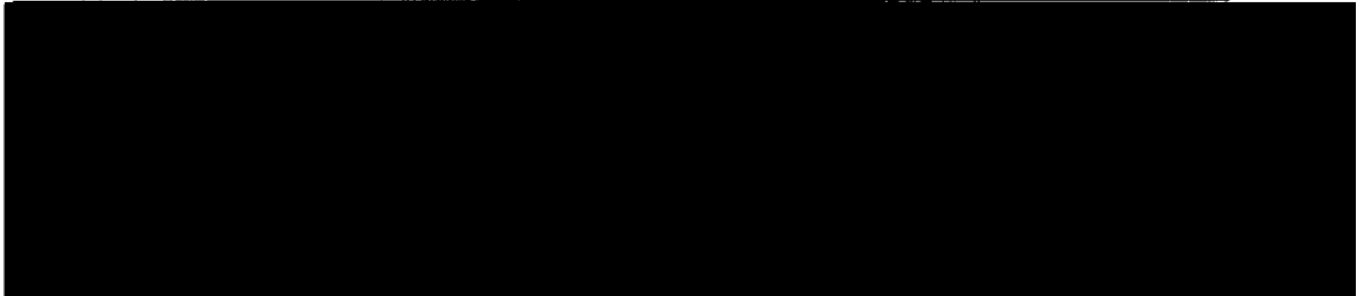


Trades continued

Trade date/ Time*	Settlement date	Transaction	Quantity	Price/ Accrued interest*	Principal	Commission/ Fees/ Securities transaction fee	Net amount
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GERON CORP continued
 Symbol: GERN CUSIP: 374163-10-3

Cost basis method	Acquisition date	Acquisition quantity	Shares relieved
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Notes:

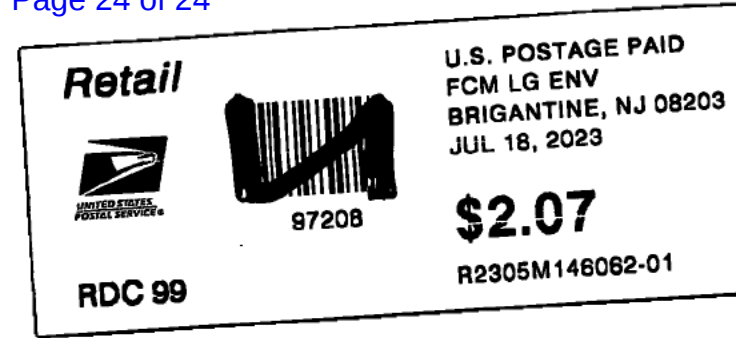
1. This trade was unsolicited.
2. Capacity: As agent only

*Applicable for certain bond transactions.

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GELON SECURITIES LITIGATION

C/O EPIQ

P.O. Box 4574

PORTLAND OR. 97208-4574

Exhibit Q (Claim No. 800000414)

EXHIBIT Q

(Claim No. 800000414)

From: info_GeronSecuritiesLitigati
To: [REDACTED]
Subject: Geron Securities Settlement - Claim Number 800000414 [REDACTED]
Date: Thursday, October 5, 2023 9:32:00 PM
Attachments: [Geron_08072018_1.pdf](#)
[Geron_08072018.pdf](#)

Dear [REDACTED],

Thank you for taking the time to speak with me, and for providing the additional documentation.

Please be advised that the documentation you provided in response to the Notice of Incomplete Proof of Claim Form cures your claim's deficiencies. Your claim is now in good standing and will be recommended to the Court for acceptance. Accordingly, we will consider your request for Court review as withdrawn. If you do not agree, please advise. Otherwise, we do not need anything further from you at this time.

Thank you,
Susanna W

Geron Securities Settlement
Claims Administrator

From: [REDACTED]
Sent: Wednesday, October 4, 2023 4:55 PM
To: info_GeronSecuritiesLitigati <info@GeronSecuritiesLitigation.com>
Subject: Claim Number 800000414 - [REDACTED]

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Per your phone request of today, I am providing the Geron purchase information from August 7, 2018 for my claim.

If you need anything else, please let me know.

Thanks,

[REDACTED]

Exhibit R (Claim No. 800001413)

EXHIBIT R

(Claim No. 800001413)



Schwab One® Account of

[Redacted]

Account Number

[Redacted]

Trade Confirmation

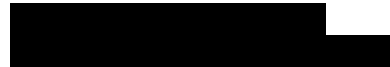
Cut paper clutter. Switch to eConfirms at schwab.com/paperless.

[Redacted]

06/25-00000-DC09A095 *



Schwab One® Account of



Account Number

**Trade Confirmation**

If you have questions about this report, please contact Schwab at 1-800-435-4000.

Terms and Conditions*Retain for your records*

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

- (1) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.
- (2) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.
- (3) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
- (4) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
- (5) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.
- (6) If the symbol (*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.
- (7) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected, it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.
- (8) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time

this confirmation was generated. For additional information or questions, contact Schwab using any of the appropriate numbers listed above.

(9) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of which such remuneration may vary and will be disclosed to you upon written request.

(10) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.

(11) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance please contact your Schwab investment professional or financial advisor.

(12) Transaction Fee - includes either fees charged for purchases and sales of certain mutual fund shares or fees charged for purchasing U.S. Treasury obligations via the auction process.

(13) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-ffcb.com> ; Federal Home Loan Bank (FHLB): <http://www.fhllb-of.com> ; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt> , for mortgage securities: <http://www.freddiemac.com/mbs> ; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com> ; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.

(14) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-237-8627 for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at bestmbs@fanniemae.com or Freddie Mac at Investor_Inquiry@FreddieMac.com.

(15) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.

(16) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon written request.

(17) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.

(18) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request.



Account Number



Trade Confirmation

BOUGHT Geron Corp (Symbol: GERN)

Security No./CUSIP: 374163-10-3

Type: Margin

Trade: 06/25/18

Settle: 06/27/18

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)		Total Amount (\$)
200	3.50	700.00	Commission	4.95	704.95

For this security:

- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2, E





[Redacted]

Account Number
[Redacted]

Trade Confirmation

Cut paper clutter. Switch to eConfirms at [schwab.com/paperless](https://www.schwab.com/paperless).

[Redacted]

06/21-00000-DC06A095 *



Schwab One® Account of



Account Number

**Trade Confirmation**

If you have questions about this report, please contact Schwab at 1-800-435-4000.

Terms and Conditions*Retain for your records*

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

- (1) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.
- (2) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.
- (3) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
- (4) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
- (5) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.
- (6) If the symbol (*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.
- (7) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected, it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.
- (8) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time

this confirmation was generated. For additional information or questions, contact Schwab using any of the appropriate numbers listed above.

(9) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of which such remuneration may vary and will be disclosed to you upon written request.

(10) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.

(11) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance please contact your Schwab investment professional or financial advisor.

(12) Transaction Fee - includes either fees charged for purchases and sales of certain mutual fund shares or fees charged for purchasing U.S. Treasury obligations via the auction process.

(13) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-ffcb.com>; Federal Home Loan Bank (FHLB): <http://www.fhlb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.

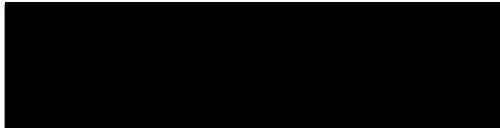
(14) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-237-8627 for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at bestmbs@fanniemae.com or Freddie Mac at Investor_Inquiry@FreddieMac.com.

(15) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.

(16) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon written request.

(17) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.

(18) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request.



Account Number



Trade Confirmation

BOUGHT Geron Corp (Symbol: GERN)

Security No./CUSIP: 374163-10-3

Type: Margin

Trade: 06/21/18

Settle: 06/25/18

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>		<i>Total Amount (\$)</i>
800	3.50	2,800.00	Commission	4.95	2,804.95

For this security:

- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2, E



Exhibit S (Claim No. 800001413)

EXHIBIT S

(Claim No. 800001413)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



400610880001852549
000 0001817 00000000 0001 0002 01461 INS: 0 0

Claim Number: 800001413

Response Deadline: June 11, 2023

May 22, 2023

Notice of Incomplete Proof of Claim Submission
Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s)-identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Deficiency: No Proof of Unsold (Ending) Holdings.

Your Claim indicates that you may have held common stock of Geron (GERN) as of the close of trading on September 26, 2018; however, you did not provide any documentation showing your unsold (ending) holdings.

How to Resolve: You must provide acceptable documentation for holdings as of the close of trading on September 26, 2018 by the Response Deadline to Epiq at the mailing address provided above. Acceptable documentation will show the possession of the Geron common stock on or after this date or the sale of the Geron common stock after this date.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)



PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

The specific transaction for which you must submit complete documentation is (are) listed below.

Date	Quantity Held
12/24/2018	1,000.00

Ineligibility Condition: No Recognized Loss Amount Unless Other Defects Are Cured. This is NOT a curable deficiency, unless you had additional transactions in Geron common stock during the Class Period (i.e., from March 19, 2018 to September 26, 2018, inclusive) that are not reflected in your Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which is available on the settlement website and was previously mailed to you), the Claim referenced above does not calculate to a Recognized Loss due to certain defects listed in this letter, and therefore will not be recommended to the Court as eligible to receive a distribution from the Net Settlement Fund. *Please be aware that having a Recognized Loss is not the same as having a market loss. It is possible to have a market loss, in which you experience a loss in value of your shares, and still have no Recognized Loss under the Plan of Allocation.* In addition, if you had an overall market gain from your transactions in Geron common stock during the Class Period, your Recognized Loss is \$0.00.

How to Resolve: You may seek to resolve this condition of ineligibility by resolving the other defects listed in this letter and/or by submitting additional transactions in Geron common stock during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Loss. You must also support any additional transactions with acceptable documentation. You must submit the required documentation by the Response Deadline to Epiq at the address listed above.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be recommended by Epiq to the Court as potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

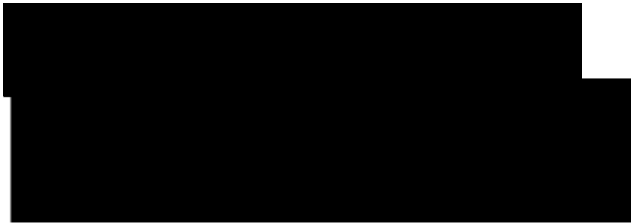
If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

000 0001818 00000000 0002 0002 01461 INS: 0 0





Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Via Email
info@geronsecuritieslitigation.com

RE: Claim Number 800001413

To whom it may concern:

Per the Notice of Incomplete Proof of Claim Submission and follow-up letter correcting the date for the proof of unsold holdings date, attached please find a copy of the Notice of Incomplete Proof of Claim Submission and documentation supporting the completion of the documentation supporting my Proof of Claim. Specifically, I have attached a copy of the brokerage statement for the month ending September 2018, which is listed as an acceptable form of documentation of holdings as of the close of trading on September 26, 2018.

I specifically request that the Court review the full or partial rejection of my Claim. I have corrected the deficiency, so I am now contesting any rejection of the Claim.

Very truly yours,



Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesSettlement.com
Email: info@GeronSecuritiesSettlement.com
Phone: (844) 754-5537

[REDACTED]

800001413

IMPORTANT NOTICE

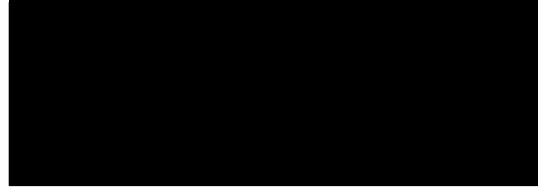
Dear Claimant:

We recently sent you a letter regarding your deficiency, "No Proof of Unsold Holdings," in the Geron Securities Litigation matter in which you filed a claim.

That letter incorrectly stated the date through which to provide documentation as September 26, 2018. The correct date through which to provide documentation is **December 24, 2018**. The correct date is stated in the Settlement Notice in Paragraph 62(D) and Proof of Claim and Release Form in Part II, Question 5. The Settlement Notice and Proof of Claim and Release Form are available for review on the settlement website, www.GeronSecuritiesLitigation.com.

If you have any questions about this notice, please give us a call at (844) 754-5537.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator



Account Number

Statement Period
September 1-30, 2018

Protect your privacy and the environment. Switch to eStatements at www.schwab.com/lesspaper. Visit www.schwab.com/premiumstatement to explore the features and benefits of this statement.

MARILYN M STRAHAN &

Customer Service and Account Information

Customer Service and Trading:
Call your Schwab Representative
1 (800) 435-4000

Bank Inquiries:
1 (800) 435-4000

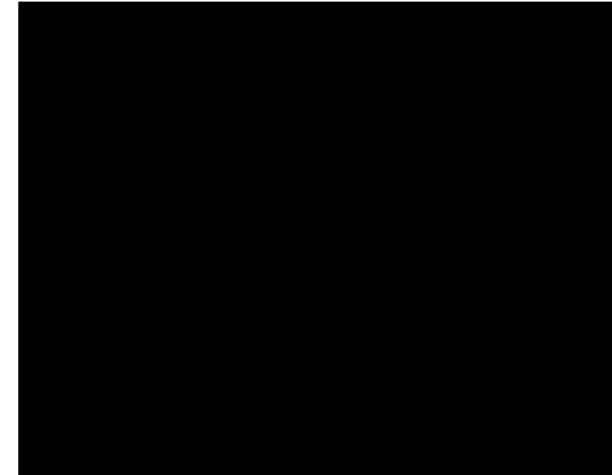
Schwab by Phone™

Automated Services:
1 (800) 435-8804

TeleBroker®:
1 (800) 272-4922

Visit Our Web Site:
schwab.com

Cost Basis Updates:
To provide updates for incomplete cost basis information, please visit schwab.com/gainloss





Schwab One® Account of

Account Number

Statement Period

September 1-30, 2018

Terms and Conditions

GENERAL INFORMATION AND KEY TERMS:

All references to "Schwab" in this document refer to the broker-dealer Charles Schwab & Co., Inc. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings you should verify its content with this statement. Securities, products, and services are not available in all countries and are subject to country specific restrictions.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

Bank Sweep Feature and Bank Sweep for Benefit Plans Features: Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep for Benefit Plans- features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon for making investment decisions.

Fees and Charges: It is your responsibility, and not Schwab's, to verify the accuracy of all fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$.005, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep

and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005.

Latest Price/Price (Investment Detail Section Only): The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships (LP) and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your periodic statement for this security is unpriced. FINRA rules require that certain LP and REIT securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price; if applicable, that accurate valuation information may not be available.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party and Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover any limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions by an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If, on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of .1 cent (\$.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products

and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

COMPLAINT CONTACT INFORMATION: Complaints about Schwab statements, products or services may be directed to 1-800-435-4000. For clients residing outside of the U.S., call collect +1-415-667-8400. Please send any written complaints to the Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA.

Address Changes: It is your obligation to keep Schwab informed of any changes in your address, telephone number or other contact information. If you fail to notify Schwab of those changes, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. For assistance, you may contact Schwab at 1-800-435-4000. Clients residing outside of the U.S. may call Schwab collect at +1-415-667-8400.

Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. Schwab or an affiliate acts as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Sweep Funds and as Transaction Services Agent for the Government Money Fund. Schwab or an affiliate is compensated by the Sweep Funds for acting in each of these capacities other than as Distributor. The amount of such compensation is disclosed in the prospectus. Additional information will be provided upon written request. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third party trademarks appearing herein are the property of their respective owners. Schwab and its affiliated banks are subsidiaries of The Charles Schwab Corporation. (1017-7MAX)

SIPC has taken the position that it will not cover the balances held in your deposit accounts maintained under programs like our Bank Sweep feature. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. © 2016 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. (0616-1157)



Schwab One® Account of
[Redacted]

Account Number
[Redacted]

Statement Period
September 1-30, 2018

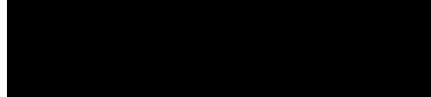
Account Value as of 09/30/2018: \$ 18,853.49

Change in Account Value	This Period	Year to Date	Account Value
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[Redacted]			
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Schwab One® Account of



Account Number



Statement Period

September 1-30, 2018

Realized Gain or (Loss) This Period

Unrealized Gain or (Loss)

Gain or (Loss) Summary

Short Term

Long Term

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Account of
[Redacted]

Account Number
[Redacted]

Statement Period
September 1-30, 2018

Cash Transactions Summary

This Period

Year to Date

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



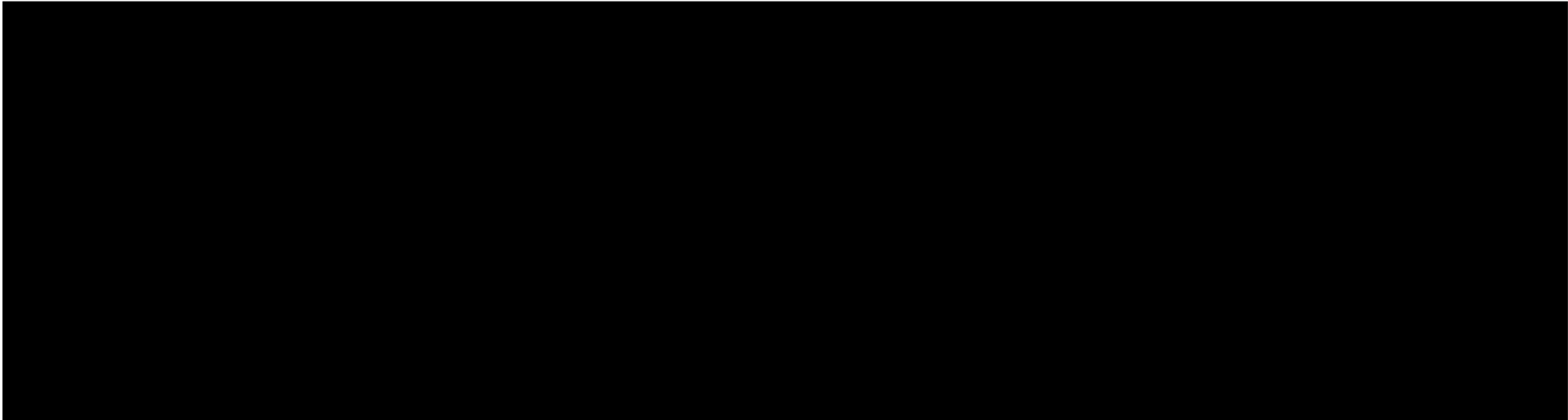
Schwab One® Account of
 [Redacted]

Account Number
 [Redacted]

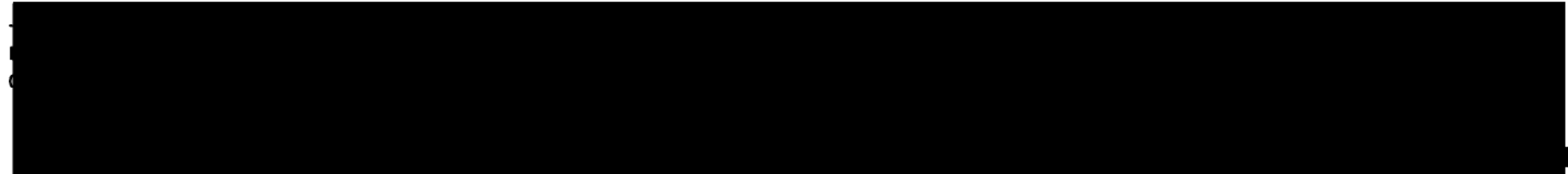
Statement Period
 September 1-30, 2018

Investment Detail - Equities

Equities	Quantity	Market Price	Market Value	% of	Unrealized	Estimated	Estimated
	Units Purchased	Cost Per Share	Cost Basis	Account Assets Acquired	Gain or (Loss)	Yield Holding Days	Annual Income Holding Period
GERON CORP (M)	1,000.0000	1.76000	1,760.00	9%	(1,749.90)	N/A	N/A
SYMBOL: GERN	800.0000	3.5061	2,804.95	06/21/18	(1,396.95)	101	Short-Term
	200.0000	3.5247	704.95	06/25/18	(352.95)	97	Short-Term
<i>Cost Basis</i>			3,509.90				



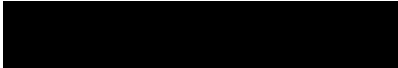
Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)



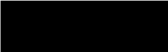
Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Account of



Account Number



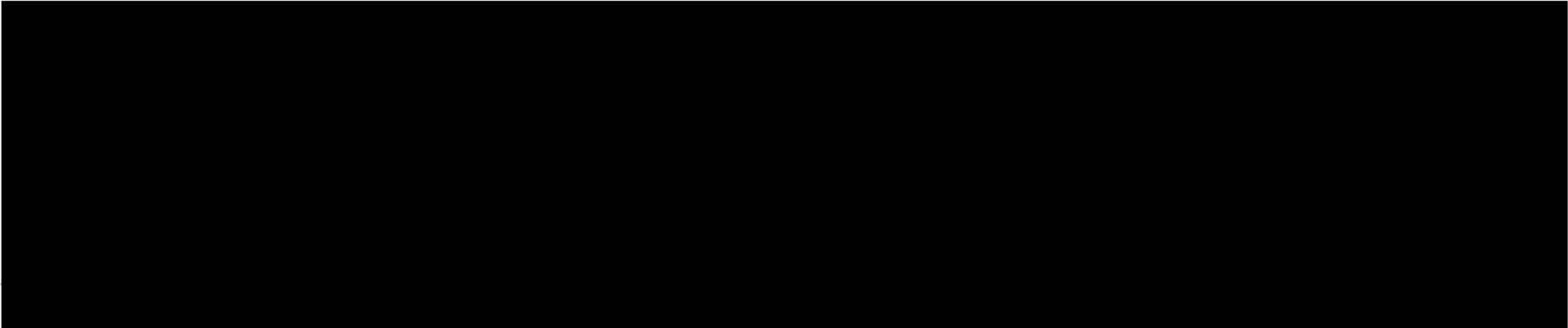
Statement Period
September 1-30, 2018

Transaction Detail - Total

Total Transaction Detail

2.60

Bank Sweep Activity



Endnotes For Your Account

Symbol Endnote Legend

- (M) Denotes a security that is marginable. Some mutual fund or ETF investments may not be immediately marginable.
- X Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

For information on how Schwab pays its representatives, go to <http://www.schwab.com/compensation>.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



[REDACTED]

From: [REDACTED]
Sent: Saturday, June 10, 2023 2:49 PM
To: info_GeronSecuritiesLitigati
Subject: Claim Number 800001413 -- Additional Supporting Documentation
Attachments: 2023.06.09 MMS to Epiq re Addl Proof and Reconsider Claim.pdf

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

To whom it may concern:

Per the Notice of Incomplete Proof of Claim Submission and follow-up letter correcting the date for the proof of unsold holdings date, attached please find a copy of the Notice of Incomplete Proof of Claim Submission and documentation supporting the completion of the documentation supporting my Proof of Claim. Specifically, I have attached a copy of the brokerage statement for the month ending September 2018, which is listed as an acceptable form of documentation of holdings as of the close of trading on September 26, 2018.

I specifically request that the Court review the full or partial rejection of my Claim. I have corrected the deficiency, so I am now contesting any rejection of the Claim.

Thank you very much, and please let me know if you need anything further.

Exhibit T (Claim No. 800001413)

EXHIBIT T

(Claim No. 800001413)

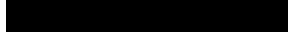
Exhibit U (Claim No. 800001410)

EXHIBIT U

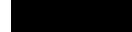
(Claim No. 800001410)



Schwab One® Account of



Account Number



Trade Confirmation

Cut paper clutter. Switch to eConfirms at [schwab.com/paperless](https://www.schwab.com/paperless).



06/26-00000-DC10A095 *



Schwab One® Account of

Account Number

Trade Confirmation

If you have questions about this report, please contact Schwab at 1-800-435-4000.

Terms and Conditions
Retain for your records

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

- (1) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.
- (2) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.
- (3) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
- (4) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
- (5) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.
- (6) If the symbol (*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.
- (7) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected, it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.
- (8) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time

this confirmation was generated. For additional information or questions, contact Schwab using any of the appropriate numbers listed above.

(9) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of which such remuneration may vary and will be disclosed to you upon written request.

(10) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.

(11) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance please contact your Schwab investment professional or financial advisor.

(12) Transaction Fee - includes either fees charged for purchases and sales of certain mutual fund shares or fees charged for purchasing U.S. Treasury obligations via the auction process.

(13) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-ffcb.com>; Federal Home Loan Bank (FHLB): <http://www.fhllb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.

(14) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-237-8627 for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at bestmbs@fanniemae.com or Freddie Mac at Investor_Inquiry@FreddieMac.com.

(15) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.

(16) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon written request.

(17) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.

(18) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request.



Schwab One® Account of
 [REDACTED]

Account Number
 [REDACTED]

Trade Confirmation

BOUGHT Geron Corp Dividends reinvested (Symbol: GERN)

Security No./CUSIP: 374163-10-3 Type: Margin Trade: 06/26/18 Settle: 06/28/18

<i>Quantity</i>	<i>Price (\$)</i>	<i>Principal (\$)</i>	<i>Charges and/or Interest (\$)</i>		<i>Total Amount (\$)</i>
1,500	3.39	5,085.00	Commission	4.95	5,089.95

For this security:

- Unsolicited trade
- Schwab acted as your agent.

Internal Use Only: 2, E



Exhibit V (Claim No. 800001410)

EXHIBIT V

(Claim No. 800001410)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



400610880001852531
000 0001815 00000000 0001 0002 01460 INS: 0 0

Claim Number: 800001410

Response Deadline: June 11, 2023

May 22, 2023

Notice of Incomplete Proof of Claim Submission
Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Deficiency: No Proof of Unsold (Ending) Holdings.

Your Claim indicates that you may have held common stock of Geron (GERN) as of the close of trading on September 26, 2018; however, you did not provide any documentation showing your unsold (ending) holdings.

How to Resolve: You must provide acceptable documentation for holdings as of the close of trading on September 26, 2018 by the Response Deadline to Epiq at the mailing address provided above. Acceptable documentation will show the possession of the Geron common stock on or after this date or the sale of the Geron common stock after this date.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)



PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

The specific transaction for which you must submit complete documentation is (are) listed below.

Date	Quantity Held
12/24/2018	1,500.00

Ineligibility Condition: No Recognized Loss Amount Unless Other Defects Are Cured. This is NOT a curable deficiency, unless you had additional transactions in Geron common stock during the Class Period (i.e., from March 19, 2018 to September 26, 2018, inclusive) that are not reflected in your Claim.

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which is available on the settlement website and was previously mailed to you), the Claim referenced above does not calculate to a Recognized Loss due to certain defects listed in this letter, and therefore will not be recommended to the Court as eligible to receive a distribution from the Net Settlement Fund. *Please be aware that having a Recognized Loss is not the same as having a market loss. It is possible to have a market loss, in which you experience a loss in value of your shares, and still have no Recognized Loss under the Plan of Allocation.* In addition, if you had an overall market gain from your transactions in Geron common stock during the Class Period, your Recognized Loss is \$0.00.

How to Resolve: You may seek to resolve this condition of ineligibility by resolving the other defects listed in this letter and/or by submitting additional transactions in Geron common stock during the Class Period that were not previously reflected in your Claim and that make your Claim calculate to a Recognized Loss. You must also support any additional transactions with acceptable documentation. You must submit the required documentation by the Response Deadline to Epiq at the address listed above.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: Your Claim must calculate to a Recognized Loss under the Plan of Allocation in order for your Claim to be recommended by Epiq to the Court as potentially eligible to receive a distribution, in the event the Court grants final approval of the Settlement.

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator





Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

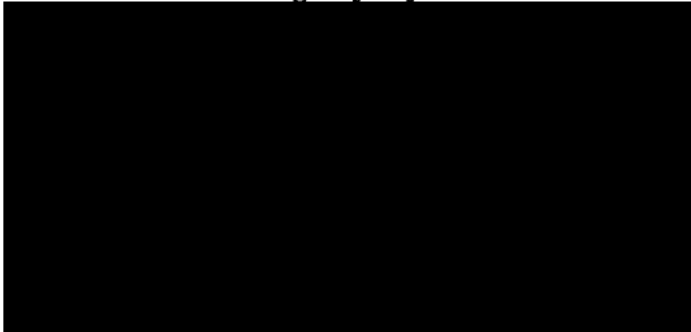
Via Email
info@geronsecuritieslitigation.com

RE: Claim Number 800001410

To whom it may concern:

Per the Notice of Incomplete Proof of Claim Submission and follow-up letter correcting the date for the proof of unsold holdings date, attached please find a copy of the Notice of Incomplete Proof of Claim Submission and documentation supporting the completion of the documentation supporting my Proof of Claim. Specifically, I have attached a copy of the brokerage statement for the month ending September 2018, which is listed as an acceptable form of documentation of holdings as of the close of trading on September 26, 2018.

I specifically request that the Court review the full or partial rejection of my Claim. I have corrected the deficiency, so I am now contesting any rejection of the Claim.



Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesSettlement.com
Email: info@GeronSecuritiesSettlement.com
Phone: (844) 754-5537

[REDACTED]

800001410

IMPORTANT NOTICE

Dear Claimant:

We recently sent you a letter regarding your deficiency, "No Proof of Unsold Holdings," in the Geron Securities Litigation matter in which you filed a claim.

That letter incorrectly stated the date through which to provide documentation as September 26, 2018. The correct date through which to provide documentation is **December 24, 2018**. The correct date is stated in the Settlement Notice in Paragraph 62(D) and Proof of Claim and Release Form in Part II, Question 5. The Settlement Notice and Proof of Claim and Release Form are available for review on the settlement website, www.GeronSecuritiesLitigation.com.

If you have any questions about this notice, please give us a call at (844) 754-5537.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator



Schwab One® Account of
[Redacted]

Account Number
[Redacted]

Statement Period
September 1-30, 2018

Protect your privacy and the environment. Switch to eStatements at www.schwab.com/lesspaper. Visit www.schwab.com/premiumstatement to explore the features and benefits of this statement.

Customer Service and Account Information

Customer Service and Trading:
Call your Schwab Representative
1 (800) 435-4000

Bank Inquiries:
1 (800) 435-4000

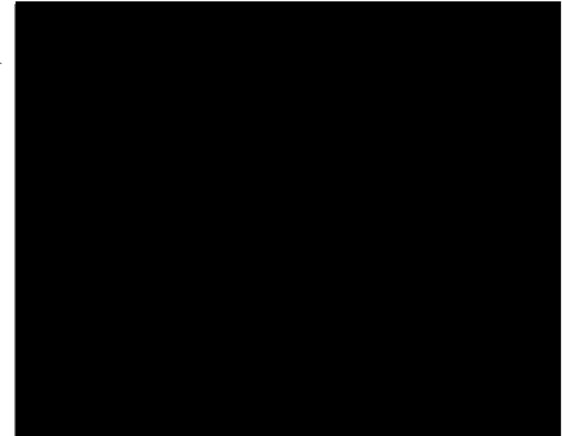
Schwab by Phone™

Automated Services:
1 (800) 435-8804

TeleBroker®:
1 (800) 272-4922

Visit Our Web Site:
schwab.com

Cost Basis Updates:
To provide updates for incomplete cost basis information, please visit schwab.com/gainloss





Schwab One® Account of

Account Number

Statement Period
September 1-30, 2018

Terms and Conditions

GENERAL INFORMATION AND KEY TERMS:

All references to "Schwab" in this document refer to the broker-dealer Charles Schwab & Co., Inc. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings you should verify its content with this statement. Securities, products, and services are not available in all countries and are subject to country specific restrictions.

AIP (Automatic Investment Plan) Customers: Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

Average Daily Balance: Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest. **Bank Sweep Feature and Bank Sweep for Benefit Plans Features:** Schwab acts as your agent and custodian in establishing and maintaining your Bank Sweep and Bank Sweep for Benefit Plans features as a Schwab Cash feature for your brokerage account. Deposit accounts constitute direct obligations of banks affiliated with Schwab and are not obligations of Schwab. Deposit accounts are insured by the FDIC within applicable limits. The balance in the bank deposit accounts can be withdrawn on your order and the proceeds returned to your securities account or remitted to you as provided in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the Bank Sweep for Benefit Plans features, please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

Cash: Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business.

Dividend Reinvestment Customers: Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

Estimated Annual Income: Derived from information provided by outside parties. Schwab cannot guarantee the accuracy of such information. Since the interest and dividends are subject to change at any time, they should not be relied upon for making investment decisions.

Fees and Charges: It is your responsibility, and not Schwab's, to verify the accuracy of all fees. Margin interest charged to your Account during the statement period is included in this section of the statement.

Interest: For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the current month. For the Bank Sweep feature, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month.

If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$0.05, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep

and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$0.05.

Latest Price/Price (Investment Detail Section Only): The most recent price evaluation available on the last business day of the statement period, normally the last trade price or bid. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Pricing of assets not held at Schwab is for informational purposes only. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. For Limited Partnerships (LP) and Real Estate Investment Trust (REIT) securities, you may see that the value reflected on your periodic statement for this security is unpriced. FINRA rules require that certain LP and REIT securities, that have not been priced within 18 months, must show as unpriced on customer statements. Note that these securities are generally illiquid, the value of the securities will be different than its purchase price; if applicable, that accurate valuation information may not be available.

Margin Account Customers: This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection.

Non-Publicly Traded Securities: All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party and Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests.

Option Customers: Be aware of the following: 1) Commissions and other charges related to the execution of option transactions have been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request 2) You should advise us promptly of any material changes in your investment objectives or financial situation 3) Exercise assignment notices for the option contracts are allocated among customer short positions by an automated procedure which randomly selects from among all customer short option positions those contracts which are subject to exercise, including positions established on the day of assignment 4) Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult your tax advisor or IRS publication 550, Investment Income and Expenses, for additional information on Options.

Schwab Sweep Money Funds: Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If, on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your account is less than 1/2 of 1 cent (\$0.005), your account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$0.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower.

Securities Products and Services: Securities products and services are offered by Charles Schwab & Co., Inc., Member SIPC. Securities products

and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features.

Gain (or Loss): Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.

IN CASE OF ERRORS OR DISCREPANCIES: If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call us at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions.

COMPLAINT CONTACT INFORMATION: Complaints about Schwab statements, products or services may be directed to 1-800-435-4000. For clients residing outside of the U.S., call collect +1-415-667-8400. Please send any written complaints to the Client Advocacy Team, 211 Main St., San Francisco, CA 94105, USA.

Address Changes: It is your obligation to keep Schwab informed of any changes in your address, telephone number or other contact information. If you fail to notify Schwab of those changes, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. For assistance, you may contact Schwab at 1-800-435-4000. Clients residing outside of the U.S. may call Schwab collect at +1-415-667-8400.

Additional Information: We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. Schwab or an affiliate acts as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Sweep Funds and as Transaction Services Agent for the Government Money Fund. Schwab or an affiliate is compensated by the Sweep Funds for acting in each of these capacities other than as Distributor. The amount of such compensation is disclosed in the prospectus. Additional information will be provided upon written request. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request.

Any third party trademarks appearing herein are the property of their respective owners. Schwab and its affiliated banks are subsidiaries of The Charles Schwab Corporation. (1017-7MAX)

SIPC has taken the position that it will not cover the balances held in your deposit accounts maintained under programs like our Bank Sweep feature. Please see your Cash Feature Disclosure Statement for more information on insurance coverage. © 2016 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. (0616-1157)



Schwab One® Account of



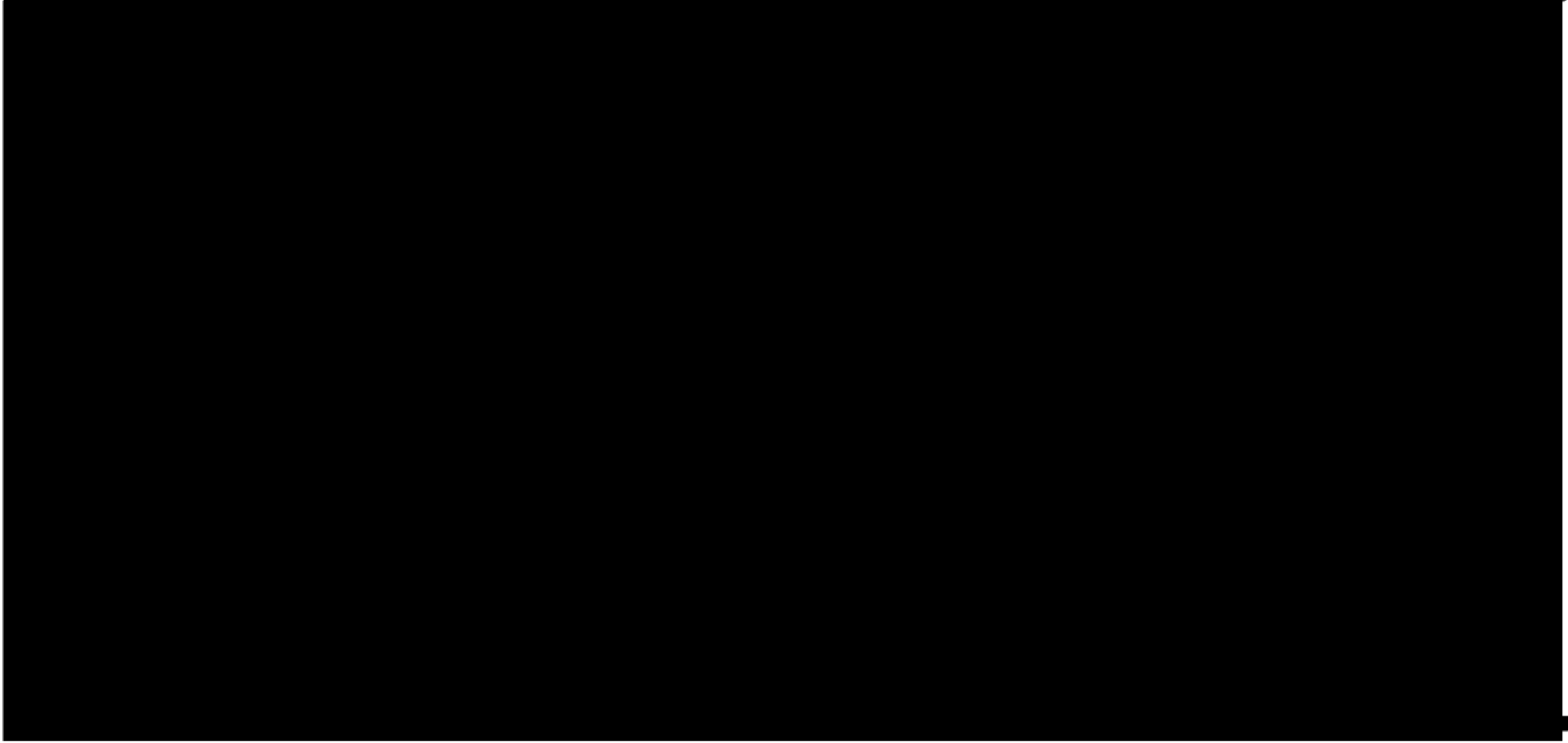
Account Number



Statement Period

September 1-30, 2018

Account Value as of 09/30/2018: \$ 167,075.26





Schwab One® Account of
[REDACTED]

Account Number
[REDACTED]

Statement Period
September 1-30, 2018





Schwab One® Account of
[REDACTED]

Account Number
[REDACTED]

Statement Period
September 1-30, 2018

Cash Transactions Summary

This Period

Year to Date

[REDACTED]

[REDACTED]

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Account of

[Redacted]

Account Number

[Redacted]

Statement Period

September 1-30, 2018

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
[Redacted]							
GERON CORP ^{(M),Q} SYMBOL: GERN	1,500,000	1.76000	2,640.00 5,089.95	2%	(2,449.95)	N/A	N/A
[Redacted]							

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Transaction Detail - Purchases & Sales

Equities Activity

[Redacted]

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Account of
APRIL ANN STRAHAN

Account Number
[REDACTED]

Statement Period
September 1-30, 2018

Transaction Detail - Purchases & Sales (continued)

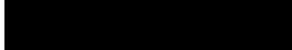
Transaction Detail - Deposits & Withdrawals

The total deposits activity for the statement period was \$5,000.00. The total withdrawals activity for the statement period was \$0.00.

Transaction Detail - Dividends & Interest (including Money Market Fund dividends reinvested)



Schwab One® Account of



Account Number

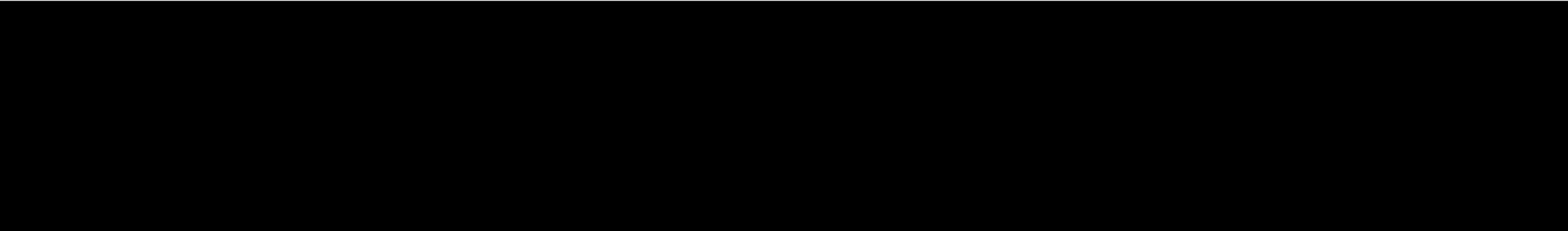


Statement Period

September 1-30, 2018

Bank Sweep Activity

Transaction Date	Transaction	Description	Withdrawal	Deposit	Balance ^{x,z}
------------------	-------------	-------------	------------	---------	------------------------



Bank Sweep: Interest Rate as of 09/28/18 was 0.30%. Your interest period was 08/16/18 - 09/15/18.

Endnotes For Your Account

Symbol Endnote Legend

- (M)** Denotes a security that is marginable. Some mutual fund or ETF investments may not be immediately marginable.
- ◇** Dividends paid on this security will be automatically reinvested.
- X** Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co., Inc.
- Z** For Bank Sweep and Bank Sweep for Benefit Plans features, interest is paid for a period that differs from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its affiliated banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

For information on how Schwab pays its representatives, go to <http://www.schwab.com/compensation>.

[REDACTED]

From: [REDACTED]
Sent: Saturday, June 10, 2023 2:50 PM
To: info_GeronSecuritiesLitigati
Subject: Claim Number 800001410 -- Additional Supporting Documentation
Attachments: 2023.06.09 AAS to Epiq re Addl Proof and Reconsider Claim.pdf

Importance: High
Sensitivity: Confidential

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

To whom it may concern:

Per the Notice of Incomplete Proof of Claim Submission and follow-up letter correcting the date for the proof of unsold holdings date, attached please find a copy of the Notice of Incomplete Proof of Claim Submission and documentation supporting the completion of the documentation supporting my Proof of Claim. Specifically, I have attached a copy of the brokerage statement for the month ending September 2018, which is listed as an acceptable form of documentation of holdings as of the close of trading on September 26, 2018.

I specifically request that the Court review the full or partial rejection of my Claim. I have corrected the deficiency, so I am now contesting any rejection of the Claim.

To whom it may concern:

Per the Notice of Incomplete Proof of Claim Submission and follow-up letter correcting the date for the proof of unsold holdings date, attached please find a copy of the Notice of Incomplete Proof of Claim Submission and documentation supporting the completion of the documentation supporting my Proof of Claim. Specifically, I have attached a copy of the brokerage statement for the month ending September 2018, which is listed as an acceptable form of documentation of holdings as of the close of trading on September 26, 2018.

I specifically request that the Court review the full or partial rejection of my Claim. I have corrected the deficiency, so I am now contesting any rejection of the Claim.

Thank you very much, and please let me know if you need anything further.

[REDACTED]

Exhibit W (Claim No. 800001410)

EXHIBIT W

(Claim No. 800001410)

Exhibit X (Claim No. 8000001463)

EXHIBIT X

(Claim No. 8000001463)

REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

Kindly enclose this Remittance Advice with your cheque(s) made payable to Walker Crips Stockbrokers Limited to settle this and any other purchases

CLIENT NO: [REDACTED]

BARGAIN NO: [REDACTED]

SETT DATE: 26/03/2018

BARGAIN VALUE: [REDACTED]

REMITTANCE CHEQUE: *

* Kindly complete if different from the bargain value above

NB Only sold bargains with the same settlement date may be offset for settlement purposes

EMAIL: [REDACTED]

*** COPY CONTRACT ***
CREST REGISTERED
LON 331

Walker Crips Stockbrokers Limited

Member of the London Stock Exchange, Authorised and Regulated by the Financial Conduct Authority

[REDACTED]
NOMINEE ACCOUNT

TEL: 020 3100 8000
FAX: 020 3100 8805
client.services@wcgplc.co.uk
www.wcgplc.co.uk

WE HAVE THIS DAY BOUGHT ON YOUR BEHALF AS AGENT

CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
22/03/2018	26/03/2018	N085750	B01356886	50000	6.3915	\$ 319575.00
TIME DEALT: 14:42:00						\$ 319575.00
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION.						Client Commission \$ 479.36 Compliance Charge \$ 7.03
						\$ 320061.39



VENUE: OFF MARKET

ORDER TYPE: Market Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

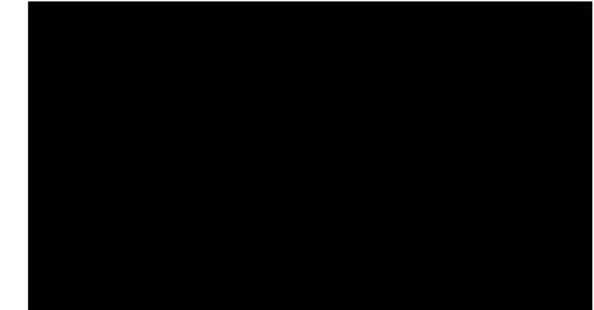
Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

E. & O. E.

REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

Kindly enclose this Remittance Advice with your cheque(s) made payable to Walker Crips Stockbrokers Limited to settle this and any other purchases



REMITTANCE CHEQUE: *

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EMAIL: [Redacted]

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[Redacted]
NOMINEE ACCOUNT

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CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
28/03/2018	03/04/2018	N085750	B01358286	10000	4.23742	\$ 42374.20
TIME DEALT: 15:07:00						\$ 42374.20
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION. GROSS PRICE = USD 4.23 BROKERAGE = USD 60.00						Client Commission \$ 127.12 Compliance Charge \$ 7.07
						\$ 42508.39

VENUE: OFF MARKET
ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

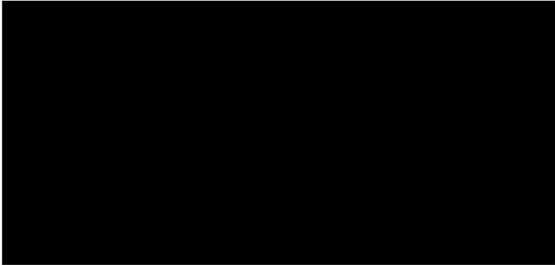
E. & O. E.



REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

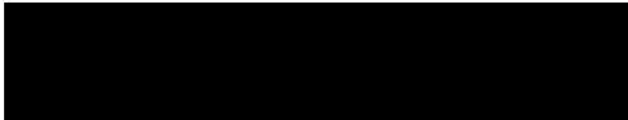
Kindly enclose this Remittance Advice with your cheque(s) made payable to Walker Crips Stockbrokers Limited to settle this and any other purchases



REMITTANCE CHEQUE: *

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CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
28/03/2018	03/04/2018	N085750	B01358291	10000	4.686	\$ 46860.00
TIME DEALT: 13:41:21						\$ 46860.00
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION. GROSS PRICE DEALT AT = USD 3.88 BROKERAGE = USD 60.00						Client Commission \$ 142.00
						Compliance Charge \$ 7.11
						\$ 47009.11

VENUE: THE LONDON STOCK EXCHANGE

ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

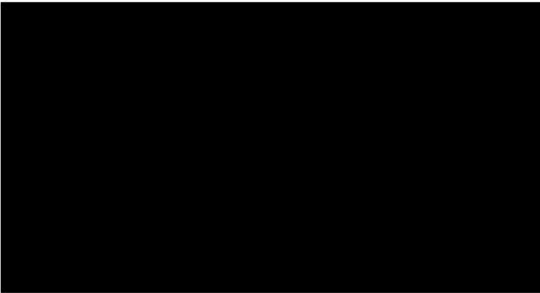
E. & O. E.



REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

Kindly enclose this Remittance Advice with your cheque(s) made payable to Walker Crips Stockbrokers Limited to settle this and any other purchases



REMITTANCE

CHEQUE: *

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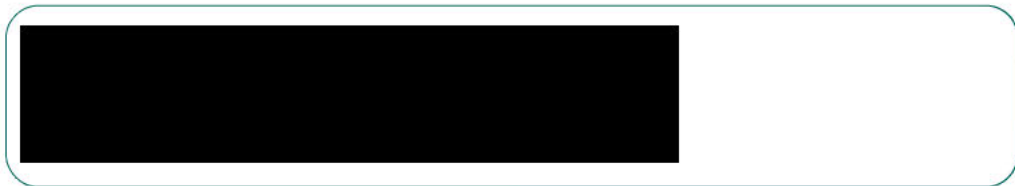
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CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
28/03/2018	03/04/2018	N085750	B01358304	10000	3.9206	\$ 39206.00
TIME DEALT: 15:27:44						\$ 39206.00
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION. GROSS PRICE = USD 3.91 BROKERAGE = USD 60.00						Client Commission \$ 117.62 Compliance Charge \$ 7.07
						\$ 39330.69

Walker Crips Stockbrokers Ltd

VENUE: OFF MARKET
ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

E. & O. E.



REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

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REMITTANCE

CHEQUE: *

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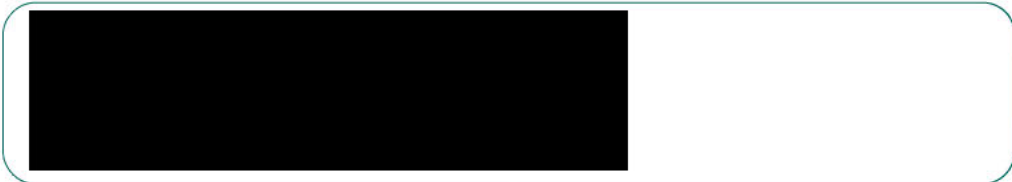
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CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
05/04/2018	09/04/2018	N085750	B01359804	10000	3.706	\$ 37060.00
TIME DEALT: 15:08:53						\$ 37060.00
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION. GROSS PRICE DEALT AT = USD 3.70 BROKERAGE = USD 60.00						Client Commission \$ 140.50
						Compliance Charge \$ 7.04
						\$ 37207.54

Walker Crips Stockbrokers Ltd

VENUE: OFF MARKET

ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

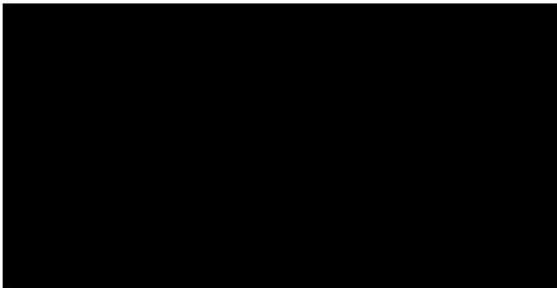
E. & O. E.



REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

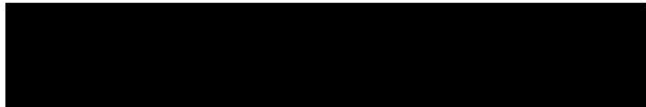
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CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
06/04/2018	10/04/2018	N085750	B01360185	10000	3.52	\$ 35200.00
TIME DEALT: 14:58:06						\$ 35200.00
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION.						Client Commission \$ 140.50
						Compliance Charge \$ 7.00
						\$ 35347.50

Walker Crips Stockbrokers Ltd

VENUE: OFF MARKET

ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

E. & O. E.



Holdings as at: 24/12/2018

Date Generated: 18/11/2022

Account Name: [REDACTED]

Email Address: Not Provided

Risk Profile: (E) Execution Only

Investment Aim: (E) Execution Only

Service Type: (E) Exec. Only

MiFID Category: PROFESSIONAL PER SE-AUTOMATIC

Account Number: 085750

Stock Code	Security Name	A/c	Quantity	Price	Book Cost	Value	Gain/Loss	% Change	Yield	Income	Curr	Price Updated
GERN	GERON CORP COM STK USD0.001	Noms	455,000	1.02	GBP 1,489,039.53	GBP 366,182.74	-1,122,856.79	-75.41	0.00	0	USD	24/12/2018
					1,489,039.53	366,182.74	-1,122,856.79	-75.41	0.00	0		

Cash Position (Gen. A/cs) - GBP			
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Disclaimer:

- 1) This holdings statement is provided for indicative purposes only. If you notice any errors or believe the above data to be incorrect, please contact your Stockbroker/Investment Manager or the Client Services Team.
- 2) Investors should be aware that the value of investments can fall as well as rise and that past performance is not an indication of future performance.
- 3) Prices and income figures within this statement are based on mid-market prices and sourced from Interactive Data, an external statistical agency and no reliance should be placed thereon - data is indicative only.
- 4) Holdings where the original purchase prices are unknown are not included in the Book Cost. From a taxation standpoint Book Cost of holdings should be verified and should not be relied upon.
- 5) Where Stock Status = "NT", this means that these stocks are currently Non-Tradable, they cannot be bought or sold on an Exchange. This could be due to a temporary suspension requested by that company, a withdrawal from listing by that company, or other reasons. For more information, or if you believe this indicator to be incorrect, or if this security is actually tradable on the statement date, please contact your Investment Manager.
- 6) This statement should not be relied upon as providing an investor with proof of holding. Clients should confirm the accuracy of their holdings at the time of giving dealing instructions by either, consulting their own records in the case of certificated holdings, or confirming their entitlement with their Investment Manager / Stockbroker where investments are held under our custody.
- 7) Your investment aim and risk appetite are shown above. If you feel they have changed, please let us know as soon as possible.
- 8) Where holdings are shown under A/c 'Cert', it normally means they are certificated, and the share certificates are held by you and in your own name, and not in the company's nominees account.



CORPORATE RESOLUTION

I hereby certify that at a meeting of the board of directors of [redacted] a company organised and existing under and by virtue of the Laws of England (the "Company"), held the 9th day of February 2023 at which said meeting a quorum was present and acting throughout, the following resolution was adopted and ever since has been and now is in full force and effect.

"RESOLVED THAT the Executive Director of this Company is fully authorised and empowered to transfer, endorse, buy, sell, assign and set over, and deliver any and all shares of common stocks, warrants or other securities in respect of Geron Corporation now or hereafter standing in the name of or owned by the Company and to make, execute and deliver, under the corporate seal of the Company or otherwise any and all written instruments necessary or proper to effectuate the authority hereby conferred.

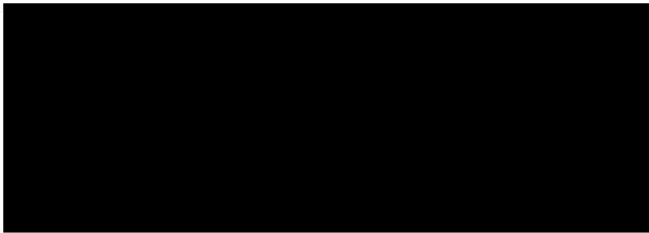
In addition, the Executive Director of this company is empowered to sign the Proof of Claim and Release Form in connection with the Geron Securities Litigation."

I further certify that the authority hereby conferred is not inconsistent with the Charter or any by-laws or special resolutions of the Company, and that the following is a true and correct list of the officers of the Company as of the present date.

Officers:

<i>Name</i>	<i>Office</i>	<i>Signature</i>
[redacted]	[redacted]	[redacted]

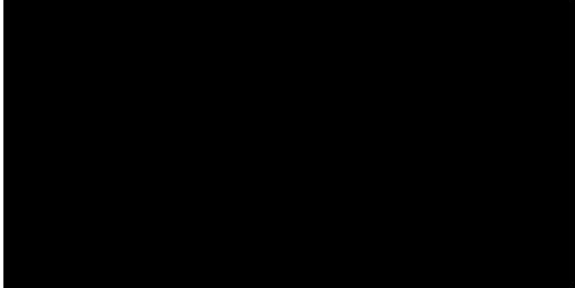
DATED at 11.30 am this Ninth Day of February, 2023



REMITTANCE ADVICE

(Not applicable for Institutional Settlements and Prefunded Transactions)

Kindly enclose this Remittance Advice with your cheque(s) made payable to Walker Crips Stockbrokers Limited to settle this and any other purchases



REMITTANCE CHEQUE: *

.....

* Kindly complete if different from the bargain value above

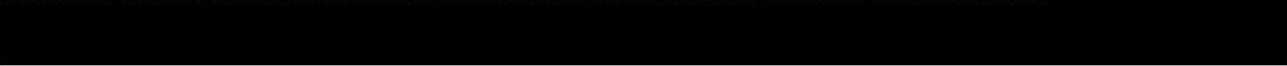
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www.wcgplc.co.uk



WE HAVE THIS DAY BOUGHT ON YOUR BEHALF AS AGENT

CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
10/04/2018	12/04/2018	N085750	B01360874	10000	3.3425	\$ 33425.00
TIME DEALT: 15:36:48						\$ 33425.00
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION.						Client Commission \$ 142.00 Compliance Charge \$ 7.06
						\$ 33574.06

Walker Crips Stockbrokers Ltd

VENUE: OFF MARKET

ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

Please notify us immediately if this contract note does not comply with your instructions. Time(s) of dealing available on request.

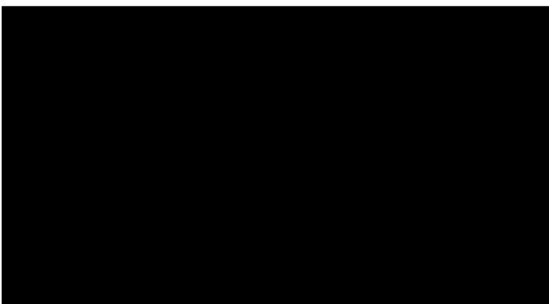
E. & O. E.

Operations & Administration

REMITTANCE ADVICE

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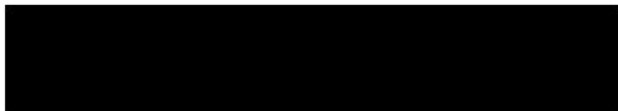


REMITTANCE

CHEQUE: *

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WE HAVE THIS DAY BOUGHT ON YOUR BEHALF AS AGENT

CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION	
11/05/2018	15/05/2018	N085750	B01368942	10000	3.34	\$ 33400.00	
TIME DEALT: 15:42:02						\$ 33400.00	
SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION.						Client Commission	\$ 136.00
						Compliance Charge	\$ 6.73
						\$ 33542.73	

VENUE: OFF MARKET

ORDER TYPE: Limit Order

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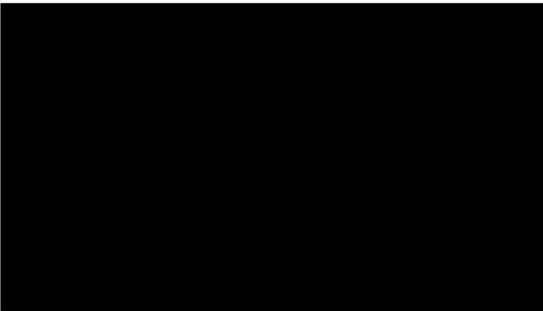
E. & O. E.



REMITTANCE ADVICE

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REMITTANCE

CHEQUE: *

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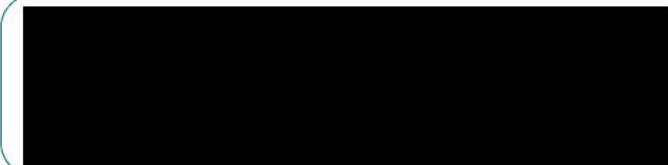
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WE HAVE THIS DAY BOUGHT ON YOUR BEHALF AS AGENT

CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
11/09/2018	13/09/2018	N085750	B01398089	10000	5.806	\$ 58060.00
TIME DEALT: 19:29:36						\$ 58060.00
THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION. SUBJECT TO THE RULES AND REGULATIONS OF A RECOGNISED INVESTMENT EXCHANGE DEALT AT GROSS PRICE = USD 5.80 BROKERAGE = USD 60						Client Commission \$ 174.18 Compliance Charge \$ 6.51
						\$ 58240.69

Walker Crips Stockbrokers Ltd

VENUE: OFF MARKET
ORDER TYPE: Limit Order

You are advised to retain this Contract Note for Capital Gains purposes, indefinitely.

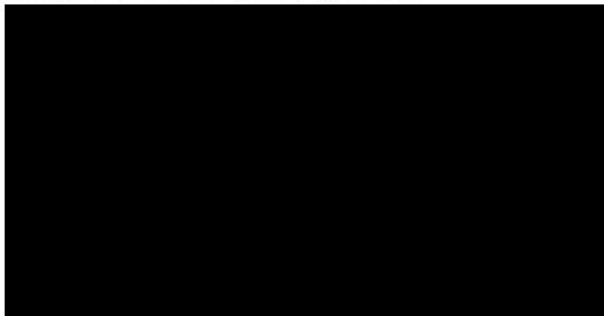
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E. & O. E.

REMITTANCE ADVICE

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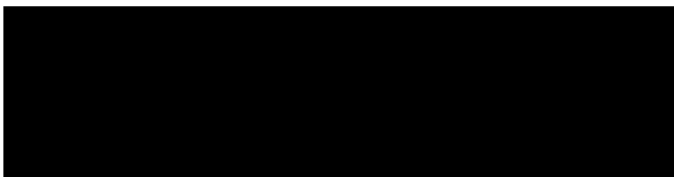


REMITTANCE

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WE HAVE THIS DAY SOLD ON YOUR BEHALF AS AGENT

CONTRACT NOTE

GERON CORP COM STK USD0.001 (2370381)

BARGAIN DATE	FOR SETTLEMENT	CLIENT NO	BARGAIN NO.	QUANTITY	PRICE	CONSIDERATION
12/12/2018	14/12/2018	N085750	S01179583	25000	1.4339812	\$ 35849.53

TIME DEALT: 19:44:37

NET TOTAL PAYABLE IN GBP = £ 28,250.18 (USD = 1.2643026 GBP)
THIS IS AN EXECUTION-ONLY TRANSACTION, AS DEFINED BY THE FCA
NO ADVICE HAS BEEN GIVEN TO THE MERITS OF THIS TRANSACTION.
SUBJECT TO THE RULES AND REGULATIONS OF
A RECOGNISED INVESTMENT EXCHANGE
GROSS PRICE DEALT AT = USD 1.44
BROKERAGE = USD 150.47

Payment Currency £ 28,355.18
Market Currency \$ 35,849.53
Client Commission £ 100.00
Compliance Charge £ 5.00

£ 28250.18

VENUE: OFF MARKET

ORDER TYPE: Limit Order

You are advised to retain this Contract Note for
Capital Gains purposes, indefinitely.

Please notify us immediately if this contract
note does not comply with your instructions.
Time(s) of dealing available on request.

E. & O. E.

IRREVOCABLE POWER OF ATTORNEY - SECURITIES

I, the undersigned hereby sell, assigns and transfers unto

[Redacted]

[Redacted]

fill: (a) for stocks: or (b) for bonds, debentures, notes or other securities

(a) _____ shares of the _____ (class)
(number)

(b) \$ 300,000 COMMON STOCK USDO.0001 Capital Stock
(description of bonds, debentures, notes or other securities)

of GERON CORPORATION
(name of corporation)

standing in the name of the undersigned on the books of the said Corporation:

represented by the certificate(s) number(s)


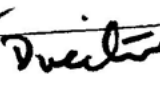
and hereby irrevocably constitutes and appoints _____

(This space should be left blank)

the attorney of the undersigned to transfer the said securities on the books of the said Corporation with full power of substitution in this matter

Dated: 26 September 2018

In the presence of

Witness(es) only Sign here		Person(s) Executing the power sign here	
			

Read carefully

The signature (s) to this assignment must correspond with the name(s) as written upon the face of the certificate (s) in every particular without any change whatever.
The signature (s) of the person(s) executing the power should be guaranteed by a Bank, trust Company or by a member of a recognised Stock Exchange.

Holdings as at: 19/03/2018

Date Generated: 18/11/2022

Account Name: [REDACTED]

Email Address: Not Provided

Risk Profile: (E) Execution Only

Investment Aim: (E) Execution Only

Service Type: (E) Exec. Only

MiFID Category: PROFESSIONAL PER SE-AUTOMATIC

Account Number: 085750

Stock Code	Security Name	A/c	Quantity	Price	Book Cost	Value	Gain/Loss	% Change	Yield	Income	Curr	Price Updated
GERN	GERON CORP COM STK USD0.001	Noms	50,000	4.34	GBP 108,131.16	GBP 154,723.71	46,592.55	43.09	0.00	0	USD	19/03/2018
					108,131.16	154,723.71	46,592.55	43.09	0.00	0		

Cash Position (Gen. A/cs) - GBP	
Cash Capital	-148.03
Net Cash:	-148.03

Currency Code	Rate in GBP	Rate Date
USD	1.4025	19/03/2018

Disclaimer:

- 1) This holdings statement is provided for indicative purposes only. If you notice any errors or believe the above data to be incorrect, please contact your Stockbroker/Investment Manager or the Client Services Team.
- 2) Investors should be aware that the value of investments can fall as well as rise and that past performance is not an indication of future performance.
- 3) Prices and income figures within this statement are based on mid-market prices and sourced from Interactive Data, an external statistical agency and no reliance should be placed thereon - data is indicative only.
- 4) Holdings where the original purchase prices are unknown are not included in the Book Cost. From a taxation standpoint Book Cost of holdings should be verified and should not be relied upon.
- 5) Where Stock Status = "NT", this means that these stocks are currently Non-Tradable, they cannot be bought or sold on an Exchange. This could be due to a temporary suspension requested by that company, a withdrawal from listing by that company, or other reasons. For more information, or if you believe this indicator to be incorrect, or if this security is actually tradable on the statement date, please contact your Investment Manager.
- 6) This statement should not be relied upon as providing an investor with proof of holding. Clients should confirm the accuracy of their holdings at the time of giving dealing instructions by either, consulting their own records in the case of certificated holdings, or confirming their entitlement with their Investment Manager / Stockbroker where investments are held under our custody.
- 7) Your investment aim and risk appetite are shown above. If you feel they have changed, please let us know as soon as possible.
- 8) Where holdings are shown under A/c 'Cert', it normally means they are certificated, and the share certificates are held by you and in your own name, and not in the company's nominees account.

Exhibit Y (Claim No. 8000001463)

EXHIBIT Y

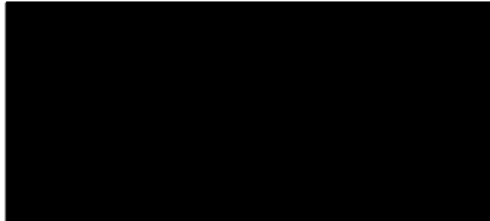
(Claim No. 8000001463)

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

Website: www.GeronSecuritiesLitigation.com
Email: info@GeronSecuritiesLitigation.com
Phone: (844) 754-5537



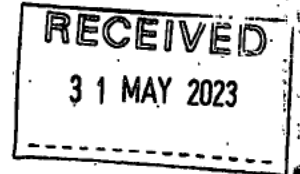
400610860001853174
000 0000109 00000000 0001 0001 00068 INS: 0 0



Claim Number: 800001463

Response Deadline: June 11, 2023

May 22, 2023



Notice of Incomplete Proof of Claim Submission

Julia Junge and Richard Junge v. Geron Corp. et al. Case No. 20-cv-00547-WHA (N.D. Cal.)

Dear Claimant:

We received the Proof of Claim and Release Form ("Claim") that you submitted in connection with the Geron Securities Litigation pending before the Honorable William H. Alsup of the Northern District of California (the "Court"). This letter relates only to the claim number indicated above.

We have determined, based on our review of your Claim, that the Claim is deficient (which may be curable) or ineligible for the reason(s) identified below.

In order to resolve the condition(s) within your Claim, you must submit a written response (with any required documentation), as specified below, postmarked or emailed by 11:59 p.m. PST, no later than 20 days from the date of this letter (the "Response Deadline"). Your Response Deadline is printed at the top of this notice. Please include a copy of this notice with your response. **If you fail to respond by the Response Deadline set forth above or if your response fails to cure the condition(s) identified below, Epiq Class Action & Claims Solutions, Inc. (the Claims Administrator appointed by the Court) will recommend to Lead Counsel and the Court that this Claim be rejected, in whole or in part, to the extent that those conditions remain uncured. As set forth in the Settlement Notice (available on the above listed website), the Court has reserved jurisdiction to allow, disallow, or adjust on equitable grounds the Claim of any Class Member.**

PLEASE NOTE: This is the only notice you will receive with respect to this Claim. If you would like an update about the status of your Claim after you respond, please contact us using the information set forth below.

Deficiency: Received Shares/Transfers.

You listed trades on your Proof of Claim form that are transfers of securities and not open-market transactions.

How to Resolve: In order for the common stock of Geron (GERN) transferred into your account to factor into the calculation of your Recognized Loss under the Plan of Allocation, you must provide documentation to show that the Geron common stock you received was purchased during the Class Period from March 19, 2018 to September 26, 2018, inclusive. This documentation must be submitted by the Response Deadline to Epiq at the mailing address provided above.

Acceptable documentation includes securities brokers' confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional and holding information found in a broker confirmation slip or account statement. (Self-generated documents are not acceptable. Please keep a copy of the documents you submit, and do not submit originals as they will not be returned.)

AI3041 v.10

AS-IS
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PLEASE NOTE: The Settlement encompasses Geron common stock only.

PLEASE NOTE: If you previously submitted documentation with your Claim, please do not merely resubmit the same documentation, as it was deemed deficient. You will need to provide additional documentation to seek to cure the deficiency in your Claim.

PLEASE NOTE: If you do not have additional documentation, or if you want the transferred Geron common stock to be used to balance your Claim only, you do not need to respond to this deficiency. If you do not respond, the transferred shares will be rejected, along with any corresponding transaction(s), following First-In-First-Out ("FIFO") matching, and such shares and corresponding transaction(s) will not be considered in the calculation of your Claim's Recognized Loss pursuant to the Plan of Allocation. Your Claim will still be processed to the extent otherwise eligible as a partial Claim.

The specific transaction(s) for which this information is required is (are) listed in the chart below.

Date	Type of Transaction	Quantity
09/26/2018	Received Shares	300000

To resolve any of the conditions listed above, please follow the directions stated above. Your Claim must also calculate to a Recognized Loss under the Court-approved Plan of Allocation set forth in the Settlement Notice in order to be included in the list of potentially eligible Claims. Epiq performs that calculation, and therefore the requested information is required. If you disagree with the conditions identified in this notice, you may contact us for assistance and/or to make a request that we present your Claim for Court review of our administrative determination regarding your Claim.

To request Court review of our determination, you must send a letter to Epiq at the mailing address listed above, and postmarked by 11:59 p.m. PST, no later than 20 days from the date of this notice, *i.e.* the Response Deadline. Your letter must (1) include a copy of this notice; (2) specifically state that you request Court review of the full or partial rejection of the Claim; (3) state your argument(s) for why you are contesting the full or partial rejection of the Claim; and (4) include any and all documentation supporting your argument(s). **PLEASE NOTE: REQUESTING THAT EPIQ PROVIDE THE COURT WITH INFORMATION ON YOUR REQUEST FOR REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE CLAIMS ADMINISTRATOR'S DETERMINATION REGARDING YOUR CLAIM AND WISH TO HAVE THE COURT REVIEW YOUR CLAIM.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at the toll-free number or email address noted above. More information may also be found at www.GeronSecuritiesLitigation.com, however, please do note that online claim filing is closed because the deadline has passed.

Please note that this letter is to be read in conjunction with the Settlement Notice, Supplemental Settlement Notice, Stipulation and Agreement of Settlement, Proof of Claim and Release Form and other important case documents available on the website listed above. In addition, this letter does not indicate that the Court will grant final approval of the Settlement, or that any particular distribution will be made based on the Claim submitted.

Sincerely,
Epiq Class Action & Claims Solutions, Inc.
Geron Securities Litigation
Claims Administrator

AI3042 v.10

AS-IS

Document Control

BY EMAIL and Post

Geron Securities Litigation
c/o Epiq
PO Box 4574
Portland, OR 97208-4574

9 June 2023

Re: Notice of Incomplete Proof of Claim Submission
Claim Number 800001463

Dear Sirs,

We refer to your letter of 22nd May, 2023 and are writing to request Court review of the partial rejection (300,000 shares) of our Claim.

The holding of Geron shares in question which has been determined as deficient by the Claims Administrator is the result of an arm's length transfer at open market price between two corporations on an off-market basis, with the result of the transfer being recorded by both entities' brokers in their respective brokerage accounts.

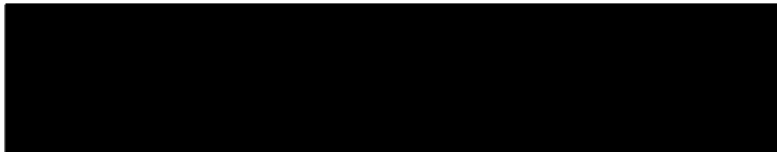
Attached are the broker's account statements as at 25th September, 2018 and 26th September, 2018 which demonstrate the purchase of 300,000 of Geron shares at US\$6.38 (£4.85) on 26th September, 2018 at the market price. The cost of the holding of Geron shares increased by £1,455,000 from £512,441 to £1,967,441 and the holding increased from 180,000 shares to 480,000 shares.

We should be grateful if you would now kindly review and reverse your determination on the deficiency of this transaction.

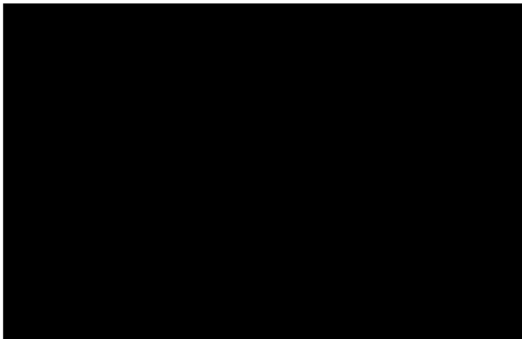
Yours faithfully,



Directors
Jonathan C WOOLF
Keith J Williams (Director/Secretary)



WALKERCRIPS

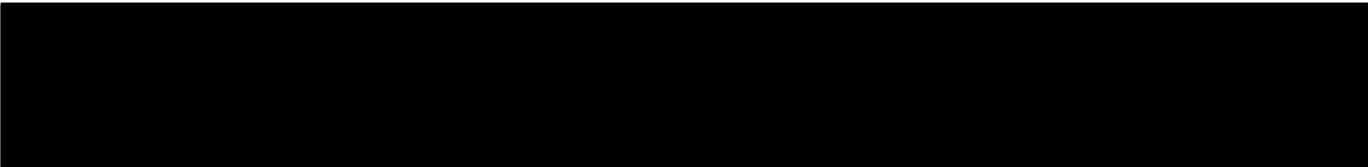


Portfolio Summary
Value as at 25-Sep-2018
Valued in Currency: GBP

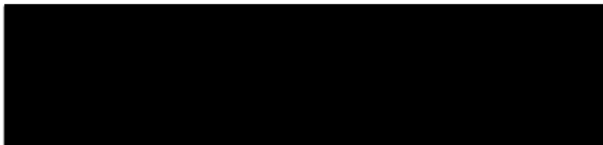


Investment Aim: EXECUTION ONLY
Risk Profile: EXECUTION ONLY
Service Type: EXECUTION ONLY
Mifid Category: PROFESSIONAL
Exec: NICK JACOBS-J WOOLF - 331

	Value
<hr/>	
<u>Dealing Account</u>	
Stock	872,445
Cash (Sterling equivalent - cash can be made up of multiple currencies.) Capital	-4
<hr/>	
Total	872,441
<hr/>	

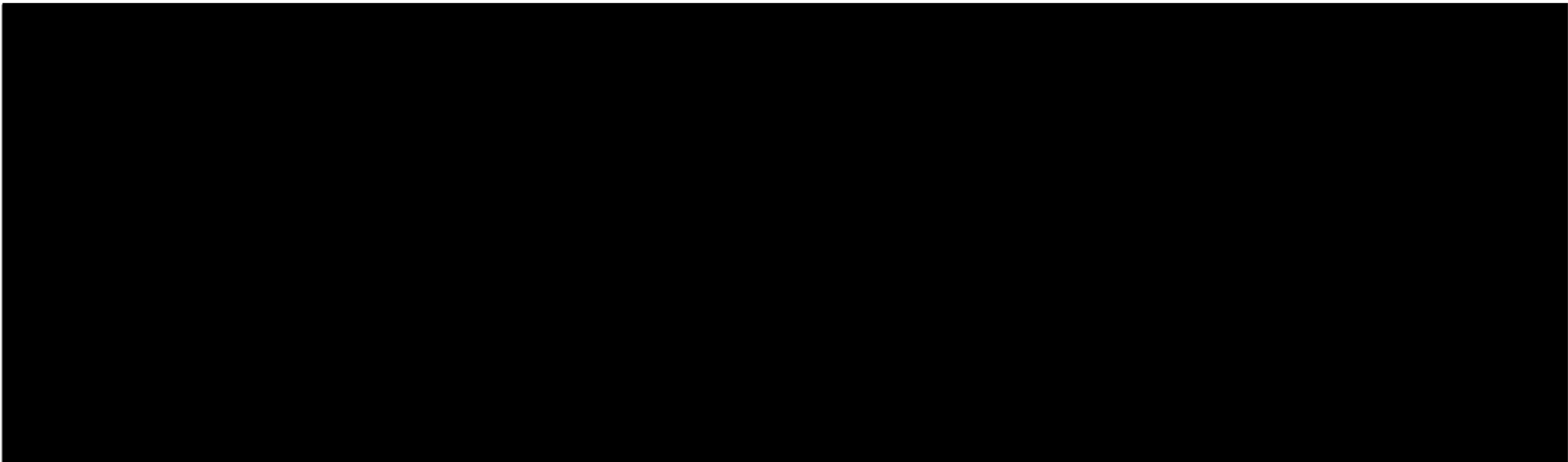


WALKERCRIPS



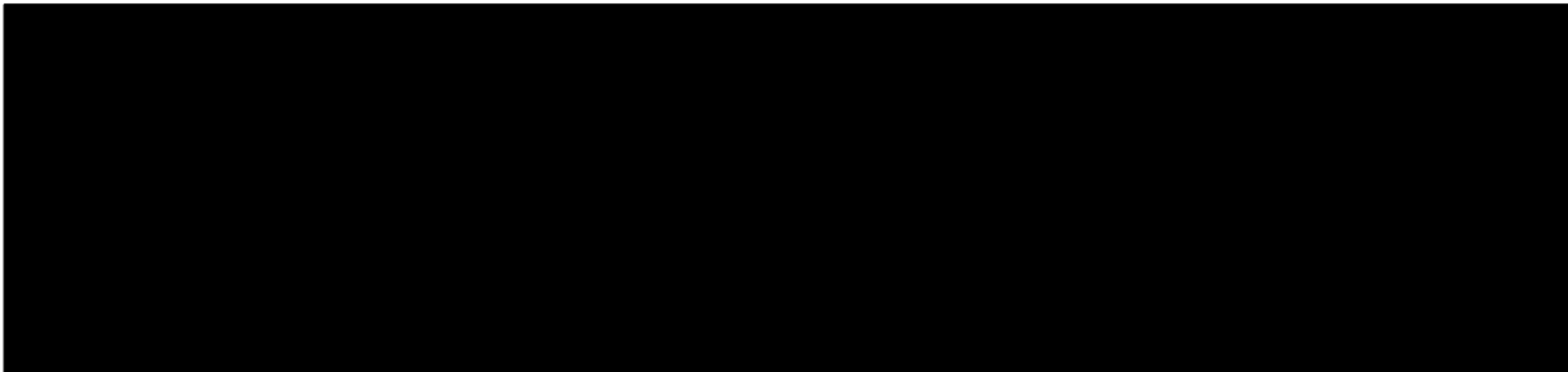
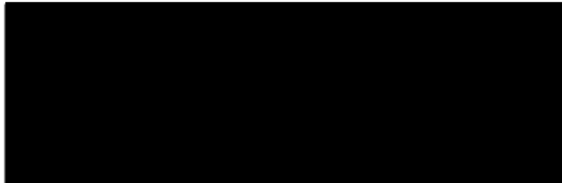
Portfolio Valuation as at 25-Sep-2018
 Dealing Account
 Valued in Currency: GBP

Investment	Quantity	Book Cost	Current Price	Current Value	Income	Yield %	Dividend Dates (Indicative)	Account
PHARMACEUTICALS								
GERON CORP COM STK USD0.001	180,000	512,441	\$6.38	872,445	0	0.00		N
STOCK TOTAL		512,441		872,445				





Sector Weightings as at 25-Sep-2018



Walker Crips Investment Management

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WALKERCRIPS

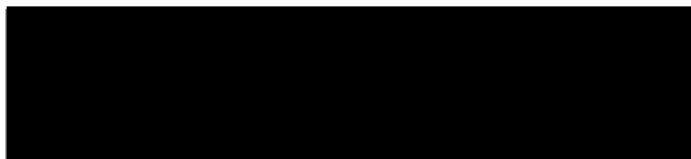
Sector Weightings as at 25-Sep-2018



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Indices Values

Index Name	Index Level	Index Level	Percentage Change
[Redacted]	[Redacted]	[Redacted]	[Redacted]

Please note that the index provider behind the WMA benchmark series has changed from FTSE to MSCI. With effect from 01st April 2017, we are therefore using the WMA indices prepared by MSCI for benchmark comparisons. As a result, all historic data has been recalculated using the MSCI Indices.

Important Information

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Within this valuation income and yield figures are based on mid-market prices sourced from Interactive Data (Europe) Ltd, an external statistical agency, and are quoted as at the close of business on the valuation date, or the last business day before the date of valuation. Some holdings may be priced on a less frequent basis in which case the latest available price will be used.

No warranty or representation is made as to the correctness, completeness and accuracy of information provided to Walker Crips Investment Management Limited from independent sources and no reliance should be placed thereon. Such data is indicative only.

Unquoted holdings are valued at prices obtained from accredited sources which can be supplied on request. Walker Crips Investment Management Limited accepts no responsibility for the accuracy of prices of unmarketable securities.

Where the original purchase price of a holding is unknown it is not included in the Book Cost. For taxation purposes, the Book Cost of a holding should be verified and should not be relied upon.

Income and yield data is shown gross and, where appropriate, includes Tax Credit. You should note that, under current regulations, Tax Credit is not recoverable within an ISA.

Past performance is not indicative and not a guarantee of future performance. The value of any investment, and the income from it, can rise and fall with movements in stock markets, currencies and interests. These can move irrationally and can be affected unpredictably by diverse factors, including political and economic events.

Where an investment is denominated in a foreign currency, changes in exchange rates may cause your investment, or income, to go up or down.

Walker Crips Group (WCG), including its subsidiaries, is not authorised to provide tax advice. Any information on taxation, including Capital Gains Tax figures, is provided on a best endeavours basis and WCG will not be held liable for any errors or omissions. If you are in any doubt about your tax position, or if you require any advice on tax, please seek advice from a tax specialist.

Where you have advised us of your investment aims and risks, and service type, these will be shown on the Contents or Summary page. If you feel they have changed, please let us know as soon as possible.

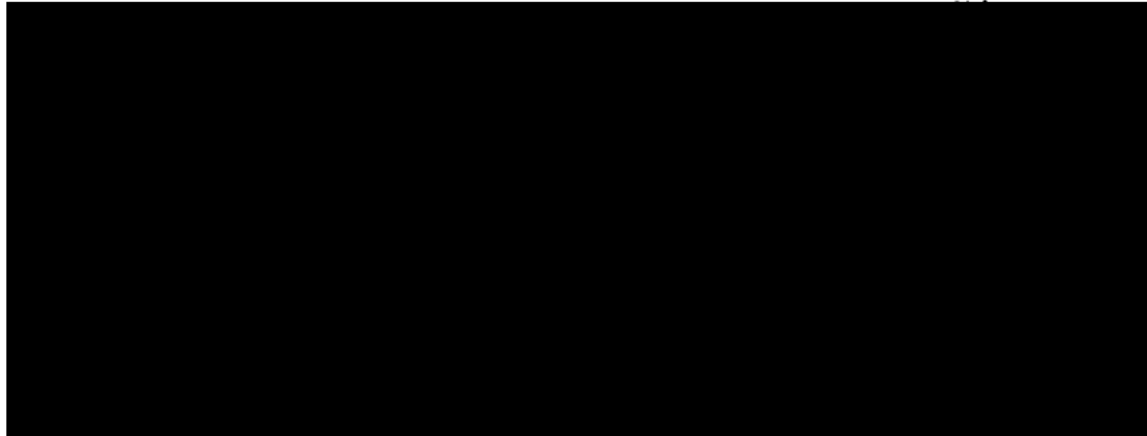
Investment Trusts: Investment in small market capital securities can cause volatile movements in the share price. Movements in the price of investment companies might be more volatile than movements in the price of the underlying securities. Before investing in Investment Trusts it is important for clients to assess the level of risk attributable to a particular fund which can be significantly higher when a Trust is capable of extending gearing of the fund. This is especially the case for Split Level Trusts where the Capital shares may fall behind the Income and Zero Dividend shares in terms of repayment priority. Investors are strongly advised to consult with their Advisor to discuss risk levels and whether the particular Investment Trust is suitable for their financial circumstances.

E & O E.

WALKERCRIPS



Portfolio Summary
Value as at 26-Sep-2018
Valued in Currency: GBP

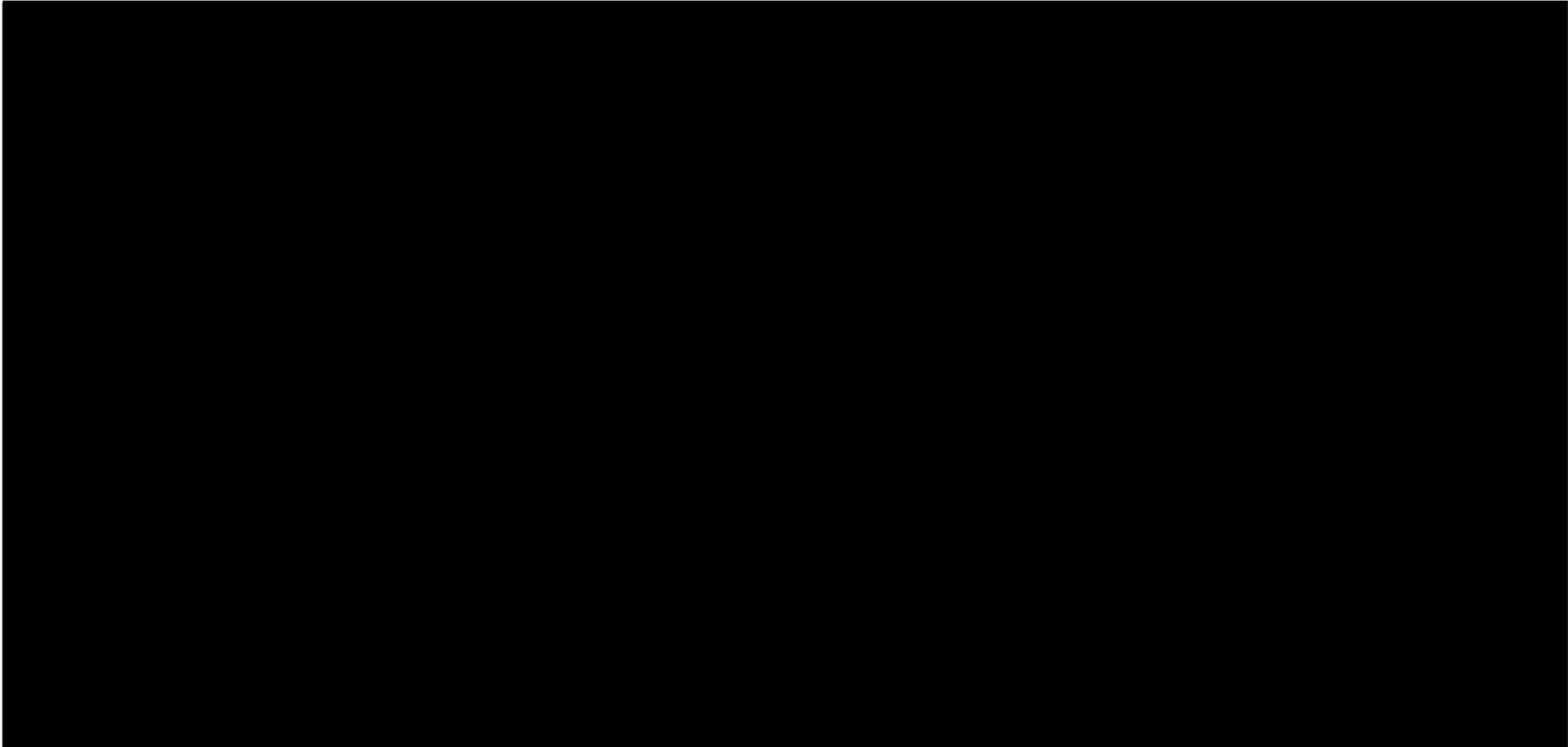


Walker Crips Investment Management
Old Change House, 128 Queen Victoria Street, London EC4V 4BJ | 020 3100 8100 | client.services@wcpic.co.uk | walkercrips.co.uk

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WALKERCRIPS

Portfolio Valuation as at 26-Sep-2018
Dealing Account
Valued in Currency: GBP



WALKERCRIPS



Sector Weightings as at 26-Sep-2018



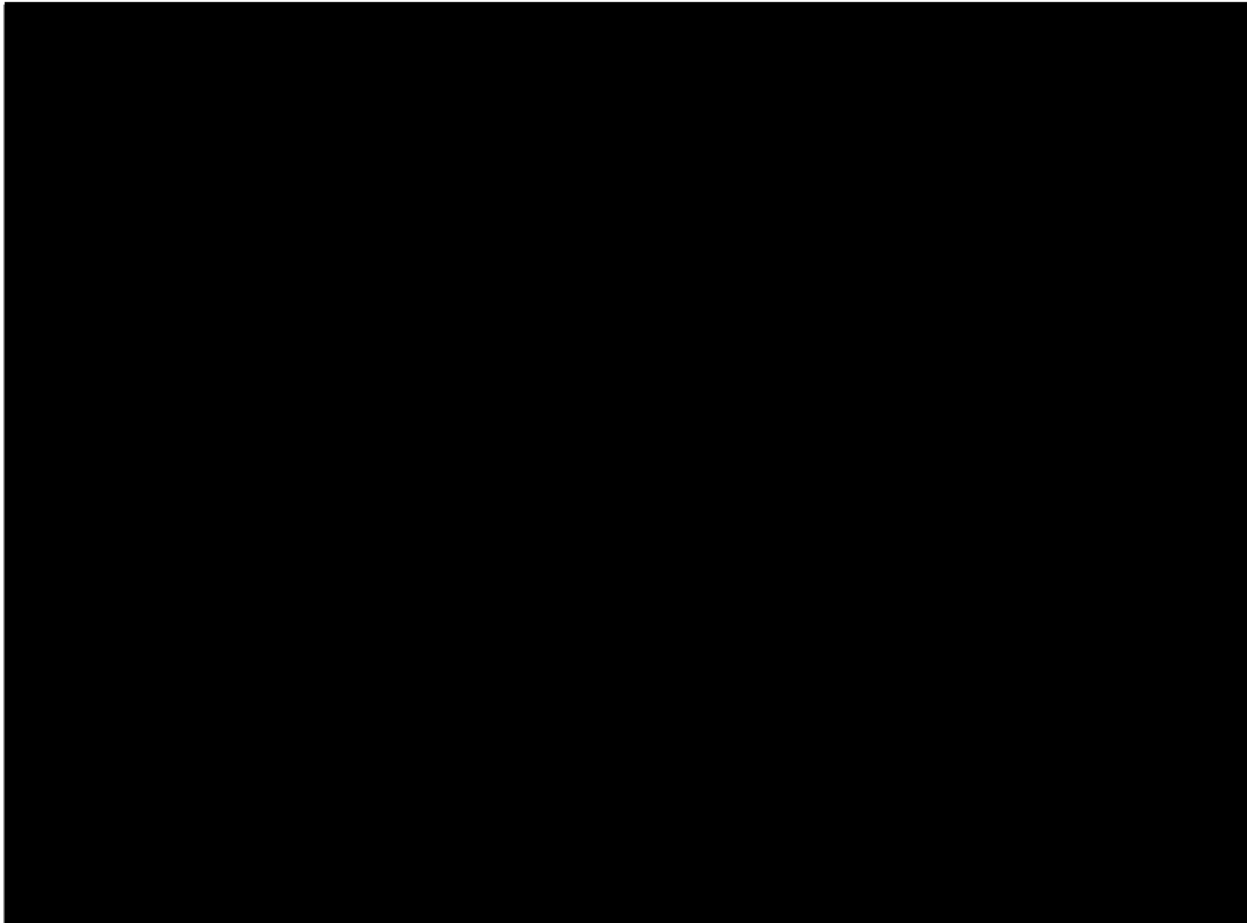
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WALKERCRIPS

Sector Weightings as at 26-Sep-2018

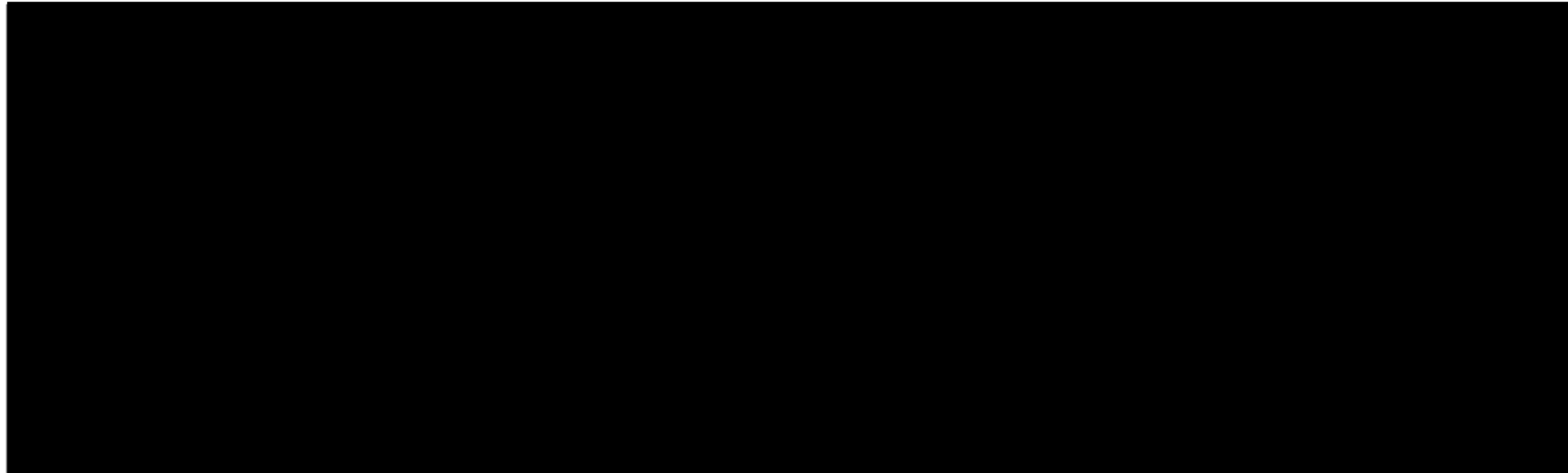


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WALKERCRIPS



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SECOND BRITAM INVESTMENTS LIMITED

REF: 085750

Important Information

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E & O E.

Walker Crips Investment Management

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Complete in BLOCK CAPITALS .			May be opened officially
Further guidance at royalmail.com/customs			Great Britain
Sender's Name	[REDACTED]		
Sender's Address & Postcode	[REDACTED]		
Contents Tick all that apply	<input checked="" type="checkbox"/> Documents	<input type="checkbox"/> Commercial Sample	<input type="checkbox"/> Returned Goods
	<input type="checkbox"/> Sale of Goods	<input type="checkbox"/> Other:	
Description of contents	Quantity	Weight (kg)	Value (GBP)
Documents + Letters		131 g	/
Total		131	
Commercial items only: If known enter HS tariff no. & origin			
If applicable, VAT reg number			
I, the undersigned, whose name and address are given on the item, certify that the particulars given in this declaration are correct and that this item does not contain any dangerous article or articles prohibited by legislation or by postal or customs regulations.			
Sign & Date	09/06/23 [Signature]		
CN22A (Jan 20)			

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COPY

Exhibit Z (Claim No. 8000001463)

EXHIBIT Z

(Claim No. 8000001463)

Webb, Susanna

From: info_GeronSecuritiesLitigati
Sent: Friday, October 27, 2023 4:00 PM
To: [REDACTED]
Cc: [REDACTED]; Webb, Susanna
Subject: Geron Securities Settlement- Claim Number 800001463

Dear [REDACTED]

As confirmed by Mr. Campisi of Kaplan Fox & Kilsheimer LLP last week, the documentation you have now provided in response to the Notice of Incomplete Proof of Claim Form has been reviewed by Epiq Class Action and Claims Solutions, Inc. ("Epiq") and it cures your claim's deficiencies. Epiq is now able to report your claim is in good standing and will be recommended to the Court for acceptance. Although subject to change, your current Recognized Loss amount under the Plan of Allocation in the Settlement is approximately \$1.1 Million USD. Unless we hear otherwise, we will consider your request for Court review withdrawn.

Thank you,

Susanna Webb

Geron Securities Settlement

Claims Administrator

EXHIBIT AA

EXHIBIT AA-1

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1	530000835		\$ 11,495,500.98
2	530001312		\$ 4,390,313.82
3	530001308		\$ 2,275,123.47
4	530001330		\$ 1,501,383.62
5	530001311		\$ 1,390,524.84
6	530000754		\$ 1,281,482.26
7	800001463		\$ 1,130,013.25
8	530001329		\$ 870,753.48
9	530001226		\$ 860,144.09
10	688		\$ 664,090.95
11	530000979		\$ 590,954.82
12	530000868		\$ 407,032.59
13	530001040		\$ 381,843.74
14	530000133		\$ 370,178.98
15	530001181		\$ 365,388.21
16	530002201		\$ 365,388.21
17	1468		\$ 287,142.40
18	800000561		\$ 284,126.39
19	1681		\$ 283,643.34
20	530000224		\$ 281,894.77
21	117		\$ 270,435.37
22	530001553		\$ 255,260.32
23	1794		\$ 253,274.27
24	1281		\$ 251,130.70
25	530002189		\$ 245,700.00
26	800002705		\$ 236,847.55

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
27	530001744		\$ 225,531.23
28	530001309		\$ 221,621.46
29	530000057		\$ 216,396.44
30	530000757		\$ 204,609.13
31	1926		\$ 201,912.29
32	530001305		\$ 192,700.33
33	530000022		\$ 181,719.72
34	530000166		\$ 178,334.82
35	800001035		\$ 177,474.27
36	800001343		\$ 175,320.00
37	800001922		\$ 175,270.10
38	1421		\$ 170,753.18
39	800001490		\$ 170,134.55
40	800000777		\$ 166,158.46
41	530001179		\$ 165,640.56
42	530002199		\$ 165,640.56
43	2		\$ 165,404.48
44	530002093		\$ 165,233.22
45	530001325		\$ 163,984.42
46	530000851		\$ 163,106.10
47	530000387		\$ 161,348.06
48	1677		\$ 159,435.11
49	800000245		\$ 155,544.79
50	800001600		\$ 154,259.15
51	530000215		\$ 152,736.41
52	800003015		\$ 150,304.12
53	530001199		\$ 150,024.32
54	1894		\$ 144,817.50
55	530000707		\$ 143,753.28

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
56	530001246		\$ 142,008.68
57	530000195		\$ 140,483.22
58	391		\$ 140,474.79
59	530000198		\$ 136,427.64
60	800001151		\$ 136,125.00
61	1608		\$ 134,880.00
62	800000598		\$ 134,739.95
63	530000756		\$ 134,665.21
64	530001250		\$ 131,922.59
65	800001428		\$ 130,866.18
66	800001266		\$ 130,716.18
67	800000452		\$ 130,057.65
68	530000103		\$ 129,937.57
69	1769		\$ 127,098.35
70	530000302		\$ 126,900.00
71	530001178		\$ 126,786.56
72	530002198		\$ 126,786.56
73	530000848		\$ 124,798.34
74	1920		\$ 123,857.10
75	530000861		\$ 122,958.00
76	530000850		\$ 122,454.51
77	530000011		\$ 121,606.98
78	800000360		\$ 120,595.95
79	530000202		\$ 120,287.17
80	530001321		\$ 120,254.65
81	530002146		\$ 119,977.55
82	800001006		\$ 118,738.54

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
83	530000865		\$ 117,404.90
84	3		\$ 114,539.74
85	530001165		\$ 113,155.08
86	530002136		\$ 110,613.25
87	800000435		\$ 110,436.00
88	1805		\$ 110,261.33
89	800002848		\$ 110,239.50
90	530000064		\$ 109,752.59
91	800000539		\$ 108,766.19
92	800001516		\$ 107,940.78
93	530001248		\$ 107,082.30
94	2003		\$ 106,342.95
95	800001630		\$ 105,482.70
96	530000973		\$ 105,303.30
97	1687		\$ 103,320.00
98	340		\$ 102,816.42
99	530001490		\$ 102,227.40
100	530002127		\$ 101,412.00
101	530001225		\$ 98,475.74
102	530001915		\$ 96,993.10
103	401		\$ 96,990.38
104	800000569		\$ 95,917.14
105	800001853		\$ 95,884.61
106	800001311		\$ 94,306.85
107	464		\$ 93,711.60
108	758		\$ 92,292.75
109	530001223		\$ 91,603.63
110	530001261		\$ 90,976.14

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
111	226		\$ 89,665.96
112	841		\$ 89,559.90
113	808		\$ 89,374.09
114	800001681		\$ 88,922.05
115	800000765		\$ 86,922.68
116	800001426		\$ 86,650.13
117	800000920		\$ 85,972.50
118	530001326		\$ 85,738.21
119	1159		\$ 85,650.75
120	2159		\$ 85,230.60
121	800000565		\$ 84,844.80
122	530001247		\$ 84,151.44
123	800002866		\$ 84,053.59
124	530000407		\$ 83,570.99
125	800001706		\$ 83,043.45
126	1234		\$ 82,798.60
127	800000673		\$ 79,892.40
128	800001675		\$ 78,882.99
129	530002094		\$ 78,498.55
130	2051		\$ 78,086.33
131	800002588		\$ 77,151.33
132	530001816		\$ 75,883.50
133	1274		\$ 75,881.50
134	530001352		\$ 75,314.67
135	800000815		\$ 74,181.98
136	530001412		\$ 73,665.41
137	800001588		\$ 73,173.48
138	800000349		\$ 71,994.15
139	800001440		\$ 71,340.00
140	530000024		\$ 69,901.27
141	800003041		\$ 69,592.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
142	800000252		\$ 69,126.00
143	530001209		\$ 69,039.43
144	593		\$ 68,986.11
145	1593		\$ 68,880.00
146	1007		\$ 68,578.00
147	530000386		\$ 68,396.18
148	800002575		\$ 68,062.50
149	1803		\$ 67,713.41
150	530000388		\$ 67,502.04
151	1069		\$ 67,440.00
152	800003040		\$ 67,256.00
153	780		\$ 67,143.63
154	530001245		\$ 65,937.55
155	1585		\$ 65,889.18
156	691		\$ 63,703.33
157	530001327		\$ 63,012.15
158	702		\$ 62,630.82
159	1851		\$ 62,450.64
160	800000954		\$ 62,077.26
161	800000830		\$ 61,913.25
162	1399		\$ 61,853.69
163	1736		\$ 61,677.09
164	1821		\$ 61,500.00
165	1588		\$ 61,422.45
166	530001244		\$ 61,243.65
167	530000643		\$ 61,086.38
168	1591		\$ 60,997.68
169	800000376		\$ 60,308.99
170	530000667		\$ 59,843.37

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
171	800001209		\$ 59,836.27
172	530000630		\$ 59,802.60
173	2019		\$ 59,389.04
174	274		\$ 59,343.86
175	530000354		\$ 59,123.23
176	669		\$ 58,253.63
177	800003073		\$ 57,704.43
178	800001318		\$ 56,939.29
179	530001115		\$ 56,746.80
180	800001365		\$ 56,287.45
181	1574		\$ 56,200.00
182	800002701		\$ 55,752.30
183	530001653		\$ 55,596.60
184	530000636		\$ 54,942.81
185	283		\$ 54,602.33
186	530000859		\$ 54,220.50
187	169		\$ 54,157.51
188	1027		\$ 54,030.11
189	800002824		\$ 53,596.60
190	800002585		\$ 53,262.01
191	530001328		\$ 52,975.90
192	530002130		\$ 52,812.00
193	530000396		\$ 52,500.06
194	800001549		\$ 52,308.26
195	1785		\$ 51,910.32
196	530001232		\$ 51,857.37
197	800001016		\$ 51,728.96
198	800001283		\$ 51,661.83

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
199	800001132		\$ 51,525.00
200	530001323		\$ 51,498.72
201	530000200		\$ 51,421.50
202	1438		\$ 51,245.55
203	530001310		\$ 50,609.07
204	1857		\$ 50,580.00
205	4		\$ 50,469.63
206	1005		\$ 50,433.30
207	530002135		\$ 50,301.00
208	530000227		\$ 50,257.93
209	800001407		\$ 50,214.47
210	530000852		\$ 49,708.50
211	642		\$ 49,654.19
212	530000168		\$ 49,085.95
213	800000943		\$ 48,276.23
214	1584		\$ 48,066.30
215	333		\$ 47,961.25
216	2032		\$ 47,800.91
217	812		\$ 47,770.00
218	1238		\$ 47,598.64
219	530001648		\$ 47,241.90
220	412		\$ 47,225.89
221	530001480		\$ 47,144.70
222	798		\$ 47,065.05
223	530001820		\$ 46,800.00
224	800001587		\$ 46,710.00
225	800002949		\$ 46,710.00
226	530001718		\$ 46,572.80
227	800000672		\$ 46,442.45

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
228	800002870		\$ 45,895.40
229	1781		\$ 45,826.78
230	800000935		\$ 45,637.45
231	725		\$ 45,558.43
232	530001550		\$ 45,387.00
233	530001219		\$ 45,123.75
234	800002591		\$ 45,032.60
235	1401		\$ 44,712.00
236	1479		\$ 44,082.00
237	530000317		\$ 44,000.87
238	530001802		\$ 43,888.50
239	800002219		\$ 43,887.41
240	530001765		\$ 43,813.08
241	800003048		\$ 43,718.51
242	1889		\$ 43,578.54
243	530001764		\$ 43,573.64
244	2235		\$ 43,522.01
245	530001902		\$ 43,427.84
246	530000251		\$ 43,361.52
247	530000368		\$ 43,256.64
248	530001647		\$ 43,150.95
249	530001901		\$ 43,076.79
250	800000215		\$ 43,032.23
251	1886		\$ 42,766.79
252	530001763		\$ 42,678.59
253	530001819		\$ 42,037.92
254	800002937		\$ 42,028.34
255	530001825		\$ 41,778.00
256	800001182		\$ 41,773.10
257	800002213		\$ 41,686.22
258	530000716		\$ 41,636.34
259	789		\$ 41,541.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
260	840		\$ 41,305.50
261	800001225		\$ 41,208.65
262	800000500		\$ 41,015.47
263	530001796		\$ 40,996.13
264	800000541		\$ 40,995.00
265	530002139		\$ 40,576.14
266	530000949		\$ 40,481.32
267	530001128		\$ 40,392.97
268	871		\$ 40,366.21
269	1006		\$ 40,184.10
270	1833		\$ 40,164.86
271	800000247		\$ 40,030.38
272	521		\$ 39,920.91
273	775		\$ 39,774.54
274	800002513		\$ 39,686.38
275	800000990		\$ 39,340.00
276	530000755		\$ 39,183.59
277	1065		\$ 39,123.88
278	800001698		\$ 39,082.50
279	800001510		\$ 38,622.00
280	800002963		\$ 38,610.00
281	530002087		\$ 38,562.38
282	530001410		\$ 38,020.68
283	800002357		\$ 37,975.50
284	2174		\$ 37,975.50
285	530000838		\$ 37,908.00
286	800001493		\$ 37,720.05
287	1728		\$ 37,579.80
288	1883		\$ 37,282.55
289	530001745		\$ 37,280.57
290	800001181		\$ 37,216.66

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
291	530001513		\$ 37,117.35
292	686		\$ 37,093.50
293	1411		\$ 37,055.77
294	800002702		\$ 36,900.00
295	530001712		\$ 36,839.78
296	800003052		\$ 36,320.88
297	530001344		\$ 36,011.20
298	800001120		\$ 35,927.55
299	800002731		\$ 35,927.55
300	530000389		\$ 35,910.20
301	345		\$ 35,550.00
302	1859		\$ 35,097.30
303	800001390		\$ 34,918.41
304	530001719		\$ 34,912.80
305	800003096		\$ 34,875.00
306	530000638		\$ 34,850.30
307	800002663		\$ 34,650.00
308	899		\$ 34,645.40
309	800001147		\$ 34,501.18
310	800000796		\$ 34,407.61
311	530000223		\$ 34,402.21
312	1753		\$ 34,362.90
313	530001842		\$ 34,310.65
314	2002		\$ 34,297.73
315	800000706		\$ 34,088.90
316	530000974		\$ 34,043.58
317	800001708		\$ 33,637.50
318	1962		\$ 33,414.39
319	530001798		\$ 33,379.43
320	530002202		\$ 33,168.22
321	115		\$ 33,109.92
322	2229		\$ 33,068.48

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
323	530002056		\$ 33,059.39
324	800001489		\$ 33,003.36
325	530001514		\$ 32,626.20
326	800001712		\$ 32,319.00
327	800000563		\$ 32,250.48
328	800000229		\$ 32,089.30
329	800000957		\$ 31,910.15
330	530002157		\$ 31,791.71
331	800002961		\$ 31,657.39
332	530000243		\$ 31,478.87
333	1617		\$ 31,446.67
334	1506		\$ 31,305.14
335	530001180		\$ 31,289.63
336	530002200		\$ 31,289.63
337	800001366		\$ 31,164.67
338	530000723		\$ 31,146.85
339	1813		\$ 31,125.61
340	1142		\$ 31,117.50
341	800000975		\$ 31,096.42
342	800001686		\$ 31,068.00
343	530000837		\$ 30,888.00
344	800001897		\$ 30,802.50
345	1882		\$ 30,377.25
346	800000023		\$ 30,330.00
347	323		\$ 30,330.00
348	800000026		\$ 30,240.00
349	800001533		\$ 30,226.10
350	1995		\$ 29,977.21
351	800001416		\$ 29,922.10
352	530001333		\$ 29,819.70

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
353	800002970		\$ 29,808.00
354	1834		\$ 29,798.91
355	800002957		\$ 29,780.83
356	2052		\$ 29,612.56
357	2088		\$ 29,407.50
358	1315		\$ 29,353.60
359	519		\$ 29,033.73
360	722		\$ 28,917.00
361	415		\$ 28,779.08
362	1733		\$ 28,754.55
363	800000878		\$ 28,416.71
364	800000502		\$ 28,385.86
365	486		\$ 28,064.35
366	800001933		\$ 28,025.55
367	800000951		\$ 27,973.59
368	800001677		\$ 27,900.00
369	2006		\$ 27,880.27
370	2007		\$ 27,865.28
371	800002898		\$ 27,811.16
372	530001715		\$ 27,808.16
373	530001828		\$ 27,742.50
374	530000558		\$ 27,708.78
375	530001780		\$ 27,665.78
376	800002892		\$ 27,629.28
377	530002132		\$ 27,572.60
378	2275		\$ 27,450.00
379	800000903		\$ 27,412.20
380	530001512		\$ 27,269.82
381	845		\$ 27,107.42
382	530001817		\$ 26,985.83
383	800000681		\$ 26,968.05

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
384	1202		\$ 26,915.74
385	530001555		\$ 26,865.00
386	713		\$ 26,764.43
387	530001386		\$ 26,679.24
388	800001646		\$ 26,601.97
389	530001256		\$ 26,396.06
390	800000864		\$ 26,322.69
391	800000295		\$ 26,287.42
392	1855		\$ 26,235.32
393	1553		\$ 26,233.79
394	530001566		\$ 26,178.40
395	717		\$ 25,956.52
396	800001679		\$ 25,830.00
397	530001747		\$ 25,809.30
398	800000976		\$ 25,808.40
399	530001914		\$ 25,530.30
400	530001924		\$ 25,526.03
401	530001182		\$ 25,526.01
402	800000726		\$ 25,174.35
403	1667		\$ 25,082.68
404	530001292		\$ 25,068.95
405	800003054		\$ 25,031.90
406	800001430		\$ 24,750.00
407	800000383		\$ 24,600.00
408	1262		\$ 24,600.00
409	1342		\$ 24,600.00
410	530001968		\$ 24,477.75
411	854		\$ 24,430.50
412	800001526		\$ 24,407.51

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
413	530001799		\$ 24,328.35
414	530001652		\$ 24,323.85
415	530001294		\$ 24,305.98
416	800000174		\$ 24,283.76
417	800001043		\$ 24,210.00
418	800002981		\$ 24,120.00
419	1155		\$ 24,116.54
420	800000599		\$ 24,082.11
421	869		\$ 24,075.00
422	800002978		\$ 24,061.73
423	800000339		\$ 24,030.00
424	800001199		\$ 24,017.60
425	800002903		\$ 24,002.49
426	800002881		\$ 24,001.50
427	800001524		\$ 23,926.31
428	1748		\$ 23,826.01
429	530002216		\$ 23,535.82
430	530000123		\$ 23,459.29
431	530002040		\$ 23,365.39
432	800000405		\$ 23,323.00
433	1972		\$ 23,319.50
434	37		\$ 23,222.70
435	530000100		\$ 23,181.93
436	800000971		\$ 23,170.81
437	530000393		\$ 23,106.22
438	800001904		\$ 23,067.59
439	530000853		\$ 23,066.05
440	530000967		\$ 23,008.67
441	800001597		\$ 22,940.81

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
442	1994		\$ 22,914.50
443	800000340		\$ 22,820.85
444	1895		\$ 22,814.32
445	714		\$ 22,732.50
446	530002105		\$ 22,716.60
447	800000868		\$ 22,682.25
448	1181		\$ 22,613.43
449	800000382		\$ 22,582.50
450	530002144		\$ 22,576.86
451	341		\$ 22,509.75
452	732		\$ 22,498.32
453	1001		\$ 22,429.91
454	1795		\$ 22,409.77
455	969		\$ 22,403.52
456	800001653		\$ 22,307.50
457	800000639		\$ 22,261.50
458	723		\$ 22,131.90
459	530001446		\$ 22,107.09
460	800001392		\$ 21,984.65
461	800001449		\$ 21,855.79
462	641		\$ 21,825.00
463	800001189		\$ 21,825.00
464	1478		\$ 21,824.58
465	800001024		\$ 21,822.15
466	670		\$ 21,781.80
467	530001207		\$ 21,706.99
468	800002939		\$ 21,648.00
469	2028		\$ 21,547.42
470	800001348		\$ 21,517.63
471	800001264		\$ 21,492.00
472	530001813		\$ 21,411.90
473	1306		\$ 21,372.53

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
474	530000841		\$ 21,335.03
475	530001750		\$ 21,312.00
476	530001331		\$ 21,302.55
477	1329		\$ 21,178.31
478	800003075		\$ 20,994.31
479	800000969		\$ 20,922.60
480	354		\$ 20,866.04
481	800002614		\$ 20,821.50
482	800000968		\$ 20,820.78
483	530001934		\$ 20,812.50
484	1464		\$ 20,740.50
485	1990		\$ 20,652.53
486	800001608		\$ 20,595.74
487	530001339		\$ 20,584.08
488	530001586		\$ 20,581.65
489	218		\$ 20,548.81
490	800001140		\$ 20,530.76
491	1727		\$ 20,523.51
492	800001421		\$ 20,392.39
493	1244		\$ 20,358.00
494	1088		\$ 20,295.00
495	1611		\$ 20,169.68
496	800001651		\$ 20,149.48
497	1811		\$ 20,131.68
498	800002370		\$ 20,065.57
499	344		\$ 20,004.13
500	800003069		\$ 19,985.54
501	530000897		\$ 19,842.39
502	1339		\$ 19,831.50
503	1426		\$ 19,793.67
504	530000724		\$ 19,767.40

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
505	530001262		\$ 19,617.89
506	800000575		\$ 19,591.69
507	530001677		\$ 19,542.63
508	800002514		\$ 19,508.80
509	1419		\$ 19,451.03
510	800001071		\$ 19,439.64
511	2016		\$ 19,372.50
512	843		\$ 19,350.00
513	2134		\$ 19,350.00
514	1948		\$ 19,252.15
515	1003		\$ 19,169.33
516	1224		\$ 19,152.16
517	800000894		\$ 19,146.78
518	716		\$ 19,107.64
519	530001373		\$ 19,071.00
520	530001283		\$ 19,054.22
521	1981		\$ 19,020.60
522	2230		\$ 18,987.75
523	530001903		\$ 18,961.18
524	1465		\$ 18,900.00
525	530001063		\$ 18,875.98
526	800002041		\$ 18,870.13
527	800002653		\$ 18,870.13
528	800003045		\$ 18,866.61
529	1734		\$ 18,815.14
530	800000581		\$ 18,765.00
531	800001569		\$ 18,754.43
532	530001307		\$ 18,657.85
533	800000025		\$ 18,574.29
534	800000750		\$ 18,566.64

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
535	530001885		\$ 18,556.80
536	2251		\$ 18,524.66
537	2164		\$ 18,500.00
538	530002007		\$ 18,495.00
539	542		\$ 18,470.03
540	800001657		\$ 18,265.00
541	800001079		\$ 18,224.74
542	870		\$ 18,192.15
543	1877		\$ 18,141.14
544	530001814		\$ 18,087.30
545	530001346		\$ 18,068.15
546	530000843		\$ 18,014.53
547	947		\$ 18,000.00
548	2220		\$ 17,964.00
549	530001786		\$ 17,952.75
550	166		\$ 17,949.63
551	1832		\$ 17,910.27
552	530001824		\$ 17,836.20
553	494		\$ 17,739.12
554	1508		\$ 17,687.43
555	800001659		\$ 17,685.95
556	800002924		\$ 17,656.65
557	1935		\$ 17,640.75
558	800001693		\$ 17,640.00
559	530002009		\$ 17,639.55
560	800001435		\$ 17,614.83
561	530001815		\$ 17,577.00
562	800001174		\$ 17,393.84
563	948		\$ 17,391.75
564	942		\$ 17,377.99
565	1531		\$ 17,373.30
566	800002942		\$ 17,355.70
567	530001383		\$ 17,348.76
568	800001618		\$ 17,346.48

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
569	530000196		\$ 17,343.00
570	800000555		\$ 17,291.34
571	1150		\$ 17,233.43
572	1002		\$ 17,220.15
573	1243		\$ 17,220.00
574	1716		\$ 17,219.66
575	800000752		\$ 17,188.20
576	800000170		\$ 17,173.81
577	800000516		\$ 17,069.71
578	800002857		\$ 17,058.05
579	800000735		\$ 17,024.84
580	800000185		\$ 16,973.73
581	1647		\$ 16,933.96
582	1144		\$ 16,927.06
583	800000872		\$ 16,911.65
584	800002582		\$ 16,896.46
585	800000430		\$ 16,860.00
586	248		\$ 16,830.00
587	584		\$ 16,787.97
588	393		\$ 16,779.15
589	530001484		\$ 16,778.25
590	800001694		\$ 16,771.21
591	530001529		\$ 16,706.03
592	800000574		\$ 16,604.51
593	530000833		\$ 16,542.45
594	530001345		\$ 16,488.16
595	800001652		\$ 16,399.13
596	530000397		\$ 16,387.99

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
597	800001222		\$ 16,345.60
598	1340		\$ 16,264.11
599	1852		\$ 16,259.38
600	1347		\$ 16,245.23
601	530001097		\$ 16,226.04
602	800002909		\$ 16,164.00
603	800000930		\$ 16,144.30
604	116		\$ 16,102.05
605	941		\$ 16,076.28
606	771		\$ 16,042.50
607	800002334		\$ 16,017.30
608	1026		\$ 15,988.05
609	800000961		\$ 15,919.12
610	2234		\$ 15,806.20
611	1376		\$ 15,788.25
612	1222		\$ 15,752.44
613	530002153		\$ 15,741.54
614	800001661		\$ 15,740.00
615	1469		\$ 15,721.66
616	530001850		\$ 15,637.50
617	530001445		\$ 15,625.96
618	1897		\$ 15,591.97
619	800001162		\$ 15,577.79
620	289		\$ 15,489.00
621	157		\$ 15,475.95
622	530000033		\$ 15,453.00
623	1302		\$ 15,336.53
624	530000179		\$ 15,333.18
625	530001439		\$ 15,330.33
626	530000712		\$ 15,294.37
627	800000267		\$ 15,066.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
628	701		\$ 15,003.26
629	1080		\$ 14,987.46
630	800000315		\$ 14,977.71
631	1836		\$ 14,962.50
632	106		\$ 14,961.78
633	800001355		\$ 14,931.00
634	800001557		\$ 14,906.40
635	800000819		\$ 14,906.30
636	800000863		\$ 14,864.54
637	972		\$ 14,850.00
638	1577		\$ 14,837.65
639	938		\$ 14,832.18
640	530001748		\$ 14,808.60
641	530000860		\$ 14,760.39
642	800000077		\$ 14,665.14
643	800000604		\$ 14,606.06
644	1984		\$ 14,601.97
645	432		\$ 14,599.00
646	530001811		\$ 14,498.87
647	530001372		\$ 14,466.24
648	530000748		\$ 14,368.64
649	530000866		\$ 14,280.75
650	530000145		\$ 14,270.26
651	800001203		\$ 14,210.20
652	530001920		\$ 14,201.73
653	1236		\$ 14,142.51
654	800000505		\$ 14,134.30
655	800002971		\$ 14,119.94
656	800000695		\$ 14,117.04

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
657	800000566		\$ 14,074.20
658	800001093		\$ 14,050.00
659	800000795		\$ 14,036.20
660	800001326		\$ 14,001.05
661	800000939		\$ 13,957.08
662	800000542		\$ 13,950.00
663	800000297		\$ 13,914.77
664	800000660		\$ 13,859.52
665	809		\$ 13,850.77
666	2135		\$ 13,840.18
667	530002034		\$ 13,836.11
668	800001380		\$ 13,827.58
669	1741		\$ 13,815.98
670	530000855		\$ 13,782.75
671	2276		\$ 13,680.00
672	800001245		\$ 13,662.00
673	800000172		\$ 13,659.84
674	530001347		\$ 13,599.87
675	2038		\$ 13,582.25
676	664		\$ 13,576.00
677	800002822		\$ 13,535.75
678	1966		\$ 13,532.16
679	800000962		\$ 13,496.69
680	800000017		\$ 13,404.99
681	530001862		\$ 13,387.50
682	1459		\$ 13,381.63
683	1901		\$ 13,371.01
684	800002237		\$ 13,370.90
685	530001299		\$ 13,349.83

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
686	1119		\$ 13,347.77
687	800002644		\$ 13,347.77
688	1369		\$ 13,345.79
689	800001409		\$ 13,342.50
690	800000712		\$ 13,334.31
691	862		\$ 13,294.13
692	945		\$ 13,265.48
693	800001334		\$ 13,261.61
694	1455		\$ 13,214.03
695	1196		\$ 13,211.19
696	530000840		\$ 13,208.54
697	530000639		\$ 13,138.86
698	426		\$ 13,105.63
699	680		\$ 13,091.13
700	1786		\$ 13,074.90
701	530001274		\$ 13,035.35
702	800002476		\$ 13,033.75
703	281		\$ 13,015.93
704	1338		\$ 13,008.07
705	800002164		\$ 12,993.00
706	530001906		\$ 12,982.50
707	1009		\$ 12,957.49
708	800003011		\$ 12,956.01
709	800000730		\$ 12,952.17
710	530001498		\$ 12,923.51
711	848		\$ 12,878.76
712	1929		\$ 12,873.00
713	1164		\$ 12,838.01
714	800001359		\$ 12,802.85
715	800001360		\$ 12,802.85

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
716	800001701		\$ 12,802.13
717	1282		\$ 12,800.82
718	1461		\$ 12,769.73
719	530000846		\$ 12,752.10
720	800001387		\$ 12,730.77
721	800000207		\$ 12,711.36
722	800001391		\$ 12,690.00
723	530001867		\$ 12,689.78
724	530001868		\$ 12,678.75
725	1979		\$ 12,642.75
726	800001614		\$ 12,611.25
727	530001792		\$ 12,583.67
728	800001173		\$ 12,578.28
729	937		\$ 12,575.55
730	800001480		\$ 12,554.97
731	800001539		\$ 12,551.56
732	800000450		\$ 12,546.95
733	800000476		\$ 12,546.00
734	1516		\$ 12,527.92
735	800000567		\$ 12,518.26
736	2147		\$ 12,508.59
737	530001965		\$ 12,501.16
738	800001137		\$ 12,501.00
739	800001556		\$ 12,472.10
740	800000981		\$ 12,462.91
741	2208		\$ 12,428.47
742	800003046		\$ 12,395.97
743	530001479		\$ 12,369.83
744	530000183		\$ 12,367.96
745	800001864		\$ 12,358.87
746	53		\$ 12,318.75

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
747	800000564		\$ 12,300.00
748	430		\$ 12,300.00
749	800003062		\$ 12,300.00
750	160		\$ 12,298.50
751	800000248		\$ 12,270.25
752	2196		\$ 12,247.74
753	800000080		\$ 12,232.58
754	2250		\$ 12,227.90
755	800001215		\$ 12,174.18
756	530000390		\$ 12,171.60
757	800003034		\$ 12,130.68
758	2143		\$ 12,122.50
759	800002658		\$ 12,071.78
760	800000889		\$ 12,054.00
761	1351		\$ 12,001.39
762	530001474		\$ 11,931.90
763	1472		\$ 11,925.00
764	800001049		\$ 11,874.91
765	500		\$ 11,862.65
766	530001800		\$ 11,858.40
767	530000394		\$ 11,857.37
768	530000863		\$ 11,817.90
769	530000392		\$ 11,814.66
770	518		\$ 11,810.00
771	800000958		\$ 11,807.33
772	2130		\$ 11,802.00
773	1066		\$ 11,780.20
774	800002427		\$ 11,770.92

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
775	1854		\$ 11,768.40
776	530001783		\$ 11,725.56
777	800000136		\$ 11,716.88
778	1823		\$ 11,664.25
779	800001544		\$ 11,658.00
780	800001327		\$ 11,649.82
781	800002931		\$ 11,632.50
782	800002932		\$ 11,632.50
783	530001322		\$ 11,615.83
784	1240		\$ 11,589.66
785	800000650		\$ 11,587.50
786	1822		\$ 11,584.35
787	800002884		\$ 11,562.00
788	144		\$ 11,549.21
789	1974		\$ 11,548.63
790	800000885		\$ 11,525.36
791	800000616		\$ 11,467.50
792	1216		\$ 11,405.70
793	530001442		\$ 11,398.28
794	800003032		\$ 11,349.32
795	422		\$ 11,347.65
796	530001112		\$ 11,252.54
797	530000358		\$ 11,223.22
798	2217		\$ 11,212.91
799	1300		\$ 11,199.27
800	530001519		\$ 11,149.65
801	530001505		\$ 11,139.04
802	800003058		\$ 11,126.25
803	1510		\$ 11,107.53
804	800002809		\$ 11,096.10
805	800001436		\$ 11,070.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
806	530001840		\$ 11,070.00
807	1672		\$ 11,067.00
808	530000839		\$ 11,039.49
809	800000568		\$ 11,039.20
810	800001202		\$ 10,999.81
811	2237		\$ 10,996.37
812	530000854		\$ 10,931.85
813	530002028		\$ 10,900.01
814	1319		\$ 10,896.93
815	2219		\$ 10,888.43
816	530001186		\$ 10,879.82
817	530002206		\$ 10,879.82
818	530001854		\$ 10,841.54
819	530001916		\$ 10,841.54
820	530000736		\$ 10,831.02
821	395		\$ 10,818.00
822	989		\$ 10,789.90
823	800001196		\$ 10,735.74
824	1676		\$ 10,733.40
825	1030		\$ 10,703.90
826	1707		\$ 10,665.00
827	275		\$ 10,657.58
828	530000290		\$ 10,642.08
829	800001567		\$ 10,639.31
830	530001635		\$ 10,587.60
831	1313		\$ 10,582.75
832	1121		\$ 10,553.38
833	800000732		\$ 10,543.68
834	1523		\$ 10,534.01
835	1029		\$ 10,530.00
836	800001629		\$ 10,515.02

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
837	1241		\$ 10,462.50
838	2212		\$ 10,434.58
839	1043		\$ 10,418.94
840	530000118		\$ 10,397.90
841	800001512		\$ 10,395.00
842	800002965		\$ 10,395.00
843	2188		\$ 10,376.64
844	1629		\$ 10,376.64
845	800001297		\$ 10,355.58
846	1838		\$ 10,332.00
847	1545		\$ 10,331.44
848	800003035		\$ 10,329.39
849	530001350		\$ 10,300.32
850	800001453		\$ 10,207.32
851	530002195		\$ 10,205.93
852	232		\$ 10,198.88
853	530001572		\$ 10,197.08
854	800001221		\$ 10,152.99
855	800002637		\$ 10,105.57
856	530001749		\$ 10,098.00
857	473		\$ 10,067.50
858	800002077		\$ 10,064.16
859	254		\$ 10,047.89
860	530002169		\$ 10,034.60
861	2126		\$ 9,976.68
862	530001471		\$ 9,976.59
863	530000975		\$ 9,974.10
864	83		\$ 9,960.29
865	1825		\$ 9,940.93

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
866	800001138		\$ 9,936.00
867	530001494		\$ 9,925.31
868	800001415		\$ 9,918.49
869	1615		\$ 9,917.44
870	530002043		\$ 9,858.78
871	530001253		\$ 9,856.12
872	1114		\$ 9,846.00
873	800000134		\$ 9,840.00
874	800001017		\$ 9,840.00
875	1172		\$ 9,840.00
876	530001122		\$ 9,840.00
877	1372		\$ 9,840.00
878	800001593		\$ 9,835.00
879	800001589		\$ 9,833.77
880	530000705		\$ 9,831.40
881	844		\$ 9,796.50
882	800002962		\$ 9,757.46
883	800002431		\$ 9,672.30
884	800001190		\$ 9,659.37
885	800001241		\$ 9,655.26
886	800002887		\$ 9,648.00
887	530001130		\$ 9,608.90
888	800001265		\$ 9,585.00
889	530002137		\$ 9,573.14
890	530000035		\$ 9,560.41
891	1237		\$ 9,516.15
892	800000042		\$ 9,512.51
893	530001832		\$ 9,510.57
894	653		\$ 9,495.00
895	530001095		\$ 9,472.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
896	800001623		\$ 9,413.44
897	800001180		\$ 9,402.84
898	530000039		\$ 9,398.81
899	523		\$ 9,377.10
900	800001721		\$ 9,362.59
901	285		\$ 9,350.65
902	1034		\$ 9,342.00
903	800001520		\$ 9,337.50
904	800001638		\$ 9,331.08
905	530000698		\$ 9,316.67
906	530001395		\$ 9,312.75
907	530001568		\$ 9,306.63
908	1160		\$ 9,294.00
909	256		\$ 9,281.25
910	800001650		\$ 9,276.75
911	800002897		\$ 9,270.00
912	530001438		\$ 9,257.22
913	1060		\$ 9,239.46
914	1086		\$ 9,213.00
915	800003023		\$ 9,212.14
916	800002266		\$ 9,203.88
917	2205		\$ 9,193.50
918	800000577		\$ 9,132.50
919	800000129		\$ 9,120.58
920	530001425		\$ 9,118.17
921	800003065		\$ 9,101.58
922	443		\$ 9,099.00
923	800001012		\$ 9,087.46

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
924	530001511		\$ 9,067.28
925	1628		\$ 9,063.45
926	530000399		\$ 9,051.08
927	800001495		\$ 9,049.50
928	800000775		\$ 9,040.54
929	739		\$ 9,005.15
930	1178		\$ 9,000.00
931	800002087		\$ 9,000.00
932	800002546		\$ 8,992.00
933	1071		\$ 8,991.45
934	586		\$ 8,977.28
935	530001678		\$ 8,976.88
936	800000484		\$ 8,956.96
937	530000279		\$ 8,913.51
938	530001656		\$ 8,912.25
939	405		\$ 8,887.50
940	530000847		\$ 8,863.26
941	530001348		\$ 8,862.80
942	800000271		\$ 8,861.57
943	530001549		\$ 8,856.00
944	423		\$ 8,855.53
945	800000076		\$ 8,849.40
946	995		\$ 8,835.75
947	530001812		\$ 8,831.03
948	800001882		\$ 8,792.10
949	800002953		\$ 8,766.08
950	800002994		\$ 8,758.94
951	530000250		\$ 8,738.01

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
952	530001766		\$ 8,720.19
953	530001807		\$ 8,693.82
954	800001674		\$ 8,692.56
955	800002004		\$ 8,664.75
956	800001119		\$ 8,650.64
957	800002730		\$ 8,650.64
958	800001604		\$ 8,647.33
959	530001413		\$ 8,639.89
960	800000501		\$ 8,623.24
961	800002956		\$ 8,614.83
962	530001558		\$ 8,613.00
963	800002059		\$ 8,577.78
964	800000941		\$ 8,574.50
965	800000427		\$ 8,565.61
966	1505		\$ 8,550.73
967	800000746		\$ 8,547.76
968	530001809		\$ 8,547.75
969	2064		\$ 8,528.16
970	1682		\$ 8,517.93
971	530000285		\$ 8,485.93
972	530002066		\$ 8,475.30
973	997		\$ 8,458.07
974	1040		\$ 8,453.16
975	2171		\$ 8,445.49
976	1191		\$ 8,430.00
977	2089		\$ 8,430.00
978	800002886		\$ 8,430.00
979	800001094		\$ 8,427.67
980	800000703		\$ 8,423.50
981	530000669		\$ 8,402.73

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
982	800000688		\$ 8,400.00
983	530001300		\$ 8,379.40
984	1316		\$ 8,370.00
985	1975		\$ 8,361.96
986	800000790		\$ 8,338.50
987	396		\$ 8,269.58
988	530002133		\$ 8,261.97
989	1914		\$ 8,253.00
990	800002562		\$ 8,249.52
991	800000299		\$ 8,216.71
992	1881		\$ 8,175.21
993	1685		\$ 8,167.50
994	800000236		\$ 8,130.51
995	1299		\$ 8,124.61
996	530002220		\$ 8,118.00
997	363		\$ 8,118.00
998	1875		\$ 8,100.95
999	800001508		\$ 8,100.00
1000	530000931		\$ 8,064.28
1001	530001497		\$ 8,058.83
1002	530001789		\$ 8,048.03
1003	916		\$ 8,010.00
1004	530001478		\$ 7,986.60
1005	1502		\$ 7,986.38
1006	1787		\$ 7,958.10
1007	800001451		\$ 7,938.17
1008	800002779		\$ 7,937.64
1009	530001957		\$ 7,920.00
1010	180		\$ 7,886.25
1011	530001318		\$ 7,883.69

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1012	1977		\$ 7,868.00
1013	530001236		\$ 7,855.69
1014	1937		\$ 7,854.41
1015	800000737		\$ 7,853.82
1016	2063		\$ 7,850.23
1017	1845		\$ 7,830.68
1018	530001493		\$ 7,830.00
1019	800001941		\$ 7,826.28
1020	800001200		\$ 7,825.73
1021	530001117		\$ 7,823.07
1022	530002032		\$ 7,807.66
1023	137		\$ 7,807.28
1024	530000408		\$ 7,785.34
1025	1997		\$ 7,766.45
1026	530000596		\$ 7,758.90
1027	1567		\$ 7,757.12
1028	800001568		\$ 7,746.68
1029	1560		\$ 7,743.65
1030	530001320		\$ 7,742.94
1031	530002065		\$ 7,728.75
1032	800002951		\$ 7,722.23
1033	328		\$ 7,695.00
1034	530001343		\$ 7,695.00
1035	615		\$ 7,684.88
1036	1486		\$ 7,663.55
1037	2232		\$ 7,658.50
1038	459		\$ 7,642.67
1039	530000640		\$ 7,635.84
1040	530001085		\$ 7,632.69
1041	800001545		\$ 7,625.36

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1042	800003022		\$ 7,624.94
1043	800001667		\$ 7,614.00
1044	800002137		\$ 7,605.00
1045	800001601		\$ 7,604.25
1046	1430		\$ 7,597.91
1047	800001262		\$ 7,592.74
1048	585		\$ 7,577.60
1049	530002060		\$ 7,560.00
1050	800000854		\$ 7,551.35
1051	1587		\$ 7,543.47
1052	530001592		\$ 7,497.41
1053	800000997		\$ 7,469.00
1054	800002936		\$ 7,442.96
1055	530001183		\$ 7,436.50
1056	530002203		\$ 7,436.50
1057	800001260		\$ 7,425.00
1058	800002487		\$ 7,420.09
1059	530000402		\$ 7,411.50
1060	1456		\$ 7,407.50
1061	530001215		\$ 7,407.18
1062	908		\$ 7,395.75
1063	530002109		\$ 7,386.37
1064	530001109		\$ 7,386.37
1065	368		\$ 7,380.00
1066	800001364		\$ 7,380.00
1067	530001884		\$ 7,380.00
1068	1084		\$ 7,373.02
1069	800003091		\$ 7,372.36
1070	800001381		\$ 7,357.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1071	530001892		\$ 7,353.51
1072	800002858		\$ 7,350.96
1073	530001491		\$ 7,344.00
1074	800002407		\$ 7,335.00
1075	800001057		\$ 7,334.55
1076	800002470		\$ 7,334.55
1077	530002180		\$ 7,330.80
1078	1526		\$ 7,312.50
1079	1770		\$ 7,307.39
1080	1912		\$ 7,307.39
1081	530000959		\$ 7,290.00
1082	831		\$ 7,285.95
1083	1442		\$ 7,275.15
1084	1441		\$ 7,256.25
1085	800002890		\$ 7,249.50
1086	1970		\$ 7,248.60
1087	800000916		\$ 7,247.50
1088	800000927		\$ 7,247.19
1089	1841		\$ 7,243.74
1090	800001401		\$ 7,220.84
1091	800000728		\$ 7,197.00
1092	530001716		\$ 7,171.69
1093	530000398		\$ 7,163.87
1094	800001722		\$ 7,159.89
1095	1828		\$ 7,142.38
1096	472		\$ 7,131.50
1097	800000657		\$ 7,125.00
1098	1612		\$ 7,110.38
1099	1620		\$ 7,094.75
1100	800002896		\$ 7,083.57

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1101	1326		\$ 7,070.25
1102	1879		\$ 7,049.70
1103	800000619		\$ 7,044.58
1104	530002141		\$ 7,035.66
1105	530001788		\$ 7,030.91
1106	530001787		\$ 7,030.24
1107	800001542		\$ 7,025.00
1108	800001547		\$ 7,025.00
1109	1462		\$ 7,018.23
1110	530000844		\$ 7,008.54
1111	1729		\$ 7,000.07
1112	2179		\$ 6,992.87
1113	530002131		\$ 6,989.05
1114	1212		\$ 6,986.75
1115	530001709		\$ 6,973.97
1116	1739		\$ 6,961.72
1117	530000930		\$ 6,946.86
1118	2203		\$ 6,937.95
1119	800003082		\$ 6,932.79
1120	530001421		\$ 6,932.13
1121	530001646		\$ 6,900.71
1122	800001491		\$ 6,888.00
1123	1501		\$ 6,884.75
1124	800001869		\$ 6,880.70
1125	800001577		\$ 6,871.50
1126	1835		\$ 6,865.74
1127	233		\$ 6,833.07
1128	530002142		\$ 6,790.69
1129	800001628		\$ 6,787.25
1130	665		\$ 6,776.94

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1131	476		\$ 6,776.94
1132	1127		\$ 6,767.96
1133	1293		\$ 6,760.24
1134	1740		\$ 6,756.07
1135	979		\$ 6,752.05
1136	800001470		\$ 6,749.71
1137	553		\$ 6,743.06
1138	800000719		\$ 6,742.94
1139	1167		\$ 6,735.07
1140	1640		\$ 6,711.06
1141	2154		\$ 6,694.12
1142	800001441		\$ 6,693.39
1143	800001464		\$ 6,682.37
1144	1415		\$ 6,678.18
1145	1446		\$ 6,678.18
1146	694		\$ 6,674.42
1147	530001739		\$ 6,668.15
1148	164		\$ 6,665.50
1149	800000503		\$ 6,660.39
1150	2190		\$ 6,655.50
1151	1722		\$ 6,653.66
1152	2152		\$ 6,643.35
1153	652		\$ 6,637.50
1154	800000665		\$ 6,626.10
1155	530001597		\$ 6,607.55
1156	800000610		\$ 6,603.33
1157	530002064		\$ 6,567.94
1158	800000007		\$ 6,553.85
1159	530000293		\$ 6,534.87
1160	530001932		\$ 6,526.98
1161	530001420		\$ 6,504.14
1162	491		\$ 6,496.88

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1163	800001555		\$ 6,492.63
1164	800001429		\$ 6,482.30
1165	530001666		\$ 6,451.25
1166	1678		\$ 6,440.63
1167	242		\$ 6,440.14
1168	530001650		\$ 6,437.25
1169	530001668		\$ 6,410.48
1170	271		\$ 6,396.00
1171	530000186		\$ 6,359.03
1172	418		\$ 6,348.56
1173	530002179		\$ 6,347.01
1174	594		\$ 6,327.09
1175	530000842		\$ 6,298.59
1176	530001785		\$ 6,294.78
1177	1447		\$ 6,251.94
1178	727		\$ 6,228.00
1179	800000938		\$ 6,222.11
1180	1890		\$ 6,219.00
1181	530001956		\$ 6,202.94
1182	2137		\$ 6,191.64
1183	800002960		\$ 6,187.50
1184	2098		\$ 6,164.21
1185	530001075		\$ 6,150.00
1186	530001722		\$ 6,150.00
1187	1884		\$ 6,104.93
1188	355		\$ 6,102.68
1189	1010		\$ 6,075.00
1190	530000660		\$ 6,073.65
1191	530001554		\$ 6,054.57

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1192	530001700		\$ 5,997.11
1193	530001698		\$ 5,963.82
1194	530001999		\$ 5,939.24
1195	530001846		\$ 5,928.44
1196	1099		\$ 5,925.38
1197	1706		\$ 5,924.16
1198	800001703		\$ 5,921.68
1199	800001123		\$ 5,921.56
1200	800000393		\$ 5,910.66
1201	800002723		\$ 5,904.00
1202	805		\$ 5,898.54
1203	91		\$ 5,861.19
1204	530000257		\$ 5,837.27
1205	800001084		\$ 5,826.61
1206	800001106		\$ 5,824.14
1207	2206		\$ 5,815.88
1208	800000273		\$ 5,794.52
1209	800001505		\$ 5,781.00
1210	2231		\$ 5,774.40
1211	1397		\$ 5,761.13
1212	1054		\$ 5,760.00
1213	1353		\$ 5,733.75
1214	510		\$ 5,730.72
1215	800001474		\$ 5,718.82
1216	1458		\$ 5,707.14
1217	800000995		\$ 5,702.94
1218	1525		\$ 5,692.55
1219	800003086		\$ 5,690.00
1220	967		\$ 5,672.35
1221	2221		\$ 5,661.75
1222	800000828		\$ 5,657.06
1223	530001217		\$ 5,648.16
1224	800001347		\$ 5,645.70

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1225	530000197		\$ 5,639.27
1226	800000175		\$ 5,623.20
1227	168		\$ 5,620.00
1228	800001786		\$ 5,620.00
1229	800002668		\$ 5,620.00
1230	1476		\$ 5,620.00
1231	778		\$ 5,620.00
1232	715		\$ 5,620.00
1233	1205		\$ 5,601.06
1234	1777		\$ 5,598.53
1235	800001272		\$ 5,595.21
1236	800001161		\$ 5,583.60
1237	1953		\$ 5,580.00
1238	530001706		\$ 5,580.00
1239	810		\$ 5,577.58
1240	1742		\$ 5,576.05
1241	939		\$ 5,572.80
1242	1156		\$ 5,563.69
1243	2128		\$ 5,541.14
1244	530002072		\$ 5,531.40
1245	530001938		\$ 5,507.46
1246	530001847		\$ 5,503.21
1247	800002706		\$ 5,487.55
1248	530000375		\$ 5,479.43
1249	800001517		\$ 5,468.58
1250	530000091		\$ 5,456.51
1251	1908		\$ 5,454.00
1252	530001899		\$ 5,452.02
1253	1846		\$ 5,444.46
1254	429		\$ 5,443.22

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1255	530000367		\$ 5,436.60
1256	530000360		\$ 5,429.97
1257	800001552		\$ 5,406.61
1258	1752		\$ 5,398.93
1259	800002738		\$ 5,393.65
1260	530001119		\$ 5,373.86
1261	800000897		\$ 5,370.38
1262	800000842		\$ 5,355.00
1263	598		\$ 5,354.42
1264	800000994		\$ 5,342.54
1265	530001801		\$ 5,329.94
1266	800000168		\$ 5,320.85
1267	530000680		\$ 5,315.48
1268	516		\$ 5,311.12
1269	800001175		\$ 5,302.78
1270	530001641		\$ 5,294.25
1271	1297		\$ 5,289.34
1272	800001534		\$ 5,285.39
1273	530001853		\$ 5,268.75
1274	800001773		\$ 5,265.97
1275	530001685		\$ 5,263.88
1276	800003018		\$ 5,262.57
1277	530001823		\$ 5,261.22
1278	800001308		\$ 5,247.15
1279	530001791		\$ 5,246.28
1280	800001338		\$ 5,240.88
1281	348		\$ 5,240.25
1282	2121		\$ 5,230.82
1283	1402		\$ 5,230.81
1284	800000032		\$ 5,228.97
1285	800001101		\$ 5,228.97
1286	800000788		\$ 5,225.85
1287	530001630		\$ 5,224.14

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1288	530000862		\$ 5,211.00
1289	530001913		\$ 5,210.28
1290	530001567		\$ 5,198.94
1291	800000940		\$ 5,188.24
1292	800003017		\$ 5,179.58
1293	800001740		\$ 5,176.66
1294	1507		\$ 5,176.11
1295	471		\$ 5,175.00
1296	726		\$ 5,172.30
1297	530001136		\$ 5,172.27
1298	530000564		\$ 5,166.00
1299	530000252		\$ 5,165.53
1300	800001936		\$ 5,164.38
1301	530001927		\$ 5,159.93
1302	800002397		\$ 5,147.17
1303	800001950		\$ 5,141.25
1304	800000389		\$ 5,139.42
1305	1932		\$ 5,137.25
1306	1605		\$ 5,126.85
1307	800001277		\$ 5,125.50
1308	1445		\$ 5,121.00
1309	800002660		\$ 5,121.00
1310	800001061		\$ 5,118.40
1311	800001405		\$ 5,114.78
1312	1635		\$ 5,109.19
1313	1949		\$ 5,107.50
1314	800003092		\$ 5,102.64
1315	530001710		\$ 5,100.30
1316	800000098		\$ 5,095.40

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1317	1324		\$ 5,092.20
1318	530001149		\$ 5,089.12
1319	278		\$ 5,088.96
1320	495		\$ 5,075.69
1321	800001116		\$ 5,065.52
1322	1509		\$ 5,064.00
1323	388		\$ 5,062.50
1324	2258		\$ 5,058.00
1325	1298		\$ 5,056.80
1326	530001371		\$ 5,053.41
1327	1622		\$ 5,045.40
1328	109		\$ 5,043.60
1329	530000260		\$ 5,040.73
1330	530001148		\$ 5,024.34
1331	800001687		\$ 5,019.02
1332	530001910		\$ 5,012.71
1333	398		\$ 5,007.05
1334	530001675		\$ 5,000.13
1335	530001876		\$ 4,995.55
1336	530000236		\$ 4,988.47
1337	1466		\$ 4,988.25
1338	800002899		\$ 4,964.13
1339	530001841		\$ 4,963.87
1340	800001443		\$ 4,950.00
1341	996		\$ 4,938.30
1342	2201		\$ 4,938.30
1343	1256		\$ 4,935.41
1344	530001998		\$ 4,935.24
1345	1671		\$ 4,932.00
1346	800003067		\$ 4,931.28
1347	32		\$ 4,920.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1348	657		\$ 4,920.00
1349	549		\$ 4,920.00
1350	530001949		\$ 4,920.00
1351	800002782		\$ 4,920.00
1352	2170		\$ 4,920.00
1353	1651		\$ 4,919.22
1354	530002012		\$ 4,905.00
1355	1317		\$ 4,903.88
1356	530001645		\$ 4,903.83
1357	1934		\$ 4,901.07
1358	357		\$ 4,893.75
1359	530001416		\$ 4,884.30
1360	530001699		\$ 4,877.46
1361	1826		\$ 4,870.82
1362	800001208		\$ 4,866.86
1363	1493		\$ 4,866.31
1364	530002051		\$ 4,864.95
1365	800001839		\$ 4,861.35
1366	301		\$ 4,860.00
1367	539		\$ 4,856.60
1368	530001398		\$ 4,851.50
1369	800003084		\$ 4,849.49
1370	800001632		\$ 4,845.82
1371	530001860		\$ 4,843.80
1372	2207		\$ 4,838.58
1373	800001745		\$ 4,828.50
1374	302		\$ 4,827.75
1375	800000606		\$ 4,826.25
1376	800001060		\$ 4,816.32
1377	1330		\$ 4,812.45
1378	1337		\$ 4,807.94
1379	1701		\$ 4,799.61

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1380	1153		\$ 4,789.60
1381	1276		\$ 4,788.82
1382	2072		\$ 4,787.73
1383	800001952		\$ 4,779.00
1384	1204		\$ 4,773.56
1385	800002135		\$ 4,766.68
1386	800001598		\$ 4,757.49
1387	800002131		\$ 4,755.60
1388	800000736		\$ 4,747.36
1389	800000801		\$ 4,738.50
1390	530001845		\$ 4,734.00
1391	1496		\$ 4,729.50
1392	1938		\$ 4,723.65
1393	800002954		\$ 4,721.18
1394	530002170		\$ 4,720.28
1395	800003004		\$ 4,720.23
1396	800000384		\$ 4,719.51
1397	800000468		\$ 4,716.00
1398	530001794		\$ 4,714.93
1399	530002134		\$ 4,706.25
1400	800002543		\$ 4,704.94
1401	800001685		\$ 4,700.77
1402	800002781		\$ 4,700.65
1403	530001580		\$ 4,681.98
1404	800000832		\$ 4,680.00
1405	530000972		\$ 4,662.36
1406	530000190		\$ 4,661.70
1407	800001511		\$ 4,657.50
1408	1404		\$ 4,642.50
1409	800002784		\$ 4,642.20
1410	1907		\$ 4,637.12

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1411	800000689		\$ 4,634.66
1412	2273		\$ 4,631.40
1413	800000016		\$ 4,624.29
1414	1162		\$ 4,620.00
1415	2263		\$ 4,620.00
1416	800001500		\$ 4,619.29
1417	1381		\$ 4,611.60
1418	1272		\$ 4,609.68
1419	530001644		\$ 4,607.91
1420	1982		\$ 4,596.72
1421	47		\$ 4,590.00
1422	800001118		\$ 4,587.30
1423	530001508		\$ 4,573.67
1424	530001434		\$ 4,556.13
1425	800001857		\$ 4,550.26
1426	530001092		\$ 4,549.19
1427	530001952		\$ 4,549.11
1428	800001707		\$ 4,545.81
1429	1860		\$ 4,527.00
1430	800002872		\$ 4,526.98
1431	800001450		\$ 4,522.52
1432	800000411		\$ 4,522.50
1433	530001103		\$ 4,509.70
1434	1200		\$ 4,507.72
1435	800002930		\$ 4,507.72
1436	1198		\$ 4,506.11
1437	1250		\$ 4,501.86
1438	1288		\$ 4,498.13
1439	1547		\$ 4,495.61
1440	1996		\$ 4,495.50
1441	800001087		\$ 4,492.44
1442	800002885		\$ 4,491.46
1443	800001191		\$ 4,489.56

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1444	1627		\$ 4,488.30
1445	1784		\$ 4,488.21
1446	800003103		\$ 4,486.58
1447	530001414		\$ 4,485.47
1448	800000485		\$ 4,481.82
1449	1417		\$ 4,481.74
1450	1424		\$ 4,481.41
1451	800000671		\$ 4,477.58
1452	1285		\$ 4,475.33
1453	800000756		\$ 4,474.44
1454	530001818		\$ 4,471.88
1455	800003033		\$ 4,461.50
1456	800002941		\$ 4,461.41
1457	530001639		\$ 4,456.49
1458	530001793		\$ 4,453.83
1459	800002729		\$ 4,453.22
1460	800000845		\$ 4,445.10
1461	196		\$ 4,439.80
1462	800002995		\$ 4,436.33
1463	1930		\$ 4,434.67
1464	530001452		\$ 4,428.41
1465	1309		\$ 4,428.00
1466	1556		\$ 4,428.00
1467	1692		\$ 4,423.52
1468	1820		\$ 4,422.75
1469	530002075		\$ 4,420.17
1470	530000228		\$ 4,414.50
1471	530001532		\$ 4,408.92
1472	2175		\$ 4,406.08
1473	280		\$ 4,402.08
1474	659		\$ 4,397.65

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1475	530001822		\$ 4,393.13
1476	2109		\$ 4,389.42
1477	2123		\$ 4,386.15
1478	874		\$ 4,373.40
1479	800002986		\$ 4,365.76
1480	1076		\$ 4,365.53
1481	530001577		\$ 4,352.59
1482	800001393		\$ 4,332.15
1483	950		\$ 4,331.95
1484	1869		\$ 4,322.25
1485	1451		\$ 4,318.20
1486	530001547		\$ 4,306.50
1487	530001660		\$ 4,303.58
1488	530001896		\$ 4,303.49
1489	530000226		\$ 4,301.59
1490	800000053		\$ 4,292.40
1491	530001378		\$ 4,286.14
1492	800000696		\$ 4,283.10
1493	51		\$ 4,274.10
1494	800001433		\$ 4,269.38
1495	800001377		\$ 4,263.75
1496	800001370		\$ 4,262.63
1497	1779		\$ 4,252.39
1498	1983		\$ 4,245.75
1499	530001658		\$ 4,245.75
1500	530001251		\$ 4,244.40

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1501	800000791		\$ 4,239.24
1502	800002775		\$ 4,239.24
1503	861		\$ 4,234.50
1504	800000707		\$ 4,231.24
1505	800000390		\$ 4,218.76
1506	530000864		\$ 4,216.81
1507	1035		\$ 4,215.00
1508	1717		\$ 4,215.00
1509	530002052		\$ 4,215.00
1510	800002352		\$ 4,205.25
1511	800002773		\$ 4,201.59
1512	800000576		\$ 4,187.36
1513	661		\$ 4,186.35
1514	800002893		\$ 4,182.00
1515	1909		\$ 4,180.01
1516	530001499		\$ 4,173.12
1517	800001457		\$ 4,165.65
1518	1267		\$ 4,163.03
1519	2280		\$ 4,161.83
1520	1723		\$ 4,150.58
1521	530001084		\$ 4,139.10
1522	530001857		\$ 4,137.71
1523	800002081		\$ 4,136.64
1524	800000926		\$ 4,135.32
1525	530001858		\$ 4,133.48
1526	964		\$ 4,113.31
1527	530001588		\$ 4,113.00
1528	800000415		\$ 4,093.43
1529	800001609		\$ 4,092.53

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1530	530002128		\$ 4,086.21
1531	800000709		\$ 4,081.23
1532	800002065		\$ 4,081.23
1533	800001778		\$ 4,076.64
1534	800001022		\$ 4,068.45
1535	1041		\$ 4,059.35
1536	800000866		\$ 4,050.00
1537	530001882		\$ 4,050.00
1538	530001243		\$ 4,044.24
1539	949		\$ 4,035.38
1540	800001293		\$ 4,033.92
1541	450		\$ 4,027.91
1542	2011		\$ 4,026.71
1543	530001808		\$ 4,020.84
1544	1669		\$ 4,017.60
1545	533		\$ 4,002.75
1546	800002007		\$ 4,002.75
1547	800001096		\$ 3,983.32
1548	530001531		\$ 3,981.47
1549	800002758		\$ 3,980.95
1550	800001541		\$ 3,969.90
1551	544		\$ 3,967.43
1552	530001695		\$ 3,953.48
1553	800000711		\$ 3,941.30
1554	1331		\$ 3,941.30
1555	1416		\$ 3,935.47
1556	800000643		\$ 3,934.00
1557	530001495		\$ 3,934.00
1558	800001988		\$ 3,934.00
1559	800001229		\$ 3,933.28

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1560	800000807		\$ 3,933.25
1561	420		\$ 3,932.50
1562	800000784		\$ 3,931.20
1563	530001657		\$ 3,926.61
1564	800001295		\$ 3,918.36
1565	800000840		\$ 3,908.25
1566	800000100		\$ 3,898.76
1567	14		\$ 3,881.78
1568	800000322		\$ 3,881.78
1569	800000453		\$ 3,881.78
1570	800000797		\$ 3,881.78
1571	530001782		\$ 3,879.81
1572	800000704		\$ 3,864.12
1573	1215		\$ 3,862.31
1574	800000998		\$ 3,853.74
1575	455		\$ 3,843.00
1576	1878		\$ 3,836.96
1577	530001417		\$ 3,835.87
1578	800002477		\$ 3,827.70
1579	1809		\$ 3,825.00
1580	2077		\$ 3,825.00
1581	800001008		\$ 3,816.00
1582	1378		\$ 3,815.99
1583	800000867		\$ 3,814.88
1584	800002950		\$ 3,814.02
1585	530000832		\$ 3,796.77
1586	800001420		\$ 3,785.48
1587	800000912		\$ 3,778.92
1588	530002049		\$ 3,765.87
1589	530001143		\$ 3,742.47
1590	800001205		\$ 3,739.20

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1591	800001128		\$ 3,732.75
1592	796		\$ 3,729.34
1593	1047		\$ 3,720.60
1594	684		\$ 3,700.60
1595	800001521		\$ 3,692.18
1596	227		\$ 3,690.00
1597	866		\$ 3,690.00
1598	1999		\$ 3,690.00
1599	2202		\$ 3,690.00
1600	800002880		\$ 3,690.00
1601	1187		\$ 3,690.00
1602	350		\$ 3,690.00
1603	520		\$ 3,683.85
1604	800001432		\$ 3,678.93
1605	705		\$ 3,672.00
1606	800001664		\$ 3,669.52
1607	800001624		\$ 3,659.52
1608	800002916		\$ 3,658.75
1609	1097		\$ 3,651.75
1610	530001485		\$ 3,651.30
1611	1467		\$ 3,645.00
1612	800000554		\$ 3,644.98
1613	63		\$ 3,640.75
1614	273		\$ 3,637.69
1615	800002997		\$ 3,626.10
1616	530002215		\$ 3,619.71
1617	800002391		\$ 3,609.45
1618	530001697		\$ 3,605.41
1619	530001502		\$ 3,599.10
1620	530001208		\$ 3,591.73

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1621	800000442		\$ 3,584.01
1622	1275		\$ 3,578.97
1623	800000256		\$ 3,578.68
1624	800003029		\$ 3,571.20
1625	800000893		\$ 3,568.90
1626	800003026		\$ 3,549.60
1627	530002129		\$ 3,549.23
1628	800001571		\$ 3,546.58
1629	800001582		\$ 3,545.24
1630	2112		\$ 3,540.81
1631	530001894		\$ 3,539.97
1632	1913		\$ 3,537.00
1633	800001112		\$ 3,532.91
1634	800001009		\$ 3,526.50
1635	971		\$ 3,520.80
1636	802		\$ 3,515.49
1637	530001504		\$ 3,514.66
1638	800000859		\$ 3,512.47
1639	108		\$ 3,511.60
1640	800001372		\$ 3,506.90
1641	530000678		\$ 3,497.58
1642	1968		\$ 3,494.52
1643	800001466		\$ 3,494.03
1644	530001526		\$ 3,492.00
1645	800002170		\$ 3,492.00
1646	208		\$ 3,473.20
1647	917		\$ 3,472.74
1648	800000895		\$ 3,471.75
1649	1000		\$ 3,471.05
1650	800002447		\$ 3,467.84
1651	530001803		\$ 3,461.94

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1652	530001266		\$ 3,448.44
1653	530001701		\$ 3,442.50
1654	1207		\$ 3,434.94
1655	530002029		\$ 3,434.40
1656	2195		\$ 3,426.89
1657	800002449		\$ 3,423.06
1658	537		\$ 3,420.00
1659	1449		\$ 3,416.94
1660	1874		\$ 3,416.94
1661	800001072		\$ 3,408.24
1662	800002709		\$ 3,408.06
1663	530001622		\$ 3,403.71
1664	530001953		\$ 3,398.82
1665	800001402		\$ 3,398.15
1666	1559		\$ 3,378.60
1667	800000955		\$ 3,378.28
1668	530001530		\$ 3,372.03
1669	800001855		\$ 3,368.25
1670	800000439		\$ 3,366.50
1671	1247		\$ 3,353.02
1672	1412		\$ 3,352.80
1673	1731		\$ 3,352.06
1674	800001051		\$ 3,348.00
1675	530001810		\$ 3,347.91
1676	1538		\$ 3,344.63
1677	530000677		\$ 3,343.22
1678	530000752		\$ 3,343.22
1679	800000022		\$ 3,343.17
1680	894		\$ 3,341.51
1681	447		\$ 3,341.27

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1682	800001183		\$ 3,341.26
1683	800000852		\$ 3,339.14
1684	2067		\$ 3,339.09
1685	1037		\$ 3,334.62
1686	800001414		\$ 3,334.50
1687	1161		\$ 3,332.38
1688	530001693		\$ 3,322.44
1689	530001736		\$ 3,313.37
1690	530001106		\$ 3,312.00
1691	96		\$ 3,311.19
1692	1665		\$ 3,307.16
1693	800000714		\$ 3,304.80
1694	1519		\$ 3,302.35
1695	1956		\$ 3,297.56
1696	530001397		\$ 3,290.11
1697	530001404		\$ 3,285.00
1698	1619		\$ 3,280.50
1699	800000617		\$ 3,273.75
1700	530002014		\$ 3,268.44
1701	800001475		\$ 3,267.31
1702	800001157		\$ 3,252.00
1703	1474		\$ 3,247.93
1704	2131		\$ 3,244.50
1705	800000675		\$ 3,240.00
1706	530001829		\$ 3,229.38
1707	800002998		\$ 3,224.71
1708	1377		\$ 3,214.13
1709	1969		\$ 3,211.08
1710	800001550		\$ 3,208.59
1711	1418		\$ 3,198.94
1712	800002966		\$ 3,197.81

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1713	800001149		\$ 3,194.60
1714	1279		\$ 3,193.23
1715	530001380		\$ 3,181.23
1716	800001408		\$ 3,177.00
1717	1571		\$ 3,176.10
1718	530001426		\$ 3,175.11
1719	800002451		\$ 3,172.50
1720	530001123		\$ 3,158.28
1721	800002155		\$ 3,157.83
1722	800002811		\$ 3,156.42
1723	800001152		\$ 3,154.50
1724	842		\$ 3,147.27
1725	800002717		\$ 3,146.85
1726	530001713		\$ 3,136.41
1727	800000857		\$ 3,135.38
1728	654		\$ 3,132.00
1729	800002996		\$ 3,130.83
1730	530001389		\$ 3,122.91
1731	92		\$ 3,122.37
1732	530001711		\$ 3,118.51
1733	530000661		\$ 3,113.73
1734	867		\$ 3,104.93
1735	800000979		\$ 3,104.46
1736	530001094		\$ 3,103.34
1737	530001145		\$ 3,102.75
1738	782		\$ 3,097.77
1739	530001928		\$ 3,090.38
1740	800001424		\$ 3,081.49
1741	530000400		\$ 3,076.93
1742	800002739		\$ 3,076.20
1743	530001060		\$ 3,073.50
1744	800000965		\$ 3,073.20

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1745	46		\$ 3,072.67
1746	577		\$ 3,072.00
1747	975		\$ 3,071.52
1748	800000767		\$ 3,070.89
1749	1735		\$ 3,060.00
1750	530002041		\$ 3,060.00
1751	800000723		\$ 3,056.94
1752	530000212		\$ 3,054.97
1753	800001346		\$ 3,053.90
1754	800002227		\$ 3,042.00
1755	1383		\$ 3,040.88
1756	2118		\$ 3,040.88
1757	800001307		\$ 3,036.26
1758	800003061		\$ 3,030.97
1759	800001234		\$ 3,030.04
1760	1747		\$ 3,023.94
1761	806		\$ 3,017.26
1762	888		\$ 3,007.13
1763	530001455		\$ 3,005.81
1764	530001805		\$ 2,997.10
1765	530001806		\$ 2,984.44
1766	530002145		\$ 2,978.83
1767	530002004		\$ 2,975.07
1768	530001643		\$ 2,974.46
1769	800001070		\$ 2,974.21
1770	800001281		\$ 2,974.00
1771	800000347		\$ 2,971.71
1772	800002509		\$ 2,970.51
1773	530000834		\$ 2,963.31
1774	530002022		\$ 2,963.09
1775	982		\$ 2,951.97
1776	800001323		\$ 2,939.63

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1777	898		\$ 2,929.50
1778	800001038		\$ 2,929.50
1779	800001727		\$ 2,929.50
1780	640		\$ 2,927.62
1781	530001367		\$ 2,927.39
1782	800001594		\$ 2,926.80
1783	1075		\$ 2,925.00
1784	800001422		\$ 2,925.00
1785	800003072		\$ 2,925.00
1786	530001621		\$ 2,924.44
1787	800001282		\$ 2,923.36
1788	1656		\$ 2,922.40
1789	1698		\$ 2,920.00
1790	800001166		\$ 2,918.79
1791	999		\$ 2,918.51
1792	800001056		\$ 2,893.72
1793	530000211		\$ 2,892.76
1794	530002211		\$ 2,892.56
1795	536		\$ 2,891.70
1796	891		\$ 2,890.75
1797	800000594		\$ 2,889.00
1798	530001955		\$ 2,887.94
1799	800001704		\$ 2,881.71
1800	911		\$ 2,878.34
1801	800003014		\$ 2,864.50
1802	530002030		\$ 2,862.00
1803	1759		\$ 2,859.58
1804	530001342		\$ 2,857.60
1805	800002133		\$ 2,856.94
1806	1576		\$ 2,852.38
1807	78		\$ 2,834.85
1808	438		\$ 2,834.85

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1809	1370		\$ 2,829.71
1810	530000385		\$ 2,827.53
1811	885		\$ 2,825.55
1812	530001087		\$ 2,824.88
1813	530001629		\$ 2,822.73
1814	800000853		\$ 2,820.60
1815	800001299		\$ 2,814.50
1816	800000805		\$ 2,810.00
1817	825		\$ 2,810.00
1818	851		\$ 2,810.00
1819	530002098		\$ 2,810.00
1820	530001506		\$ 2,810.00
1821	530001517		\$ 2,810.00
1822	530001649		\$ 2,810.00
1823	530001714		\$ 2,810.00
1824	530001918		\$ 2,810.00
1825	530001997		\$ 2,810.00
1826	530002039		\$ 2,810.00
1827	530002048		\$ 2,810.00
1828	192		\$ 2,810.00
1829	800000922		\$ 2,804.77
1830	467		\$ 2,801.94
1831	800000959		\$ 2,800.44
1832	392		\$ 2,798.15
1833	530000217		\$ 2,794.50
1834	800002688		\$ 2,786.80
1835	1773		\$ 2,779.27
1836	282		\$ 2,772.46
1837	530001889		\$ 2,769.21

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1838	800001431		\$ 2,769.11
1839	800001494		\$ 2,763.00
1840	1568		\$ 2,758.73
1841	1916		\$ 2,758.73
1842	800002982		\$ 2,755.14
1843	800000667		\$ 2,755.11
1844	530001126		\$ 2,754.67
1845	800000700		\$ 2,753.80
1846	530000720		\$ 2,753.46
1847	800000637		\$ 2,749.73
1848	530001411		\$ 2,742.75
1849	1648		\$ 2,739.15
1850	530002106		\$ 2,736.21
1851	530001099		\$ 2,736.21
1852	1579		\$ 2,736.00
1853	800001513		\$ 2,736.00
1854	439		\$ 2,731.10
1855	800000787		\$ 2,728.51
1856	1036		\$ 2,723.85
1857	987		\$ 2,723.63
1858	800000924		\$ 2,722.52
1859	263		\$ 2,721.75
1860	687		\$ 2,721.75
1861	406		\$ 2,719.50
1862	530001851		\$ 2,718.00
1863	243		\$ 2,713.50
1864	800000690		\$ 2,713.50
1865	1918		\$ 2,713.50
1866	2226		\$ 2,710.71
1867	1087		\$ 2,709.00
1868	1218		\$ 2,706.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1869	530001690		\$ 2,706.00
1870	530001252		\$ 2,704.78
1871	530001194		\$ 2,704.32
1872	800000718		\$ 2,700.00
1873	530001384		\$ 2,700.00
1874	800002651		\$ 2,700.00
1875	2024		\$ 2,700.00
1876	800001298		\$ 2,697.80
1877	1484		\$ 2,695.52
1878	556		\$ 2,693.25
1879	992		\$ 2,692.46
1880	800001537		\$ 2,691.28
1881	800001352		\$ 2,691.00
1882	800002879		\$ 2,691.00
1883	2227		\$ 2,691.00
1884	530001852		\$ 2,685.74
1885	800002756		\$ 2,685.29
1886	800000428		\$ 2,684.93
1887	530001437		\$ 2,681.91
1888	682		\$ 2,679.03
1889	800002317		\$ 2,676.82
1890	800000883		\$ 2,675.25
1891	800000459		\$ 2,673.48
1892	530001428		\$ 2,672.91
1893	530001400		\$ 2,668.41
1894	530001626		\$ 2,658.24
1895	800000404		\$ 2,657.98
1896	530000359		\$ 2,657.88
1897	932		\$ 2,655.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
1898	125		\$ 2,653.97
1899	800001331		\$ 2,653.34
1900	1602		\$ 2,648.57
1901	800002838		\$ 2,640.25
1902	800001705		\$ 2,639.77
1903	919		\$ 2,636.96
1904	530001654		\$ 2,635.88
1905	2218		\$ 2,628.06
1906	530000803		\$ 2,627.10
1907	800001473		\$ 2,626.20
1908	530001861		\$ 2,625.90
1909	800000002		\$ 2,625.28
1910	800002812		\$ 2,623.35
1911	800001752		\$ 2,612.16
1912	800000977		\$ 2,610.00
1913	225		\$ 2,606.99
1914	800001178		\$ 2,604.20
1915	800002990		\$ 2,604.06
1916	262		\$ 2,603.97
1917	800002875		\$ 2,598.66
1918	1973		\$ 2,598.42
1919	800001036		\$ 2,596.46
1920	800002698		\$ 2,596.46
1921	635		\$ 2,594.48
1922	1951		\$ 2,592.45
1923	2222		\$ 2,590.52
1924	621		\$ 2,590.43
1925	571		\$ 2,590.43
1926	800000659		\$ 2,587.50
1927	800001042		\$ 2,587.50
1928	1952		\$ 2,587.50
1929	883		\$ 2,586.29

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
1930	2146		\$ 2,586.29
1931	530001985		\$ 2,583.50
1932	800001268		\$ 2,583.00
1933	1673		\$ 2,583.00
1934	530001475		\$ 2,581.65
1935	800001099		\$ 2,576.03
1936	800001586		\$ 2,557.84
1937	800000816		\$ 2,557.82
1938	800002048		\$ 2,551.15
1939	1998		\$ 2,548.67
1940	530001363		\$ 2,547.50
1941	1078		\$ 2,544.54
1942	622		\$ 2,542.85
1943	141		\$ 2,538.00
1944	826		\$ 2,538.00
1945	800002218		\$ 2,538.00
1946	2271		\$ 2,535.30
1947	530001720		\$ 2,533.05
1948	530001776		\$ 2,532.15
1949	530002081		\$ 2,524.28
1950	1768		\$ 2,520.00
1951	202		\$ 2,519.18
1952	1235		\$ 2,514.07
1953	530001733		\$ 2,505.24
1954	1044		\$ 2,504.93
1955	1625		\$ 2,503.22
1956	481		\$ 2,497.50
1957	800000786		\$ 2,497.50
1958	1017		\$ 2,497.50
1959	800002794		\$ 2,497.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1960	530001077		\$ 2,493.80
1961	2004		\$ 2,490.71
1962	530002079		\$ 2,489.75
1963	1180		\$ 2,488.50
1964	800001669		\$ 2,488.50
1965	776		\$ 2,484.32
1966	1489		\$ 2,483.96
1967	800000469		\$ 2,482.71
1968	800001085		\$ 2,481.45
1969	800000583		\$ 2,478.15
1970	800000414		\$ 2,475.00
1971	448		\$ 2,475.00
1972	800003057		\$ 2,475.00
1973	343		\$ 2,472.75
1974	530001726		\$ 2,472.75
1975	748		\$ 2,469.65
1976	378		\$ 2,466.32
1977	127		\$ 2,466.00
1978	800002991		\$ 2,462.46
1979	800000286		\$ 2,460.00
1980	436		\$ 2,460.00
1981	951		\$ 2,460.00
1982	1843		\$ 2,460.00
1983	800001647		\$ 2,460.00
1984	800001736		\$ 2,460.00
1985	800003060		\$ 2,460.00
1986	960		\$ 2,460.00
1987	1323		\$ 2,460.00
1988	1056		\$ 2,457.00
1989	524		\$ 2,456.96
1990	968		\$ 2,452.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
1991	530001830		\$ 2,448.47
1992	800000834		\$ 2,448.00
1993	800001354		\$ 2,448.00
1994	530001772		\$ 2,448.00
1995	1490		\$ 2,447.96
1996	800001739		\$ 2,446.79
1997	1642		\$ 2,446.70
1998	530000663		\$ 2,446.34
1999	530001399		\$ 2,443.46
2000	530000729		\$ 2,439.00
2001	530000666		\$ 2,438.17
2002	800000886		\$ 2,434.50
2003	800000780		\$ 2,431.42
2004	704		\$ 2,430.00
2005	800001167		\$ 2,430.00
2006	944		\$ 2,416.96
2007	369		\$ 2,412.43
2008	1062		\$ 2,412.00
2009	530001735		\$ 2,410.74
2010	530001907		\$ 2,409.26
2011	221		\$ 2,408.63
2012	530001214		\$ 2,408.33
2013	800000159		\$ 2,407.50
2014	1018		\$ 2,407.50
2015	800001635		\$ 2,406.31
2016	186		\$ 2,403.00
2017	959		\$ 2,403.00
2018	800001484		\$ 2,403.00
2019	800003012		\$ 2,397.15
2020	530000734		\$ 2,393.96
2021	530001296		\$ 2,377.54
2022	1304		\$ 2,376.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2023	530001429		\$ 2,375.93
2024	743		\$ 2,365.43
2025	530001385		\$ 2,364.73
2026	2074		\$ 2,362.50
2027	2210		\$ 2,362.50
2028	800001127		\$ 2,357.96
2029	497		\$ 2,352.25
2030	800001746		\$ 2,352.11
2031	800000729		\$ 2,349.41
2032	800001193		\$ 2,347.51
2033	30		\$ 2,345.63
2034	745		\$ 2,345.63
2035	530001579		\$ 2,343.11
2036	800001546		\$ 2,340.00
2037	800001605		\$ 2,338.47
2038	931		\$ 2,335.50
2039	1818		\$ 2,335.39
2040	530001187		\$ 2,332.23
2041	530002207		\$ 2,332.23
2042	530002017		\$ 2,332.17
2043	2178		\$ 2,330.96
2044	962		\$ 2,330.41
2045	2097		\$ 2,328.75
2046	1231		\$ 2,320.85
2047	530001101		\$ 2,311.65
2048	800001837		\$ 2,295.77
2049	530001548		\$ 2,294.31
2050	800002103		\$ 2,293.14
2051	1546		\$ 2,293.11
2052	530001188		\$ 2,291.33
2053	530002208		\$ 2,291.33
2054	860		\$ 2,290.46

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2055	530001543		\$ 2,289.80
2056	800002799		\$ 2,289.60
2057	800001410		\$ 2,288.25
2058	530001767		\$ 2,283.75
2059	800002677		\$ 2,281.14
2060	1817		\$ 2,270.67
2061	800001371		\$ 2,264.94
2062	530000718		\$ 2,263.14
2063	1214		\$ 2,263.01
2064	1365		\$ 2,263.01
2065	800001578		\$ 2,261.25
2066	703		\$ 2,261.25
2067	44		\$ 2,261.25
2068	1349		\$ 2,254.58
2069	1487		\$ 2,253.09
2070	530001571		\$ 2,252.48
2071	1684		\$ 2,252.30
2072	800001560		\$ 2,252.25
2073	800001735		\$ 2,252.21
2074	530001286		\$ 2,250.81
2075	1067		\$ 2,250.15
2076	800000966		\$ 2,250.00
2077	512		\$ 2,248.58
2078	800000394		\$ 2,248.00
2079	253		\$ 2,248.00
2080	1289		\$ 2,247.21
2081	1548		\$ 2,246.64
2082	1583		\$ 2,244.18
2083	1870		\$ 2,244.18

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2084	800001198		\$ 2,242.78
2085	1253		\$ 2,242.45
2086	800001103		\$ 2,240.88
2087	2086		\$ 2,238.27
2088	981		\$ 2,236.50
2089	800001187		\$ 2,236.03
2090	800002385		\$ 2,235.02
2091	1537		\$ 2,234.25
2092	1721		\$ 2,233.70
2093	1704		\$ 2,232.48
2094	1096		\$ 2,231.89
2095	2044		\$ 2,228.71
2096	800001018		\$ 2,228.00
2097	530002031		\$ 2,227.50
2098	685		\$ 2,226.45
2099	530001624		\$ 2,225.07
2100	1388		\$ 2,224.23
2101	1515		\$ 2,223.70
2102	452		\$ 2,222.71
2103	800001838		\$ 2,222.15
2104	530001456		\$ 2,220.68
2105	1861		\$ 2,220.49
2106	800001458		\$ 2,217.47
2107	1390		\$ 2,216.48
2108	530001738		\$ 2,216.48
2109	800000545		\$ 2,215.82
2110	800000699		\$ 2,214.00
2111	530001408		\$ 2,214.00
2112	530001869		\$ 2,211.80
2113	988		\$ 2,210.85
2114	530001919		\$ 2,206.43

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2115	530001964		\$ 2,204.64
2116	1227		\$ 2,203.19
2117	800002147		\$ 2,201.40
2118	800001068		\$ 2,200.23
2119	800002377		\$ 2,194.74
2120	800000498		\$ 2,193.75
2121	530001724		\$ 2,192.40
2122	666		\$ 2,191.50
2123	800002929		\$ 2,186.94
2124	800000875		\$ 2,184.08
2125	39		\$ 2,183.81
2126	596		\$ 2,177.75
2127	800002256		\$ 2,173.50
2128	530002214		\$ 2,172.93
2129	1260		\$ 2,172.93
2130	800002337		\$ 2,171.85
2131	2254		\$ 2,171.25
2132	36		\$ 2,168.99
2133	530002035		\$ 2,168.15
2134	800001197		\$ 2,167.20
2135	800002457		\$ 2,165.76
2136	451		\$ 2,162.68
2137	1163		\$ 2,162.57
2138	800002817		\$ 2,161.84
2139	1042		\$ 2,160.00
2140	800001769		\$ 2,160.00
2141	284		\$ 2,156.43
2142	482		\$ 2,154.15
2143	530001387		\$ 2,149.15
2144	800001498		\$ 2,143.93
2145	976		\$ 2,141.96
2146	800000027		\$ 2,141.90

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2147	800000437		\$ 2,140.20
2148	246		\$ 2,139.75
2149	1371		\$ 2,138.09
2150	800000222		\$ 2,137.46
2151	800001384		\$ 2,137.22
2152	1386		\$ 2,136.15
2153	1246		\$ 2,133.00
2154	2264		\$ 2,133.00
2155	800001328		\$ 2,129.44
2156	530001488		\$ 2,124.00
2157	530001102		\$ 2,123.39
2158	1557		\$ 2,120.40
2159	672		\$ 2,115.00
2160	756		\$ 2,113.02
2161	800002733		\$ 2,107.50
2162	724		\$ 2,103.13
2163	530001954		\$ 2,096.75
2164	2069		\$ 2,095.20
2165	800000691		\$ 2,095.15
2166	546		\$ 2,093.40
2167	850		\$ 2,093.40
2168	800001385		\$ 2,091.00
2169	800001279		\$ 2,090.56
2170	800001059		\$ 2,090.28
2171	887		\$ 2,089.02
2172	1130		\$ 2,079.40
2173	1866		\$ 2,077.16
2174	720		\$ 2,069.55
2175	800001088		\$ 2,065.50
2176	800002882		\$ 2,060.96
2177	893		\$ 2,060.12
2178	530002095		\$ 2,058.01

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2179	993		\$ 2,056.10
2180	1527		\$ 2,052.00
2181	2209		\$ 2,051.96
2182	800003071		\$ 2,050.68
2183	800000118		\$ 2,038.25
2184	800000753		\$ 2,034.00
2185	530001184		\$ 2,033.88
2186	530002204		\$ 2,033.88
2187	530001880		\$ 2,032.88
2188	800001423		\$ 2,028.38
2189	800001419		\$ 2,026.71
2190	530002143		\$ 2,025.00
2191	787		\$ 2,024.55
2192	530000098		\$ 2,019.82
2193	800001276		\$ 2,018.17
2194	800000963		\$ 2,011.19
2195	530001427		\$ 2,002.41
2196	800002908		\$ 1,996.59
2197	784		\$ 1,993.03
2198	977		\$ 1,990.80
2199	683		\$ 1,987.07
2200	800001479		\$ 1,987.04
2201	800000094		\$ 1,976.34
2202	1184		\$ 1,976.08
2203	530000401		\$ 1,974.94
2204	1188		\$ 1,974.70
2205	800001248		\$ 1,974.29
2206	800002628		\$ 1,967.00
2207	530001365		\$ 1,965.60

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2208	1600		\$ 1,961.82
2209	530002069		\$ 1,952.08
2210	530001453		\$ 1,947.62
2211	800001217		\$ 1,936.13
2212	530000363		\$ 1,931.61
2213	1708		\$ 1,931.28
2214	2248		\$ 1,931.28
2215	530001942		\$ 1,924.07
2216	1310		\$ 1,923.75
2217	530001133		\$ 1,923.47
2218	1695		\$ 1,921.10
2219	1710		\$ 1,917.50
2220	187		\$ 1,912.50
2221	188		\$ 1,912.50
2222	1580		\$ 1,906.29
2223	1871		\$ 1,906.29
2224	800002046		\$ 1,905.12
2225	1074		\$ 1,903.29
2226	2010		\$ 1,895.47
2227	1012		\$ 1,895.13
2228	1511		\$ 1,894.84
2229	1470		\$ 1,893.15
2230	1303		\$ 1,888.20
2231	800001216		\$ 1,884.97
2232	287		\$ 1,884.11
2233	293		\$ 1,879.46
2234	800002409		\$ 1,877.88
2235	881		\$ 1,877.87
2236	530002080		\$ 1,876.23
2237	530000681		\$ 1,863.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2238	800001903		\$ 1,863.00
2239	889		\$ 1,862.00
2240	195		\$ 1,861.88
2241	800002869		\$ 1,860.14
2242	800001169		\$ 1,860.00
2243	2260		\$ 1,858.50
2244	800001376		\$ 1,857.39
2245	1634		\$ 1,854.63
2246	800000149		\$ 1,851.18
2247	1460		\$ 1,850.20
2248	879		\$ 1,848.80
2249	530001599		\$ 1,848.38
2250	800001543		\$ 1,847.95
2251	1395		\$ 1,846.35
2252	1865		\$ 1,846.35
2253	1863		\$ 1,846.13
2254	1705		\$ 1,845.54
2255	228		\$ 1,845.00
2256	708		\$ 1,845.00
2257	530001746		\$ 1,845.00
2258	1604		\$ 1,843.65
2259	800000646		\$ 1,836.00
2260	800003042		\$ 1,835.95
2261	800002169		\$ 1,833.75
2262	1902		\$ 1,831.50
2263	2197		\$ 1,831.46
2264	1179		\$ 1,830.15
2265	991		\$ 1,828.00
2266	1022		\$ 1,826.50
2267	800000309		\$ 1,824.83
2268	800000776		\$ 1,824.07

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2269	667		\$ 1,819.80
2270	530001374		\$ 1,817.96
2271	1203		\$ 1,811.88
2272	530001500		\$ 1,809.00
2273	530001381		\$ 1,808.96
2274	419		\$ 1,802.79
2275	800002035		\$ 1,802.79
2276	800000783		\$ 1,801.25
2277	1409		\$ 1,800.14
2278	800000725		\$ 1,800.00
2279	800001394		\$ 1,798.41
2280	530001891		\$ 1,797.03
2281	800000015		\$ 1,794.20
2282	530001797		\$ 1,794.15
2283	1941		\$ 1,792.67
2284	1917		\$ 1,790.89
2285	790		\$ 1,789.66
2286	590		\$ 1,784.79
2287	800001184		\$ 1,784.11
2288	1432		\$ 1,783.50
2289	1793		\$ 1,783.50
2290	800002946		\$ 1,782.00
2291	458		\$ 1,779.35
2292	1068		\$ 1,774.90
2293	1659		\$ 1,772.75
2294	800001341		\$ 1,765.74
2295	530001376		\$ 1,761.30
2296	800001325		\$ 1,759.25
2297	530001659		\$ 1,758.24

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2298	530001872		\$ 1,758.15
2299	530001870		\$ 1,757.25
2300	530001935		\$ 1,757.25
2301	800001683		\$ 1,757.25
2302	763		\$ 1,755.00
2303	800001633		\$ 1,755.00
2304	800002163		\$ 1,755.00
2305	800002506		\$ 1,754.88
2306	1824		\$ 1,752.75
2307	530002114		\$ 1,751.72
2308	530001784		\$ 1,750.46
2309	1232		\$ 1,749.19
2310	1133		\$ 1,745.96
2311	800002891		\$ 1,744.88
2312	530000214		\$ 1,743.39
2313	530001729		\$ 1,742.20
2314	760		\$ 1,741.50
2315	1389		\$ 1,741.46
2316	530001778		\$ 1,741.05
2317	1610		\$ 1,737.08
2318	2042		\$ 1,737.01
2319	530001562		\$ 1,736.65
2320	800000527		\$ 1,735.29
2321	578		\$ 1,734.93
2322	800000945		\$ 1,732.50
2323	800002927		\$ 1,732.50
2324	1520		\$ 1,728.77
2325	530001937		\$ 1,727.96
2326	800000925		\$ 1,727.67
2327	530001725		\$ 1,727.19
2328	42		\$ 1,726.65

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2329	788		\$ 1,722.00
2330	800001124		\$ 1,719.00
2331	1614		\$ 1,719.00
2332	800002154		\$ 1,719.00
2333	316		\$ 1,719.00
2334	800002012		\$ 1,718.63
2335	800003099		\$ 1,717.90
2336	800003059		\$ 1,717.76
2337	1450		\$ 1,715.13
2338	1872		\$ 1,715.13
2339	1094		\$ 1,714.50
2340	646		\$ 1,713.83
2341	800001574		\$ 1,713.22
2342	1483		\$ 1,711.31
2343	800002620		\$ 1,710.00
2344	530001848		\$ 1,707.75
2345	800001117		\$ 1,706.40
2346	800002537		\$ 1,703.29
2347	530001581		\$ 1,703.03
2348	530000709		\$ 1,701.00
2349	1225		\$ 1,696.50
2350	859		\$ 1,692.00
2351	530001057		\$ 1,691.10
2352	1950		\$ 1,691.06
2353	800002304		\$ 1,689.75
2354	1694		\$ 1,688.63
2355	1940		\$ 1,688.40
2356	1055		\$ 1,687.50
2357	530001933		\$ 1,687.50
2358	800002827		\$ 1,687.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2359	800002685		\$ 1,686.69
2360	800001290		\$ 1,686.00
2361	800003077		\$ 1,686.00
2362	530001472		\$ 1,684.76
2363	2127		\$ 1,684.76
2364	800001584		\$ 1,682.96
2365	403		\$ 1,682.96
2366	466		\$ 1,681.88
2367	800003025		\$ 1,680.14
2368	1038		\$ 1,679.36
2369	800002952		\$ 1,679.18
2370	800001427		\$ 1,678.50
2371	800002789		\$ 1,677.15
2372	903		\$ 1,673.05
2373	2018		\$ 1,672.62
2374	530001708		\$ 1,670.18
2375	1268		\$ 1,669.77
2376	220		\$ 1,669.22
2377	219		\$ 1,666.07
2378	543		\$ 1,665.00
2379	800000163		\$ 1,661.89
2380	636		\$ 1,659.06
2381	1171		\$ 1,655.96
2382	800002753		\$ 1,635.66
2383	530002078		\$ 1,631.25
2384	1788		\$ 1,626.06
2385	604		\$ 1,623.06
2386	410		\$ 1,622.21
2387	2272		\$ 1,621.62
2388	530000232		\$ 1,621.13
2389	530001469		\$ 1,619.90
2390	774		\$ 1,615.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2391	530002140		\$ 1,615.14
2392	800002413		\$ 1,611.00
2393	530002061		\$ 1,608.45
2394	954		\$ 1,607.85
2395	530000120		\$ 1,598.03
2396	530001582		\$ 1,595.25
2397	530002058		\$ 1,593.00
2398	800002031		\$ 1,593.00
2399	1915		\$ 1,591.79
2400	800001929		\$ 1,591.07
2401	434		\$ 1,590.00
2402	456		\$ 1,584.00
2403	530001960		\$ 1,581.75
2404	800000272		\$ 1,579.48
2405	1650		\$ 1,579.35
2406	800001125		\$ 1,575.00
2407	800001155		\$ 1,575.00
2408	800001156		\$ 1,575.00
2409	800001413		\$ 1,575.00
2410	800003090		\$ 1,575.00
2411	800002867		\$ 1,574.40
2412	1392		\$ 1,572.74
2413	800002895		\$ 1,570.39
2414	1653		\$ 1,569.48
2415	530002116		\$ 1,569.26
2416	1603		\$ 1,566.96
2417	800000534		\$ 1,565.00
2418	800001027		\$ 1,562.81
2419	530002063		\$ 1,559.12
2420	800001361		\$ 1,552.46

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Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2421	320		\$ 1,548.00
2422	800002581		\$ 1,548.00
2423	1751		\$ 1,547.56
2424	800000942		\$ 1,543.50
2425	1618		\$ 1,539.88
2426	800002138		\$ 1,539.43
2427	614		\$ 1,539.00
2428	291		\$ 1,536.29
2429	2100		\$ 1,530.01
2430	2101		\$ 1,527.34
2431	530000405		\$ 1,524.83
2432	800001783		\$ 1,523.76
2433	800001959		\$ 1,522.09
2434	1050		\$ 1,522.06
2435	1406		\$ 1,514.93
2436	367		\$ 1,514.30
2437	800000214		\$ 1,511.77
2438	1429		\$ 1,511.48
2439	800000890		\$ 1,508.99
2440	800003021		\$ 1,504.98
2441	1428		\$ 1,504.80
2442	490		\$ 1,498.55
2443	530002038		\$ 1,497.30
2444	800000692		\$ 1,496.90
2445	800002347		\$ 1,495.68
2446	1263		\$ 1,490.00
2447	1806		\$ 1,486.56
2448	800002252		\$ 1,484.97
2449	530001443		\$ 1,484.96
2450	800001342		\$ 1,484.67
2451	800000850		\$ 1,483.00
2452	1564		\$ 1,482.30

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2453	530000213		\$ 1,481.57
2454	800002868		\$ 1,478.07
2455	1675		\$ 1,476.90
2456	800001214		\$ 1,476.00
2457	800001501		\$ 1,473.75
2458	530001611		\$ 1,472.42
2459	530001888		\$ 1,467.40
2460	1862		\$ 1,466.55
2461	800000763		\$ 1,462.50
2462	530001356		\$ 1,461.34
2463	530001353		\$ 1,457.75
2464	1382		\$ 1,453.53
2465	530000001		\$ 1,450.49
2466	800001105		\$ 1,449.23
2467	394		\$ 1,444.06
2468	2066		\$ 1,444.06
2469	858		\$ 1,441.53
2470	905		\$ 1,441.53
2471	2068		\$ 1,441.53
2472	2110		\$ 1,441.53
2473	800000306		\$ 1,438.75
2474	800001126		\$ 1,433.10
2475	966		\$ 1,432.24
2476	530001527		\$ 1,428.95
2477	1083		\$ 1,425.30
2478	530002005		\$ 1,425.01
2479	800002461		\$ 1,421.84
2480	800000530		\$ 1,420.88
2481	800003055		\$ 1,412.10
2482	1045		\$ 1,411.96
2483	200		\$ 1,411.20

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2484	800001322		\$ 1,408.37
2485	800001386		\$ 1,408.27
2486	800000109		\$ 1,406.99
2487	800001230		\$ 1,405.78
2488	27		\$ 1,405.00
2489	1540		\$ 1,405.00
2490	530001559		\$ 1,405.00
2491	530002074		\$ 1,405.00
2492	530002217		\$ 1,405.00
2493	1147		\$ 1,399.50
2494	849		\$ 1,397.85
2495	530002055		\$ 1,395.00
2496	2243		\$ 1,394.38
2497	1105		\$ 1,393.74
2498	800000307		\$ 1,391.54
2499	800001532		\$ 1,390.00
2500	530001694		\$ 1,388.50
2501	800000974		\$ 1,385.95
2502	530001362		\$ 1,384.88
2503	800000745		\$ 1,381.05
2504	649		\$ 1,380.38
2505	800001764		\$ 1,378.46
2506	800002222		\$ 1,377.75
2507	1296		\$ 1,376.42
2508	1453		\$ 1,370.25
2509	1485		\$ 1,369.69
2510	530001911		\$ 1,367.37
2511	1801		\$ 1,359.14
2512	530002077		\$ 1,359.00
2513	540		\$ 1,356.75
2514	800000715		\$ 1,354.05
2515	800001789		\$ 1,354.05

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2516	2279		\$ 1,352.32
2517	2223		\$ 1,351.66
2518	800001237		\$ 1,349.87
2519	222		\$ 1,348.62
2520	800002915		\$ 1,347.84
2521	26		\$ 1,346.24
2522	1294		\$ 1,344.66
2523	800001113		\$ 1,344.60
2524	800001247		\$ 1,344.17
2525	800000724		\$ 1,344.12
2526	800001826		\$ 1,343.93
2527	530001520		\$ 1,343.25
2528	800002455		\$ 1,342.35
2529	800000876		\$ 1,338.72
2530	800000580		\$ 1,338.53
2531	800001082		\$ 1,337.69
2532	800002313		\$ 1,336.03
2533	1971		\$ 1,333.14
2534	530002082		\$ 1,332.90
2535	151		\$ 1,331.66
2536	530001967		\$ 1,331.37
2537	530001560		\$ 1,330.83
2538	530001931		\$ 1,330.61
2539	95		\$ 1,329.75
2540	589		\$ 1,329.75
2541	530000804		\$ 1,328.81
2542	800000682		\$ 1,328.50
2543	660		\$ 1,326.60
2544	2153		\$ 1,326.60
2545	425		\$ 1,323.50
2546	1702		\$ 1,322.46
2547	349		\$ 1,320.48

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2548	800001993		\$ 1,316.22
2549	2157		\$ 1,315.53
2550	530001737		\$ 1,310.92
2551	800000809		\$ 1,310.00
2552	800001723		\$ 1,309.78
2553	800002993		\$ 1,305.00
2554	800000902		\$ 1,303.02
2555	530001105		\$ 1,303.00
2556	530001139		\$ 1,303.00
2557	530001062		\$ 1,302.21
2558	769		\$ 1,298.25
2559	530001541		\$ 1,292.54
2560	800000794		\$ 1,291.88
2561	530001979		\$ 1,291.75
2562	530001981		\$ 1,291.75
2563	530001982		\$ 1,291.75
2564	530001983		\$ 1,291.75
2565	530001984		\$ 1,291.75
2566	530001990		\$ 1,291.75
2567	530001991		\$ 1,291.75
2568	530001992		\$ 1,291.75
2569	530001993		\$ 1,291.75
2570	530001995		\$ 1,291.75
2571	1491		\$ 1,286.98
2572	800001579		\$ 1,286.80
2573	1555		\$ 1,283.15
2574	2099		\$ 1,280.81
2575	530000384		\$ 1,277.64
2576	530001470		\$ 1,276.86

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2577	800000634		\$ 1,276.84
2578	530001539		\$ 1,275.48
2579	800002765		\$ 1,271.56
2580	2150		\$ 1,269.15
2581	530001111		\$ 1,264.51
2582	100		\$ 1,264.50
2583	800003002		\$ 1,259.93
2584	800003020		\$ 1,258.65
2585	530000362		\$ 1,257.05
2586	797		\$ 1,255.50
2587	800000585		\$ 1,252.54
2588	530001689		\$ 1,252.14
2589	696		\$ 1,250.98
2590	1292		\$ 1,250.49
2591	530001620		\$ 1,248.75
2592	800001098		\$ 1,246.03
2593	530001895		\$ 1,245.87
2594	800001462		\$ 1,245.79
2595	800001447		\$ 1,244.90
2596	2252		\$ 1,244.70
2597	530001457		\$ 1,244.25
2598	1248		\$ 1,244.23
2599	1750		\$ 1,243.46
2600	530000815		\$ 1,241.91
2601	530001855		\$ 1,241.91
2602	252		\$ 1,241.62
2603	1630		\$ 1,239.90
2604	1492		\$ 1,239.75
2605	863		\$ 1,238.99
2606	800001337		\$ 1,237.50
2607	530001521		\$ 1,237.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2608	800001788		\$ 1,236.81
2609	1079		\$ 1,235.25
2610	277		\$ 1,234.16
2611	550		\$ 1,234.16
2612	2083		\$ 1,234.16
2613	777		\$ 1,232.08
2614	800000762		\$ 1,231.20
2615	530001218		\$ 1,230.85
2616	800000510		\$ 1,230.00
2617	457		\$ 1,230.00
2618	800000813		\$ 1,230.00
2619	1517		\$ 1,230.00
2620	800001332		\$ 1,230.00
2621	1657		\$ 1,230.00
2622	1697		\$ 1,230.00
2623	1829		\$ 1,230.00
2624	530002036		\$ 1,230.00
2625	800001645		\$ 1,230.00
2626	800002026		\$ 1,230.00
2627	800002511		\$ 1,230.00
2628	1107		\$ 1,230.00
2629	1058		\$ 1,230.00
2630	800001507		\$ 1,228.50
2631	530001492		\$ 1,227.15
2632	530002062		\$ 1,226.34
2633	800000150		\$ 1,226.25
2634	1688		\$ 1,225.73
2635	800002236		\$ 1,225.73
2636	530001409		\$ 1,225.32

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2637	530001773		\$ 1,224.00
2638	800003028		\$ 1,221.57
2639	530002016		\$ 1,216.13
2640	1539		\$ 1,215.02
2641	800001868		\$ 1,215.00
2642	800001655		\$ 1,214.80
2643	530002057		\$ 1,214.55
2644	800000189		\$ 1,213.85
2645	526		\$ 1,213.72
2646	1783		\$ 1,212.98
2647	800000913		\$ 1,206.75
2648	800001452		\$ 1,205.40
2649	48		\$ 1,204.88
2650	800000847		\$ 1,204.85
2651	800000162		\$ 1,203.75
2652	800001314		\$ 1,202.40
2653	530001124		\$ 1,199.27
2654	88		\$ 1,198.80
2655	1063		\$ 1,198.80
2656	800001836		\$ 1,194.73
2657	800002655		\$ 1,194.25
2658	800002828		\$ 1,194.03
2659	1348		\$ 1,192.50
2660	800000694		\$ 1,191.72
2661	530002162		\$ 1,190.07
2662	800000444		\$ 1,189.28
2663	800000409		\$ 1,187.30
2664	530001569		\$ 1,180.67
2665	800001654		\$ 1,180.67
2666	2253		\$ 1,180.62
2667	2141		\$ 1,178.42

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2668	1842		\$ 1,177.90
2669	2081		\$ 1,177.63
2670	224		\$ 1,175.76
2671	1906		\$ 1,174.50
2672	800001696		\$ 1,170.44
2673	2180		\$ 1,165.48
2674	2181		\$ 1,165.48
2675	530001118		\$ 1,164.00
2676	679		\$ 1,163.04
2677	800000661		\$ 1,160.44
2678	800000140		\$ 1,159.63
2679	800002542		\$ 1,155.18
2680	1305		\$ 1,150.48
2681	530001631		\$ 1,143.90
2682	800001689		\$ 1,143.04
2683	364		\$ 1,137.33
2684	928		\$ 1,134.68
2685	1046		\$ 1,134.00
2686	2050		\$ 1,134.00
2687	1020		\$ 1,134.00
2688	800003095		\$ 1,132.02
2689	1287		\$ 1,126.69
2690	1295		\$ 1,126.05
2691	800001146		\$ 1,125.95
2692	530001616		\$ 1,125.23
2693	800001373		\$ 1,125.00
2694	1283		\$ 1,124.00
2695	530001917		\$ 1,124.00
2696	800002579		\$ 1,124.00
2697	800002850		\$ 1,124.00
2698	800002682		\$ 1,121.06
2699	530002163		\$ 1,120.28
2700	800001820		\$ 1,119.71

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2701	1927		\$ 1,118.07
2702	800000757		\$ 1,117.48
2703	530001633		\$ 1,116.90
2704	2091		\$ 1,116.00
2705	1120		\$ 1,114.88
2706	1738		\$ 1,113.83
2707	2047		\$ 1,113.64
2708	1896		\$ 1,113.54
2709	800000884		\$ 1,113.16
2710	530001662		\$ 1,111.50
2711	800000525		\$ 1,111.05
2712	800002912		\$ 1,111.05
2713	800000923		\$ 1,110.07
2714	1373		\$ 1,107.00
2715	800002063		\$ 1,104.00
2716	209		\$ 1,103.87
2717	530001431		\$ 1,102.90
2718	530001601		\$ 1,102.23
2719	800000250		\$ 1,101.02
2720	2136		\$ 1,097.22
2721	1189		\$ 1,097.22
2722	800001487		\$ 1,096.00
2723	1217		\$ 1,093.26
2724	800002720		\$ 1,089.00
2725	1518		\$ 1,087.88
2726	1636		\$ 1,083.15
2727	933		\$ 1,076.40
2728	800001134		\$ 1,076.40
2729	119		\$ 1,075.68
2730	719		\$ 1,075.68
2731	530001375		\$ 1,075.48
2732	1764		\$ 1,074.68
2733	409		\$ 1,074.60

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2734	1166		\$ 1,073.74
2735	800003024		\$ 1,073.68
2736	800000085		\$ 1,073.27
2737	904		\$ 1,072.31
2738	800000749		\$ 1,071.00
2739	800001340		\$ 1,071.00
2740	1562		\$ 1,071.00
2741	1157		\$ 1,063.08
2742	800000984		\$ 1,057.80
2743	530002159		\$ 1,054.97
2744	189		\$ 1,053.90
2745	530001642		\$ 1,053.00
2746	800002086		\$ 1,049.83
2747	1535		\$ 1,048.21
2748	530002037		\$ 1,047.87
2749	530001302		\$ 1,044.23
2750	250		\$ 1,043.09
2751	530001354		\$ 1,041.73
2752	2176		\$ 1,038.66
2753	1645		\$ 1,038.58
2754	1873		\$ 1,038.58
2755	800003081		\$ 1,038.36
2756	800001702		\$ 1,037.84
2757	800002922		\$ 1,031.40
2758	800000426		\$ 1,031.15
2759	800000782		\$ 1,030.01
2760	530002054		\$ 1,029.60
2761	2104		\$ 1,029.07
2762	2103		\$ 1,028.95
2763	800000133		\$ 1,027.59
2764	2105		\$ 1,027.26
2765	800000686		\$ 1,026.41

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2766	800002016		\$ 1,026.41
2767	736		\$ 1,026.00
2768	800001041		\$ 1,022.18
2769	800000627		\$ 1,018.17
2770	1208		\$ 1,015.20
2771	530001904		\$ 1,015.09
2772	2102		\$ 1,013.94
2773	2029		\$ 1,013.52
2774	1117		\$ 1,009.61
2775	1976		\$ 1,007.60
2776	2033		\$ 1,006.02
2777	33		\$ 1,004.63
2778	530001536		\$ 1,003.34
2779	800002746		\$ 1,001.25
2780	800002803		\$ 1,000.22
2781	298		\$ 999.00
2782	530001249		\$ 998.57
2783	800001748		\$ 998.52
2784	800003047		\$ 996.81
2785	530001959		\$ 989.21
2786	800001219		\$ 984.00
2787	530002047		\$ 984.00
2788	1725		\$ 979.20
2789	800001320		\$ 978.53
2790	800001536		\$ 974.25
2791	1223		\$ 969.30
2792	800002441		\$ 967.67
2793	2270		\$ 966.74
2794	753		\$ 966.40
2795	1444		\$ 965.03
2796	530000665		\$ 962.10

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2797	800000676		\$ 960.61
2798	650		\$ 958.28
2799	800001658		\$ 955.32
2800	800002973		\$ 955.32
2801	800002708		\$ 953.15
2802	800000075		\$ 951.71
2803	530001637		\$ 949.03
2804	800002336		\$ 948.13
2805	530001473		\$ 947.23
2806	800001351		\$ 945.68
2807	800000438		\$ 945.00
2808	800002610		\$ 945.00
2809	800002229		\$ 944.42
2810	530001078		\$ 943.88
2811	1135		\$ 943.63
2812	1039		\$ 941.98
2813	800001025		\$ 940.95
2814	757		\$ 933.66
2815	213		\$ 932.38
2816	800001502		\$ 929.25
2817	1192		\$ 928.41
2818	530001634		\$ 927.45
2819	857		\$ 925.14
2820	1052		\$ 924.75
2821	530001546		\$ 924.75
2822	530001804		\$ 924.05
2823	1534		\$ 923.44
2824	914		\$ 922.50
2825	800001398		\$ 918.44
2826	1286		\$ 918.00
2827	530001878		\$ 917.69
2828	800001388		\$ 916.95
2829	2287		\$ 915.75

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2830	1791		\$ 915.12
2831	1206		\$ 914.66
2832	800001497		\$ 913.50
2833	800001499		\$ 913.50
2834	800001090		\$ 912.35
2835	800002386		\$ 911.52
2836	800001254		\$ 911.25
2837	530001879		\$ 909.00
2838	2281		\$ 908.48
2839	1394		\$ 908.44
2840	800002797		\$ 906.58
2841	800002550		\$ 902.82
2842	1393		\$ 901.17
2843	800002184		\$ 901.17
2844	800001561		\$ 899.75
2845	800002541		\$ 899.72
2846	800000656		\$ 899.44
2847	800001827		\$ 899.15
2848	800002647		\$ 898.92
2849	800001335		\$ 898.86
2850	10		\$ 898.56
2851	530000233		\$ 897.75
2852	304		\$ 897.44
2853	800000602		\$ 896.18
2854	530001651		\$ 895.50
2855	1443		\$ 894.96
2856	530002020		\$ 894.89
2857	801		\$ 892.87
2858	678		\$ 891.00
2859	530001565		\$ 890.78

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2860	530001618		\$ 890.19
2861	2129		\$ 888.64
2862	530001079		\$ 888.55
2863	800000529		\$ 888.17
2864	201		\$ 888.03
2865	872		\$ 887.78
2866	800001535		\$ 887.40
2867	800000664		\$ 886.32
2868	800002657		\$ 884.52
2869	882		\$ 884.44
2870	803		\$ 884.21
2871	1325		\$ 883.01
2872	530001615		\$ 882.23
2873	1565		\$ 882.00
2874	530001423		\$ 881.98
2875	1439		\$ 881.55
2876	375		\$ 879.41
2877	800001179		\$ 878.63
2878	800001456		\$ 878.00
2879	2255		\$ 876.06
2880	800000919		\$ 875.63
2881	800000533		\$ 875.25
2882	800000591		\$ 873.75
2883	800002410		\$ 871.20
2884	800003074		\$ 870.75
2885	530001341		\$ 868.48
2886	599		\$ 867.15
2887	1903		\$ 866.25

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2888	530002050		\$ 866.25
2889	800002976		\$ 865.80
2890	800002889		\$ 864.90
2891	800001817		\$ 863.78
2892	324		\$ 862.06
2893	800000578		\$ 861.03
2894	958		\$ 859.50
2895	390		\$ 857.95
2896	762		\$ 857.68
2897	1013		\$ 856.80
2898	800002460		\$ 855.55
2899	800001917		\$ 855.00
2900	800000101		\$ 854.78
2901	800000600		\$ 854.78
2902	530001613		\$ 852.73
2903	800001761		\$ 848.25
2904	530001777		\$ 847.62
2905	800000743		\$ 847.18
2906	530001355		\$ 843.73
2907	530001357		\$ 843.73
2908	800000344		\$ 843.00
2909	427		\$ 843.00
2910	927		\$ 843.00
2911	1170		\$ 843.00
2912	800001570		\$ 843.00
2913	1380		\$ 843.00
2914	530002013		\$ 842.42
2915	800000226		\$ 841.50
2916	1085		\$ 841.16
2917	530001578		\$ 838.49
2918	2095		\$ 833.58

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
2919	768		\$ 832.22
2920	2233		\$ 831.59
2921	2026		\$ 830.70
2922	734		\$ 830.25
2923	1320		\$ 830.07
2924	1709		\$ 828.50
2925	530000701		\$ 826.20
2926	156		\$ 824.82
2927	1254		\$ 824.76
2928	800002343		\$ 822.71
2929	800002787		\$ 822.15
2930	800001013		\$ 821.48
2931	530001831		\$ 816.55
2932	800000626		\$ 816.42
2933	530001436		\$ 815.39
2934	530001958		\$ 814.05
2935	800000597		\$ 813.51
2936	530001432		\$ 812.70
2937	515		\$ 812.43
2938	800000800		\$ 812.04
2939	800000596		\$ 811.40
2940	800000856		\$ 810.00
2941	2035		\$ 810.00
2942	530001557		\$ 805.95
2943	530002176		\$ 801.90
2944	530001875		\$ 801.59
2945	800001528		\$ 799.20
2946	1700		\$ 796.98
2947	800001257		\$ 796.95
2948	800000135		\$ 795.93
2949	530000631		\$ 794.58

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2950	800003105		\$ 792.00
2951	530001636		\$ 790.88
2952	625		\$ 790.63
2953	800001150		\$ 787.50
2954	530001419		\$ 787.48
2955	800001273		\$ 787.20
2956	530001672		\$ 786.60
2957	800000522		\$ 786.53
2958	530001377		\$ 785.23
2959	1606		\$ 785.15
2960	804		\$ 783.94
2961	800000261		\$ 783.00
2962	530001390		\$ 782.98
2963	800002502		\$ 781.43
2964	800002251		\$ 779.25
2965	821		\$ 778.50
2966	530001359		\$ 778.48
2967	530001458		\$ 776.05
2968	530001632		\$ 775.37
2969	530001970		\$ 775.05
2970	530001974		\$ 775.05
2971	530001977		\$ 775.05
2972	530001980		\$ 775.05
2973	530001986		\$ 775.05
2974	530001987		\$ 775.05
2975	530001988		\$ 775.05
2976	530001989		\$ 775.05
2977	800001835		\$ 772.90
2978	800003030		\$ 772.75
2979	800000337		\$ 770.63
2980	530001360		\$ 770.63
2981	337		\$ 768.03
2982	530002103		\$ 765.50
2983	530002033		\$ 765.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
2984	884		\$ 764.37
2985	800001231		\$ 762.98
2986	1831		\$ 762.75
2987	1789		\$ 762.60
2988	800001998		\$ 761.67
2989	2073		\$ 759.76
2990	433		\$ 759.76
2991	530002076		\$ 759.62
2992	530001608		\$ 755.35
2993	1343		\$ 755.00
2994	1680		\$ 753.83
2995	800001324		\$ 753.75
2996	800000741		\$ 752.77
2997	1790		\$ 750.30
2998	530001147		\$ 749.25
2999	1391		\$ 744.75
3000	800000321		\$ 741.98
3001	800001779		\$ 741.30
3002	223		\$ 741.15
3003	800001954		\$ 739.19
3004	530001074		\$ 738.45
3005	800000316		\$ 738.00
3006	496		\$ 737.51
3007	288		\$ 736.71
3008	1103		\$ 736.71
3009	530001193		\$ 731.25
3010	800000771		\$ 730.62
3011	1116		\$ 728.82

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3012	963		\$ 728.51
3013	530001561		\$ 725.79
3014	1284		\$ 725.38
3015	800001310		\$ 722.70
3016	609		\$ 722.21
3017	1228		\$ 722.03
3018	352		\$ 720.77
3019	800001244		\$ 718.96
3020	754		\$ 715.50
3021	783		\$ 715.16
3022	530001239		\$ 714.60
3023	755		\$ 710.78
3024	530001104		\$ 710.10
3025	1375		\$ 708.66
3026	800001160		\$ 708.17
3027	752		\$ 703.80
3028	786		\$ 702.50
3029	800002412		\$ 702.31
3030	530001683		\$ 702.00
3031	800003080		\$ 699.98
3032	530000265		\$ 699.39
3033	800002472		\$ 698.37
3034	800001115		\$ 697.73
3035	800000947		\$ 696.69
3036	530000318		\$ 696.60
3037	530000209		\$ 696.46
3038	800002467		\$ 694.60
3039	800002491		\$ 691.88
3040	530001961		\$ 688.79
3041	444		\$ 688.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3042	800000283		\$ 685.65
3043	2125		\$ 683.89
3044	800000009		\$ 682.06
3045	800002416		\$ 680.85
3046	800000458		\$ 679.39
3047	675		\$ 678.13
3048	1145		\$ 677.86
3049	1154		\$ 677.04
3050	800000378		\$ 675.32
3051	800001062		\$ 675.00
3052	800001828		\$ 675.00
3053	800001135		\$ 674.40
3054	800000276		\$ 672.75
3055	921		\$ 670.61
3056	800001063		\$ 670.49
3057	800001188		\$ 669.60
3058	800001141		\$ 665.45
3059	530002025		\$ 664.66
3060	2117		\$ 663.92
3061	1497		\$ 657.68
3062	530001614		\$ 657.54
3063	1754		\$ 654.51
3064	800000904		\$ 654.40
3065	909		\$ 653.40
3066	718		\$ 648.00
3067	1151		\$ 646.88
3068	2040		\$ 646.88
3069	800002841		\$ 645.42
3070	1847		\$ 645.34
3071	800000571		\$ 645.10
3072	800000460		\$ 644.74
3073	555		\$ 644.63

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3074	2124		\$ 644.63
3075	530002166		\$ 644.62
3076	800000543		\$ 642.05
3077	835		\$ 640.13
3078	530001680		\$ 639.51
3079	1849		\$ 637.60
3080	800001611		\$ 636.98
3081	800002695		\$ 636.75
3082	530001669		\$ 634.72
3083	800002776		\$ 634.50
3084	800000823		\$ 629.28
3085	2055		\$ 627.30
3086	800000403		\$ 626.12
3087	800001899		\$ 625.28
3088	800001757		\$ 621.75
3089	800001259		\$ 619.42
3090	800001220		\$ 615.00
3091	29		\$ 612.85
3092	1123		\$ 611.55
3093	522		\$ 609.65
3094	334		\$ 609.38
3095	25		\$ 608.63
3096	124		\$ 605.22
3097	530002045		\$ 603.77
3098	530001185		\$ 602.51
3099	530002205		\$ 602.51
3100	800001001		\$ 601.88
3101	765		\$ 600.86
3102	800000983		\$ 597.32
3103	530001269		\$ 595.07
3104	800000848		\$ 588.75
3105	562		\$ 587.25

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3106	529		\$ 584.72
3107	2096		\$ 577.52
3108	800001083		\$ 575.35
3109	1201		\$ 573.98
3110	94		\$ 573.24
3111	800000470		\$ 571.57
3112	610		\$ 571.46
3113	800002181		\$ 567.22
3114	530000857		\$ 567.00
3115	800000901		\$ 565.35
3116	800000827		\$ 563.99
3117	800000318		\$ 562.00
3118	1104		\$ 562.00
3119	1278		\$ 562.00
3120	1341		\$ 562.00
3121	530001563		\$ 562.00
3122	530001564		\$ 562.00
3123	530001909		\$ 562.00
3124	530001946		\$ 562.00
3125	570		\$ 562.00
3126	488		\$ 559.48
3127	264		\$ 558.44
3128	800001363		\$ 558.00
3129	530001834		\$ 558.00
3130	530002168		\$ 557.75
3131	800000558		\$ 557.44
3132	469		\$ 557.28
3133	800000849		\$ 556.71
3134	1177		\$ 555.30

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3135	800001975		\$ 555.29
3136	800001626		\$ 553.61
3137	800001021		\$ 553.50
3138	535		\$ 552.83
3139	531		\$ 552.15
3140	800002790		\$ 552.15
3141	530002085		\$ 551.70
3142	1318		\$ 551.36
3143	530001663		\$ 550.46
3144	530000822		\$ 549.20
3145	1185		\$ 548.79
3146	800000358		\$ 545.99
3147	800000292		\$ 545.39
3148	530000253		\$ 543.13
3149	800001400		\$ 542.84
3150	530001839		\$ 540.48
3151	800002844		\$ 539.69
3152	800001168		\$ 537.71
3153	800001486		\$ 537.29
3154	530001874		\$ 537.05
3155	1637		\$ 536.61
3156	800001434		\$ 536.06
3157	800000892		\$ 535.05
3158	800001374		\$ 532.49
3159	530001444		\$ 531.89
3160	800000921		\$ 530.87
3161	530000672		\$ 530.10
3162	800001108		\$ 528.08
3163	1440		\$ 526.19
3164	800001525		\$ 524.66
3165	913		\$ 524.38
3166	800000744		\$ 524.22

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3167	530000937		\$ 523.44
3168	530000788		\$ 523.38
3169	800		\$ 522.45
3170	2132		\$ 522.00
3171	468		\$ 522.00
3172	483		\$ 521.57
3173	530000111		\$ 520.88
3174	2039		\$ 519.75
3175	1988		\$ 518.82
3176	800001064		\$ 518.40
3177	530001468		\$ 518.39
3178	2173		\$ 517.70
3179	1726		\$ 517.54
3180	530001591		\$ 517.33
3181	800002980		\$ 517.14
3182	800001349		\$ 516.90
3183	530001971		\$ 516.70
3184	530001973		\$ 516.70
3185	530001978		\$ 516.70
3186	530001994		\$ 516.70
3187	2106		\$ 514.48
3188	530001573		\$ 514.35
3189	2107		\$ 513.23
3190	530000369		\$ 513.00
3191	800001738		\$ 513.00
3192	530000249		\$ 512.58
3193	530001707		\$ 512.55
3194	1693		\$ 512.36
3195	465		\$ 512.33
3196	530001125		\$ 512.24
3197	800000824		\$ 511.84

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3198	800000799		\$ 510.46
3199	800000949		\$ 510.30
3200	1134		\$ 510.21
3201	692		\$ 509.90
3202	800003027		\$ 506.88
3203	2111		\$ 506.79
3204	530001237		\$ 506.57
3205	1210		\$ 506.25
3206	1928		\$ 504.90
3207	800000865		\$ 504.31
3208	530001533		\$ 502.96
3209	800001772		\$ 502.15
3210	800002320		\$ 499.95
3211	930		\$ 497.63
3212	1471		\$ 497.23
3213	800001058		\$ 496.79
3214	800001649		\$ 496.44
3215	1073		\$ 495.90
3216	2144		\$ 495.90
3217	846		\$ 495.59
3218	800002901		\$ 494.81
3219	563		\$ 494.52
3220	800001306		\$ 494.10
3221	530002059		\$ 493.65
3222	2142		\$ 493.34
3223	2149		\$ 493.20
3224	1856		\$ 492.47
3225	800000487		\$ 492.00
3226	1792		\$ 492.00
3227	800002433		\$ 492.00
3228	800003078		\$ 492.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3229	800003100		\$ 492.00
3230	800001052		\$ 491.94
3231	800000446		\$ 491.75
3232	1249		\$ 488.94
3233	800000579		\$ 488.74
3234	1057		\$ 488.70
3235	800001302		\$ 487.50
3236	800002955		\$ 487.50
3237	800000595		\$ 486.42
3238	530000721		\$ 485.91
3239	800001253		\$ 484.93
3240	1328		\$ 484.11
3241	1059		\$ 483.50
3242	800001399		\$ 483.26
3243	970		\$ 482.77
3244	1939		\$ 482.41
3245	800000461		\$ 481.53
3246	1960		\$ 480.17
3247	1719		\$ 480.00
3248	530001422		\$ 479.93
3249	706		\$ 478.80
3250	530000849		\$ 478.53
3251	1549		\$ 478.31
3252	530000381		\$ 476.25
3253	990		\$ 476.18
3254	1431		\$ 476.04
3255	800002900		\$ 476.02
3256	1132		\$ 474.29
3257	800000220		\$ 472.64
3258	800000169		\$ 472.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3259	800002596		\$ 472.50
3260	530001692		\$ 470.42
3261	1993		\$ 470.00
3262	800001080		\$ 469.59
3263	322		\$ 469.13
3264	1652		\$ 468.47
3265	397		\$ 468.12
3266	800000687		\$ 468.00
3267	800002825		\$ 468.00
3268	811		\$ 467.33
3269	800002979		\$ 464.85
3270	530002174		\$ 463.65
3271	922		\$ 462.55
3272	800000348		\$ 462.50
3273	1967		\$ 462.24
3274	766		\$ 461.81
3275	1766		\$ 459.00
3276	1448		\$ 458.37
3277	1867		\$ 458.37
3278	800000810		\$ 458.33
3279	1581		\$ 458.22
3280	800000593		\$ 457.47
3281	530001835		\$ 457.31
3282	800001296		\$ 454.41
3283	800002074		\$ 454.01
3284	1011		\$ 453.61
3285	530000798		\$ 451.14
3286	800000678		\$ 450.94
3287	603		\$ 450.93
3288	800001418		\$ 450.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3289	437		\$ 449.96
3290	674		\$ 449.96
3291	1936		\$ 449.60
3292	800001980		\$ 448.91
3293	800000858		\$ 448.88
3294	1138		\$ 448.75
3295	985		\$ 448.73
3296	1964		\$ 447.53
3297	1271		\$ 447.37
3298	800002429		\$ 447.06
3299	122		\$ 446.91
3300	800003102		\$ 446.79
3301	2211		\$ 446.75
3302	530000795		\$ 445.76
3303	138		\$ 445.50
3304	984		\$ 443.94
3305	1028		\$ 443.71
3306	530001864		\$ 443.41
3307	800002221		\$ 443.23
3308	530001463		\$ 442.80
3309	800001985		\$ 442.42
3310	800000255		\$ 441.20
3311	530002000		\$ 439.97
3312	800003008		\$ 439.16
3313	530000346		\$ 437.88
3314	800002215		\$ 437.64
3315	800001139		\$ 435.55
3316	800002192		\$ 435.55
3317	326		\$ 433.53
3318	800001984		\$ 431.44
3319	530001454		\$ 431.10
3320	530002068		\$ 431.09
3321	800002071		\$ 429.22

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3322	530002110		\$ 428.58
3323	530001096		\$ 428.58
3324	800001201		\$ 427.80
3325	800002959		\$ 427.04
3326	530001540		\$ 424.86
3327	1638		\$ 422.80
3328	986		\$ 421.94
3329	530001358		\$ 421.86
3330	800001069		\$ 421.50
3331	1858		\$ 421.50
3332	530001240		\$ 421.50
3333	800002271		\$ 421.50
3334	800002488		\$ 421.50
3335	800002935		\$ 421.50
3336	800002985		\$ 421.50
3337	800002248		\$ 417.69
3338	1691		\$ 417.37
3339	1690		\$ 417.12
3340	153		\$ 417.00
3341	530002175		\$ 414.00
3342	677		\$ 413.86
3343	800000826		\$ 413.61
3344	800000825		\$ 413.55
3345	1385		\$ 412.54
3346	800001718		\$ 410.63
3347	800001465		\$ 408.24
3348	530000799		\$ 407.88
3349	1689		\$ 407.14
3350	800001274		\$ 404.55
3351	800000937		\$ 402.95
3352	566		\$ 402.00
3353	800002748		\$ 401.62

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3354	800001920		\$ 400.28
3355	530000240		\$ 400.14
3356	890		\$ 399.47
3357	800000651		\$ 397.13
3358	800002210		\$ 397.13
3359	2265		\$ 396.45
3360	800000526		\$ 393.60
3361	800002548		\$ 393.30
3362	800001287		\$ 392.75
3363	800000020		\$ 390.04
3364	800002100		\$ 389.03
3365	1848		\$ 388.70
3366	800000751		\$ 388.11
3367	1810		\$ 386.78
3368	800002371		\$ 385.52
3369	530000816		\$ 384.12
3370	800001636		\$ 383.76
3371	1946		\$ 382.16
3372	800001468		\$ 380.03
3373	800001553		\$ 379.61
3374	530002023		\$ 379.34
3375	530002024		\$ 379.15
3376	800000121		\$ 376.32
3377	530001704		\$ 375.70
3378	530001072		\$ 374.77
3379	530002086		\$ 374.77
3380	800001301		\$ 374.56
3381	1473		\$ 374.40
3382	530000946		\$ 373.92
3383	530001430		\$ 372.59

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3384	800001469		\$ 372.02
3385	800000844		\$ 371.63
3386	800000898		\$ 370.55
3387	1715		\$ 369.23
3388	530000099		\$ 369.04
3389	800000279		\$ 369.00
3390	800003050		\$ 367.95
3391	1111		\$ 367.66
3392	800002369		\$ 367.65
3393	1165		\$ 366.01
3394	530000261		\$ 363.87
3395	800001212		\$ 363.12
3396	668		\$ 361.50
3397	530001524		\$ 359.64
3398	800000123		\$ 358.13
3399	1024		\$ 354.60
3400	676		\$ 353.69
3401	330		\$ 353.24
3402	1422		\$ 353.16
3403	634		\$ 351.90
3404	637		\$ 351.90
3405	530001152		\$ 351.00
3406	530002107		\$ 349.89
3407	530001132		\$ 349.89
3408	800000361		\$ 349.88
3409	800002627		\$ 349.25
3410	1905		\$ 349.20
3411	1258		\$ 348.52
3412	305		\$ 348.07
3413	800000457		\$ 347.61

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
3414	800000605		\$ 347.40
3415	530002161		\$ 347.10
3416	800001662		\$ 346.00
3417	1494		\$ 345.60
3418	800000258		\$ 344.40
3419	800001873		\$ 342.00
3420	897		\$ 341.55
3421	800001081		\$ 340.13
3422	800000105		\$ 338.85
3423	67		\$ 338.58
3424	800001344		\$ 336.79
3425	707		\$ 336.60
3426	800000701		\$ 335.66
3427	530001679		\$ 333.62
3428	741		\$ 333.00
3429	800002796		\$ 332.10
3430	530001734		\$ 331.09
3431	800001665		\$ 330.97
3432	800001243		\$ 330.07
3433	530000042		\$ 329.30
3434	800000544		\$ 329.12
3435	800001519		\$ 326.16
3436	751		\$ 324.89
3437	530001929		\$ 322.80
3438	800002871		\$ 319.70
3439	800002521		\$ 318.57
3440	800001053		\$ 318.08
3441	800002914		\$ 318.02
3442	530000203		\$ 317.51
3443	800001130		\$ 316.83
3444	587		\$ 315.46
3445	530001790		\$ 315.25

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3446	1668		\$ 315.00
3447	800000465		\$ 314.86
3448	800000973		\$ 314.13
3449	1601		\$ 313.56
3450	800001898		\$ 311.18
3451	530000259		\$ 309.36
3452	2017		\$ 309.02
3453	800000274		\$ 308.61
3454	371		\$ 308.22
3455	800002328		\$ 305.64
3456	1561		\$ 305.55
3457	56		\$ 305.45
3458	1115		\$ 305.40
3459	800001362		\$ 304.58
3460	800002152		\$ 303.76
3461	800002810		\$ 303.67
3462	800000357		\$ 303.30
3463	800001317		\$ 303.30
3464	1623		\$ 302.85
3465	1730		\$ 301.00
3466	800000060		\$ 300.72
3467	1475		\$ 298.18
3468	400		\$ 287.77
3469	530000262		\$ 286.62
3470	530000278		\$ 286.58
3471	779		\$ 281.00
3472	800001267		\$ 281.00
3473	1661		\$ 281.00
3474	800001472		\$ 281.00
3475	530001393		\$ 281.00
3476	530001593		\$ 281.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3477	530001751		\$ 281.00
3478	800001902		\$ 281.00
3479	800002821		\$ 281.00
3480	1632		\$ 281.00
3481	800001110		\$ 279.23
3482	530001721		\$ 278.48
3483	800001777		\$ 278.10
3484	193		\$ 277.72
3485	530001753		\$ 277.20
3486	800000841		\$ 277.07
3487	800001315		\$ 275.85
3488	530001058		\$ 275.35
3489	800000837		\$ 274.05
3490	245		\$ 273.38
3491	530001943		\$ 272.66
3492	1136		\$ 272.65
3493	800001294		\$ 270.00
3494	530001849		\$ 270.00
3495	800001854		\$ 269.76
3496	800000754		\$ 269.75
3497	800002940		\$ 269.65
3498	800001715		\$ 269.57
3499	121		\$ 269.55
3500	800001641		\$ 268.20
3501	530001673		\$ 268.06
3502	800000130		\$ 267.75
3503	800000917		\$ 266.85
3504	983		\$ 266.16
3505	800000028		\$ 266.00
3506	1112		\$ 264.14

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3507	1		\$ 264.11
3508	530002173		\$ 263.72
3509	800001744		\$ 262.35
3510	800001368		\$ 262.29
3511	800000033		\$ 260.28
3512	1594		\$ 259.17
3513	800000655		\$ 258.75
3514	800000911		\$ 258.75
3515	1077		\$ 258.75
3516	530001887		\$ 257.30
3517	800002024		\$ 257.13
3518	800000731		\$ 256.93
3519	1090		\$ 256.79
3520	800000648		\$ 256.50
3521	800000004		\$ 256.50
3522	800000641		\$ 256.40
3523	1261		\$ 255.60
3524	530000201		\$ 254.16
3525	800001092		\$ 253.79
3526	1064		\$ 253.62
3527	800001319		\$ 253.35
3528	800001321		\$ 252.66
3529	800000051		\$ 252.59
3530	564		\$ 250.87
3531	800000471		\$ 250.69
3532	638		\$ 250.65
3533	24		\$ 250.65
3534	800001159		\$ 249.95
3535	560		\$ 249.75
3536	530001594		\$ 249.47
3537	530001696		\$ 249.46

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3538	1140		\$ 249.34
3539	800001136		\$ 249.30
3540	800001695		\$ 248.85
3541	800002094		\$ 248.85
3542	1686		\$ 248.40
3543	530001769		\$ 246.46
3544	656		\$ 246.37
3545	339		\$ 246.15
3546	800000477		\$ 246.00
3547	800000770		\$ 246.00
3548	800000910		\$ 246.00
3549	54		\$ 246.00
3550	530000856		\$ 245.70
3551	530000382		\$ 245.45
3552	800000285		\$ 245.14
3553	530001638		\$ 245.13
3554	530001944		\$ 244.66
3555	800000755		\$ 243.45
3556	530001758		\$ 243.45
3557	1233		\$ 243.39
3558	800003087		\$ 242.73
3559	2078		\$ 242.33
3560	800002626		\$ 241.65
3561	163		\$ 240.70
3562	800002088		\$ 240.05
3563	530000743		\$ 239.22
3564	800002049		\$ 238.95
3565	800002120		\$ 238.85
3566	800001852		\$ 238.50
3567	800002395		\$ 237.54
3568	800002262		\$ 237.13
3569	800002761		\$ 235.93

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3570	800002791		\$ 234.00
3571	2192		\$ 234.00
3572	800002640		\$ 233.78
3573	800000167		\$ 233.70
3574	800001666		\$ 232.65
3575	2247		\$ 232.65
3576	530001089		\$ 231.59
3577	800000953		\$ 231.30
3578	800002466		\$ 231.30
3579	800002505		\$ 231.19
3580	241		\$ 231.15
3581	800001530		\$ 231.05
3582	530001730		\$ 229.78
3583	1113		\$ 229.54
3584	800002189		\$ 226.71
3585	407		\$ 226.40
3586	1255		\$ 224.80
3587	1213		\$ 224.72
3588	800001242		\$ 223.69
3589	800002830		\$ 223.43
3590	530002097		\$ 222.93
3591	800001621		\$ 222.71
3592	530001705		\$ 222.17
3593	1609		\$ 221.43
3594	800000308		\$ 221.13
3595	1595		\$ 220.50
3596	800000970		\$ 220.40
3597	800001145		\$ 219.68
3598	530001702		\$ 219.46
3599	800001226		\$ 219.06
3600	1049		\$ 218.90
3601	800002918		\$ 218.84
3602	2268		\$ 218.13

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3603	800001086		\$ 218.09
3604	800002679		\$ 217.31
3605	530000745		\$ 216.36
3606	1269		\$ 216.00
3607	800002362		\$ 215.95
3608	800000614		\$ 215.00
3609	1437		\$ 214.66
3610	530001667		\$ 214.55
3611	800000677		\$ 214.07
3612	800000228		\$ 214.02
3613	1575		\$ 213.98
3614	800001673		\$ 213.84
3615	1679		\$ 213.05
3616	139		\$ 212.98
3617	800000161		\$ 211.16
3618	800002829		\$ 210.95
3619	800001691		\$ 210.90
3620	800000697		\$ 209.42
3621	1291		\$ 208.91
3622	800000992		\$ 206.55
3623	530000204		\$ 206.46
3624	800001802		\$ 206.24
3625	800002907		\$ 205.86
3626	800000262		\$ 205.13
3627	190		\$ 204.26
3628	800002499		\$ 203.94
3629	800002569		\$ 203.00
3630	800002117		\$ 202.27
3631	198		\$ 201.70
3632	730		\$ 201.60
3633	2119		\$ 201.35
3634	800001554		\$ 200.48
3635	800001482		\$ 199.42
3636	530001304		\$ 198.35

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3637	800001165		\$ 197.75
3638	800000479		\$ 197.56
3639	800001997		\$ 196.20
3640	800000188		\$ 194.27
3641	800002564		\$ 194.04
3642	2266		\$ 194.00
3643	800002580		\$ 193.89
3644	1488		\$ 192.15
3645	800000562		\$ 191.88
3646	1008		\$ 191.66
3647	697		\$ 190.80
3648	800002257		\$ 190.01
3649	800001218		\$ 189.30
3650	353		\$ 189.19
3651	800001030		\$ 188.96
3652	800000972		\$ 187.88
3653	611		\$ 187.61
3654	800000268		\$ 187.60
3655	800001003		\$ 187.43
3656	591		\$ 186.70
3657	800000474		\$ 184.50
3658	800002354		\$ 184.47
3659	800001417		\$ 183.94
3660	1713		\$ 183.94
3661	800000418		\$ 183.15
3662	530000823		\$ 182.65
3663	800001255		\$ 182.25
3664	800001280		\$ 182.25
3665	2138		\$ 181.95
3666	855		\$ 181.80
3667	325		\$ 181.13
3668	1724		\$ 181.13

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3669	800002494		\$ 180.91
3670	800001040		\$ 180.45
3671	800002298		\$ 180.08
3672	530000356		\$ 179.83
3673	800002741		\$ 179.33
3674	800001523		\$ 179.19
3675	530001481		\$ 178.70
3676	140		\$ 177.98
3677	244		\$ 177.75
3678	530000768		\$ 177.19
3679	800002554		\$ 176.35
3680	800001045		\$ 175.82
3681	800003005		\$ 175.76
3682	800001142		\$ 175.50
3683	800000377		\$ 174.98
3684	2084		\$ 174.53
3685	800002116		\$ 173.77
3686	1955		\$ 173.70
3687	800000843		\$ 173.47
3688	800000589		\$ 172.80
3689	800000070		\$ 172.19
3690	800000839		\$ 171.90
3691	800000305		\$ 171.00
3692	800002121		\$ 170.95
3693	530001144		\$ 170.42
3694	530000364		\$ 170.37
3695	530000818		\$ 170.02
3696	194		\$ 169.64
3697	800003006		\$ 169.43

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3698	647		\$ 169.20
3699	530001941		\$ 168.61
3700	1670		\$ 168.30
3701	530000784		\$ 168.21
3702	800002742		\$ 168.08
3703	800000590		\$ 167.85
3704	1290		\$ 166.72
3705	530001107		\$ 165.78
3706	1245		\$ 164.25
3707	1524		\$ 163.54
3708	800000612		\$ 162.95
3709	800000613		\$ 162.87
3710	800000013		\$ 162.78
3711	530002154		\$ 162.36
3712	530002067		\$ 162.00
3713	530000782		\$ 160.58
3714	800000766		\$ 159.62
3715	530001137		\$ 159.46
3716	530000106		\$ 159.03
3717	800000141		\$ 158.40
3718	800001312		\$ 157.50
3719	2225		\$ 157.50
3720	800001763		\$ 156.00
3721	655		\$ 156.00
3722	2189		\$ 155.93
3723	800000820		\$ 154.40
3724	2108		\$ 153.80
3725	530001415		\$ 153.00
3726	800002204		\$ 152.29
3727	800002855		\$ 152.10
3728	530000178		\$ 151.23
3729	800001246		\$ 151.20

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3730	530002070		\$ 147.69
3731	530000059		\$ 147.11
3732	530000045		\$ 146.91
3733	800002725		\$ 145.23
3734	1641		\$ 145.00
3735	530002108		\$ 144.15
3736	530001100		\$ 144.15
3737	800000679		\$ 144.00
3738	2140		\$ 142.03
3739	800001625		\$ 140.99
3740	800002440		\$ 140.78
3741	484		\$ 140.50
3742	800001862		\$ 140.50
3743	800002948		\$ 140.40
3744	800001330		\$ 138.77
3745	800001850		\$ 138.38
3746	1427		\$ 136.93
3747	1827		\$ 136.86
3748	1945		\$ 135.45
3749	800002211		\$ 135.23
3750	800000235		\$ 135.00
3751	800000710		\$ 134.75
3752	800002379		\$ 134.18
3753	815		\$ 133.65
3754	800002524		\$ 133.54
3755	800000553		\$ 132.75
3756	800000630		\$ 132.75
3757	800000064		\$ 132.57
3758	800000082		\$ 132.07
3759	800001425		\$ 131.63
3760	530000810		\$ 131.61
3761	800000037		\$ 131.19
3762	800001918		\$ 130.50

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3763	800000900		\$ 129.86
3764	800000702		\$ 128.93
3765	43		\$ 128.90
3766	530000801		\$ 126.38
3767	530001080		\$ 126.23
3768	530001081		\$ 126.23
3769	800002101		\$ 123.75
3770	530000361		\$ 123.44
3771	800000888		\$ 123.08
3772	800000142		\$ 123.00
3773	800000829		\$ 122.54
3774	2139		\$ 122.24
3775	800001477		\$ 121.91
3776	530000671		\$ 120.89
3777	1194		\$ 120.80
3778	800001572		\$ 120.08
3779	530000830		\$ 119.88
3780	800001754		\$ 119.84
3781	800000629		\$ 119.44
3782	800001876		\$ 118.37
3783	800000369		\$ 118.06
3784	530000309		\$ 117.50
3785	530000819		\$ 117.44
3786	800002972		\$ 115.55
3787	800001962		\$ 114.64
3788	800000045		\$ 114.46
3789	800002418		\$ 113.73
3790	800000021		\$ 113.51
3791	530001088		\$ 113.22

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3792	800001540		\$ 110.23
3793	530000808		\$ 109.39
3794	800001750		\$ 107.66
3795	530000189		\$ 105.44
3796	530000944		\$ 104.91
3797	381		\$ 104.58
3798	386		\$ 103.95
3799	800000125		\$ 103.06
3800	136		\$ 102.33
3801	1744		\$ 101.73
3802	800000978		\$ 101.43
3803	800001305		\$ 100.98
3804	800000093		\$ 100.26
3805	530000152		\$ 100.15
3806	800002515		\$ 98.04
3807	800001663		\$ 97.56
3808	2184		\$ 96.75
3809	576		\$ 95.91
3810	800001726		\$ 95.40
3811	530000172		\$ 92.73
3812	800002599		\$ 92.70
3813	800001019		\$ 91.37
3814	1128		\$ 90.14
3815	1414		\$ 90.08
3816	800001153		\$ 89.11
3817	800000293		\$ 89.04
3818	97		\$ 88.56
3819	800002176		\$ 88.12
3820	800000472		\$ 87.98
3821	800002786		\$ 87.75
3822	800002174		\$ 86.15
3823	800000860		\$ 85.21

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3824	800000447		\$ 85.05
3825	800000914		\$ 84.30
3826	530000789		\$ 82.67
3827	800000073		\$ 82.17
3828	800001010		\$ 81.56
3829	800001444		\$ 81.14
3830	800000067		\$ 80.78
3831	800000811		\$ 80.68
3832	800000092		\$ 80.33
3833	800002029		\$ 80.32
3834	2239		\$ 80.05
3835	1839		\$ 79.79
3836	530001288		\$ 78.72
3837	1767		\$ 77.81
3838	800001734		\$ 77.49
3839	800002092		\$ 76.48
3840	19		\$ 75.83
3841	800002490		\$ 75.55
3842	530000761		\$ 72.09
3843	750		\$ 71.79
3844	800001776		\$ 70.61
3845	800002064		\$ 70.25
3846	800002414		\$ 70.25
3847	800002801		\$ 70.20
3848	865		\$ 70.10
3849	800000761		\$ 69.89
3850	1359		\$ 69.86
3851	800000547		\$ 69.86
3852	800001816		\$ 69.62
3853	800002153		\$ 69.25
3854	800002245		\$ 68.60

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3855	800002777		\$ 68.22
3856	57		\$ 67.91
3857	759		\$ 67.91
3858	800002299		\$ 67.69
3859	1270		\$ 67.50
3860	530001676		\$ 67.03
3861	800001710		\$ 66.47
3862	800002568		\$ 66.47
3863	530001216		\$ 66.42
3864	800002766		\$ 64.12
3865	530000085		\$ 63.14
3866	800000546		\$ 61.73
3867	800001599		\$ 61.50
3868	800002360		\$ 61.39
3869	530000786		\$ 61.31
3870	800000202		\$ 60.37
3871	800002284		\$ 60.30
3872	800000982		\$ 58.67
3873	800001729		\$ 58.46
3874	800002666		\$ 57.46
3875	800002727		\$ 57.38
3876	800000324		\$ 56.20
3877	800002540		\$ 56.20
3878	800001270		\$ 56.14
3879	800002014		\$ 55.49
3880	800000680		\$ 55.35
3881	99		\$ 55.35
3882	800002745		\$ 55.08
3883	1093		\$ 55.01
3884	800002718		\$ 54.63
3885	530001424		\$ 54.52
3886	800002312		\$ 54.37
3887	800000178		\$ 54.00

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3888	800002415		\$ 53.77
3889	800000284		\$ 52.72
3890	800002802		\$ 52.24
3891	181		\$ 52.15
3892	800000143		\$ 51.81
3893	800002888		\$ 51.30
3894	17		\$ 51.21
3895	800000066		\$ 51.20
3896	800002437		\$ 51.14
3897	800000330		\$ 50.52
3898	1126		\$ 50.22
3899	800000915		\$ 49.83
3900	800001728		\$ 49.70
3901	800000518		\$ 49.65
3902	800002011		\$ 49.40
3903	800002311		\$ 49.28
3904	800000006		\$ 49.20
3905	800001109		\$ 49.20
3906	800001350		\$ 48.54
3907	800002555		\$ 47.99
3908	1091		\$ 47.79
3909	800002047		\$ 47.68
3910	800002945		\$ 47.27
3911	530000814		\$ 46.64
3912	800000556		\$ 46.59
3913	800000608		\$ 46.40
3914	800001406		\$ 46.35
3915	800001964		\$ 46.19
3916	800000128		\$ 46.13
3917	800002207		\$ 45.90
3918	800000114		\$ 44.68
3919	569		\$ 44.67
3920	530001703		\$ 44.61
3921	800001044		\$ 44.31

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3922	800000463		\$ 44.28
3923	800001005		\$ 44.28
3924	800002425		\$ 44.21
3925	800000313		\$ 44.10
3926	800001872		\$ 43.90
3927	530000821		\$ 43.88
3928	111		\$ 43.75
3929	1025		\$ 43.41
3930	800000338		\$ 43.27
3931	800000960		\$ 42.91
3932	1911		\$ 42.54
3933	800000137		\$ 42.15
3934	800001842		\$ 42.15
3935	800002406		\$ 42.15
3936	530000783		\$ 42.05
3937	800002712		\$ 41.95
3938	800001875		\$ 41.85
3939	800001938		\$ 41.85
3940	800001235		\$ 41.33
3941	800002082		\$ 41.21
3942	800002805		\$ 40.49
3943	800000967		\$ 40.36
3944	800000355		\$ 40.04
3945	800002240		\$ 39.62
3946	800003098		\$ 39.60
3947	800002671		\$ 39.20
3948	800001915		\$ 39.13
3949	800001914		\$ 38.80
3950	800002847		\$ 38.73
3951	729		\$ 38.50
3952	800002808		\$ 38.26
3953	530001391		\$ 38.14
3954	800002146		\$ 37.98

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
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#	Claim Number	Account Holder	Recognized Loss
3955	1598		\$ 37.32
3956	800000908		\$ 37.18
3957	800002104		\$ 37.13
3958	800002833		\$ 36.94
3959	800000223		\$ 36.91
3960	800002804		\$ 36.90
3961	800001976		\$ 36.72
3962	800000103		\$ 36.41
3963	800001050		\$ 36.37
3964	800000464		\$ 35.62
3965	800000333		\$ 35.22
3966	800002785		\$ 35.10
3967	800001591		\$ 35.03
3968	800000638		\$ 34.87
3969	749		\$ 34.56
3970	800002423		\$ 34.44
3971	800001995		\$ 33.87
3972	1963		\$ 33.69
3973	800002247		\$ 33.25
3974	1092		\$ 32.89
3975	800000139		\$ 32.85
3976	800001678		\$ 32.76
3977	800002743		\$ 32.40
3978	1904		\$ 32.13
3979	800001700		\$ 31.98
3980	800001860		\$ 31.98
3981	530001303		\$ 31.43
3982	800001886		\$ 31.32
3983	800000559		\$ 30.96
3984	800002722		\$ 30.91
3985	800002602		\$ 30.78
3986	530000826		\$ 30.51
3987	800002206		\$ 30.41

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
3988	728		\$ 29.99
3989	800001256		\$ 29.58
3990	800001730		\$ 29.03
3991	800003076		\$ 28.72
3992	800000065		\$ 28.48
3993	800002751		\$ 28.22
3994	800000642		\$ 28.13
3995	800001378		\$ 28.10
3996	800002344		\$ 28.10
3997	800002465		\$ 28.04
3998	800002348		\$ 27.84
3999	800000323		\$ 27.81
4000	800002508		\$ 27.80
4001	800000628		\$ 27.68
4002	800002538		\$ 27.50
4003	800002201		\$ 27.49
4004	800000475		\$ 27.26
4005	800002445		\$ 27.14
4006	800001989		\$ 27.11
4007	331		\$ 27.11
4008	800000769		\$ 27.05
4009	800000113		\$ 27.05
4010	800000402		\$ 27.00
4011	800002571		\$ 26.84
4012	182		\$ 26.52
4013	552		\$ 26.42
4014	800001900		\$ 26.10
4015	800002367		\$ 26.03
4016	800001811		\$ 25.96
4017	800000155		\$ 25.87
4018	800002670		\$ 25.74

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4019	530000824		\$ 25.63
4020	800002724		\$ 25.19
4021	800002349		\$ 24.89
4022	800000187		\$ 24.77
4023	800002621		\$ 24.77
4024	379		\$ 24.60
4025	800001680		\$ 24.30
4026	154		\$ 23.69
4027	800002130		\$ 23.63
4028	800001782		\$ 23.18
4029	800001020		\$ 22.81
4030	203		\$ 22.68
4031	800002721		\$ 22.55
4032	530000391		\$ 22.37
4033	800002134		\$ 22.27
4034	800002816		\$ 22.14
4035	800002947		\$ 22.14
4036	800002531		\$ 22.11
4037	800002269		\$ 21.64
4038	800000259		\$ 21.51
4039	487		\$ 21.23
4040	800002300		\$ 20.59
4041	800002603		\$ 20.02
4042	461		\$ 19.85
4043	15		\$ 19.80
4044	800002042		\$ 19.68
4045	800002590		\$ 19.63
4046	800000644		\$ 19.55
4047	800000537		\$ 19.37
4048	800002574		\$ 19.35
4049	800002639		\$ 19.16
4050	800000156		\$ 19.06
4051	800002529		\$ 18.86

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4052	800000493		\$ 18.83
4053	800002249		\$ 18.77
4054	800000039		\$ 18.72
4055	800000615		\$ 18.58
4056	800002105		\$ 18.54
4057	800000944		\$ 18.38
4058	800002018		\$ 18.15
4059	800002282		\$ 18.09
4060	800002141		\$ 17.87
4061	165		\$ 17.81
4062	800001943		\$ 17.49
4063	800001859		\$ 17.28
4064	800001930		\$ 17.22
4065	800000314		\$ 17.12
4066	800002613		\$ 17.10
4067	800002338		\$ 16.87
4068	800002066		\$ 16.72
4069	800001303		\$ 16.43
4070	800000584		\$ 16.39
4071	800002270		\$ 16.34
4072	800000146		\$ 16.19
4073	800002113		\$ 16.16
4074	800002744		\$ 15.93
4075	800002463		\$ 15.89
4076	800000988		\$ 15.71
4077	800002243		\$ 15.43
4078	800001942		\$ 15.35
4079	800002874		\$ 15.21
4080	800000603		\$ 14.96
4081	800002625		\$ 14.90
4082	800002633		\$ 14.09
4083	800000366		\$ 14.05
4084	800002559		\$ 14.05
4085	800002732		\$ 14.05

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4086	800001240		\$ 13.98
4087	206		\$ 13.93
4088	800002854		\$ 13.86
4089	800000486		\$ 13.63
4090	800001990		\$ 13.59
4091	800001077		\$ 13.45
4092	800001790		\$ 13.34
4093	800001958		\$ 13.03
4094	800000445		\$ 12.68
4095	800001055		\$ 12.62
4096	800001983		\$ 12.57
4097	800000269		\$ 12.51
4098	800000087		\$ 12.38
4099	800000622		\$ 12.35
4100	800000300		\$ 12.33
4101	530001114		\$ 12.20
4102	800000068		\$ 12.02
4103	800001877		\$ 11.86
4104	74		\$ 11.76
4105	318		\$ 11.70
4106	530001090		\$ 11.34
4107	365		\$ 11.09
4108	800001805		\$ 11.07
4109	800002244		\$ 10.96
4110	800000176		\$ 10.92
4111	800001909		\$ 10.91
4112	965		\$ 10.86
4113	800001316		\$ 10.71
4114	530000774		\$ 10.62
4115	800002572		\$ 10.60
4116	800000368		\$ 10.56
4117	800001885		\$ 10.53
4118	800001775		\$ 10.48
4119	800002297		\$ 10.26

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4120	800003036		\$ 10.24
4121	800001172		\$ 10.22
4122	530000110		\$ 9.97
4123	800002969		\$ 9.90
4124	800000909		\$ 9.88
4125	800000126		\$ 9.68
4126	800002293		\$ 9.65
4127	813		\$ 9.64
4128	800001522		\$ 9.50
4129	800002114		\$ 9.34
4130	912		\$ 9.32
4131	306		\$ 9.09
4132	800000043		\$ 8.96
4133	22		\$ 8.91
4134	800002673		\$ 8.69
4135	800001999		\$ 8.65
4136	800002159		\$ 8.64
4137	800002290		\$ 8.43
4138	1544		\$ 8.43
4139	800000802		\$ 8.40
4140	800000050		\$ 8.30
4141	800002294		\$ 8.01
4142	800002480		\$ 7.95
4143	382		\$ 7.80
4144	800002199		\$ 7.75
4145	800002710		\$ 7.70
4146	800000197		\$ 7.68
4147	800002629		\$ 7.64
4148	800001934		\$ 7.61
4149	800001935		\$ 7.54
4150	800000117		\$ 7.49
4151	800000331		\$ 7.45
4152	52		\$ 7.38
4153	800001895		\$ 7.34

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4154	800002353		\$ 7.29
4155	800002050		\$ 7.18
4156	530000813		\$ 7.13
4157	807		\$ 7.10
4158	800001503		\$ 7.02
4159	800002272		\$ 6.96
4160	740		\$ 6.92
4161	630		\$ 6.73
4162	651		\$ 5.85
4163	800000111		\$ 5.62
4164	800000151		\$ 5.62
4165	800002062		\$ 5.62
4166	800002609		\$ 5.62
4167	800002719		\$ 5.62
4168	800000621		\$ 5.54
4169	800002435		\$ 5.41
4170	800001991		\$ 5.33
4171	800000417		\$ 5.30
4172	800000517		\$ 5.28
4173	800002938		\$ 5.20
4174	800000225		\$ 5.07
4175	800000195		\$ 5.07
4176	800002645		\$ 5.00
4177	800002096		\$ 4.98
4178	530001238		\$ 4.92
4179	800001529		\$ 4.91
4180	800002573		\$ 4.90
4181	800001810		\$ 4.87
4182	800001912		\$ 4.86
4183	800002530		\$ 4.86
4184	120		\$ 4.82
4185	800002547		\$ 4.76

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4186	800002186		\$ 4.68
4187	800000319		\$ 4.68
4188	800000329		\$ 4.68
4189	800000079		\$ 4.67
4190	800002356		\$ 4.66
4191	800000607		\$ 4.61
4192	800000008		\$ 4.44
4193	800000083		\$ 4.30
4194	800000387		\$ 4.29
4195	800002669		\$ 4.27
4196	800001285		\$ 3.91
4197	800002280		\$ 3.84
4198	1420		\$ 3.83
4199	800000374		\$ 3.76
4200	800001412		\$ 3.57
4201	800002295		\$ 3.57
4202	800001250		\$ 3.54
4203	800001793		\$ 3.48
4204	800002504		\$ 3.47
4205	800000350		\$ 3.42
4206	800000181		\$ 3.38
4207	800002106		\$ 3.33
4208	800000265		\$ 3.30
4209	800002430		\$ 3.06
4210	800002622		\$ 2.93
4211	800002988		\$ 2.86
4212	7		\$ 2.81
4213	800000231		\$ 2.81
4214	800000275		\$ 2.81
4215	800002849		\$ 2.81
4216	800001144		\$ 2.77
4217	2191		\$ 2.77
4218	292		\$ 2.75

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4219	573		\$ 2.73
4220	800002057		\$ 2.70
4221	800001878		\$ 2.70
4222	800000277		\$ 2.68
4223	800002335		\$ 2.68
4224	800000391		\$ 2.67
4225	800002793		\$ 2.65
4226	800002818		\$ 2.57
4227	800002301		\$ 2.56
4228	800002091		\$ 2.49
4229	800001711		\$ 2.48
4230	800000326		\$ 2.48
4231	800000328		\$ 2.48
4232	800001286		\$ 2.47
4233	800000325		\$ 2.46
4234	800002217		\$ 2.46
4235	800002080		\$ 2.45
4236	800000183		\$ 2.45
4237	1712		\$ 2.44
4238	82		\$ 2.43
4239	800002115		\$ 2.42
4240	800002654		\$ 2.35
4241	800002393		\$ 2.35
4242	800002388		\$ 2.34
4243	800002783		\$ 2.28
4244	800002112		\$ 2.22
4245	800002459		\$ 2.22
4246	101		\$ 2.15
4247	800002584		\$ 2.03
4248	800000399		\$ 2.01
4249	800002185		\$ 1.94
4250	800001204		\$ 1.87
4251	800000029		\$ 1.87
4252	800002332		\$ 1.79

Junge v. Geron Securities Litigation
Timely Eligible Submitted Claims
Exhibit AA-1

#	Claim Number	Account Holder	Recognized Loss
4253	800001945		\$1.78
4254	800001768		\$1.77
4255	800002533		\$1.77
4256	800000253		\$1.74
4257	800000946		\$1.62
4258	800000230		\$1.51
4259	530000896		\$0.75
Total:			\$70,578,843.32

EXHIBIT AA-2

Junge v. Geron Securities Litigation
Late But Otherwise Eligible Submitted Claims
Exhibit AA-2

#	Claim Number	Account Holder	Recognized Loss
1	800003125		\$ 124,241.60
2	2292		\$ 27,000.00
3	2291		\$ 26,990.15
4	800003123		\$ 16,880.38
5	800003120		\$ 15,453.90
6	800003127		\$ 11,298.38
7	800003111		\$ 7,303.37
8	800003106		\$ 7,199.96
9	800003121		\$ 6,206.08
10	2295		\$ 5,787.90
11	800003109		\$ 5,620.00
12	2289		\$ 5,620.00
13	2290		\$ 5,620.00
14	2284		\$ 5,132.16
15	2288		\$ 2,810.00
16	2241		\$ 1,168.88
17	800003110		\$ 1,034.08
18	800003119		\$ 613.73
19	800003134		\$ 350.55
20	800003126		\$ 189.42
21	800003135		\$ 133.23
22	800003124		\$ 88.13
23	800003113		\$ 2.46
		Total	\$ 276,744.33

EXHIBIT AA-3

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1	5		Ineligibility Never Cured
2	6		Ineligibility Never Cured
3	8		No Eligible Purchases
4	9		No Recognized Loss
5	11		Ineligibility Never Cured
6	12		No Eligible Purchases
7	13		Duplicate Claim
8	16		No Eligible Purchases
9	18		No Eligible Purchases
10	20		No Recognized Loss
11	21		No Eligible Purchases
12	23		No Recognized Loss
13	28		No Eligible Purchases
14	31		No Eligible Purchases
15	34		No Recognized Loss
16	35		No Recognized Loss
17	38		No Recognized Loss
18	40		No Eligible Purchases
19	41		No Recognized Loss
20	45		No Recognized Loss
21	49		No Eligible Purchases
22	50		No Recognized Loss
23	55		No Eligible Purchases
24	58		No Recognized Loss
25	59		Ineligibility Never Cured
26	60		No Eligible Purchases
27	61		No Eligible Purchases
28	62		Ineligibility Never Cured
29	64		No Eligible Purchases
30	65		Ineligibility Never Cured
31	66		No Eligible Purchases
32	68		No Recognized Loss
33	69		Ineligibility Never Cured

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
34	70		No Recognized Loss
35	71		Duplicate Claim
36	72		Ineligibility Never Cured
37	73		No Recognized Loss
38	75		No Recognized Loss
39	76		No Recognized Loss
40	77		No Eligible Purchases
41	79		No Eligible Purchases
42	80		No Eligible Purchases
43	81		No Eligible Purchases
44	84		Ineligibility Never Cured
45	85		No Eligible Purchases
46	86		No Eligible Purchases
47	87		No Eligible Purchases
48	89		No Recognized Loss
49	90		Ineligibility Never Cured
50	93		No Recognized Loss
51	98		No Recognized Loss
52	102		Ineligibility Never Cured
53	103		Ineligibility Never Cured
54	104		No Recognized Loss
55	105		No Recognized Loss
56	107		Ineligibility Never Cured
57	110		No Eligible Purchases
58	112		No Recognized Loss
59	113		Ineligibility Never Cured
60	114		Duplicate Claim
61	118		No Eligible Purchases
62	123		No Eligible Purchases
63	126		No Eligible Purchases
64	128		No Eligible Purchases
65	129		Ineligibility Never Cured

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
66	130		No Eligible Purchases
67	131		Ineligibility Never Cured
68	132		Ineligibility Never Cured
69	133		Ineligibility Never Cured
70	134		No Eligible Purchases
71	135		Ineligibility Never Cured
72	142		Duplicate Claim
73	143		No Recognized Loss
74	145		Ineligibility Never Cured
75	146		No Eligible Purchases
76	147		No Recognized Loss
77	148		No Recognized Loss
78	149		Ineligibility Never Cured
79	150		Ineligibility Never Cured
80	152		Ineligibility Never Cured
81	155		No Recognized Loss
82	158		No Eligible Purchases
83	159		Ineligibility Never Cured
84	161		No Recognized Loss
85	162		Ineligibility Never Cured
86	167		Ineligibility Never Cured
87	170		No Eligible Purchases
88	171		Ineligibility Never Cured
89	172		Ineligibility Never Cured
90	173		Ineligibility Never Cured
91	174		No Eligible Purchases
92	175		Ineligibility Never Cured
93	176		Ineligibility Never Cured
94	177		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
95	178		Ineligibility Never Cured
96	179		Ineligibility Never Cured
97	183		No Recognized Loss
98	184		Ineligibility Never Cured
99	185		No Eligible Purchases
100	191		No Eligible Purchases
101	197		No Recognized Loss
102	199		No Recognized Loss
103	204		No Recognized Loss
104	205		Ineligibility Never Cured
105	207		No Eligible Purchases
106	210		Ineligibility Never Cured
107	211		No Eligible Purchases
108	212		No Recognized Loss
109	214		No Eligible Purchases
110	215		No Eligible Purchases
111	216		No Recognized Loss
112	217		No Eligible Purchases
113	229		No Recognized Loss
114	230		Ineligibility Never Cured
115	231		Ineligibility Never Cured
116	234		Ineligibility Never Cured
117	235		No Eligible Purchases
118	236		No Recognized Loss
119	237		Ineligibility Never Cured
120	238		No Eligible Purchases
121	239		Ineligibility Never Cured
122	240		Ineligibility Never Cured
123	247		No Eligible Purchases
124	249		No Recognized Loss
125	251		No Eligible Purchases
126	255		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
127	257		No Eligible Purchases
128	258		No Eligible Purchases
129	259		No Eligible Purchases
130	260		No Recognized Loss
131	261		No Recognized Loss
132	265		Ineligibility Never Cured
133	266		Ineligibility Never Cured
134	267		No Eligible Purchases
135	268		No Recognized Loss
136	269		No Recognized Loss
137	270		No Recognized Loss
138	272		No Eligible Purchases
139	276		No Eligible Purchases
140	279		Duplicate Claim
141	286		Ineligibility Never Cured
142	290		Ineligibility Never Cured
143	294		No Eligible Purchases
144	295		No Eligible Purchases
145	296		Ineligibility Never Cured
146	297		Ineligibility Never Cured
147	299		No Eligible Purchases
148	300		No Eligible Purchases
149	303		No Recognized Loss
150	307		No Recognized Loss
151	308		No Eligible Purchases
152	309		No Eligible Purchases
153	310		No Eligible Purchases
154	311		No Eligible Purchases
155	312		Ineligibility Never Cured

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
156	313		Ineligibility Never Cured
157	314		No Eligible Purchases
158	315		Ineligibility Never Cured
159	317		Ineligibility Never Cured
160	319		No Recognized Loss
161	321		No Eligible Purchases
162	327		No Recognized Loss
163	329		No Recognized Loss
164	332		No Eligible Purchases
165	335		Ineligibility Never Cured
166	336		Ineligibility Never Cured
167	338		Ineligibility Never Cured
168	342		Ineligibility Never Cured
169	346		No Eligible Purchases
170	347		Ineligibility Never Cured
171	351		Ineligibility Never Cured
172	356		Ineligibility Never Cured
173	358		No Eligible Purchases
174	359		No Eligible Purchases
175	360		Ineligibility Never Cured
176	361		No Recognized Loss
177	362		No Recognized Loss
178	366		Ineligibility Never Cured
179	370		No Recognized Loss
180	372		No Eligible Purchases
181	373		No Eligible Purchases
182	374		Ineligibility Never Cured
183	376		Ineligibility Never Cured
184	377		No Eligible Purchases
185	380		Ineligibility Never Cured
186	383		No Recognized Loss
187	384		No Recognized Loss
188	385		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
189	387		No Recognized Loss
190	389		No Recognized Loss
191	399		No Recognized Loss
192	402		No Recognized Loss
193	404		Ineligibility Never Cured
194	408		Ineligibility Never Cured
195	411		No Eligible Purchases
196	413		Ineligibility Never Cured
197	414		No Recognized Loss
198	416		Ineligibility Never Cured
199	417		No Eligible Purchases
200	421		No Recognized Loss
201	424		No Eligible Purchases
202	428		No Recognized Loss
203	431		No Eligible Purchases
204	435		No Recognized Loss
205	440		No Recognized Loss
206	441		No Recognized Loss
207	442		Ineligibility Never Cured
208	445		Ineligibility Never Cured
209	446		No Recognized Loss
210	449		No Recognized Loss
211	453		No Eligible Purchases
212	454		Ineligibility Never Cured
213	460		Ineligibility Never Cured
214	462		Ineligibility Never Cured
215	463		No Recognized Loss
216	470		No Eligible Purchases
217	474		No Eligible Purchases
218	475		Ineligibility Never Cured
219	477		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
220	478		Ineligibility Never Cured
221	479		No Eligible Purchases
222	480		Ineligibility Never Cured
223	485		Ineligibility Never Cured
224	489		Ineligibility Never Cured
225	492		Ineligibility Never Cured
226	493		Ineligibility Never Cured
227	498		No Eligible Purchases
228	499		No Eligible Purchases
229	501		No Eligible Purchases
230	502		No Eligible Purchases
231	503		No Eligible Purchases
232	504		No Eligible Purchases
233	505		No Recognized Loss
234	506		No Recognized Loss
235	507		Ineligibility Never Cured
236	508		No Recognized Loss
237	509		Ineligibility Never Cured
238	511		Ineligibility Never Cured
239	513		No Recognized Loss
240	514		No Eligible Purchases
241	517		Ineligibility Never Cured
242	525		No Eligible Purchases
243	527		Duplicate Claim
244	528		No Recognized Loss
245	530		Ineligibility Never Cured
246	532		Ineligibility Never Cured
247	534		No Eligible Purchases
248	538		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
249	541		No Eligible Purchases
250	545		Duplicate Claim
251	547		No Recognized Loss
252	548		Ineligibility Never Cured
253	551		Ineligibility Never Cured
254	554		No Recognized Loss
255	557		No Eligible Purchases
256	558		Ineligibility Never Cured
257	559		No Recognized Loss
258	561		Ineligibility Never Cured
259	565		No Eligible Purchases
260	567		No Eligible Purchases
261	568		No Recognized Loss
262	572		Ineligibility Never Cured
263	574		No Recognized Loss
264	575		No Recognized Loss
265	579		Ineligibility Never Cured
266	580		No Recognized Loss
267	581		Ineligibility Never Cured
268	582		Ineligibility Never Cured
269	583		No Recognized Loss
270	588		No Eligible Purchases
271	592		Ineligibility Never Cured
272	595		Ineligibility Never Cured
273	597		No Eligible Purchases
274	600		No Eligible Purchases
275	601		Ineligibility Never Cured
276	602		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
277	605		No Recognized Loss
278	606		Ineligibility Never Cured
279	607		No Eligible Purchases
280	608		No Eligible Purchases
281	612		No Eligible Purchases
282	613		No Eligible Purchases
283	616		No Eligible Purchases
284	617		No Eligible Purchases
285	618		Ineligibility Never Cured
286	619		No Eligible Purchases
287	620		No Eligible Purchases
288	623		Ineligibility Never Cured
289	624		Ineligibility Never Cured
290	626		Ineligibility Never Cured
291	627		No Eligible Purchases
292	628		Ineligibility Never Cured
293	629		No Recognized Loss
294	631		Ineligibility Never Cured
295	632		Ineligibility Never Cured
296	633		No Eligible Purchases
297	639		No Recognized Loss
298	643		No Recognized Loss
299	644		Ineligibility Never Cured
300	645		Ineligibility Never Cured
301	648		No Eligible Purchases
302	658		No Recognized Loss
303	662		No Recognized Loss
304	663		No Recognized Loss
305	671		No Recognized Loss
306	673		Duplicate Claim
307	681		Duplicate Claim
308	689		No Recognized Loss

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
309	690		Void
310	693		No Recognized Loss
311	695		Ineligibility Never Cured
312	698		No Recognized Loss
313	699		No Recognized Loss
314	700		Ineligibility Never Cured
315	709		No Eligible Purchases
316	710		No Recognized Loss
317	711		No Eligible Purchases
318	712		No Recognized Loss
319	721		No Eligible Purchases
320	731		No Recognized Loss
321	733		No Eligible Purchases
322	735		No Eligible Purchases
323	737		No Eligible Purchases
324	738		No Recognized Loss
325	742		No Recognized Loss
326	744		Ineligibility Never Cured
327	746		No Recognized Loss
328	747		No Eligible Purchases
329	761		No Eligible Purchases
330	764		Ineligibility Never Cured
331	767		No Recognized Loss
332	770		Ineligibility Never Cured
333	772		Ineligibility Never Cured
334	773		No Eligible Purchases
335	781		No Recognized Loss
336	785		No Recognized Loss
337	791		Ineligibility Never Cured
338	792		No Recognized Loss
339	793		No Recognized Loss
340	794		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
341	795		No Eligible Purchases
342	799		Ineligibility Never Cured
343	814		No Recognized Loss
344	816		No Recognized Loss
345	817		Ineligibility Never Cured
346	818		No Eligible Purchases
347	819		Ineligibility Never Cured
348	820		Ineligibility Never Cured
349	822		Ineligibility Never Cured
350	823		Ineligibility Never Cured
351	824		No Recognized Loss
352	827		No Eligible Purchases
353	828		No Eligible Purchases
354	829		No Eligible Purchases
355	830		No Eligible Purchases
356	832		No Eligible Purchases
357	833		Ineligibility Never Cured
358	834		Ineligibility Never Cured
359	836		No Eligible Purchases
360	837		No Recognized Loss
361	838		Ineligibility Never Cured
362	839		No Eligible Purchases
363	847		No Recognized Loss
364	852		No Recognized Loss
365	853		No Eligible Purchases
366	856		Ineligibility Never Cured
367	864		No Eligible Purchases
368	868		No Eligible Purchases
369	873		Ineligibility Never Cured
370	875		Ineligibility Never Cured
371	876		No Eligible Purchases
372	877		No Eligible Purchases

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
373	878		Ineligibility Never Cured
374	880		Ineligibility Never Cured
375	886		No Eligible Purchases
376	892		Ineligibility Never Cured
377	895		No Recognized Loss
378	896		No Recognized Loss
379	900		No Eligible Purchases
380	901		No Recognized Loss
381	902		No Recognized Loss
382	906		Ineligibility Never Cured
383	907		Ineligibility Never Cured
384	910		Ineligibility Never Cured
385	915		Ineligibility Never Cured
386	918		Ineligibility Never Cured
387	920		No Recognized Loss
388	923		No Recognized Loss
389	924		No Eligible Purchases
390	925		No Eligible Purchases
391	926		Ineligibility Never Cured
392	929		No Recognized Loss
393	934		Ineligibility Never Cured
394	935		No Recognized Loss
395	936		No Eligible Purchases
396	940		No Recognized Loss
397	943		No Recognized Loss
398	946		No Recognized Loss
399	952		Ineligibility Never Cured
400	953		No Eligible Purchases
401	955		No Eligible Purchases
402	956		Ineligibility Never Cured
403	957		Ineligibility Never Cured
404	961		No Recognized Loss
405	973		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
406	974		No Recognized Loss
407	978		No Eligible Purchases
408	980		Ineligibility Never Cured
409	994		No Recognized Loss
410	998		No Recognized Loss
411	1004		Ineligibility Never Cured
412	1014		No Recognized Loss
413	1015		No Recognized Loss
414	1016		Ineligibility Never Cured
415	1019		No Recognized Loss
416	1021		Ineligibility Never Cured
417	1023		Ineligibility Never Cured
418	1031		No Recognized Loss
419	1032		No Recognized Loss
420	1033		Ineligibility Never Cured
421	1048		Duplicate Claim
422	1051		Ineligibility Never Cured
423	1053		Ineligibility Never Cured
424	1061		No Eligible Purchases
425	1070		Ineligibility Never Cured
426	1072		No Eligible Purchases
427	1081		Ineligibility Never Cured
428	1082		Ineligibility Never Cured
429	1089		No Recognized Loss
430	1095		No Recognized Loss
431	1098		No Recognized Loss
432	1100		No Eligible Purchases
433	1101		No Recognized Loss
434	1102		No Recognized Loss
435	1106		No Eligible Purchases
436	1108		Ineligibility Never Cured
437	1109		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
438	1110		No Recognized Loss
439	1118		Ineligibility Never Cured
440	1122		No Eligible Purchases
441	1124		Duplicate Claim
442	1125		No Eligible Purchases
443	1129		Ineligibility Never Cured
444	1131		Ineligibility Never Cured
445	1137		No Eligible Purchases
446	1139		No Recognized Loss
447	1141		No Eligible Purchases
448	1143		No Eligible Purchases
449	1146		No Recognized Loss
450	1148		Ineligibility Never Cured
451	1149		Ineligibility Never Cured
452	1152		No Eligible Purchases
453	1158		No Eligible Purchases
454	1168		No Recognized Loss
455	1169		Ineligibility Never Cured
456	1173		Ineligibility Never Cured
457	1174		Ineligibility Never Cured
458	1175		No Recognized Loss
459	1176		No Recognized Loss
460	1182		Ineligibility Never Cured
461	1183		Ineligibility Never Cured
462	1186		Ineligibility Never Cured
463	1190		Ineligibility Never Cured
464	1193		No Recognized Loss
465	1195		Ineligibility Never Cured
466	1197		Ineligibility Never Cured
467	1199		No Recognized Loss
468	1209		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
469	1211		Ineligibility Never Cured
470	1219		No Eligible Purchases
471	1220		No Eligible Purchases
472	1221		Duplicate Claim
473	1226		Ineligibility Never Cured
474	1229		Ineligibility Never Cured
475	1230		Duplicate Claim
476	1239		No Eligible Purchases
477	1242		Ineligibility Never Cured
478	1251		No Eligible Purchases
479	1252		No Eligible Purchases
480	1257		Ineligibility Never Cured
481	1259		Ineligibility Never Cured
482	1264		No Recognized Loss
483	1265		No Eligible Purchases
484	1266		Ineligibility Never Cured
485	1273		No Recognized Loss
486	1277		Ineligibility Never Cured
487	1280		No Recognized Loss
488	1301		Ineligibility Never Cured
489	1307		No Recognized Loss
490	1308		No Eligible Purchases
491	1311		No Eligible Purchases
492	1312		No Recognized Loss
493	1314		No Recognized Loss
494	1321		No Eligible Purchases
495	1322		No Eligible Purchases
496	1327		No Recognized Loss
497	1332		Duplicate Claim
498	1333		Duplicate Claim
499	1334		Duplicate Claim
500	1335		Duplicate Claim
501	1336		Duplicate Claim

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
502	1344		No Recognized Loss
503	1345		No Eligible Purchases
504	1346		No Eligible Purchases
505	1350		No Eligible Purchases
506	1352		No Eligible Purchases
507	1354		No Eligible Purchases
508	1355		No Recognized Loss
509	1356		No Recognized Loss
510	1357		No Eligible Purchases
511	1358		No Eligible Purchases
512	1360		Duplicate Claim
513	1361		Duplicate Claim
514	1362		Duplicate Claim
515	1363		Duplicate Claim
516	1364		Duplicate Claim
517	1366		Duplicate Claim
518	1367		Duplicate Claim
519	1368		Duplicate Claim
520	1374		No Recognized Loss
521	1379		No Eligible Purchases
522	1384		Ineligibility Never Cured
523	1387		No Recognized Loss
524	1396		Ineligibility Never Cured
525	1398		No Recognized Loss
526	1400		Ineligibility Never Cured
527	1403		Ineligibility Never Cured
528	1405		No Eligible Purchases
529	1407		No Eligible Purchases
530	1408		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
531	1410		No Eligible Purchases
532	1413		Ineligibility Never Cured
533	1423		No Eligible Purchases
534	1425		Ineligibility Never Cured
535	1433		No Recognized Loss
536	1434		Ineligibility Never Cured
537	1435		No Eligible Purchases
538	1436		No Eligible Purchases
539	1452		No Recognized Loss
540	1454		No Recognized Loss
541	1457		No Recognized Loss
542	1463		Ineligibility Never Cured
543	1477		Ineligibility Never Cured
544	1480		No Eligible Purchases
545	1481		No Eligible Purchases
546	1482		No Eligible Purchases
547	1495		Ineligibility Never Cured
548	1498		No Eligible Purchases
549	1499		Ineligibility Never Cured
550	1500		No Eligible Purchases
551	1503		No Recognized Loss
552	1504		No Recognized Loss
553	1512		No Recognized Loss
554	1513		No Recognized Loss
555	1514		No Recognized Loss
556	1521		No Eligible Purchases
557	1522		No Recognized Loss
558	1528		Ineligibility Never Cured
559	1529		Ineligibility Never Cured
560	1530		Ineligibility Never Cured
561	1532		Ineligibility Never Cured
562	1533		Ineligibility Never Cured
563	1536		Ineligibility Never Cured
564	1541		No Eligible Purchases

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
565	1542		No Recognized Loss
566	1543		No Recognized Loss
567	1550		Ineligibility Never Cured
568	1551		No Recognized Loss
569	1552		Duplicate Claim
570	1554		No Recognized Loss
571	1558		No Recognized Loss
572	1563		No Recognized Loss
573	1566		Ineligibility Never Cured
574	1569		Ineligibility Never Cured
575	1570		Ineligibility Never Cured
576	1572		Ineligibility Never Cured
577	1573		Ineligibility Never Cured
578	1578		Ineligibility Never Cured
579	1582		Ineligibility Never Cured
580	1586		Ineligibility Never Cured
581	1589		Void
582	1590		Void
583	1592		Ineligibility Never Cured
584	1596		No Recognized Loss
585	1597		Ineligibility Never Cured
586	1599		Ineligibility Never Cured
587	1607		Ineligibility Never Cured
588	1613		Ineligibility Never Cured
589	1616		No Eligible Purchases
590	1621		No Recognized Loss

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
591	1624		Ineligibility Never Cured
592	1626		No Recognized Loss
593	1631		Ineligibility Never Cured
594	1633		Ineligibility Never Cured
595	1639		Ineligibility Never Cured
596	1643		Ineligibility Never Cured
597	1644		Ineligibility Never Cured
598	1646		Withdrawn Claim
599	1649		No Recognized Loss
600	1654		No Eligible Purchases
601	1655		No Eligible Purchases
602	1658		Ineligibility Never Cured
603	1660		Ineligibility Never Cured
604	1662		Withdrawn Claim
605	1663		No Eligible Purchases
606	1664		No Eligible Purchases
607	1666		No Recognized Loss
608	1674		Ineligibility Never Cured
609	1683		Ineligibility Never Cured
610	1696		Ineligibility Never Cured
611	1699		No Recognized Loss
612	1703		Ineligibility Never Cured
613	1711		No Recognized Loss
614	1714		No Eligible Purchases
615	1718		No Eligible Purchases
616	1720		Ineligibility Never Cured
617	1732		Ineligibility Never Cured
618	1737		No Recognized Loss
619	1743		Ineligibility Never Cured
620	1745		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
621	1746		No Recognized Loss
622	1749		Ineligibility Never Cured
623	1755		No Eligible Purchases
624	1756		No Recognized Loss
625	1757		Duplicate Claim
626	1758		Ineligibility Never Cured
627	1760		Ineligibility Never Cured
628	1761		Ineligibility Never Cured
629	1762		No Recognized Loss
630	1763		No Recognized Loss
631	1765		No Recognized Loss
632	1771		Ineligibility Never Cured
633	1772		Ineligibility Never Cured
634	1774		No Eligible Purchases
635	1775		No Eligible Purchases
636	1776		No Eligible Purchases
637	1778		Withdrawn Claim
638	1780		No Eligible Purchases
639	1782		Ineligibility Never Cured
640	1796		No Eligible Purchases
641	1797		No Eligible Purchases
642	1798		Ineligibility Never Cured
643	1799		Ineligibility Never Cured
644	1800		No Recognized Loss
645	1802		No Recognized Loss
646	1804		No Recognized Loss
647	1807		Ineligibility Never Cured
648	1808		Ineligibility Never Cured
649	1812		Ineligibility Never Cured
650	1814		No Eligible Purchases
651	1815		No Eligible Purchases
652	1816		Withdrawn Claim

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
653	1819		No Eligible Purchases
654	1830		Ineligibility Never Cured
655	1837		No Recognized Loss
656	1840		Ineligibility Never Cured
657	1844		Ineligibility Never Cured
658	1850		Ineligibility Never Cured
659	1853		Ineligibility Never Cured
660	1864		Ineligibility Never Cured
661	1868		Duplicate Claim
662	1876		No Eligible Purchases
663	1880		No Eligible Purchases
664	1885		Ineligibility Never Cured
665	1887		No Recognized Loss
666	1888		No Recognized Loss
667	1891		Ineligibility Never Cured
668	1892		Ineligibility Never Cured
669	1893		Duplicate Claim
670	1898		No Recognized Loss
671	1899		No Eligible Purchases
672	1900		Ineligibility Never Cured
673	1910		Ineligibility Never Cured
674	1919		Ineligibility Never Cured
675	1921		Duplicate Claim
676	1922		Duplicate Claim
677	1923		Duplicate Claim
678	1924		Duplicate Claim
679	1925		Duplicate Claim
680	1931		No Recognized Loss
681	1933		No Recognized Loss
682	1942		No Recognized Loss
683	1943		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
684	1944		Ineligibility Never Cured
685	1947		Ineligibility Never Cured
686	1954		No Eligible Purchases
687	1957		Ineligibility Never Cured
688	1958		Ineligibility Never Cured
689	1959		No Eligible Purchases
690	1961		No Eligible Purchases
691	1965		Ineligibility Never Cured
692	1978		No Recognized Loss
693	1980		No Recognized Loss
694	1985		No Recognized Loss
695	1986		No Recognized Loss
696	1987		No Recognized Loss
697	1989		No Eligible Purchases
698	1991		No Eligible Purchases
699	1992		Ineligibility Never Cured
700	2000		No Eligible Purchases
701	2001		No Eligible Purchases
702	2005		No Eligible Purchases
703	2008		No Eligible Purchases
704	2009		Ineligibility Never Cured
705	2012		Ineligibility Never Cured
706	2013		No Recognized Loss
707	2014		Ineligibility Never Cured
708	2015		Ineligibility Never Cured
709	2020		No Recognized Loss
710	2021		No Eligible Purchases
711	2022		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
712	2023		Ineligibility Never Cured
713	2025		No Eligible Purchases
714	2027		No Recognized Loss
715	2030		Ineligibility Never Cured
716	2031		No Recognized Loss
717	2034		Duplicate Claim
718	2036		No Recognized Loss
719	2037		No Eligible Purchases
720	2041		No Eligible Purchases
721	2043		No Eligible Purchases
722	2045		No Recognized Loss
723	2046		Ineligibility Never Cured
724	2048		Duplicate Claim
725	2049		Ineligibility Never Cured
726	2053		Ineligibility Never Cured
727	2054		No Recognized Loss
728	2056		Ineligibility Never Cured
729	2057		Ineligibility Never Cured
730	2058		No Recognized Loss
731	2059		No Eligible Purchases
732	2060		No Eligible Purchases
733	2061		Ineligibility Never Cured
734	2062		Duplicate Claim
735	2065		Ineligibility Never Cured
736	2070		No Eligible Purchases
737	2071		No Eligible Purchases
738	2075		No Eligible Purchases
739	2076		No Recognized Loss
740	2079		No Recognized Loss
741	2080		Ineligibility Never Cured
742	2082		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
743	2085		No Recognized Loss
744	2087		No Recognized Loss
745	2090		No Recognized Loss
746	2092		Ineligibility Never Cured
747	2093		No Recognized Loss
748	2094		No Recognized Loss
749	2113		No Recognized Loss
750	2114		Ineligibility Never Cured
751	2115		No Recognized Loss
752	2116		Ineligibility Never Cured
753	2120		Ineligibility Never Cured
754	2122		No Eligible Purchases
755	2133		No Recognized Loss
756	2145		No Eligible Purchases
757	2148		No Eligible Purchases
758	2151		No Recognized Loss
759	2155		Ineligibility Never Cured
760	2156		Ineligibility Never Cured
761	2158		No Eligible Purchases
762	2160		Ineligibility Never Cured
763	2161		Duplicate Claim
764	2162		Duplicate Claim
765	2163		Duplicate Claim
766	2165		Ineligibility Never Cured
767	2166		No Eligible Purchases
768	2167		No Recognized Loss
769	2168		No Recognized Loss
770	2169		Duplicate Claim
771	2172		No Recognized Loss
772	2177		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
773	2182		Ineligibility Never Cured
774	2183		Ineligibility Never Cured
775	2185		No Recognized Loss
776	2186		No Eligible Purchases
777	2187		No Recognized Loss
778	2193		No Eligible Purchases
779	2194		Ineligibility Never Cured
780	2198		No Eligible Purchases
781	2199		Ineligibility Never Cured
782	2200		No Eligible Purchases
783	2204		No Recognized Loss
784	2213		No Eligible Purchases
785	2214		No Eligible Purchases
786	2215		No Eligible Purchases
787	2216		No Eligible Purchases
788	2224		No Eligible Purchases
789	2228		Ineligibility Never Cured
790	2236		No Recognized Loss
791	2238		No Recognized Loss
792	2240		Withdrawn Claim
793	2242		No Recognized Loss
794	2244		No Recognized Loss
795	2245		No Eligible Purchases
796	2246		No Eligible Purchases
797	2249		Ineligibility Never Cured
798	2256		Ineligibility Never Cured
799	2257		No Eligible Purchases
800	2259		No Eligible Purchases
801	2261		No Recognized Loss
802	2262		Duplicate Claim
803	2267		Duplicate Claim
804	2269		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
805	2274		Ineligibility Never Cured
806	2277		Ineligibility Never Cured
807	2278		No Eligible Purchases
808	2282		Ineligibility Never Cured
809	2283		Ineligibility Never Cured
810	2285		No Eligible Purchases
811	2286		Ineligibility Never Cured
812	2293		Ineligibility Never Cured
813	2294		Ineligibility Never Cured
814	2296		No Eligible Purchases
815	2297		No Eligible Purchases
816	530000002		No Recognized Loss
817	530000003		No Recognized Loss
818	530000004		No Recognized Loss
819	530000005		No Recognized Loss
820	530000006		No Recognized Loss
821	530000007		No Recognized Loss
822	530000008		No Recognized Loss
823	530000009		No Recognized Loss
824	530000010		No Recognized Loss
825	530000012		No Recognized Loss
826	530000013		No Recognized Loss
827	530000014		No Recognized Loss
828	530000015		No Recognized Loss
829	530000016		No Recognized Loss
830	530000017		No Recognized Loss
831	530000018		No Recognized Loss
832	530000019		No Recognized Loss
833	530000020		No Recognized Loss
834	530000021		No Recognized Loss
835	530000023		No Recognized Loss
836	530000025		No Recognized Loss
837	530000026		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
838	530000027		No Recognized Loss
839	530000028		No Recognized Loss
840	530000029		No Recognized Loss
841	530000030		No Recognized Loss
842	530000031		No Recognized Loss
843	530000032		No Recognized Loss
844	530000034		No Recognized Loss
845	530000036		No Recognized Loss
846	530000037		No Recognized Loss
847	530000038		No Recognized Loss
848	530000040		No Recognized Loss
849	530000041		No Recognized Loss
850	530000043		No Recognized Loss
851	530000044		No Recognized Loss
852	530000046		No Recognized Loss
853	530000047		No Recognized Loss
854	530000048		No Recognized Loss
855	530000049		No Recognized Loss
856	530000050		No Recognized Loss
857	530000051		No Recognized Loss
858	530000052		No Recognized Loss
859	530000053		No Recognized Loss
860	530000054		No Recognized Loss
861	530000055		No Recognized Loss
862	530000056		No Recognized Loss
863	530000058		No Recognized Loss
864	530000060		No Recognized Loss
865	530000061		No Eligible Purchases
866	530000062		No Recognized Loss
867	530000063		No Recognized Loss
868	530000065		No Eligible Purchases
869	530000066		No Recognized Loss
870	530000067		No Recognized Loss
871	530000068		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
872	530000069		No Recognized Loss
873	530000070		No Recognized Loss
874	530000071		No Recognized Loss
875	530000072		No Recognized Loss
876	530000073		No Recognized Loss
877	530000074		No Recognized Loss
878	530000075		No Recognized Loss
879	530000076		No Recognized Loss
880	530000077		No Recognized Loss
881	530000078		No Recognized Loss
882	530000079		No Recognized Loss
883	530000080		No Recognized Loss
884	530000081		No Recognized Loss
885	530000082		No Recognized Loss
886	530000083		No Recognized Loss
887	530000084		No Recognized Loss
888	530000086		No Recognized Loss
889	530000087		No Recognized Loss
890	530000088		No Recognized Loss
891	530000089		No Recognized Loss
892	530000090		No Recognized Loss
893	530000092		No Recognized Loss
894	530000093		No Recognized Loss
895	530000094		No Recognized Loss
896	530000095		No Recognized Loss
897	530000096		No Recognized Loss
898	530000097		No Recognized Loss
899	530000101		No Recognized Loss
900	530000102		No Recognized Loss
901	530000104		No Recognized Loss
902	530000105		No Recognized Loss
903	530000107		No Recognized Loss
904	530000108		No Recognized Loss
905	530000109		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
906	530000112		No Recognized Loss
907	530000113		No Recognized Loss
908	530000114		No Recognized Loss
909	530000115		No Eligible Purchases
910	530000116		No Eligible Purchases
911	530000117		No Recognized Loss
912	530000119		No Recognized Loss
913	530000121		No Recognized Loss
914	530000122		No Recognized Loss
915	530000124		No Recognized Loss
916	530000125		No Eligible Purchases
917	530000126		No Recognized Loss
918	530000127		No Recognized Loss
919	530000128		No Recognized Loss
920	530000129		No Recognized Loss
921	530000130		No Recognized Loss
922	530000131		No Recognized Loss
923	530000132		No Recognized Loss
924	530000134		No Recognized Loss
925	530000135		No Recognized Loss
926	530000136		No Recognized Loss
927	530000137		No Recognized Loss
928	530000138		No Recognized Loss
929	530000139		No Recognized Loss
930	530000140		No Recognized Loss
931	530000141		No Recognized Loss
932	530000142		No Recognized Loss
933	530000143		No Recognized Loss
934	530000144		No Recognized Loss
935	530000146		No Recognized Loss
936	530000147		No Recognized Loss
937	530000148		No Recognized Loss
938	530000149		No Recognized Loss
939	530000150		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
940	530000151		No Recognized Loss
941	530000153		No Eligible Purchases
942	530000154		No Recognized Loss
943	530000155		No Recognized Loss
944	530000156		No Eligible Purchases
945	530000157		No Recognized Loss
946	530000158		No Recognized Loss
947	530000159		No Recognized Loss
948	530000160		No Recognized Loss
949	530000161		No Recognized Loss
950	530000162		No Recognized Loss
951	530000163		No Eligible Purchases
952	530000164		No Eligible Purchases
953	530000165		No Recognized Loss
954	530000167		No Recognized Loss
955	530000169		No Recognized Loss
956	530000170		No Recognized Loss
957	530000171		No Recognized Loss
958	530000173		No Recognized Loss
959	530000174		No Recognized Loss
960	530000175		No Recognized Loss
961	530000176		No Recognized Loss
962	530000177		No Eligible Purchases
963	530000180		No Eligible Purchases
964	530000181		No Recognized Loss
965	530000182		No Recognized Loss
966	530000184		No Recognized Loss
967	530000185		No Recognized Loss
968	530000187		No Recognized Loss
969	530000188		No Recognized Loss
970	530000191		No Eligible Purchases
971	530000192		No Recognized Loss
972	530000193		No Recognized Loss
973	530000194		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
974	530000199		No Recognized Loss
975	530000205		No Eligible Purchases
976	530000206		No Eligible Purchases
977	530000207		No Eligible Purchases
978	530000208		No Recognized Loss
979	530000210		No Eligible Purchases
980	530000216		No Eligible Purchases
981	530000218		No Eligible Purchases
982	530000219		No Eligible Purchases
983	530000220		No Eligible Purchases
984	530000221		No Eligible Purchases
985	530000222		No Eligible Purchases
986	530000225		No Eligible Purchases
987	530000229		No Eligible Purchases
988	530000230		No Eligible Purchases
989	530000231		No Eligible Purchases
990	530000234		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
991	530000235		No Eligible Purchases
992	530000237		No Eligible Purchases
993	530000238		No Eligible Purchases
994	530000239		No Eligible Purchases
995	530000241		No Eligible Purchases
996	530000242		No Eligible Purchases
997	530000244		No Eligible Purchases
998	530000245		No Eligible Purchases
999	530000246		No Eligible Purchases
1000	530000247		No Eligible Purchases
1001	530000248		No Eligible Purchases
1002	530000254		No Eligible Purchases
1003	530000255		No Eligible Purchases
1004	530000256		No Eligible Purchases
1005	530000258		No Eligible Purchases
1006	530000263		No Eligible Purchases
1007	530000264		No Eligible Purchases
1008	530000266		No Eligible Purchases
1009	530000267		No Recognized Loss
1010	530000268		No Eligible Purchases
1011	530000269		No Eligible Purchases
1012	530000270		No Eligible Purchases
1013	530000271		No Eligible Purchases
1014	530000272		No Eligible Purchases
1015	530000273		No Eligible Purchases
1016	530000274		No Eligible Purchases
1017	530000275		No Eligible Purchases
1018	530000276		No Eligible Purchases
1019	530000277		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1020	530000280		No Eligible Purchases
1021	530000281		No Eligible Purchases
1022	530000282		No Eligible Purchases
1023	530000283		No Eligible Purchases
1024	530000284		No Recognized Loss
1025	530000286		No Recognized Loss
1026	530000287		No Eligible Purchases
1027	530000288		No Eligible Purchases
1028	530000289		No Recognized Loss
1029	530000291		No Recognized Loss
1030	530000292		No Recognized Loss
1031	530000294		No Recognized Loss
1032	530000295		No Eligible Purchases
1033	530000296		No Recognized Loss
1034	530000297		No Recognized Loss
1035	530000298		No Recognized Loss
1036	530000299		No Recognized Loss
1037	530000300		No Recognized Loss
1038	530000301		No Eligible Purchases
1039	530000303		No Recognized Loss
1040	530000304		No Eligible Purchases
1041	530000305		No Recognized Loss
1042	530000306		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1043	530000307		No Recognized Loss
1044	530000308		No Recognized Loss
1045	530000310		No Recognized Loss
1046	530000311		No Recognized Loss
1047	530000312		No Recognized Loss
1048	530000313		No Eligible Purchases
1049	530000314		No Recognized Loss
1050	530000315		No Recognized Loss
1051	530000316		No Eligible Purchases
1052	530000319		No Recognized Loss
1053	530000320		No Eligible Purchases
1054	530000321		No Recognized Loss
1055	530000322		No Eligible Purchases
1056	530000323		No Recognized Loss
1057	530000324		No Recognized Loss
1058	530000325		No Recognized Loss
1059	530000326		No Recognized Loss
1060	530000327		No Recognized Loss
1061	530000328		No Recognized Loss
1062	530000329		No Recognized Loss
1063	530000330		No Recognized Loss
1064	530000331		No Recognized Loss
1065	530000332		No Recognized Loss
1066	530000333		No Recognized Loss
1067	530000334		No Eligible Purchases
1068	530000335		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1069	530000336		No Recognized Loss
1070	530000337		No Recognized Loss
1071	530000338		No Recognized Loss
1072	530000339		No Recognized Loss
1073	530000340		No Recognized Loss
1074	530000341		No Eligible Purchases
1075	530000342		No Recognized Loss
1076	530000343		No Recognized Loss
1077	530000344		No Recognized Loss
1078	530000345		No Recognized Loss
1079	530000347		No Eligible Purchases
1080	530000348		No Recognized Loss
1081	530000349		No Eligible Purchases
1082	530000350		No Recognized Loss
1083	530000351		No Eligible Purchases
1084	530000352		No Recognized Loss
1085	530000353		No Recognized Loss
1086	530000355		No Recognized Loss
1087	530000357		No Recognized Loss
1088	530000365		No Recognized Loss
1089	530000366		No Recognized Loss
1090	530000370		No Eligible Purchases
1091	530000371		Duplicate Claim
1092	530000372		Ineligibility Never Cured
1093	530000373		No Recognized Loss
1094	530000374		No Recognized Loss
1095	530000376		No Recognized Loss
1096	530000377		No Recognized Loss
1097	530000378		No Recognized Loss
1098	530000379		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1099	530000380		No Recognized Loss
1100	530000383		No Recognized Loss
1101	530000395		No Recognized Loss
1102	530000403		No Eligible Purchases
1103	530000404		No Eligible Purchases
1104	530000406		No Eligible Purchases
1105	530000409		No Eligible Purchases
1106	530000410		No Eligible Purchases
1107	530000411		No Eligible Purchases
1108	530000412		No Recognized Loss
1109	530000413		No Recognized Loss
1110	530000414		No Eligible Purchases
1111	530000415		No Eligible Purchases
1112	530000416		No Recognized Loss
1113	530000417		No Eligible Purchases
1114	530000418		No Recognized Loss
1115	530000419		No Eligible Purchases

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1116	530000420		No Eligible Purchases
1117	530000421		No Recognized Loss
1118	530000422		No Recognized Loss
1119	530000423		No Recognized Loss
1120	530000424		No Eligible Purchases
1121	530000425		No Eligible Purchases
1122	530000426		No Recognized Loss
1123	530000427		No Eligible Purchases
1124	530000428		No Recognized Loss
1125	530000429		No Recognized Loss
1126	530000430		No Recognized Loss
1127	530000431		No Recognized Loss
1128	530000432		No Recognized Loss
1129	530000433		No Recognized Loss
1130	530000434		No Recognized Loss
1131	530000435		No Recognized Loss
1132	530000436		No Recognized Loss
1133	530000437		No Recognized Loss
1134	530000438		No Recognized Loss
1135	530000439		No Recognized Loss
1136	530000440		No Recognized Loss
1137	530000441		No Recognized Loss
1138	530000442		No Recognized Loss
1139	530000443		No Recognized Loss
1140	530000444		No Recognized Loss
1141	530000445		No Recognized Loss
1142	530000446		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1143	530000447		No Recognized Loss
1144	530000448		No Eligible Purchases
1145	530000449		No Recognized Loss
1146	530000450		No Recognized Loss
1147	530000451		No Recognized Loss
1148	530000452		No Recognized Loss
1149	530000453		No Recognized Loss
1150	530000454		No Recognized Loss
1151	530000455		No Recognized Loss
1152	530000456		No Eligible Purchases
1153	530000457		No Recognized Loss
1154	530000458		No Recognized Loss
1155	530000459		No Recognized Loss
1156	530000460		No Recognized Loss
1157	530000461		No Recognized Loss
1158	530000462		No Recognized Loss
1159	530000463		No Recognized Loss
1160	530000464		No Recognized Loss
1161	530000465		No Recognized Loss
1162	530000466		No Recognized Loss
1163	530000467		No Recognized Loss
1164	530000468		No Recognized Loss
1165	530000469		No Eligible Purchases
1166	530000470		No Eligible Purchases
1167	530000471		No Recognized Loss
1168	530000472		No Recognized Loss
1169	530000473		No Recognized Loss
1170	530000474		No Recognized Loss
1171	530000475		No Recognized Loss
1172	530000476		No Recognized Loss
1173	530000477		No Recognized Loss
1174	530000478		No Recognized Loss
1175	530000479		No Recognized Loss
1176	530000480		No Recognized Loss

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
1177	530000481		No Recognized Loss
1178	530000482		No Recognized Loss
1179	530000483		No Recognized Loss
1180	530000484		No Recognized Loss
1181	530000485		No Recognized Loss
1182	530000486		No Recognized Loss
1183	530000487		No Recognized Loss
1184	530000488		No Recognized Loss
1185	530000489		No Recognized Loss
1186	530000490		No Recognized Loss
1187	530000491		No Recognized Loss
1188	530000492		No Recognized Loss
1189	530000493		No Recognized Loss
1190	530000494		No Recognized Loss
1191	530000495		No Recognized Loss
1192	530000496		No Recognized Loss
1193	530000497		No Recognized Loss
1194	530000498		No Recognized Loss
1195	530000499		No Recognized Loss
1196	530000500		No Recognized Loss
1197	530000501		No Recognized Loss
1198	530000502		No Recognized Loss
1199	530000503		No Recognized Loss
1200	530000504		No Recognized Loss
1201	530000505		No Recognized Loss
1202	530000506		No Eligible Purchases
1203	530000507		No Recognized Loss
1204	530000508		No Recognized Loss
1205	530000509		No Recognized Loss
1206	530000510		No Eligible Purchases
1207	530000511		No Recognized Loss
1208	530000512		No Eligible Purchases
1209	530000513		No Recognized Loss
1210	530000514		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
1211	530000515		No Recognized Loss
1212	530000516		No Eligible Purchases
1213	530000517		No Recognized Loss
1214	530000518		No Recognized Loss
1215	530000519		No Recognized Loss
1216	530000520		No Recognized Loss
1217	530000521		No Recognized Loss
1218	530000522		No Recognized Loss
1219	530000523		No Recognized Loss
1220	530000524		No Recognized Loss
1221	530000525		No Recognized Loss
1222	530000526		No Recognized Loss
1223	530000527		No Recognized Loss
1224	530000528		No Recognized Loss
1225	530000529		No Eligible Purchases
1226	530000530		No Recognized Loss
1227	530000531		No Recognized Loss
1228	530000532		No Eligible Purchases
1229	530000533		No Recognized Loss
1230	530000534		No Recognized Loss
1231	530000535		No Recognized Loss
1232	530000536		No Recognized Loss
1233	530000537		No Eligible Purchases
1234	530000538		No Recognized Loss
1235	530000539		No Recognized Loss
1236	530000540		No Recognized Loss
1237	530000541		No Recognized Loss
1238	530000542		No Recognized Loss
1239	530000543		No Recognized Loss
1240	530000544		No Recognized Loss
1241	530000545		No Recognized Loss
1242	530000546		No Recognized Loss
1243	530000547		No Recognized Loss
1244	530000548		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
1245	530000549		No Recognized Loss
1246	530000550		No Recognized Loss
1247	530000551		No Recognized Loss
1248	530000552		No Recognized Loss
1249	530000553		No Recognized Loss
1250	530000554		No Recognized Loss
1251	530000555		No Recognized Loss
1252	530000556		No Recognized Loss
1253	530000557		No Recognized Loss
1254	530000559		No Recognized Loss
1255	530000560		No Recognized Loss
1256	530000561		No Recognized Loss
1257	530000562		No Recognized Loss
1258	530000563		No Recognized Loss
1259	530000565		No Recognized Loss
1260	530000566		No Recognized Loss
1261	530000567		No Recognized Loss
1262	530000568		No Recognized Loss
1263	530000569		No Recognized Loss
1264	530000570		No Recognized Loss
1265	530000571		No Recognized Loss
1266	530000572		No Recognized Loss
1267	530000573		No Recognized Loss
1268	530000574		No Recognized Loss
1269	530000575		No Recognized Loss
1270	530000576		No Recognized Loss
1271	530000577		No Eligible Purchases
1272	530000578		No Recognized Loss
1273	530000579		No Recognized Loss
1274	530000580		No Recognized Loss
1275	530000581		No Recognized Loss
1276	530000582		No Recognized Loss
1277	530000583		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
1278	530000584		No Recognized Loss
1279	530000585		No Recognized Loss
1280	530000586		No Recognized Loss
1281	530000587		No Recognized Loss
1282	530000588		No Recognized Loss
1283	530000589		No Recognized Loss
1284	530000590		No Recognized Loss
1285	530000591		No Recognized Loss
1286	530000592		No Recognized Loss
1287	530000593		No Recognized Loss
1288	530000594		No Recognized Loss
1289	530000595		No Eligible Purchases
1290	530000597		No Recognized Loss
1291	530000598		No Recognized Loss
1292	530000599		No Recognized Loss
1293	530000600		No Recognized Loss
1294	530000601		No Eligible Purchases
1295	530000602		No Recognized Loss
1296	530000603		No Recognized Loss
1297	530000604		No Eligible Purchases
1298	530000605		No Eligible Purchases
1299	530000606		No Recognized Loss
1300	530000607		No Recognized Loss
1301	530000608		No Recognized Loss
1302	530000609		No Recognized Loss
1303	530000610		No Recognized Loss
1304	530000611		No Recognized Loss
1305	530000612		No Recognized Loss
1306	530000613		No Recognized Loss
1307	530000614		No Recognized Loss
1308	530000615		No Recognized Loss
1309	530000616		No Recognized Loss
1310	530000617		No Recognized Loss
1311	530000618		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
1312	530000619		No Eligible Purchases
1313	530000620		No Eligible Purchases
1314	530000621		No Eligible Purchases
1315	530000622		No Eligible Purchases
1316	530000623		No Recognized Loss
1317	530000624		No Eligible Purchases
1318	530000625		No Recognized Loss
1319	530000626		No Recognized Loss
1320	530000627		No Recognized Loss
1321	530000628		No Recognized Loss
1322	530000629		No Recognized Loss
1323	530000632		No Recognized Loss
1324	530000633		No Recognized Loss
1325	530000634		No Eligible Purchases
1326	530000635		No Recognized Loss
1327	530000637		No Recognized Loss
1328	530000641		No Eligible Purchases
1329	530000642		Duplicate Claim
1330	530000644		Void
1331	530000645		Void
1332	530000646		Void
1333	530000647		Void
1334	530000648		Void
1335	530000649		Void
1336	530000650		Void
1337	530000651		Void
1338	530000652		Void
1339	530000653		Void
1340	530000654		Void
1341	530000655		Void
1342	530000656		Void

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#	Claim Number	Account Holder	Reason for Rejection
1343	530000657		Void
1344	530000658		Void
1345	530000659		Void
1346	530000662		No Recognized Loss
1347	530000664		No Eligible Purchases
1348	530000668		No Recognized Loss
1349	530000670		No Recognized Loss
1350	530000673		No Eligible Purchases
1351	530000674		No Eligible Purchases
1352	530000675		No Eligible Purchases
1353	530000676		No Eligible Purchases
1354	530000679		No Eligible Purchases
1355	530000682		No Eligible Purchases
1356	530000683		No Eligible Purchases
1357	530000684		No Eligible Purchases
1358	530000685		No Eligible Purchases
1359	530000686		No Eligible Purchases
1360	530000687		No Eligible Purchases
1361	530000688		No Eligible Purchases
1362	530000689		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1363	530000690		No Eligible Purchases
1364	530000691		No Eligible Purchases
1365	530000692		No Eligible Purchases
1366	530000693		No Eligible Purchases
1367	530000694		No Eligible Purchases
1368	530000695		No Eligible Purchases
1369	530000696		No Eligible Purchases
1370	530000697		No Eligible Purchases
1371	530000699		No Eligible Purchases
1372	530000700		No Eligible Purchases
1373	530000702		No Eligible Purchases
1374	530000703		No Eligible Purchases
1375	530000704		No Eligible Purchases
1376	530000706		No Eligible Purchases
1377	530000708		No Eligible Purchases
1378	530000710		No Eligible Purchases
1379	530000711		No Eligible Purchases
1380	530000713		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1381	530000714		No Eligible Purchases
1382	530000715		No Eligible Purchases
1383	530000717		Withdrawn Claim
1384	530000719		No Eligible Purchases
1385	530000722		No Eligible Purchases
1386	530000725		No Recognized Loss
1387	530000726		No Eligible Purchases
1388	530000727		No Eligible Purchases
1389	530000728		No Eligible Purchases
1390	530000730		No Eligible Purchases
1391	530000731		No Eligible Purchases
1392	530000732		No Recognized Loss
1393	530000733		No Eligible Purchases
1394	530000735		No Eligible Purchases
1395	530000737		No Eligible Purchases
1396	530000738		No Eligible Purchases
1397	530000739		No Recognized Loss
1398	530000740		No Recognized Loss
1399	530000741		No Recognized Loss
1400	530000742		No Recognized Loss
1401	530000744		No Recognized Loss
1402	530000746		No Recognized Loss
1403	530000747		No Eligible Purchases
1404	530000749		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1405	530000750		No Eligible Purchases
1406	530000751		No Eligible Purchases
1407	530000753		No Recognized Loss
1408	530000758		No Eligible Purchases
1409	530000759		No Eligible Purchases
1410	530000760		No Recognized Loss
1411	530000762		No Eligible Purchases
1412	530000763		No Eligible Purchases
1413	530000764		No Eligible Purchases
1414	530000765		No Eligible Purchases
1415	530000766		No Eligible Purchases
1416	530000767		No Recognized Loss
1417	530000769		No Eligible Purchases
1418	530000770		No Eligible Purchases
1419	530000771		No Eligible Purchases
1420	530000772		No Eligible Purchases
1421	530000773		No Eligible Purchases
1422	530000775		No Eligible Purchases
1423	530000776		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1424	530000777		No Recognized Loss
1425	530000778		No Recognized Loss
1426	530000779		No Eligible Purchases
1427	530000780		No Eligible Purchases
1428	530000781		No Eligible Purchases
1429	530000785		No Recognized Loss
1430	530000787		No Eligible Purchases
1431	530000790		No Eligible Purchases
1432	530000791		No Eligible Purchases
1433	530000792		No Eligible Purchases
1434	530000793		No Eligible Purchases
1435	530000794		No Eligible Purchases
1436	530000796		No Recognized Loss
1437	530000797		No Recognized Loss
1438	530000800		No Eligible Purchases
1439	530000802		No Eligible Purchases
1440	530000805		No Eligible Purchases
1441	530000806		No Eligible Purchases
1442	530000807		No Eligible Purchases
1443	530000809		No Eligible Purchases
1444	530000811		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1445	530000812		No Eligible Purchases
1446	530000817		No Eligible Purchases
1447	530000820		No Eligible Purchases
1448	530000825		No Eligible Purchases
1449	530000827		No Eligible Purchases
1450	530000828		No Eligible Purchases
1451	530000829		No Eligible Purchases
1452	530000831		No Eligible Purchases
1453	530000836		No Eligible Purchases
1454	530000845		No Eligible Purchases
1455	530000858		No Eligible Purchases
1456	530000867		No Recognized Loss
1457	530000869		Withdrawn Claim
1458	530000870		Withdrawn Claim
1459	530000871		Withdrawn Claim
1460	530000872		No Eligible Purchases
1461	530000873		Withdrawn Claim
1462	530000874		No Recognized Loss
1463	530000875		No Recognized Loss
1464	530000876		Withdrawn Claim
1465	530000877		Withdrawn Claim
1466	530000878		Withdrawn Claim
1467	530000879		Withdrawn Claim
1468	530000880		Withdrawn Claim
1469	530000881		Withdrawn Claim

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#	Claim Number	Account Holder	Reason for Rejection
1470	530000882		No Recognized Loss
1471	530000883		No Recognized Loss
1472	530000884		No Eligible Purchases
1473	530000885		No Recognized Loss
1474	530000886		Withdrawn Claim
1475	530000887		Withdrawn Claim
1476	530000888		No Recognized Loss
1477	530000889		Withdrawn Claim
1478	530000890		Withdrawn Claim
1479	530000891		Withdrawn Claim
1480	530000892		No Recognized Loss
1481	530000893		No Recognized Loss
1482	530000894		No Recognized Loss
1483	530000895		No Recognized Loss
1484	530000898		No Recognized Loss
1485	530000899		No Recognized Loss
1486	530000900		No Recognized Loss
1487	530000901		No Eligible Purchases
1488	530000902		No Recognized Loss
1489	530000903		No Recognized Loss
1490	530000904		No Recognized Loss
1491	530000905		No Eligible Purchases
1492	530000906		No Recognized Loss
1493	530000907		No Recognized Loss
1494	530000908		No Recognized Loss
1495	530000909		No Recognized Loss
1496	530000910		No Recognized Loss
1497	530000911		No Recognized Loss
1498	530000912		No Recognized Loss
1499	530000913		No Recognized Loss
1500	530000914		No Recognized Loss
1501	530000915		No Recognized Loss
1502	530000916		No Recognized Loss
1503	530000917		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1504	530000918		No Recognized Loss
1505	530000919		No Recognized Loss
1506	530000920		No Recognized Loss
1507	530000921		No Recognized Loss
1508	530000922		No Recognized Loss
1509	530000923		No Recognized Loss
1510	530000924		No Recognized Loss
1511	530000925		No Recognized Loss
1512	530000926		No Recognized Loss
1513	530000927		No Recognized Loss
1514	530000928		No Recognized Loss
1515	530000929		No Recognized Loss
1516	530000932		No Recognized Loss
1517	530000933		No Recognized Loss
1518	530000934		No Recognized Loss
1519	530000935		No Recognized Loss
1520	530000936		No Recognized Loss
1521	530000938		No Recognized Loss
1522	530000939		No Recognized Loss
1523	530000940		No Recognized Loss
1524	530000941		No Recognized Loss
1525	530000942		No Recognized Loss
1526	530000943		No Recognized Loss
1527	530000945		No Recognized Loss
1528	530000947		No Recognized Loss
1529	530000948		No Eligible Purchases
1530	530000950		No Recognized Loss
1531	530000951		No Recognized Loss
1532	530000952		No Recognized Loss
1533	530000953		No Recognized Loss
1534	530000954		No Recognized Loss
1535	530000955		No Recognized Loss
1536	530000956		No Recognized Loss
1537	530000957		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1538	530000958		No Recognized Loss
1539	530000960		No Recognized Loss
1540	530000961		No Recognized Loss
1541	530000962		No Eligible Purchases
1542	530000963		No Recognized Loss
1543	530000964		No Recognized Loss
1544	530000965		No Recognized Loss
1545	530000966		No Recognized Loss
1546	530000968		No Recognized Loss
1547	530000969		No Recognized Loss
1548	530000970		No Eligible Purchases
1549	530000971		No Recognized Loss
1550	530000976		No Recognized Loss
1551	530000977		No Recognized Loss
1552	530000978		No Recognized Loss
1553	530000980		No Recognized Loss
1554	530000981		No Recognized Loss
1555	530000982		No Recognized Loss
1556	530000983		No Eligible Purchases
1557	530000984		No Recognized Loss
1558	530000985		No Eligible Purchases
1559	530000986		No Recognized Loss
1560	530000987		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1561	530000988		No Recognized Loss
1562	530000989		No Eligible Purchases
1563	530000990		No Recognized Loss
1564	530000991		No Eligible Purchases
1565	530000992		No Recognized Loss
1566	530000993		No Eligible Purchases
1567	530000994		No Eligible Purchases
1568	530000995		No Recognized Loss
1569	530000996		No Eligible Purchases
1570	530000997		No Recognized Loss
1571	530000998		No Eligible Purchases
1572	530000999		No Recognized Loss
1573	530001000		No Recognized Loss
1574	530001001		No Eligible Purchases
1575	530001002		No Recognized Loss
1576	530001003		No Recognized Loss
1577	530001004		No Eligible Purchases
1578	530001005		No Recognized Loss
1579	530001006		No Eligible Purchases
1580	530001007		No Eligible Purchases
1581	530001008		No Eligible Purchases
1582	530001009		No Eligible Purchases
1583	530001010		No Recognized Loss
1584	530001011		No Recognized Loss
1585	530001012		No Eligible Purchases
1586	530001013		No Recognized Loss
1587	530001014		No Recognized Loss
1588	530001015		No Recognized Loss
1589	530001016		No Recognized Loss
1590	530001017		No Recognized Loss
1591	530001018		No Recognized Loss
1592	530001019		No Recognized Loss
1593	530001020		No Recognized Loss
1594	530001021		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1595	530001022		No Recognized Loss
1596	530001023		No Recognized Loss
1597	530001024		No Recognized Loss
1598	530001025		No Eligible Purchases
1599	530001026		No Recognized Loss
1600	530001027		No Recognized Loss
1601	530001028		No Recognized Loss
1602	530001029		No Eligible Purchases
1603	530001030		No Recognized Loss
1604	530001031		No Eligible Purchases
1605	530001032		No Recognized Loss
1606	530001033		No Recognized Loss
1607	530001034		No Recognized Loss
1608	530001035		No Recognized Loss
1609	530001036		No Recognized Loss
1610	530001037		No Recognized Loss
1611	530001038		No Eligible Purchases
1612	530001039		No Eligible Purchases
1613	530001041		No Recognized Loss
1614	530001042		No Eligible Purchases
1615	530001043		No Eligible Purchases
1616	530001044		No Recognized Loss
1617	530001045		No Recognized Loss
1618	530001046		No Recognized Loss
1619	530001047		No Recognized Loss
1620	530001048		No Recognized Loss
1621	530001049		No Eligible Purchases
1622	530001050		No Eligible Purchases
1623	530001051		No Eligible Purchases
1624	530001052		No Recognized Loss
1625	530001053		No Recognized Loss
1626	530001054		No Recognized Loss
1627	530001055		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1628	530001056		No Recognized Loss
1629	530001059		No Eligible Purchases
1630	530001061		No Recognized Loss
1631	530001064		No Recognized Loss
1632	530001065		No Recognized Loss
1633	530001066		No Recognized Loss
1634	530001067		No Eligible Purchases
1635	530001068		No Eligible Purchases
1636	530001069		No Eligible Purchases
1637	530001070		No Recognized Loss
1638	530001071		No Eligible Purchases
1639	530001073		No Recognized Loss
1640	530001076		No Eligible Purchases
1641	530001082		No Recognized Loss
1642	530001083		No Recognized Loss
1643	530001086		No Eligible Purchases
1644	530001091		No Recognized Loss
1645	530001093		No Eligible Purchases
1646	530001098		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1647	530001108		No Recognized Loss
1648	530001110		No Recognized Loss
1649	530001113		No Recognized Loss
1650	530001116		No Eligible Purchases
1651	530001120		No Recognized Loss
1652	530001121		No Eligible Purchases
1653	530001127		No Eligible Purchases
1654	530001129		No Recognized Loss
1655	530001131		No Recognized Loss
1656	530001134		No Recognized Loss
1657	530001135		No Recognized Loss
1658	530001138		No Recognized Loss
1659	530001140		No Recognized Loss
1660	530001141		No Recognized Loss
1661	530001142		No Recognized Loss
1662	530001146		No Eligible Purchases
1663	530001150		No Recognized Loss
1664	530001151		No Recognized Loss
1665	530001153		No Recognized Loss
1666	530001154		No Recognized Loss
1667	530001155		No Recognized Loss
1668	530001156		No Recognized Loss
1669	530001157		No Recognized Loss
1670	530001158		No Eligible Purchases
1671	530001159		No Recognized Loss
1672	530001160		No Eligible Purchases
1673	530001161		No Eligible Purchases
1674	530001162		No Eligible Purchases
1675	530001163		No Eligible Purchases
1676	530001164		No Recognized Loss
1677	530001166		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1678	530001167		No Eligible Purchases
1679	530001168		No Eligible Purchases
1680	530001169		No Recognized Loss
1681	530001170		No Eligible Purchases
1682	530001171		No Eligible Purchases
1683	530001172		No Eligible Purchases
1684	530001173		No Eligible Purchases
1685	530001174		No Recognized Loss
1686	530001175		No Recognized Loss
1687	530001176		No Eligible Purchases
1688	530001177		No Eligible Purchases
1689	530001189		No Recognized Loss
1690	530001190		No Recognized Loss
1691	530001191		No Recognized Loss
1692	530001192		No Recognized Loss
1693	530001195		No Recognized Loss
1694	530001196		No Eligible Purchases
1695	530001197		No Eligible Purchases
1696	530001198		No Eligible Purchases
1697	530001200		No Recognized Loss
1698	530001201		No Recognized Loss
1699	530001202		No Recognized Loss
1700	530001203		No Recognized Loss
1701	530001204		No Recognized Loss
1702	530001205		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1703	530001206		No Recognized Loss
1704	530001210		No Eligible Purchases
1705	530001211		No Recognized Loss
1706	530001212		No Recognized Loss
1707	530001213		No Recognized Loss
1708	530001220		No Recognized Loss
1709	530001221		No Recognized Loss
1710	530001222		No Recognized Loss
1711	530001224		No Recognized Loss
1712	530001227		No Recognized Loss
1713	530001228		No Recognized Loss
1714	530001229		No Eligible Purchases
1715	530001230		No Eligible Purchases
1716	530001231		No Recognized Loss
1717	530001233		No Recognized Loss
1718	530001234		No Recognized Loss
1719	530001235		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1720	530001241		No Recognized Loss
1721	530001242		No Recognized Loss
1722	530001254		No Recognized Loss
1723	530001255		No Eligible Purchases
1724	530001257		No Recognized Loss
1725	530001258		No Recognized Loss
1726	530001259		No Eligible Purchases
1727	530001260		No Eligible Purchases
1728	530001263		No Recognized Loss
1729	530001264		No Recognized Loss
1730	530001265		No Recognized Loss
1731	530001267		No Recognized Loss
1732	530001268		No Recognized Loss
1733	530001270		No Eligible Purchases
1734	530001271		No Recognized Loss
1735	530001272		No Recognized Loss
1736	530001273		No Eligible Purchases
1737	530001275		No Eligible Purchases
1738	530001276		No Eligible Purchases
1739	530001277		No Eligible Purchases
1740	530001278		No Eligible Purchases
1741	530001279		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1742	530001280		No Recognized Loss
1743	530001281		No Recognized Loss
1744	530001282		No Recognized Loss
1745	530001284		No Eligible Purchases
1746	530001285		No Eligible Purchases
1747	530001287		No Eligible Purchases
1748	530001289		No Eligible Purchases
1749	530001290		No Eligible Purchases
1750	530001291		No Eligible Purchases
1751	530001293		No Recognized Loss
1752	530001295		No Recognized Loss
1753	530001297		No Eligible Purchases
1754	530001298		No Eligible Purchases
1755	530001301		No Eligible Purchases
1756	530001306		No Recognized Loss
1757	530001313		No Eligible Purchases
1758	530001314		No Eligible Purchases
1759	530001315		No Recognized Loss
1760	530001316		No Eligible Purchases
1761	530001317		No Recognized Loss
1762	530001319		No Eligible Purchases
1763	530001324		No Eligible Purchases
1764	530001332		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1765	530001334		No Recognized Loss
1766	530001335		No Eligible Purchases
1767	530001336		No Eligible Purchases
1768	530001337		No Recognized Loss
1769	530001338		No Eligible Purchases
1770	530001340		No Eligible Purchases
1771	530001349		No Eligible Purchases
1772	530001351		No Eligible Purchases
1773	530001361		No Eligible Purchases
1774	530001364		No Eligible Purchases
1775	530001366		No Eligible Purchases
1776	530001368		No Eligible Purchases
1777	530001369		No Eligible Purchases
1778	530001370		No Recognized Loss
1779	530001379		No Eligible Purchases
1780	530001382		No Eligible Purchases
1781	530001388		No Eligible Purchases
1782	530001392		No Eligible Purchases
1783	530001394		No Eligible Purchases
1784	530001396		No Eligible Purchases
1785	530001401		No Recognized Loss
1786	530001402		No Eligible Purchases
1787	530001403		No Eligible Purchases
1788	530001405		No Eligible Purchases
1789	530001406		No Eligible Purchases
1790	530001407		No Eligible Purchases
1791	530001418		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1792	530001433		No Eligible Purchases
1793	530001435		No Eligible Purchases
1794	530001440		No Eligible Purchases
1795	530001441		No Eligible Purchases
1796	530001447		No Eligible Purchases
1797	530001448		No Eligible Purchases
1798	530001449		No Eligible Purchases
1799	530001450		No Eligible Purchases
1800	530001451		No Eligible Purchases
1801	530001459		No Recognized Loss
1802	530001460		No Eligible Purchases
1803	530001461		No Recognized Loss
1804	530001462		No Recognized Loss
1805	530001464		Void
1806	530001465		No Recognized Loss
1807	530001466		No Recognized Loss
1808	530001467		No Recognized Loss
1809	530001476		No Recognized Loss
1810	530001477		No Recognized Loss
1811	530001482		No Recognized Loss
1812	530001483		No Eligible Purchases
1813	530001486		No Recognized Loss
1814	530001487		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1815	530001489		No Recognized Loss
1816	530001496		No Recognized Loss
1817	530001501		No Recognized Loss
1818	530001503		No Recognized Loss
1819	530001507		No Eligible Purchases
1820	530001509		No Recognized Loss
1821	530001510		No Eligible Purchases
1822	530001515		No Recognized Loss
1823	530001516		No Recognized Loss
1824	530001518		No Eligible Purchases
1825	530001522		No Recognized Loss
1826	530001523		No Recognized Loss
1827	530001525		No Recognized Loss
1828	530001528		No Eligible Purchases
1829	530001534		No Eligible Purchases
1830	530001535		No Recognized Loss
1831	530001537		No Eligible Purchases
1832	530001538		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1833	530001542		No Eligible Purchases
1834	530001544		No Eligible Purchases
1835	530001545		No Recognized Loss
1836	530001551		No Recognized Loss
1837	530001552		No Recognized Loss
1838	530001556		No Eligible Purchases
1839	530001570		No Recognized Loss
1840	530001574		No Recognized Loss
1841	530001575		No Recognized Loss
1842	530001576		No Eligible Purchases
1843	530001583		No Recognized Loss
1844	530001584		No Recognized Loss
1845	530001585		No Recognized Loss
1846	530001587		No Recognized Loss
1847	530001589		No Recognized Loss
1848	530001590		No Recognized Loss
1849	530001595		No Recognized Loss
1850	530001596		No Recognized Loss
1851	530001598		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1852	530001600		No Eligible Purchases
1853	530001602		No Recognized Loss
1854	530001603		No Recognized Loss
1855	530001604		No Eligible Purchases
1856	530001605		No Eligible Purchases
1857	530001606		No Recognized Loss
1858	530001607		No Recognized Loss
1859	530001609		No Recognized Loss
1860	530001610		No Eligible Purchases
1861	530001612		No Recognized Loss
1862	530001617		No Recognized Loss
1863	530001619		No Eligible Purchases
1864	530001623		No Recognized Loss
1865	530001625		No Recognized Loss
1866	530001627		No Eligible Purchases
1867	530001628		No Eligible Purchases
1868	530001640		No Recognized Loss
1869	530001655		No Recognized Loss
1870	530001661		No Eligible Purchases
1871	530001664		No Eligible Purchases
1872	530001665		No Eligible Purchases
1873	530001670		No Eligible Purchases
1874	530001671		No Eligible Purchases
1875	530001674		No Eligible Purchases
1876	530001681		No Recognized Loss
1877	530001682		No Recognized Loss
1878	530001684		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1879	530001686		No Recognized Loss
1880	530001687		No Recognized Loss
1881	530001688		No Recognized Loss
1882	530001691		No Recognized Loss
1883	530001717		No Recognized Loss
1884	530001723		No Eligible Purchases
1885	530001727		No Recognized Loss
1886	530001728		No Recognized Loss
1887	530001731		No Recognized Loss
1888	530001732		No Recognized Loss
1889	530001740		No Recognized Loss
1890	530001741		No Recognized Loss
1891	530001742		No Eligible Purchases
1892	530001743		No Eligible Purchases
1893	530001752		No Recognized Loss
1894	530001754		No Recognized Loss
1895	530001755		No Recognized Loss
1896	530001756		No Recognized Loss
1897	530001757		No Recognized Loss
1898	530001759		No Recognized Loss
1899	530001760		No Recognized Loss
1900	530001761		No Eligible Purchases
1901	530001762		No Eligible Purchases
1902	530001768		No Recognized Loss
1903	530001770		No Eligible Purchases
1904	530001771		No Recognized Loss
1905	530001774		No Recognized Loss
1906	530001775		No Recognized Loss
1907	530001779		No Recognized Loss
1908	530001781		No Recognized Loss
1909	530001795		No Eligible Purchases
1910	530001821		No Eligible Purchases
1911	530001826		No Recognized Loss
1912	530001827		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1913	530001833		No Recognized Loss
1914	530001836		No Recognized Loss
1915	530001837		No Recognized Loss
1916	530001838		No Recognized Loss
1917	530001843		No Eligible Purchases
1918	530001844		No Eligible Purchases
1919	530001856		No Recognized Loss
1920	530001859		No Recognized Loss
1921	530001863		No Eligible Purchases
1922	530001865		No Eligible Purchases
1923	530001866		No Eligible Purchases
1924	530001871		No Recognized Loss
1925	530001873		No Recognized Loss
1926	530001877		No Eligible Purchases
1927	530001881		No Eligible Purchases
1928	530001883		No Recognized Loss
1929	530001886		No Eligible Purchases
1930	530001890		No Eligible Purchases
1931	530001893		No Recognized Loss
1932	530001897		No Eligible Purchases
1933	530001898		No Eligible Purchases
1934	530001900		No Recognized Loss
1935	530001905		No Recognized Loss
1936	530001908		No Eligible Purchases
1937	530001912		No Recognized Loss
1938	530001921		No Recognized Loss
1939	530001922		No Recognized Loss
1940	530001923		No Eligible Purchases
1941	530001925		No Recognized Loss
1942	530001926		No Eligible Purchases
1943	530001930		No Recognized Loss
1944	530001936		No Recognized Loss
1945	530001939		No Eligible Purchases
1946	530001940		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
1947	530001945		No Recognized Loss
1948	530001947		No Eligible Purchases
1949	530001948		No Recognized Loss
1950	530001950		No Recognized Loss
1951	530001951		No Recognized Loss
1952	530001962		No Recognized Loss
1953	530001963		No Recognized Loss
1954	530001966		No Eligible Purchases
1955	530001969		No Recognized Loss
1956	530001972		No Eligible Purchases
1957	530001975		No Recognized Loss
1958	530001976		No Recognized Loss
1959	530001996		No Eligible Purchases
1960	530002001		No Eligible Purchases
1961	530002002		No Eligible Purchases
1962	530002003		No Recognized Loss
1963	530002006		No Recognized Loss
1964	530002008		No Recognized Loss
1965	530002010		No Recognized Loss
1966	530002011		No Recognized Loss
1967	530002015		No Recognized Loss
1968	530002018		No Recognized Loss
1969	530002019		No Eligible Purchases
1970	530002021		No Recognized Loss
1971	530002026		No Recognized Loss
1972	530002027		No Recognized Loss
1973	530002042		No Recognized Loss
1974	530002044		No Recognized Loss
1975	530002046		No Recognized Loss
1976	530002053		No Recognized Loss
1977	530002071		No Recognized Loss
1978	530002073		No Eligible Purchases
1979	530002083		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
1980	530002084		No Eligible Purchases
1981	530002088		No Eligible Purchases
1982	530002089		No Recognized Loss
1983	530002090		No Recognized Loss
1984	530002091		No Recognized Loss
1985	530002092		No Eligible Purchases
1986	530002096		No Eligible Purchases
1987	530002099		No Eligible Purchases
1988	530002100		No Eligible Purchases
1989	530002101		No Recognized Loss
1990	530002102		No Eligible Purchases
1991	530002104		No Eligible Purchases
1992	530002111		No Eligible Purchases
1993	530002112		No Recognized Loss
1994	530002113		No Eligible Purchases
1995	530002115		No Eligible Purchases
1996	530002117		No Eligible Purchases
1997	530002118		No Eligible Purchases
1998	530002119		No Eligible Purchases
1999	530002120		No Recognized Loss
2000	530002121		No Eligible Purchases
2001	530002122		No Eligible Purchases
2002	530002123		No Eligible Purchases
2003	530002124		No Eligible Purchases
2004	530002125		No Eligible Purchases
2005	530002126		No Eligible Purchases
2006	530002138		No Recognized Loss
2007	530002147		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2008	530002148		No Recognized Loss
2009	530002149		No Recognized Loss
2010	530002150		No Eligible Purchases
2011	530002151		Ineligibility Never Cured
2012	530002152		No Recognized Loss
2013	530002155		No Recognized Loss
2014	530002156		No Eligible Purchases
2015	530002158		No Recognized Loss
2016	530002160		No Recognized Loss
2017	530002164		No Recognized Loss
2018	530002165		No Recognized Loss
2019	530002167		No Recognized Loss
2020	530002171		No Recognized Loss
2021	530002172		No Recognized Loss
2022	530002177		No Recognized Loss
2023	530002178		No Recognized Loss
2024	530002181		No Recognized Loss
2025	530002182		No Eligible Purchases
2026	530002183		No Recognized Loss
2027	530002184		No Recognized Loss
2028	530002185		No Recognized Loss
2029	530002186		No Eligible Purchases
2030	530002187		No Recognized Loss
2031	530002188		No Recognized Loss
2032	530002190		No Recognized Loss
2033	530002191		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2034	530002192		No Eligible Purchases
2035	530002193		No Eligible Purchases
2036	530002194		No Recognized Loss
2037	530002196		No Recognized Loss
2038	530002197		No Recognized Loss
2039	530002209		No Recognized Loss
2040	530002210		No Recognized Loss
2041	530002212		No Recognized Loss
2042	530002213		No Recognized Loss
2043	530002218		No Recognized Loss
2044	530002219		No Recognized Loss
2045	530002221		No Recognized Loss
2046	530002222		No Recognized Loss
2047	800000001		Duplicate Claim
2048	800000003		No Recognized Loss
2049	800000005		Duplicate Claim
2050	800000010		No Recognized Loss
2051	800000011		Ineligibility Never Cured
2052	800000012		Ineligibility Never Cured
2053	800000014		Ineligibility Never Cured
2054	800000018		No Recognized Loss
2055	800000019		No Recognized Loss
2056	800000024		Ineligibility Never Cured
2057	800000030		Ineligibility Never Cured
2058	800000031		Ineligibility Never Cured
2059	800000034		Ineligibility Never Cured
2060	800000035		No Recognized Loss
2061	800000036		Ineligibility Never Cured
2062	800000038		No Recognized Loss
2063	800000040		Ineligibility Never Cured
2064	800000041		Ineligibility Never Cured
2065	800000044		Ineligibility Never Cured
2066	800000046		Ineligibility Never Cured
2067	800000047		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2068	800000048		Ineligibility Never Cured
2069	800000049		Ineligibility Never Cured
2070	800000052		No Recognized Loss
2071	800000054		Ineligibility Never Cured
2072	800000055		Ineligibility Never Cured
2073	800000056		Ineligibility Never Cured
2074	800000057		No Recognized Loss
2075	800000058		Ineligibility Never Cured
2076	800000059		No Recognized Loss
2077	800000061		Ineligibility Never Cured
2078	800000062		No Recognized Loss
2079	800000063		No Recognized Loss
2080	800000069		No Recognized Loss
2081	800000071		No Recognized Loss
2082	800000072		Ineligibility Never Cured
2083	800000074		No Recognized Loss
2084	800000078		No Recognized Loss
2085	800000081		No Recognized Loss
2086	800000084		Ineligibility Never Cured
2087	800000086		No Recognized Loss
2088	800000088		No Recognized Loss
2089	800000089		No Recognized Loss
2090	800000090		Ineligibility Never Cured
2091	800000091		No Recognized Loss
2092	800000095		No Recognized Loss
2093	800000096		No Recognized Loss
2094	800000097		No Recognized Loss
2095	800000099		Ineligibility Never Cured
2096	800000102		No Recognized Loss
2097	800000104		Duplicate Claim
2098	800000106		Ineligibility Never Cured
2099	800000107		Ineligibility Never Cured
2100	800000108		Ineligibility Never Cured
2101	800000110		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2102	800000112		Ineligibility Never Cured
2103	800000115		No Recognized Loss
2104	800000116		Ineligibility Never Cured
2105	800000119		Ineligibility Never Cured
2106	800000120		Ineligibility Never Cured
2107	800000122		No Eligible Purchases
2108	800000124		Ineligibility Never Cured
2109	800000127		Ineligibility Never Cured
2110	800000131		No Recognized Loss
2111	800000132		No Recognized Loss
2112	800000138		No Recognized Loss
2113	800000144		Ineligibility Never Cured
2114	800000145		Ineligibility Never Cured
2115	800000147		No Recognized Loss
2116	800000148		No Recognized Loss
2117	800000152		Ineligibility Never Cured
2118	800000153		Ineligibility Never Cured
2119	800000154		Ineligibility Never Cured
2120	800000157		No Recognized Loss
2121	800000158		Ineligibility Never Cured
2122	800000160		Ineligibility Never Cured
2123	800000164		Ineligibility Never Cured
2124	800000165		No Recognized Loss
2125	800000166		No Recognized Loss
2126	800000171		Ineligibility Never Cured
2127	800000173		No Recognized Loss
2128	800000177		Ineligibility Never Cured
2129	800000179		Ineligibility Never Cured
2130	800000180		No Eligible Purchases
2131	800000182		Ineligibility Never Cured
2132	800000184		No Recognized Loss
2133	800000186		Ineligibility Never Cured
2134	800000190		No Recognized Loss
2135	800000191		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2136	800000192		Ineligibility Never Cured
2137	800000193		Ineligibility Never Cured
2138	800000194		No Recognized Loss
2139	800000196		No Recognized Loss
2140	800000198		No Recognized Loss
2141	800000199		Ineligibility Never Cured
2142	800000200		No Recognized Loss
2143	800000201		No Recognized Loss
2144	800000203		No Recognized Loss
2145	800000204		No Recognized Loss
2146	800000205		No Recognized Loss
2147	800000206		No Recognized Loss
2148	800000208		Ineligibility Never Cured
2149	800000209		Ineligibility Never Cured
2150	800000210		No Eligible Purchases
2151	800000211		No Recognized Loss
2152	800000212		Ineligibility Never Cured
2153	800000213		Ineligibility Never Cured
2154	800000216		No Recognized Loss
2155	800000217		Ineligibility Never Cured
2156	800000218		No Recognized Loss
2157	800000219		Ineligibility Never Cured
2158	800000221		No Recognized Loss
2159	800000224		Ineligibility Never Cured
2160	800000227		No Recognized Loss
2161	800000232		Duplicate Claim
2162	800000233		No Recognized Loss
2163	800000234		Ineligibility Never Cured
2164	800000237		Ineligibility Never Cured
2165	800000238		Ineligibility Never Cured
2166	800000239		Duplicate Claim

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#	Claim Number	Account Holder	Reason for Rejection
2167	800000240		Ineligibility Never Cured
2168	800000241		Ineligibility Never Cured
2169	800000242		Ineligibility Never Cured
2170	800000243		Duplicate Claim
2171	800000244		Ineligibility Never Cured
2172	800000246		Ineligibility Never Cured
2173	800000249		No Recognized Loss
2174	800000251		Ineligibility Never Cured
2175	800000254		No Eligible Purchases
2176	800000257		Ineligibility Never Cured
2177	800000260		Ineligibility Never Cured
2178	800000263		Ineligibility Never Cured
2179	800000264		No Recognized Loss
2180	800000266		No Eligible Purchases
2181	800000270		No Recognized Loss
2182	800000278		Ineligibility Never Cured
2183	800000280		No Recognized Loss
2184	800000281		Ineligibility Never Cured
2185	800000282		No Recognized Loss
2186	800000287		Ineligibility Never Cured
2187	800000288		Ineligibility Never Cured
2188	800000289		Ineligibility Never Cured
2189	800000290		No Recognized Loss
2190	800000291		Ineligibility Never Cured
2191	800000294		No Recognized Loss
2192	800000296		Ineligibility Never Cured
2193	800000298		Ineligibility Never Cured
2194	800000301		No Recognized Loss
2195	800000302		Ineligibility Never Cured
2196	800000303		No Recognized Loss
2197	800000304		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2198	800000310		No Recognized Loss
2199	800000311		Ineligibility Never Cured
2200	800000312		Ineligibility Never Cured
2201	800000317		Ineligibility Never Cured
2202	800000320		Duplicate Claim
2203	800000327		Ineligibility Never Cured
2204	800000332		Ineligibility Never Cured
2205	800000334		No Recognized Loss
2206	800000335		No Recognized Loss
2207	800000336		Ineligibility Never Cured
2208	800000341		Ineligibility Never Cured
2209	800000342		No Recognized Loss
2210	800000343		Ineligibility Never Cured
2211	800000345		No Recognized Loss
2212	800000346		Ineligibility Never Cured
2213	800000351		Ineligibility Never Cured
2214	800000352		Ineligibility Never Cured
2215	800000353		Ineligibility Never Cured
2216	800000354		Duplicate Claim
2217	800000356		Ineligibility Never Cured
2218	800000359		No Recognized Loss
2219	800000362		No Recognized Loss
2220	800000363		Ineligibility Never Cured
2221	800000364		Ineligibility Never Cured
2222	800000365		No Recognized Loss
2223	800000367		Ineligibility Never Cured
2224	800000370		Ineligibility Never Cured
2225	800000371		Ineligibility Never Cured
2226	800000372		No Recognized Loss
2227	800000373		No Recognized Loss
2228	800000375		No Recognized Loss
2229	800000379		Ineligibility Never Cured
2230	800000380		No Recognized Loss
2231	800000381		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2232	800000385		Ineligibility Never Cured
2233	800000386		Ineligibility Never Cured
2234	800000388		No Recognized Loss
2235	800000392		No Recognized Loss
2236	800000395		Ineligibility Never Cured
2237	800000396		Ineligibility Never Cured
2238	800000397		No Recognized Loss
2239	800000398		Ineligibility Never Cured
2240	800000400		Ineligibility Never Cured
2241	800000401		No Recognized Loss
2242	800000406		No Recognized Loss
2243	800000407		Ineligibility Never Cured
2244	800000408		No Recognized Loss
2245	800000410		No Recognized Loss
2246	800000412		No Eligible Purchases
2247	800000413		Ineligibility Never Cured
2248	800000416		No Recognized Loss
2249	800000419		Ineligibility Never Cured
2250	800000420		Ineligibility Never Cured
2251	800000421		Ineligibility Never Cured
2252	800000422		No Recognized Loss
2253	800000423		Ineligibility Never Cured
2254	800000424		No Recognized Loss
2255	800000425		Ineligibility Never Cured
2256	800000429		Ineligibility Never Cured
2257	800000431		Ineligibility Never Cured
2258	800000432		No Recognized Loss
2259	800000433		Ineligibility Never Cured
2260	800000434		No Recognized Loss
2261	800000436		No Eligible Purchases
2262	800000440		Ineligibility Never Cured
2263	800000441		No Recognized Loss
2264	800000443		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2265	800000448		Ineligibility Never Cured
2266	800000449		No Recognized Loss
2267	800000451		No Recognized Loss
2268	800000454		Ineligibility Never Cured
2269	800000455		Duplicate Claim
2270	800000456		Ineligibility Never Cured
2271	800000462		No Recognized Loss
2272	800000466		Ineligibility Never Cured
2273	800000467		No Recognized Loss
2274	800000473		Ineligibility Never Cured
2275	800000478		Ineligibility Never Cured
2276	800000480		Ineligibility Never Cured
2277	800000481		Ineligibility Never Cured
2278	800000482		Ineligibility Never Cured
2279	800000483		Ineligibility Never Cured
2280	800000488		Ineligibility Never Cured
2281	800000489		Ineligibility Never Cured
2282	800000490		No Recognized Loss
2283	800000491		Ineligibility Never Cured
2284	800000492		Ineligibility Never Cured
2285	800000494		No Recognized Loss
2286	800000495		No Recognized Loss
2287	800000496		No Recognized Loss
2288	800000497		No Recognized Loss
2289	800000499		No Recognized Loss
2290	800000504		No Recognized Loss
2291	800000506		No Eligible Purchases
2292	800000507		Ineligibility Never Cured
2293	800000508		No Recognized Loss
2294	800000509		Ineligibility Never Cured
2295	800000511		No Recognized Loss
2296	800000512		No Recognized Loss
2297	800000513		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2298	800000514		Ineligibility Never Cured
2299	800000515		No Recognized Loss
2300	800000519		Ineligibility Never Cured
2301	800000520		No Recognized Loss
2302	800000521		No Recognized Loss
2303	800000523		No Recognized Loss
2304	800000524		Ineligibility Never Cured
2305	800000528		Ineligibility Never Cured
2306	800000531		Ineligibility Never Cured
2307	800000532		Ineligibility Never Cured
2308	800000535		Ineligibility Never Cured
2309	800000536		Ineligibility Never Cured
2310	800000538		Ineligibility Never Cured
2311	800000540		Ineligibility Never Cured
2312	800000548		No Recognized Loss
2313	800000549		No Recognized Loss
2314	800000550		No Recognized Loss
2315	800000551		Ineligibility Never Cured
2316	800000552		Ineligibility Never Cured
2317	800000557		Ineligibility Never Cured
2318	800000560		Ineligibility Never Cured
2319	800000570		No Recognized Loss
2320	800000572		Ineligibility Never Cured
2321	800000573		Ineligibility Never Cured
2322	800000582		Ineligibility Never Cured
2323	800000586		No Recognized Loss
2324	800000587		No Recognized Loss
2325	800000588		No Recognized Loss
2326	800000592		No Recognized Loss
2327	800000601		Ineligibility Never Cured
2328	800000609		Ineligibility Never Cured
2329	800000611		Ineligibility Never Cured
2330	800000618		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2331	800000620		Ineligibility Never Cured
2332	800000623		No Recognized Loss
2333	800000624		No Recognized Loss
2334	800000625		Ineligibility Never Cured
2335	800000631		No Recognized Loss
2336	800000632		Ineligibility Never Cured
2337	800000633		Ineligibility Never Cured
2338	800000635		Ineligibility Never Cured
2339	800000636		No Recognized Loss
2340	800000640		Withdrawn Claim
2341	800000645		Ineligibility Never Cured
2342	800000647		Ineligibility Never Cured
2343	800000649		Ineligibility Never Cured
2344	800000652		No Recognized Loss
2345	800000653		No Recognized Loss
2346	800000654		No Recognized Loss
2347	800000658		No Eligible Purchases
2348	800000662		No Recognized Loss
2349	800000663		Ineligibility Never Cured
2350	800000666		No Recognized Loss
2351	800000668		Ineligibility Never Cured
2352	800000669		No Recognized Loss
2353	800000670		Ineligibility Never Cured
2354	800000674		No Recognized Loss
2355	800000683		Ineligibility Never Cured
2356	800000684		Ineligibility Never Cured
2357	800000685		No Recognized Loss
2358	800000693		Ineligibility Never Cured
2359	800000698		Ineligibility Never Cured
2360	800000705		No Recognized Loss
2361	800000708		No Recognized Loss
2362	800000713		No Recognized Loss
2363	800000716		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2364	800000717		Ineligibility Never Cured
2365	800000720		No Recognized Loss
2366	800000721		No Recognized Loss
2367	800000722		Duplicate Claim
2368	800000727		Ineligibility Never Cured
2369	800000733		No Recognized Loss
2370	800000734		Ineligibility Never Cured
2371	800000738		Ineligibility Never Cured
2372	800000739		No Recognized Loss
2373	800000740		Ineligibility Never Cured
2374	800000742		Ineligibility Never Cured
2375	800000747		No Recognized Loss
2376	800000748		Ineligibility Never Cured
2377	800000758		Ineligibility Never Cured
2378	800000759		No Recognized Loss
2379	800000760		No Recognized Loss
2380	800000764		Ineligibility Never Cured
2381	800000768		No Recognized Loss
2382	800000772		Ineligibility Never Cured
2383	800000773		Ineligibility Never Cured
2384	800000774		Ineligibility Never Cured
2385	800000778		No Recognized Loss
2386	800000779		No Recognized Loss
2387	800000781		Ineligibility Never Cured
2388	800000785		No Recognized Loss
2389	800000789		No Recognized Loss
2390	800000792		No Recognized Loss
2391	800000793		No Recognized Loss
2392	800000798		Ineligibility Never Cured
2393	800000803		Ineligibility Never Cured
2394	800000804		No Recognized Loss
2395	800000806		Ineligibility Never Cured
2396	800000808		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2397	800000812		Duplicate Claim
2398	800000814		No Recognized Loss
2399	800000817		Ineligibility Never Cured
2400	800000818		Ineligibility Never Cured
2401	800000821		No Recognized Loss
2402	800000822		Ineligibility Never Cured
2403	800000831		No Recognized Loss
2404	800000833		Ineligibility Never Cured
2405	800000835		No Recognized Loss
2406	800000836		Ineligibility Never Cured
2407	800000838		No Recognized Loss
2408	800000846		Ineligibility Never Cured
2409	800000851		Ineligibility Never Cured
2410	800000855		No Recognized Loss
2411	800000861		No Recognized Loss
2412	800000862		No Recognized Loss
2413	800000869		Ineligibility Never Cured
2414	800000870		No Eligible Purchases
2415	800000871		Ineligibility Never Cured
2416	800000873		No Recognized Loss
2417	800000874		Ineligibility Never Cured
2418	800000877		Ineligibility Never Cured
2419	800000879		No Recognized Loss
2420	800000880		Ineligibility Never Cured
2421	800000881		Ineligibility Never Cured
2422	800000882		Ineligibility Never Cured
2423	800000887		Ineligibility Never Cured
2424	800000891		No Recognized Loss
2425	800000896		Ineligibility Never Cured
2426	800000899		No Recognized Loss
2427	800000905		Ineligibility Never Cured
2428	800000906		Ineligibility Never Cured
2429	800000907		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2430	800000918		Ineligibility Never Cured
2431	800000928		No Recognized Loss
2432	800000929		Ineligibility Never Cured
2433	800000931		No Recognized Loss
2434	800000932		Ineligibility Never Cured
2435	800000933		Ineligibility Never Cured
2436	800000934		No Recognized Loss
2437	800000936		Ineligibility Never Cured
2438	800000948		Ineligibility Never Cured
2439	800000950		No Recognized Loss
2440	800000952		Ineligibility Never Cured
2441	800000956		No Recognized Loss
2442	800000964		Ineligibility Never Cured
2443	800000980		Ineligibility Never Cured
2444	800000985		Ineligibility Never Cured
2445	800000986		No Recognized Loss
2446	800000987		No Recognized Loss
2447	800000989		Ineligibility Never Cured
2448	800000991		Ineligibility Never Cured
2449	800000993		Ineligibility Never Cured
2450	800000996		No Recognized Loss
2451	800000999		Ineligibility Never Cured
2452	800001000		Ineligibility Never Cured
2453	800001002		Ineligibility Never Cured
2454	800001004		Ineligibility Never Cured
2455	800001007		No Recognized Loss
2456	800001011		No Recognized Loss
2457	800001014		Ineligibility Never Cured
2458	800001015		No Recognized Loss
2459	800001023		Ineligibility Never Cured
2460	800001026		No Recognized Loss
2461	800001028		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2462	800001029		No Recognized Loss
2463	800001031		No Recognized Loss
2464	800001032		Ineligibility Never Cured
2465	800001033		No Recognized Loss
2466	800001034		No Recognized Loss
2467	800001037		Duplicate Claim
2468	800001039		No Recognized Loss
2469	800001046		Ineligibility Never Cured
2470	800001047		Ineligibility Never Cured
2471	800001048		Ineligibility Never Cured
2472	800001054		No Recognized Loss
2473	800001065		Ineligibility Never Cured
2474	800001066		No Eligible Purchases
2475	800001067		Ineligibility Never Cured
2476	800001073		Ineligibility Never Cured
2477	800001074		Ineligibility Never Cured
2478	800001075		No Recognized Loss
2479	800001076		No Recognized Loss
2480	800001078		No Recognized Loss
2481	800001089		No Recognized Loss
2482	800001091		Duplicate Claim
2483	800001095		Ineligibility Never Cured
2484	800001097		No Recognized Loss
2485	800001100		Ineligibility Never Cured
2486	800001102		Ineligibility Never Cured
2487	800001104		Ineligibility Never Cured
2488	800001107		Ineligibility Never Cured
2489	800001111		No Eligible Purchases
2490	800001114		Ineligibility Never Cured
2491	800001121		Ineligibility Never Cured
2492	800001122		Ineligibility Never Cured
2493	800001129		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2494	800001131		No Recognized Loss
2495	800001133		No Recognized Loss
2496	800001143		Duplicate Claim
2497	800001148		Ineligibility Never Cured
2498	800001154		Ineligibility Never Cured
2499	800001158		No Recognized Loss
2500	800001163		No Recognized Loss
2501	800001164		Duplicate Claim
2502	800001170		No Recognized Loss
2503	800001171		Ineligibility Never Cured
2504	800001176		No Recognized Loss
2505	800001177		Ineligibility Never Cured
2506	800001185		No Recognized Loss
2507	800001186		No Recognized Loss
2508	800001192		Ineligibility Never Cured
2509	800001194		Ineligibility Never Cured
2510	800001195		Ineligibility Never Cured
2511	800001206		No Recognized Loss
2512	800001207		Ineligibility Never Cured
2513	800001210		Ineligibility Never Cured
2514	800001211		Ineligibility Never Cured
2515	800001213		Ineligibility Never Cured
2516	800001223		Ineligibility Never Cured
2517	800001224		No Recognized Loss
2518	800001227		No Eligible Purchases
2519	800001228		No Eligible Purchases
2520	800001232		Ineligibility Never Cured
2521	800001233		Ineligibility Never Cured
2522	800001236		Ineligibility Never Cured
2523	800001238		Ineligibility Never Cured
2524	800001239		No Recognized Loss
2525	800001249		Duplicate Claim
2526	800001251		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
2527	800001252		No Recognized Loss
2528	800001258		No Recognized Loss
2529	800001261		No Eligible Purchases
2530	800001263		Ineligibility Never Cured
2531	800001269		No Recognized Loss
2532	800001271		Ineligibility Never Cured
2533	800001275		No Recognized Loss
2534	800001278		Ineligibility Never Cured
2535	800001284		No Recognized Loss
2536	800001288		Ineligibility Never Cured
2537	800001289		Ineligibility Never Cured
2538	800001291		Ineligibility Never Cured
2539	800001292		Ineligibility Never Cured
2540	800001300		Ineligibility Never Cured
2541	800001304		No Recognized Loss
2542	800001309		Ineligibility Never Cured
2543	800001313		No Recognized Loss
2544	800001329		No Recognized Loss
2545	800001333		No Recognized Loss
2546	800001336		Ineligibility Never Cured
2547	800001339		No Recognized Loss
2548	800001345		Ineligibility Never Cured
2549	800001353		No Recognized Loss
2550	800001356		Ineligibility Never Cured
2551	800001357		Ineligibility Never Cured
2552	800001358		No Recognized Loss
2553	800001367		Ineligibility Never Cured
2554	800001369		No Recognized Loss
2555	800001375		No Recognized Loss
2556	800001379		Ineligibility Never Cured
2557	800001382		No Recognized Loss
2558	800001383		Ineligibility Never Cured
2559	800001389		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2560	800001395		No Recognized Loss
2561	800001396		Ineligibility Never Cured
2562	800001397		Ineligibility Never Cured
2563	800001403		No Recognized Loss
2564	800001404		Ineligibility Never Cured
2565	800001411		Void
2566	800001437		Ineligibility Never Cured
2567	800001438		No Recognized Loss
2568	800001439		Ineligibility Never Cured
2569	800001442		No Recognized Loss
2570	800001445		Ineligibility Never Cured
2571	800001446		Duplicate Claim
2572	800001448		No Recognized Loss
2573	800001454		No Recognized Loss
2574	800001455		Ineligibility Never Cured
2575	800001459		No Recognized Loss
2576	800001460		Ineligibility Never Cured
2577	800001461		Ineligibility Never Cured
2578	800001467		Duplicate Claim
2579	800001471		Ineligibility Never Cured
2580	800001476		Ineligibility Never Cured
2581	800001478		Ineligibility Never Cured
2582	800001481		No Recognized Loss
2583	800001483		No Recognized Loss
2584	800001485		Ineligibility Never Cured
2585	800001488		No Recognized Loss
2586	800001492		No Recognized Loss
2587	800001496		Ineligibility Never Cured
2588	800001504		Duplicate Claim
2589	800001506		Ineligibility Never Cured
2590	800001509		Duplicate Claim

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#	Claim Number	Account Holder	Reason for Rejection
2591	800001514		Ineligibility Never Cured
2592	800001515		Ineligibility Never Cured
2593	800001518		No Recognized Loss
2594	800001527		No Recognized Loss
2595	800001531		Ineligibility Never Cured
2596	800001538		Ineligibility Never Cured
2597	800001548		Ineligibility Never Cured
2598	800001551		Ineligibility Never Cured
2599	800001558		Ineligibility Never Cured
2600	800001559		Ineligibility Never Cured
2601	800001562		No Recognized Loss
2602	800001563		Ineligibility Never Cured
2603	800001564		No Recognized Loss
2604	800001565		Ineligibility Never Cured
2605	800001566		Ineligibility Never Cured
2606	800001573		No Recognized Loss
2607	800001575		Ineligibility Never Cured
2608	800001576		No Recognized Loss
2609	800001580		No Recognized Loss
2610	800001581		No Recognized Loss
2611	800001583		Withdrawn Claim
2612	800001585		Ineligibility Never Cured
2613	800001590		No Recognized Loss
2614	800001592		Ineligibility Never Cured
2615	800001595		Ineligibility Never Cured
2616	800001596		No Eligible Purchases
2617	800001602		Ineligibility Never Cured
2618	800001603		Duplicate Claim
2619	800001606		No Recognized Loss
2620	800001607		No Recognized Loss
2621	800001610		Ineligibility Never Cured
2622	800001612		Ineligibility Never Cured
2623	800001613		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2624	800001615		No Recognized Loss
2625	800001616		No Recognized Loss
2626	800001617		No Recognized Loss
2627	800001619		Ineligibility Never Cured
2628	800001620		Ineligibility Never Cured
2629	800001622		No Recognized Loss
2630	800001627		No Recognized Loss
2631	800001631		Ineligibility Never Cured
2632	800001634		No Recognized Loss
2633	800001637		Ineligibility Never Cured
2634	800001639		Ineligibility Never Cured
2635	800001640		Ineligibility Never Cured
2636	800001642		Ineligibility Never Cured
2637	800001643		No Recognized Loss
2638	800001644		Ineligibility Never Cured
2639	800001648		Ineligibility Never Cured
2640	800001656		No Recognized Loss
2641	800001660		No Recognized Loss
2642	800001668		Ineligibility Never Cured
2643	800001670		No Eligible Purchases
2644	800001671		Ineligibility Never Cured
2645	800001672		Ineligibility Never Cured
2646	800001676		Ineligibility Never Cured
2647	800001682		Ineligibility Never Cured
2648	800001684		No Recognized Loss
2649	800001688		Ineligibility Never Cured
2650	800001690		Ineligibility Never Cured
2651	800001692		Ineligibility Never Cured
2652	800001697		Ineligibility Never Cured
2653	800001699		Duplicate Claim
2654	800001709		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2655	800001713		Ineligibility Never Cured
2656	800001714		Ineligibility Never Cured
2657	800001716		No Recognized Loss
2658	800001717		Ineligibility Never Cured
2659	800001719		No Recognized Loss
2660	800001720		No Recognized Loss
2661	800001724		Ineligibility Never Cured
2662	800001725		No Recognized Loss
2663	800001731		No Recognized Loss
2664	800001732		Ineligibility Never Cured
2665	800001733		No Recognized Loss
2666	800001737		No Recognized Loss
2667	800001741		No Recognized Loss
2668	800001742		No Recognized Loss
2669	800001743		Ineligibility Never Cured
2670	800001747		Ineligibility Never Cured
2671	800001749		Ineligibility Never Cured
2672	800001751		Ineligibility Never Cured
2673	800001753		Ineligibility Never Cured
2674	800001755		Ineligibility Never Cured
2675	800001756		No Recognized Loss
2676	800001758		No Recognized Loss
2677	800001759		Ineligibility Never Cured
2678	800001760		Ineligibility Never Cured
2679	800001762		Ineligibility Never Cured
2680	800001765		No Recognized Loss
2681	800001766		Ineligibility Never Cured
2682	800001767		No Recognized Loss
2683	800001770		No Recognized Loss
2684	800001771		Ineligibility Never Cured
2685	800001774		No Recognized Loss
2686	800001780		Ineligibility Never Cured
2687	800001781		Ineligibility Never Cured
2688	800001784		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2689	800001785		Ineligibility Never Cured
2690	800001787		No Recognized Loss
2691	800001791		Ineligibility Never Cured
2692	800001792		Ineligibility Never Cured
2693	800001794		No Recognized Loss
2694	800001795		No Recognized Loss
2695	800001796		Ineligibility Never Cured
2696	800001797		Ineligibility Never Cured
2697	800001798		No Recognized Loss
2698	800001799		No Recognized Loss
2699	800001800		No Recognized Loss
2700	800001801		Ineligibility Never Cured
2701	800001803		No Recognized Loss
2702	800001804		No Recognized Loss
2703	800001806		No Recognized Loss
2704	800001807		No Recognized Loss
2705	800001808		Ineligibility Never Cured
2706	800001809		Ineligibility Never Cured
2707	800001812		Ineligibility Never Cured
2708	800001813		Ineligibility Never Cured
2709	800001814		Ineligibility Never Cured
2710	800001815		Ineligibility Never Cured
2711	800001818		No Recognized Loss
2712	800001819		No Recognized Loss
2713	800001821		Ineligibility Never Cured
2714	800001822		Ineligibility Never Cured
2715	800001823		Withdrawn Claim
2716	800001824		Duplicate Claim
2717	800001825		Ineligibility Never Cured
2718	800001829		No Recognized Loss
2719	800001830		Ineligibility Never Cured
2720	800001831		Duplicate Claim
2721	800001832		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2722	800001833		Ineligibility Never Cured
2723	800001834		Ineligibility Never Cured
2724	800001840		Ineligibility Never Cured
2725	800001841		No Recognized Loss
2726	800001843		Ineligibility Never Cured
2727	800001844		No Recognized Loss
2728	800001845		No Recognized Loss
2729	800001846		No Recognized Loss
2730	800001847		Ineligibility Never Cured
2731	800001848		Ineligibility Never Cured
2732	800001849		No Recognized Loss
2733	800001851		Ineligibility Never Cured
2734	800001856		No Recognized Loss
2735	800001858		Ineligibility Never Cured
2736	800001861		No Recognized Loss
2737	800001863		Ineligibility Never Cured
2738	800001865		Ineligibility Never Cured
2739	800001866		Ineligibility Never Cured
2740	800001867		No Recognized Loss
2741	800001870		Ineligibility Never Cured
2742	800001871		No Recognized Loss
2743	800001874		Ineligibility Never Cured
2744	800001879		Ineligibility Never Cured
2745	800001880		No Recognized Loss
2746	800001881		Ineligibility Never Cured
2747	800001883		No Recognized Loss
2748	800001884		No Recognized Loss
2749	800001887		No Recognized Loss
2750	800001888		No Recognized Loss
2751	800001889		No Recognized Loss
2752	800001890		Ineligibility Never Cured
2753	800001891		Ineligibility Never Cured
2754	800001892		Ineligibility Never Cured
2755	800001893		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
2756	800001894		Ineligibility Never Cured
2757	800001896		Ineligibility Never Cured
2758	800001901		No Recognized Loss
2759	800001905		Ineligibility Never Cured
2760	800001906		Ineligibility Never Cured
2761	800001907		No Recognized Loss
2762	800001908		No Recognized Loss
2763	800001910		No Recognized Loss
2764	800001911		Ineligibility Never Cured
2765	800001913		No Recognized Loss
2766	800001916		No Recognized Loss
2767	800001919		Ineligibility Never Cured
2768	800001921		Ineligibility Never Cured
2769	800001923		No Recognized Loss
2770	800001924		Ineligibility Never Cured
2771	800001925		Ineligibility Never Cured
2772	800001926		Ineligibility Never Cured
2773	800001927		Ineligibility Never Cured
2774	800001928		No Recognized Loss
2775	800001931		Ineligibility Never Cured
2776	800001932		No Recognized Loss
2777	800001937		Ineligibility Never Cured
2778	800001939		Ineligibility Never Cured
2779	800001940		Ineligibility Never Cured
2780	800001944		Ineligibility Never Cured
2781	800001946		Ineligibility Never Cured
2782	800001947		Ineligibility Never Cured
2783	800001948		Ineligibility Never Cured
2784	800001949		No Recognized Loss
2785	800001951		Ineligibility Never Cured
2786	800001953		No Recognized Loss
2787	800001955		Ineligibility Never Cured
2788	800001956		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2789	800001957		Ineligibility Never Cured
2790	800001960		No Recognized Loss
2791	800001961		Ineligibility Never Cured
2792	800001963		No Recognized Loss
2793	800001965		Ineligibility Never Cured
2794	800001966		No Recognized Loss
2795	800001967		Ineligibility Never Cured
2796	800001968		Duplicate Claim
2797	800001969		Ineligibility Never Cured
2798	800001970		Withdrawn Claim
2799	800001971		Duplicate Claim
2800	800001972		Ineligibility Never Cured
2801	800001973		Ineligibility Never Cured
2802	800001974		No Recognized Loss
2803	800001977		Withdrawn Claim
2804	800001978		Duplicate Claim
2805	800001979		Ineligibility Never Cured
2806	800001981		No Recognized Loss
2807	800001982		Ineligibility Never Cured
2808	800001986		No Recognized Loss
2809	800001987		Ineligibility Never Cured
2810	800001992		Ineligibility Never Cured
2811	800001994		No Recognized Loss
2812	800001996		Ineligibility Never Cured
2813	800002000		Ineligibility Never Cured
2814	800002001		Ineligibility Never Cured
2815	800002002		No Recognized Loss
2816	800002003		No Recognized Loss
2817	800002005		Ineligibility Never Cured
2818	800002006		Ineligibility Never Cured
2819	800002008		Ineligibility Never Cured
2820	800002009		Ineligibility Never Cured
2821	800002010		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2822	800002013		Ineligibility Never Cured
2823	800002015		Ineligibility Never Cured
2824	800002017		Ineligibility Never Cured
2825	800002019		Ineligibility Never Cured
2826	800002020		Ineligibility Never Cured
2827	800002021		No Recognized Loss
2828	800002022		Ineligibility Never Cured
2829	800002023		Ineligibility Never Cured
2830	800002025		No Recognized Loss
2831	800002027		No Recognized Loss
2832	800002028		Ineligibility Never Cured
2833	800002030		Ineligibility Never Cured
2834	800002032		No Recognized Loss
2835	800002033		No Recognized Loss
2836	800002034		No Recognized Loss
2837	800002036		No Recognized Loss
2838	800002037		Ineligibility Never Cured
2839	800002038		Ineligibility Never Cured
2840	800002039		Ineligibility Never Cured
2841	800002040		No Recognized Loss
2842	800002043		No Recognized Loss
2843	800002044		Ineligibility Never Cured
2844	800002045		Ineligibility Never Cured
2845	800002051		No Recognized Loss
2846	800002052		Ineligibility Never Cured
2847	800002053		No Recognized Loss
2848	800002054		Ineligibility Never Cured
2849	800002055		No Recognized Loss
2850	800002056		No Recognized Loss
2851	800002058		No Recognized Loss
2852	800002060		Ineligibility Never Cured
2853	800002061		Ineligibility Never Cured
2854	800002067		No Recognized Loss
2855	800002068		Ineligibility Never Cured

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Rejected Submitted Claims
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#	Claim Number	Account Holder	Reason for Rejection
2856	800002069		Ineligibility Never Cured
2857	800002070		No Recognized Loss
2858	800002072		Ineligibility Never Cured
2859	800002073		No Recognized Loss
2860	800002075		No Recognized Loss
2861	800002076		No Recognized Loss
2862	800002078		No Recognized Loss
2863	800002079		No Eligible Purchases
2864	800002083		No Recognized Loss
2865	800002084		Ineligibility Never Cured
2866	800002085		No Recognized Loss
2867	800002089		Ineligibility Never Cured
2868	800002090		Ineligibility Never Cured
2869	800002093		No Recognized Loss
2870	800002095		Ineligibility Never Cured
2871	800002097		No Recognized Loss
2872	800002098		No Recognized Loss
2873	800002099		Ineligibility Never Cured
2874	800002102		Duplicate Claim
2875	800002107		Ineligibility Never Cured
2876	800002108		No Recognized Loss
2877	800002109		No Recognized Loss
2878	800002110		Ineligibility Never Cured
2879	800002111		Ineligibility Never Cured
2880	800002118		No Recognized Loss
2881	800002119		Ineligibility Never Cured
2882	800002122		Ineligibility Never Cured
2883	800002123		No Recognized Loss
2884	800002124		Ineligibility Never Cured
2885	800002125		Ineligibility Never Cured
2886	800002126		Ineligibility Never Cured
2887	800002127		Ineligibility Never Cured
2888	800002128		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2889	800002129		Ineligibility Never Cured
2890	800002132		No Recognized Loss
2891	800002136		Ineligibility Never Cured
2892	800002139		No Recognized Loss
2893	800002140		Ineligibility Never Cured
2894	800002142		Ineligibility Never Cured
2895	800002143		Ineligibility Never Cured
2896	800002144		No Recognized Loss
2897	800002145		Ineligibility Never Cured
2898	800002148		No Recognized Loss
2899	800002149		No Recognized Loss
2900	800002150		Ineligibility Never Cured
2901	800002151		Ineligibility Never Cured
2902	800002156		Ineligibility Never Cured
2903	800002157		No Recognized Loss
2904	800002158		Ineligibility Never Cured
2905	800002160		Ineligibility Never Cured
2906	800002161		Ineligibility Never Cured
2907	800002162		Duplicate Claim
2908	800002165		Ineligibility Never Cured
2909	800002166		No Recognized Loss
2910	800002167		Ineligibility Never Cured
2911	800002168		Ineligibility Never Cured
2912	800002171		Ineligibility Never Cured
2913	800002172		Ineligibility Never Cured
2914	800002173		Ineligibility Never Cured
2915	800002175		No Recognized Loss
2916	800002177		No Recognized Loss
2917	800002178		No Recognized Loss
2918	800002179		No Recognized Loss
2919	800002180		Ineligibility Never Cured
2920	800002182		Duplicate Claim

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#	Claim Number	Account Holder	Reason for Rejection
2921	800002183		No Recognized Loss
2922	800002187		No Recognized Loss
2923	800002188		Duplicate Claim
2924	800002190		No Recognized Loss
2925	800002191		No Recognized Loss
2926	800002193		Ineligibility Never Cured
2927	800002194		No Recognized Loss
2928	800002195		No Recognized Loss
2929	800002196		Ineligibility Never Cured
2930	800002197		Ineligibility Never Cured
2931	800002198		No Recognized Loss
2932	800002200		Ineligibility Never Cured
2933	800002202		Ineligibility Never Cured
2934	800002203		Ineligibility Never Cured
2935	800002205		Ineligibility Never Cured
2936	800002208		Ineligibility Never Cured
2937	800002209		Ineligibility Never Cured
2938	800002212		No Recognized Loss
2939	800002214		Ineligibility Never Cured
2940	800002216		Ineligibility Never Cured
2941	800002220		Ineligibility Never Cured
2942	800002223		Ineligibility Never Cured
2943	800002224		Ineligibility Never Cured
2944	800002225		Ineligibility Never Cured
2945	800002226		No Recognized Loss
2946	800002228		Ineligibility Never Cured
2947	800002230		No Recognized Loss
2948	800002231		Ineligibility Never Cured
2949	800002232		No Recognized Loss
2950	800002233		Ineligibility Never Cured
2951	800002234		Ineligibility Never Cured
2952	800002235		Ineligibility Never Cured
2953	800002238		Ineligibility Never Cured
2954	800002239		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
2955	800002241		Ineligibility Never Cured
2956	800002242		No Recognized Loss
2957	800002246		Ineligibility Never Cured
2958	800002250		No Recognized Loss
2959	800002253		Ineligibility Never Cured
2960	800002254		Ineligibility Never Cured
2961	800002255		Ineligibility Never Cured
2962	800002258		Ineligibility Never Cured
2963	800002259		No Recognized Loss
2964	800002260		Ineligibility Never Cured
2965	800002261		Ineligibility Never Cured
2966	800002263		Ineligibility Never Cured
2967	800002264		No Recognized Loss
2968	800002265		No Recognized Loss
2969	800002267		Ineligibility Never Cured
2970	800002268		No Recognized Loss
2971	800002273		Ineligibility Never Cured
2972	800002274		Duplicate Claim
2973	800002275		No Recognized Loss
2974	800002276		No Recognized Loss
2975	800002277		Ineligibility Never Cured
2976	800002278		Ineligibility Never Cured
2977	800002279		Ineligibility Never Cured
2978	800002281		Ineligibility Never Cured
2979	800002283		No Recognized Loss
2980	800002285		No Recognized Loss
2981	800002286		No Recognized Loss
2982	800002287		Ineligibility Never Cured
2983	800002288		No Recognized Loss
2984	800002289		Ineligibility Never Cured
2985	800002291		Ineligibility Never Cured
2986	800002292		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
2987	800002296		Ineligibility Never Cured
2988	800002302		No Recognized Loss
2989	800002303		Ineligibility Never Cured
2990	800002305		Ineligibility Never Cured
2991	800002306		No Eligible Purchases
2992	800002307		Ineligibility Never Cured
2993	800002308		Ineligibility Never Cured
2994	800002309		Ineligibility Never Cured
2995	800002310		No Recognized Loss
2996	800002314		Ineligibility Never Cured
2997	800002315		Ineligibility Never Cured
2998	800002316		No Recognized Loss
2999	800002318		Ineligibility Never Cured
3000	800002319		No Recognized Loss
3001	800002321		Ineligibility Never Cured
3002	800002322		No Recognized Loss
3003	800002323		Ineligibility Never Cured
3004	800002324		No Recognized Loss
3005	800002325		Ineligibility Never Cured
3006	800002326		Ineligibility Never Cured
3007	800002327		Ineligibility Never Cured
3008	800002329		Ineligibility Never Cured
3009	800002330		Ineligibility Never Cured
3010	800002331		No Recognized Loss
3011	800002333		Ineligibility Never Cured
3012	800002339		No Recognized Loss
3013	800002340		No Recognized Loss
3014	800002341		Ineligibility Never Cured
3015	800002342		Ineligibility Never Cured
3016	800002345		No Recognized Loss
3017	800002346		Ineligibility Never Cured
3018	800002350		Ineligibility Never Cured
3019	800002351		Ineligibility Never Cured
3020	800002355		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
3021	800002358		No Recognized Loss
3022	800002359		Duplicate Claim
3023	800002361		Ineligibility Never Cured
3024	800002363		Ineligibility Never Cured
3025	800002364		No Recognized Loss
3026	800002365		Ineligibility Never Cured
3027	800002366		Ineligibility Never Cured
3028	800002368		Ineligibility Never Cured
3029	800002372		Ineligibility Never Cured
3030	800002373		No Recognized Loss
3031	800002374		Ineligibility Never Cured
3032	800002375		Ineligibility Never Cured
3033	800002376		Ineligibility Never Cured
3034	800002378		No Recognized Loss
3035	800002380		Ineligibility Never Cured
3036	800002381		Ineligibility Never Cured
3037	800002382		Ineligibility Never Cured
3038	800002383		Ineligibility Never Cured
3039	800002384		Ineligibility Never Cured
3040	800002387		Ineligibility Never Cured
3041	800002389		No Recognized Loss
3042	800002390		Ineligibility Never Cured
3043	800002392		No Recognized Loss
3044	800002394		No Recognized Loss
3045	800002396		Ineligibility Never Cured
3046	800002398		Ineligibility Never Cured
3047	800002399		No Recognized Loss
3048	800002400		No Recognized Loss
3049	800002401		Ineligibility Never Cured
3050	800002402		Ineligibility Never Cured
3051	800002403		Ineligibility Never Cured
3052	800002404		No Recognized Loss
3053	800002405		No Recognized Loss

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#	Claim Number	Account Holder	Reason for Rejection
3054	800002408		No Recognized Loss
3055	800002411		Ineligibility Never Cured
3056	800002417		Ineligibility Never Cured
3057	800002419		Ineligibility Never Cured
3058	800002420		No Recognized Loss
3059	800002421		Ineligibility Never Cured
3060	800002422		Ineligibility Never Cured
3061	800002424		Ineligibility Never Cured
3062	800002426		Ineligibility Never Cured
3063	800002428		Ineligibility Never Cured
3064	800002432		No Recognized Loss
3065	800002434		Ineligibility Never Cured
3066	800002436		Ineligibility Never Cured
3067	800002438		Ineligibility Never Cured
3068	800002439		Ineligibility Never Cured
3069	800002442		No Recognized Loss
3070	800002443		Ineligibility Never Cured
3071	800002444		Ineligibility Never Cured
3072	800002446		Ineligibility Never Cured
3073	800002448		No Recognized Loss
3074	800002450		No Recognized Loss
3075	800002452		Ineligibility Never Cured
3076	800002453		Ineligibility Never Cured
3077	800002454		No Recognized Loss
3078	800002456		Ineligibility Never Cured
3079	800002458		Ineligibility Never Cured
3080	800002462		Ineligibility Never Cured
3081	800002464		No Recognized Loss
3082	800002468		No Recognized Loss
3083	800002469		Ineligibility Never Cured
3084	800002471		Ineligibility Never Cured
3085	800002473		Ineligibility Never Cured
3086	800002474		No Eligible Purchases

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#	Claim Number	Account Holder	Reason for Rejection
3087	800002475		Ineligibility Never Cured
3088	800002478		Ineligibility Never Cured
3089	800002479		Ineligibility Never Cured
3090	800002481		Ineligibility Never Cured
3091	800002482		Ineligibility Never Cured
3092	800002483		No Recognized Loss
3093	800002484		No Recognized Loss
3094	800002485		Ineligibility Never Cured
3095	800002486		Ineligibility Never Cured
3096	800002489		No Recognized Loss
3097	800002492		Ineligibility Never Cured
3098	800002493		Ineligibility Never Cured
3099	800002495		Ineligibility Never Cured
3100	800002496		No Recognized Loss
3101	800002497		Ineligibility Never Cured
3102	800002498		No Eligible Purchases
3103	800002500		Ineligibility Never Cured
3104	800002501		Ineligibility Never Cured
3105	800002503		Ineligibility Never Cured
3106	800002507		Ineligibility Never Cured
3107	800002510		Ineligibility Never Cured
3108	800002512		No Recognized Loss
3109	800002516		No Recognized Loss
3110	800002517		No Recognized Loss
3111	800002518		No Recognized Loss
3112	800002519		Ineligibility Never Cured
3113	800002520		No Recognized Loss
3114	800002522		No Recognized Loss
3115	800002523		Ineligibility Never Cured
3116	800002525		No Recognized Loss
3117	800002526		Ineligibility Never Cured
3118	800002527		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
3119	800002528		Ineligibility Never Cured
3120	800002532		Ineligibility Never Cured
3121	800002534		Ineligibility Never Cured
3122	800002535		No Recognized Loss
3123	800002536		No Recognized Loss
3124	800002539		No Recognized Loss
3125	800002544		Ineligibility Never Cured
3126	800002545		Ineligibility Never Cured
3127	800002549		No Recognized Loss
3128	800002551		Ineligibility Never Cured
3129	800002552		No Recognized Loss
3130	800002553		Ineligibility Never Cured
3131	800002556		Ineligibility Never Cured
3132	800002557		Ineligibility Never Cured
3133	800002558		Ineligibility Never Cured
3134	800002560		Ineligibility Never Cured
3135	800002561		Ineligibility Never Cured
3136	800002563		Ineligibility Never Cured
3137	800002565		No Recognized Loss
3138	800002566		Ineligibility Never Cured
3139	800002567		Ineligibility Never Cured
3140	800002570		Ineligibility Never Cured
3141	800002576		No Recognized Loss
3142	800002577		Ineligibility Never Cured
3143	800002578		Ineligibility Never Cured
3144	800002583		Ineligibility Never Cured
3145	800002586		No Recognized Loss
3146	800002587		Ineligibility Never Cured
3147	800002589		Ineligibility Never Cured
3148	800002592		Ineligibility Never Cured
3149	800002593		No Recognized Loss
3150	800002594		Ineligibility Never Cured
3151	800002595		Ineligibility Never Cured
3152	800002597		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
3153	800002598		No Eligible Purchases
3154	800002600		Ineligibility Never Cured
3155	800002601		Ineligibility Never Cured
3156	800002604		Ineligibility Never Cured
3157	800002605		No Recognized Loss
3158	800002606		Ineligibility Never Cured
3159	800002607		Ineligibility Never Cured
3160	800002608		No Recognized Loss
3161	800002611		No Recognized Loss
3162	800002612		Ineligibility Never Cured
3163	800002615		No Eligible Purchases
3164	800002616		Ineligibility Never Cured
3165	800002617		Ineligibility Never Cured
3166	800002618		No Recognized Loss
3167	800002619		Ineligibility Never Cured
3168	800002623		Ineligibility Never Cured
3169	800002624		Ineligibility Never Cured
3170	800002630		Ineligibility Never Cured
3171	800002631		Ineligibility Never Cured
3172	800002632		No Recognized Loss
3173	800002634		Ineligibility Never Cured
3174	800002635		No Recognized Loss
3175	800002636		Ineligibility Never Cured
3176	800002638		No Recognized Loss
3177	800002641		Ineligibility Never Cured
3178	800002642		Duplicate Claim
3179	800002643		Ineligibility Never Cured
3180	800002646		No Recognized Loss
3181	800002648		Ineligibility Never Cured
3182	800002649		Ineligibility Never Cured
3183	800002650		No Recognized Loss
3184	800002652		Ineligibility Never Cured
3185	800002656		Ineligibility Never Cured
3186	800002659		Ineligibility Never Cured

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#	Claim Number	Account Holder	Reason for Rejection
3187	800002661		Ineligibility Never Cured
3188	800002662		Ineligibility Never Cured
3189	800002664		Ineligibility Never Cured
3190	800002665		Ineligibility Never Cured
3191	800002667		Ineligibility Never Cured
3192	800002672		No Recognized Loss
3193	800002674		Ineligibility Never Cured
3194	800002675		Ineligibility Never Cured
3195	800002676		Withdrawn Claim
3196	800002678		Ineligibility Never Cured
3197	800002680		No Recognized Loss
3198	800002681		No Recognized Loss
3199	800002683		No Recognized Loss
3200	800002684		No Recognized Loss
3201	800002686		Ineligibility Never Cured
3202	800002687		No Recognized Loss
3203	800002689		No Recognized Loss
3204	800002690		Ineligibility Never Cured
3205	800002691		Ineligibility Never Cured
3206	800002692		Ineligibility Never Cured
3207	800002693		Duplicate Claim
3208	800002694		Ineligibility Never Cured
3209	800002696		Ineligibility Never Cured
3210	800002697		No Recognized Loss
3211	800002699		Duplicate Claim
3212	800002700		Ineligibility Never Cured
3213	800002703		Ineligibility Never Cured
3214	800002704		Ineligibility Never Cured
3215	800002707		Ineligibility Never Cured
3216	800002711		No Recognized Loss
3217	800002713		Ineligibility Never Cured
3218	800002714		Ineligibility Never Cured

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
3219	800002715		No Recognized Loss
3220	800002716		Ineligibility Never Cured
3221	800002726		No Recognized Loss
3222	800002728		Duplicate Claim
3223	800002734		Ineligibility Never Cured
3224	800002735		No Recognized Loss
3225	800002736		No Recognized Loss
3226	800002737		Ineligibility Never Cured
3227	800002740		Ineligibility Never Cured
3228	800002747		No Recognized Loss
3229	800002749		Ineligibility Never Cured
3230	800002750		No Recognized Loss
3231	800002752		Ineligibility Never Cured
3232	800002754		No Recognized Loss
3233	800002755		No Recognized Loss
3234	800002757		Ineligibility Never Cured
3235	800002759		Ineligibility Never Cured
3236	800002760		Ineligibility Never Cured
3237	800002762		Ineligibility Never Cured
3238	800002763		Ineligibility Never Cured
3239	800002764		No Recognized Loss
3240	800002767		Ineligibility Never Cured
3241	800002768		Ineligibility Never Cured
3242	800002769		No Recognized Loss
3243	800002770		Ineligibility Never Cured
3244	800002771		Ineligibility Never Cured
3245	800002772		No Recognized Loss
3246	800002774		Ineligibility Never Cured
3247	800002778		Ineligibility Never Cured
3248	800002780		No Recognized Loss
3249	800002788		No Recognized Loss
3250	800002792		Ineligibility Never Cured
3251	800002795		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
3252	800002798		No Recognized Loss
3253	800002800		No Recognized Loss
3254	800002806		No Eligible Purchases
3255	800002807		Ineligibility Never Cured
3256	800002813		No Recognized Loss
3257	800002814		Ineligibility Never Cured
3258	800002815		No Recognized Loss
3259	800002819		No Recognized Loss
3260	800002820		No Recognized Loss
3261	800002823		Ineligibility Never Cured
3262	800002826		Ineligibility Never Cured
3263	800002831		No Recognized Loss
3264	800002832		No Recognized Loss
3265	800002834		No Recognized Loss
3266	800002835		No Recognized Loss
3267	800002836		Ineligibility Never Cured
3268	800002837		Ineligibility Never Cured
3269	800002839		No Recognized Loss
3270	800002840		No Recognized Loss
3271	800002842		No Recognized Loss
3272	800002843		Ineligibility Never Cured
3273	800002845		No Recognized Loss
3274	800002846		Ineligibility Never Cured
3275	800002851		No Recognized Loss
3276	800002852		Ineligibility Never Cured
3277	800002853		Ineligibility Never Cured
3278	800002856		Ineligibility Never Cured
3279	800002859		Ineligibility Never Cured
3280	800002860		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
3281	800002861		Ineligibility Never Cured
3282	800002862		Ineligibility Never Cured
3283	800002863		Ineligibility Never Cured
3284	800002864		Ineligibility Never Cured
3285	800002865		Ineligibility Never Cured
3286	800002873		No Recognized Loss
3287	800002876		Ineligibility Never Cured
3288	800002877		No Recognized Loss
3289	800002878		No Recognized Loss
3290	800002883		Ineligibility Never Cured
3291	800002894		Ineligibility Never Cured
3292	800002902		Ineligibility Never Cured
3293	800002904		Ineligibility Never Cured
3294	800002905		No Recognized Loss
3295	800002906		Ineligibility Never Cured
3296	800002910		Void
3297	800002911		No Recognized Loss
3298	800002913		Ineligibility Never Cured
3299	800002917		No Recognized Loss
3300	800002919		No Recognized Loss
3301	800002920		Ineligibility Never Cured
3302	800002921		No Recognized Loss
3303	800002923		Ineligibility Never Cured
3304	800002925		Ineligibility Never Cured
3305	800002926		No Recognized Loss
3306	800002928		Ineligibility Never Cured
3307	800002933		Ineligibility Never Cured
3308	800002934		Ineligibility Never Cured
3309	800002943		No Recognized Loss
3310	800002944		Ineligibility Never Cured
3311	800002958		Ineligibility Never Cured
3312	800002964		No Recognized Loss
3313	800002967		Duplicate Claim

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
3314	800002968		Duplicate Claim
3315	800002974		No Recognized Loss
3316	800002975		Ineligibility Never Cured
3317	800002977		Duplicate Claim
3318	800002983		Ineligibility Never Cured
3319	800002984		Ineligibility Never Cured
3320	800002987		Ineligibility Never Cured
3321	800002989		Ineligibility Never Cured
3322	800002992		Ineligibility Never Cured
3323	800002999		No Recognized Loss
3324	800003000		Ineligibility Never Cured
3325	800003001		No Eligible Purchases
3326	800003003		Ineligibility Never Cured
3327	800003007		No Recognized Loss
3328	800003009		No Recognized Loss
3329	800003010		Ineligibility Never Cured
3330	800003013		Ineligibility Never Cured
3331	800003016		Ineligibility Never Cured
3332	800003019		Ineligibility Never Cured
3333	800003031		Ineligibility Never Cured
3334	800003037		No Recognized Loss
3335	800003038		Ineligibility Never Cured
3336	800003039		Ineligibility Never Cured
3337	800003043		Ineligibility Never Cured
3338	800003044		Ineligibility Never Cured
3339	800003049		No Recognized Loss
3340	800003051		Ineligibility Never Cured
3341	800003053		No Recognized Loss
3342	800003056		Ineligibility Never Cured
3343	800003063		Ineligibility Never Cured
3344	800003064		No Recognized Loss
3345	800003066		Ineligibility Never Cured
3346	800003068		No Recognized Loss

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
3347	800003070		Ineligibility Never Cured
3348	800003079		No Eligible Purchases
3349	800003083		No Recognized Loss
3350	800003085		No Recognized Loss
3351	800003088		No Recognized Loss
3352	800003089		Ineligibility Never Cured
3353	800003093		No Recognized Loss
3354	800003094		Ineligibility Never Cured
3355	800003097		Ineligibility Never Cured
3356	800003101		No Recognized Loss
3357	800003104		Ineligibility Never Cured
3358	800003107		Ineligibility Never Cured
3359	800003108		Ineligibility Never Cured
3360	800003112		Ineligibility Never Cured
3361	800003114		Ineligibility Never Cured
3362	800003115		Ineligibility Never Cured
3363	800003116		Ineligibility Never Cured
3364	800003117		Ineligibility Never Cured
3365	800003118		Ineligibility Never Cured
3366	800003122		Duplicate Claim
3367	800003128		Ineligibility Never Cured
3368	800003129		Ineligibility Never Cured
3369	800003130		Ineligibility Never Cured
3370	800003131		Ineligibility Never Cured
3371	800003132		No Recognized Loss
3372	800003133		Ineligibility Never Cured

Junge v. Geron Securities Litigation
Rejected Submitted Claims
Exhibit AA-3

#	Claim Number	Account Holder	Reason for Rejection
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EXHIBIT BB



INVOICE

Hilltop Securities Inc.

717 N. Harwood Street, Suite 3400
 Dallas, TX 75201
 Phone: (214) 859-1800
 Fax: (214) 859-9312
 Member: NYSE/FINRA/SIPC

INVOICE # 2246BACA
 DATE: JUNE 1, 2022

Tax ID: 75-1382137

BILL TO:

Geron Securities Litigation
 c/o Epiq Class Action & Claims Solutions
 P.O. Box 4574
 Portland, OR 97208-4574
 Info@GeronSecuritiesLitigation.com
 www.GeronSecuritiesLitigation.com
 1-844-754-5537

RE: Geron Corporation Securities Litigation
 CUSIP/SYM: 374163103

ESTIMATE

Quantity	Production Item Description	Cost Per Item
1	\$ 200.00 Research Fee	\$200.00
	Total	\$200.00
	Balance Due Upon Receipt	\$200.00

Please Note: There is a minimum archival research fee of \$200. This request for information on beneficial owners may produce zero beneficial owners for the period requested. The fee is based on our cost to conduct the research not on the results of that research.

If you have any questions, please contact the Legal Department at (214) 859-6521.

Make all checks payable to: Hilltop Securities Inc.

StoneX™

STONEX FINANCIAL INC.
Member FINRA/SIPC

2 Perimeter Park South
Suite 100W
Birmingham, AL 35243-9628
USA

www.stonex.com

Date: 06/02/22

Attn: Epiq Class Action & Claims Solutions

We hereby make claim to you for the expense of inquires ran for litigation labels:

Litigation Name: Geron Corporation

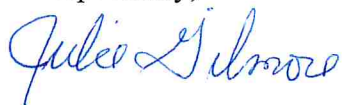
Amount Due: \$50.00

Explanation of amount incurred:

To obtain the customers that held the security during the litigation's specified date range each cusip is input into BETA through the query function. The cost is \$50.00 per request.

If there are any questions regarding this claim, please contact Callie Hayes (205) 414-7271.

Respectfully,



Julie Gilmore
Securities Transfer Department
StoneX Financial, Inc.
Phone: (205) 414-7212
Fax: (205) 868-6762

Upon receipt of the above \$ via check, we guarantee to hold you free and harmless from any further claim(s) you may receive pertaining to this litigation.

Please mail check to:
StoneX Financial Inc.
Attention: Securities Transfer
2 Perimeter Park South, Suite 100W
Birmingham, AL 35243



P.O. BOX 283
St. Cloud, MN 56302

Bill To

EPIQ

Invoice

Date	Invoice #
6/10/2022	3608
Terms	Due Date
Net 30	7/10/2022

Description	Service Date	Price Each	Amount
Geron Common Stock; Cusip: 374163103; Records Generated: 25 Fee for researching, running and providing the data files for		50.00	50.00
Out-of-state sale, exempt from sales tax		0.00%	0.00
<div style="border: 1px solid black; padding: 5px; width: fit-content;"> Please return a copy of this invoice with payment and include invoice number on check. </div>			
Total			\$50.00

Questions - please call 1-320-229-3176
Make checks payable to Cetera Investment Services LLC. - Attn: Accounting



Wealth

Truist Investment Services, Inc.
1001 Semmes Ave.
3rd Floor
Attn: Securities Processing
Richmond, VA 23224-2245

Date: June 6, 2022

To: Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P. O. Box 4574
Portland, OR 97208-4574

Ref: Geron Corporation
Cusip: 374163103

Invoice # 50066

Dear Sir:

Please be advised that the processing fee for the above litigation that you requested is listed below:

Amount due within 45 days in receipt of this invoice: \$25.00

Thank you in advance for your prompt attention to this matter.

Sincerely,

Jesse Sprouse
804-253-6424

Investment Adviser Representative, Truist Advisory Services, Inc.

**Investment and Insurance Products:
Are Not FDIC or Any Other Government Agency Insured • Are Not Bank Guaranteed • May Lose Value**

Truist Wealth is a name used by Truist Financial Corporation and affiliates. Banking products and services, including loans, deposit accounts, trust and investment management services provided by Truist Bank, Member FDIC. Securities, brokerage accounts, insurance/annuities offered by Truist Investment Services, Inc. member FINRA, SIPC, and a licensed insurance agency where applicable. Life insurance products offered by referral to Truist Insurance Holdings, Inc. and affiliates. Investment advisory services offered by Truist Advisory Services, Inc., Sterling Capital Management, LLC, and affiliated SEC registered investment advisers. Sterling Capital Funds advised by Sterling Capital Management, LLC.

From: Summers, Rebecca <Rebecca.X.Summers@bnymellon.com>
To: info@GeronSecuritiesLitigation.com <info@geronsecuritieslitigation.com>, glambert@epiqsystems.com <glambert@epiqsystems.com>
CC: Pershing Class Actions <pershingclassactions@pershing.com>
Subject: Geron Corporation Class Action
Date: June 14, 2022 10:26:49 AM EDT
Attachments: Use Attachments Panel to access files.
Geron Corporation Administrator File.xlsx (288 KB)
Secure Actions: Reply

Re: Geron Corporation

CUSIP No.: 374163103

We are in receipt of your Notice of Class Action regarding the above-referenced securities requesting that we provide name and address information for those who purchased or held these securities through our firm (or cleared by our firm) between March 19, 2018 through and including September 26, 2018.

Attached is a protected file with Excel Spreadsheets containing information for the purchasers with names and addresses during the above referenced time period(s). It is our understanding that you will utilize the information to forward a copy of the Notice to each holder.

Based on Eisen v. Carlisle & Jacquelin, 417 U.S. 156 (1974), and other applicable law, Pershing is entitled to the reimbursement for the reasonable expenses incurring in searching our computer records to produce the requested records. The cost is \$ 200.00 for each calendar year or part thereof for the first securities issue (CUSIP#) plus \$20.00 per year for each additional securities issue. In this case, Pershing will charge a flat fee of \$35.00. Accordingly, please forward a check for \$35.00 to:

Pershing LLC
One Pershing Plaza, 7th Floor
Jersey City, NJ 07399
Attn: Joseph LaVara

Your utilization of the attached information will constitute your agreement to reimburse for the above referenced expenses incurred in connection with our response to your request.

Regards,
Rebecca Summers
Corporate Actions
BNY Mellon | Pershing
T: 201-413-3771
rebecca.x.summers@bnymellon.com<mailto:rebecca.x.summers@bnymellon.com>

The information sent in this communication and any attachments herein is sent by an attorney or his or her agent. The information may contain confidential or proprietary information for use by the addressee only and may be protected by attorney-client privilege, work product immunity or other

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Invoice No. CACTCLSA062120221

June 21st, 2022

To: Geron Corp
Info@GeronSecuritiesLitigation.com

CLASS ACTION INVOICE

SECURITY	Geron Corp
CUSIP	374163103
NUMBER OF ACCOUNTS	58,739
\$0.10 per account	\$5,873.90
TOTAL	\$5,873.90

To whom it may concern:

APEX CLEARING is requesting reimbursement for expenses incurred in searching for and furnishing beneficial ownership information for the purposes of proxy solicitation. Federal Rules of Civil Procedure Rule 45(C)(2)(B), as well as the Securities & Exchange Commission Act 1934 Section 17 and the NYSE Rule 451 provide for legal support in this reasonable request for reimbursement upon production of potential class members. Please be advised that the total cost of providing the information you requested for the attached class action is \$5,873.90 .Please kindly forward your payment in check form made payable to Apex Clearing, Attn: Corporate Actions, 350 N. St. Paul St., Ste. 1300, Dallas, TX 75201.

Please feel free to contact me any questions regarding this matter to my attention at the information below.

Regards,

Twila Potts

Corporate Actions
350 N. St. Paul Street 1300
Dallas, TX 75201

Office 214.765.1128
Fax: 214.865.7416
Tpotts@apexclearing.com



apexclearing.com

PIPER | SANDLER

800 NICOLLET MALL, SUITE 900
MINNEAPOLIS, MN 55402
P [612 303-6000]
Piper Sandler & Co.
Since 1895. Member SIPC and NYSE.

June 22, 2022

Geron Securities Litigation
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574

Dear Claims Administrator,

Per your notice dated May 23, 2022, we searched our records for beneficial owners of Geron Corporation who purchased and/or acquired common stock from 3/19/18 through and including 9/26/18. We are enclosing mailing information for our 3 beneficial owners. We understand that **you will mail** the notice and proof of claim and any future mailings to each beneficial owner.

Based on Eisen vs. Carlisle & Jacquelin, 417 U.S. 156 (1974), and other succeeding cases interpreting the Federal Rules of Civil Procedure, we are entitled to be reimbursed for reasonable expenses incurred in searching our records, computer time and production of a mailing list. Our fee is \$20.30. Please remit the amount to Piper Sandler to my attention at the above address.

Our client information is confidential and proprietary. We are supplying this list to assist prompt receipt by our clients of important information. We understand that you will use this information for no purpose other than to supply the notice you have described. Your use of this information represents your agreement with that understanding. We expect that in your fiduciary capacity the information concerning clients will be shared with no one else. Also, DO NOT SAVE the information provided to a shared drive or company drive. If you have a secured method to permanently erase files (or overwrite), please use that method. This enclosed file is password protected. Please email joleen.schutz@psc.com to obtain the password. Thank you.

Sincerely,



Joleen Schutz
Class Action Specialist
612-303-7049

Internal file: 6270

June 23, 2022

Claims Administrator
Epiq Systems, Inc.
10200 SW Allen Blvd
Beaverton OR 97005

RE: GERON CORP. Case No: 20-cv-00547

Dear Claims Administrators:

Enclosed are the names and addresses of the Charles Schwab & Co., Inc. clients who may be affected by the Class Action GERON CORP. Please forward all necessary materials regarding securities litigation to these clients.

Sincerely,

Jen Curtin
Class Action Desk, Reorganization Department

Enclosures

INVOICE

BILL TO:

Claims Administrator
 Epiq Systems, Inc.
 10200 SW Allen Blvd
 Beaverton OR 97005

Description	# Names and Addresses	Date	Amount	
List of names and addresses for Charles Schwab & Co., Inc. clients. Litigation: GERON CORP	#6103	06/23/2022	\$396.70	
			Administration Fee	\$100.00
			Total Due	\$496.70

Please make all checks payable to:
 Charles Schwab /Acct. 7935-1640

Mail to:
Charles Schwab & Co., Inc.
2423 E. Lincoln Dr.
Phoenix, AZ 85016
Attn: reorg

Fee Scale	
Administration Fee	→ \$100 Flat Fee
Each Name Provided	→ \$0.065 per name and address

National Financial Services, LLC

Fidelity Legal Operations Group

Period Start: 6/1/2022
 Period End: 6/30/2022

Invoice Number: 1117
Billing Days: 30
Due Date: 8/5/2022

Epiq Systems
 PO Box 4068
 Portland, OR 97208-4068

Amount Due This Invoice: \$742.76
Adjustments: \$0.00
Current Outstanding: \$28,046.26
Aged Outstanding: \$27,939.82
Payments Applied: \$0.00
Total Amount Due: \$56,728.84

Please contact FI Operational Accounting at 401-292-6065 or FI.Invoices@fmr.com with questions regarding billing/fees.

Wire payments are preferred.

Wire Instructions:
 JP Morgan Chase
 One Chase Manhattan Plaza
 ABA #021000021 - Account #066196167
 National Financial Services, LLC - For Further Credit to 890100250
 New York, NY

Return remittance copy with payment or wire ref #.

Please include invoice number on remittance.

Risk Ops Prep - Class Action

Description I	Description II	File Reference Number	Quantity	Rate (\$)	Amount
Geron Corp	09-JUN-22	W358164-01JUN22	11356	0.0650	\$738.14
Micro Focus	14-JUN-22	W567555-21APR22	71	0.0650	\$4.62

Total due this period: \$742.76

Amount Due This Invoice: \$742.76
 Adjustments: \$0.00
 Current Outstanding: \$28,046.26
 Aged Outstanding: \$27,939.82
 Payments Applied: \$0.00
Total Amount Due: \$56,728.84

Clearing, custody or other brokerage services may be provided by Fidelity Brokerage Services LLC or National Financial Services LLC, members NYSE, SIPC,

National Financial Services LLC 900 Salem St, Smithfield RI 02917



REMITTANCE ADDRESS

Wire Transfers - BANK OF AMERICA, New York, NY
FED ABA #: 026 009 593 ACH ABA #: 021 200 339
ACCOUNT #: 000 189 000 775
SWIFT CODE: BOFAUS3N
Email your remittance details to: remittance@broadridge.com

Mail to -
BROADRIDGE ICS
P.O. BOX 416423
BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING

932 SHAREOWNER POSITIONS
OF THE CA INVOICE: GERON CORPORATION
FOR REIMBURSABLE REORG CLASS ACTIONS

JOB INFORMATION

JOB # N73737
INVOICE DATE 07/11/2022
MGMT. CO.# MC6085
INVOICE# 22F0N73737
INVOICE DUE DATE 08/10/2022
JOB MAIL DATE 07/06/2022

DETAIL CHARGES

ITEM	TOTAL CHARGES
PROCESSING FEE	1,118.95
POSTAGE	717.71
ENVELOPES/FORMS	27.29

*See Invoice detail for breakdown of charges.
The Processing Fee is primarily for Data Processing (70%)
and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIM SOLUTIONS
ATTN: NOTICE ADMINISTRATOR
GERON SECURITIES LITIGATION
P.O. BOX 4574
PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL 1,863.95

PREPAYMENT ()

SALES TAX DUE 7.63

****TOTAL DUE** US\$** 1,871.58

SEE SALES TAX INFORMATION SHEET FOR DETAILS.
SEE EXPLANATIONS ON THE BACK OF THIS PAGE.



REMITTANCE:

Mail to - BROADRIDGE ICS
P.O. BOX 416423
BOSTON, MA 02241-6423
Wire Transfers - BANK OF AMERICA, New York, NY
FED ABA #: 026 009 593 ACH ABA #: 021 200 339
ACCOUNT #: 000 189 000 775
SWIFT CODE: BOFAUS3N

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 1,871.58

MANAGEMENT#	JOB #	INVOICE #	INVOICE DATE	DUE DATE
MC6085	N73737	22F0N73737	07/11/2022	08/10/2022

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

Please return this portion with your payment in US dollars.
Include your cusip number on your check made payable to:

BROADRIDGE

BRVREG

5555 000000 231306000805 24070373700 071122 10000187158 3



INVOICE NUMBER : 22F0N73737
 JOB NUMBER : N73737
 INVOICE DATE : 07/11/2022

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION	ITEM COUNT	ITEM CHARGE	CHARGES	TOTAL
PROCESSING FEE				
ACCOUNT PROCESSING FEE	931	.450	418.95	
BROKER ADMIN FEE	7	100.000	700.00	
TOTAL PROCESSING FEE				1,118.95
POSTAGE (OUTBOUND)				
CANADA LETTERS	232	1.3000	301.60	
POSTAGE SAVINGS	232	.0474-	11.01 *	
F/C LTR ADC	643	.5800	372.94	
POSTAGE SAVINGS	643	.0238-	15.30 *	
FOREIGN LETTERS	55	1.3000	71.50	
POSTAGE SAVINGS	55	.0593-	3.26 *	
MEXICO LETTERS	1	1.3000	1.30	
POSTAGE SAVINGS	1	.0593-	.06 *	
TOTAL POSTAGE(OUTBOUND)				717.71
ENVELOPES / FORMS				
10NW - #10 NO WINDOW	931	.02731	25.43	
INKJET	931	.00200	1.86	
TOTAL ENVELOPES / FORMS				27.29
TOTAL DETAILS				1,863.95
* POSTAGE DISCOUNTS ARE	\$29.63			





INVOICE NUMBER : 22F0N73737
 JOB NUMBER : N73737
 INVOICE DATE : 07/11/2022

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
ALABAMA	.05	ARIZONA	.17
ARKANSAS	.01	CONNECTICUT	.03
FLORIDA	.95	GEORGIA	.03
HAWAII	.08	IDAHO	.01
ILLINOIS	.04	INDIANA	.03
IOWA	.12	KANSAS	.05
KENTUCKY	.11	LOUISIANA	.02
MARYLAND	.03	MASSACHUSETTS	.22
MICHIGAN	.02	MINNESOTA	.02
MISSISSIPPI	.05	MISSOURI	.42
NEBRASKA	.07	NEVADA	.02
NEW JERSEY	2.11	NORTH CAROLINA	.03
NORTH DAKOTA	.07	OHIO	1.09
OKLAHOMA	.03	PENNSYLVANIA	1.01
SOUTH CAROLINA	.06	SOUTH DAKOTA	.01
TENNESSEE	.11	TEXAS	.11
UTAH	.23	WASHINGTON	.15
WEST VIRGINIA	.07		





INVOICE NUMBER : 22F0N73737
 JOB NUMBER : N73737
 INVOICE DATE : 07/11/2022

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	931 1	1.0000000	1,871.58	.00	1,871.58
TOTALS:		932	1.0000000	1,871.58	.00	1,871.58





INVOICE NUMBER : 22F0N73737
 JOB NUMBER : N73737
 INVOICE DATE : 07/11/2022

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
AMERIPRISE FINANCIAL	228	BANQUE SCOTIA	10
DEUTSCHE BANK SECURITIES INC.	27	MURIEL SIEBERT & CO., INC.	17
SCOTIABANK	208	TRADESTATION SECURITIES INC.	239
VISION FINANCIAL MARKETS LLC	202		





REMITTANCE ADDRESS	
Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N <i>Email your remittance details to: remittance@broadridge.com</i>	Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING
29,599 SHAREOWNER POSITIONS
OF THE CA INVOICE: GERON CORPORATION
FOR REIMBURSABLE REORG CLASS ACTIONS

JOB INFORMATION	
JOB #	N73736
INVOICE DATE	07/15/2022
MGMT. CO.#	MC6085
INVOICE#	22F0N73736
INVOICE DUE DATE	08/14/2022
JOB MAIL DATE	07/07/2022

DETAIL CHARGES		TOTAL CHARGES
ITEM		
PROCESSING FEE		12,747.24
POSTAGE		16,322.40
ENVELOPES/FORMS		59.20

*See Invoice detail for breakdown of charges.
 The Processing Fee is primarily for Data Processing (70%)
 and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIM SOLUTIONS
 ATTN: NOTICE ADMINISTRATOR
 GERON SECURITIES LITIGATION
 P.O. BOX 4574
 PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL	29,128.84
PREPAYMENT	()
SALES TAX DUE	274.74
TOTAL DUE US\$	29,403.58

SEE SALES TAX INFORMATION SHEET FOR DETAILS.
 SEE EXPLANATIONS ON THE BACK OF THIS PAGE.



MANAGEMENT#	JOB #	INVOICE#	INVOICE DATE	DUE DATE
MC6085	N73736	22F0N73736	07/15/2022	08/14/2022

REMITTANCE:	
Mail to -	BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423
Wire Transfers - BANK OF AMERICA, New York, NY	FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 29,403.58

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
 Include your cusip number on your check made payable to:**

BROADRIDGE

BRVREG

5555 000000 231306000805 24070373600 071522 100002940358 8



INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION	ITEM COUNT	ITEM CHARGE	CHARGES	TOTAL
PROCESSING FEE				
ACCOUNT PROCESSING FEE	29598	.380	11,247.24	
BROKER ADMIN FEE	15	100.000	1,500.00	
TOTAL PROCESSING FEE				12,747.24
POSTAGE (OUTBOUND)				
CANADA LETTERS	11	1.3000	14.30	
POSTAGE SAVINGS	11	.0711-	.78 *	
F/C LTR ADC	29279	.5800	16,981.82	
POSTAGE SAVINGS	29279	.0357-	1,045.26 *	
F/C LTR METERED	1	.5800	.58	
POSTAGE SAVINGS	1	.0150-	.02 *	
FOREIGN LETTERS	296	1.3000	384.80	
POSTAGE SAVINGS	296	.0890-	26.36 *	
MEXICO LETTERS	11	1.3000	14.30	
POSTAGE SAVINGS	11	.0890-	.98 *	
TOTAL POSTAGE(OUTBOUND)				16,322.40
ENVELOPES / FORMS				
INKJET	29598	.00200	59.20	
TOTAL ENVELOPES / FORMS				59.20
TOTAL DETAILS				29,128.84
* POSTAGE DISCOUNTS ARE \$1,073.40				





INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
ALABAMA	3.00	ARIZONA	6.18
ARKANSAS	1.43	CONNECTICUT	.05
DISTRICT OF COLUMBIA	.22	FLORIDA	21.58
GEORGIA	.11	HAWAII	4.21
IDAHO	.02	ILLINOIS	.15
INDIANA	.05	IOWA	1.45
KANSAS	1.67	KENTUCKY	7.85
LOUISIANA	.05	MAINE	.01
MARYLAND	.07	MASSACHUSETTS	4.79
MICHIGAN	.10	MINNESOTA	.08
MISSISSIPPI	.83	MISSOURI	23.89
NEBRASKA	1.06	NEVADA	.05
NEW JERSEY	71.86	NEW MEXICO	3.72
NORTH CAROLINA	.11	NORTH DAKOTA	3.85
OHIO	43.25	OKLAHOMA	1.89
PENNSYLVANIA	51.90	RHODE ISLAND	.01
SOUTH CAROLINA	2.57	SOUTH DAKOTA	.50
TENNESSEE	4.21	TEXAS	.33
UTAH	1.83	VERMONT	.01
WASHINGTON	7.60	WEST VIRGINIA	1.92
WYOMING	.28		





INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	29598 1	1.0000000	29,403.58	.00	29,403.58
TOTALS:		29599	1.0000000	29,403.58	.00	29,403.58





INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
BARCLAYS CAPITAL INC 86	176	E*TRADE SECURITIES LLC	7247
EDWARD JONES	856	LPL FINANCIAL	215
MORGAN STANLEY	614	NOMURA SECURITIES INTERNATIONAL INC	9
RAYMOND JAMES & ASSOCIATES, INC.	464	RBC WEALTH MANAGEMENT	254
ROBERT W. BAIRD & CO. INC.	25	STIFEL, NICOLAUS & COMPANY, INCORPORATED	120
TD AMERITRADE CLEARING, INC	16750	U.S. BANCORP INVESTMENTS	41
VANGUARD BROKERAGE SERVICES	1212	WEDBUSH SECURITIES INC.	109
WELLS FARGO CLEARING SERVICES	1506		





REMITTANCE ADDRESS	
Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N Email your remittance details to: remittance@broadridge.com	Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING
29,599 SHAREOWNER POSITIONS
OF THE CA INVOICE: GERON CORPORATION
FOR REIMBURSABLE REORG CLASS ACTIONS

JOB INFORMATION	
JOB #	N73736
INVOICE DATE	07/15/2022
MGMT. CO.#	MC6085
INVOICE#	22F0N73736
INVOICE DUE DATE	08/14/2022
JOB MAIL DATE	07/07/2022

DETAIL CHARGES		TOTAL CHARGES
ITEM		
PROCESSING FEE		12,747.24
POSTAGE		16,322.40
ENVELOPES/FORMS		59.20

*See Invoice detail for breakdown of charges.
 The Processing Fee is primarily for Data Processing (70%)
 and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIM SOLUTIONS
 ATTN: NOTICE ADMINISTRATOR
 GERON SECURITIES LITIGATION
 P.O. BOX 4574
 PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL	29,128.84
PREPAYMENT	()
SALES TAX DUE	274.74
TOTAL DUE US\$	29,403.58

SEE SALES TAX INFORMATION SHEET FOR DETAILS.
 SEE EXPLANATIONS ON THE BACK OF THIS PAGE.



MANAGEMENT#	JOB #	INVOICE#	INVOICE DATE	DUE DATE
MC6085	N73736	22F0N73736	07/15/2022	08/14/2022

REMITTANCE:

Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423 Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N
--

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 29,403.58

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
 Include your cusip number on your check made payable to:**

BROADRIDGE

BRVREG

5555 000000 231306000805 24070373600 071522 100002940358 8



INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION	ITEM COUNT	ITEM CHARGE	CHARGES	TOTAL
PROCESSING FEE				
ACCOUNT PROCESSING FEE	29598	.380	11,247.24	
BROKER ADMIN FEE	15	100.000	1,500.00	
TOTAL PROCESSING FEE				12,747.24
POSTAGE (OUTBOUND)				
CANADA LETTERS	11	1.3000	14.30	
POSTAGE SAVINGS	11	.0711-	.78 *	
F/C LTR ADC	29279	.5800	16,981.82	
POSTAGE SAVINGS	29279	.0357-	1,045.26 *	
F/C LTR METERED	1	.5800	.58	
POSTAGE SAVINGS	1	.0150-	.02 *	
FOREIGN LETTERS	296	1.3000	384.80	
POSTAGE SAVINGS	296	.0890-	26.36 *	
MEXICO LETTERS	11	1.3000	14.30	
POSTAGE SAVINGS	11	.0890-	.98 *	
TOTAL POSTAGE(OUTBOUND)				16,322.40
ENVELOPES / FORMS				
INKJET	29598	.00200	59.20	
TOTAL ENVELOPES / FORMS				59.20
TOTAL DETAILS				29,128.84
* POSTAGE DISCOUNTS ARE \$1,073.40				





INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
ALABAMA	3.00	ARIZONA	6.18
ARKANSAS	1.43	CONNECTICUT	.05
DISTRICT OF COLUMBIA	.22	FLORIDA	21.58
GEORGIA	.11	HAWAII	4.21
IDAHO	.02	ILLINOIS	.15
INDIANA	.05	IOWA	1.45
KANSAS	1.67	KENTUCKY	7.85
LOUISIANA	.05	MAINE	.01
MARYLAND	.07	MASSACHUSETTS	4.79
MICHIGAN	.10	MINNESOTA	.08
MISSISSIPPI	.83	MISSOURI	23.89
NEBRASKA	1.06	NEVADA	.05
NEW JERSEY	71.86	NEW MEXICO	3.72
NORTH CAROLINA	.11	NORTH DAKOTA	3.85
OHIO	43.25	OKLAHOMA	1.89
PENNSYLVANIA	51.90	RHODE ISLAND	.01
SOUTH CAROLINA	2.57	SOUTH DAKOTA	.50
TENNESSEE	4.21	TEXAS	.33
UTAH	1.83	VERMONT	.01
WASHINGTON	7.60	WEST VIRGINIA	1.92
WYOMING	.28		





INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	29598 1	1.0000000	29,403.58	.00	29,403.58
TOTALS:		29599	1.0000000	29,403.58	.00	29,403.58





INVOICE NUMBER : 22F0N73736
 JOB NUMBER : N73736
 INVOICE DATE : 07/15/2022

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
BARCLAYS CAPITAL INC 86	176	E*TRADE SECURITIES LLC	7247
EDWARD JONES	856	LPL FINANCIAL	215
MORGAN STANLEY	614	NOMURA SECURITIES INTERNATIONAL INC	9
RAYMOND JAMES & ASSOCIATES, INC.	464	RBC WEALTH MANAGEMENT	254
ROBERT W. BAIRD & CO. INC.	25	STIFEL, NICOLAUS & COMPANY, INCORPORATED	120
TD AMERITRADE CLEARING, INC	16750	U.S. BANCORP INVESTMENTS	41
VANGUARD BROKERAGE SERVICES	1212	WEDBUSH SECURITIES INC.	109
WELLS FARGO CLEARING SERVICES	1506		



From: Marrero, Lillian <Lillian.Marrero@bnymellon.com>
To: Securitiesinfo@epiqglobal.com <securitiesinfo@epiqglobal.com>, glambert@epiqsystems.com <glambert@epiqsystems.com>
CC: Pershing Class Actions <pershingclassactions@pershing.com>
Subject: Geron Corporation Class Action
Date: November 3, 2022 3:14:16 PM EDT

Attachments: *Use Attachments Panel to access files.*

Geron Corporation Administrator File.xlsx (301 KB)

Secure Actions: [Reply](#)

Re: Geron Corporation
CUSIP No. 374163103

We are in receipt of your Notice of Class Action regarding the above-referenced securities requesting that we provide name and address information for those who purchased or held these securities through our firm (or cleared by our firm) during the period of March 19, 2018 through September 26, 2018.

Attached is a protected file with Excel Spreadsheets containing information for the purchasers with names and addresses during the above referenced time period(s). It is our understanding that you will utilize the information to forward a copy of the Notice to each holder.

Based on Eisen v. Carlisle & Jacquelin, 417 U.S. 156 (1974), and other applicable law, Pershing is entitled to the reimbursement for the reasonable expenses incurring in searching our computer records to produce the requested records. The cost is \$ 200.00 for each calendar year or part thereof for the first securities issue (CUSIP#) plus \$20.00 per year for each additional securities issue. In this case, Pershing will charge a flat fee of \$35.00. Accordingly, please forward a check for \$35.00 to:

Pershing LLC
One Pershing Plaza, 7th Fl.
Jersey City, NJ 07399
Attn: Joseph Lavara

Your utilization of the attached information will constitute your agreement to reimburse for the above-referenced expenses incurred in connection with our response to your request.

Regards,
Lillian Marrero
Corporate Actions
BNY Mellon | Pershing
T 321 249 4111
lillian.marrero@bnymellon.com<mailto:lillian.marrero@bnymellon.com>

The information sent in this communication and any attachments herein is sent by an attorney or his or her agent. The information may contain confidential or proprietary information for use by the addressee only and may be protected by attorney-client privilege, work product immunity or other applicable legal rules. If you are not the intended recipient of this communication, please notify the sender immediately and destroy this email. Any unauthorized copying, retention, disclosure or distribution of this communication is strictly prohibited.

Email transmission cannot be guaranteed to be secure or error-free as information can be intercepted, corrupted, lost, destroyed, late, incomplete or may contain viruses. The sender, therefore, does not accept liability for any errors or omissions in the contents of this message, which arise as a result of Email transmission.

IMPORTANT: Any information contained in this communication is intended for the use of the named individual or entity. All information contained in this communication is not intended or construed as an offer, solicitation, or a recommendation to purchase any security. Advice, suggestions or views presented in this communication are not necessarily those of Pershing LLC nor do they warrant a complete or accurate statement.



Claims Administrator Reimbursement Letter

EPIQ SYSTEMS
PITTSBURGH, PA
UNITED STATES
15205

RE: GERON CORPORATION Securities Litigation

Dear Claims Administrator:

We request reimbursement of the costs associated with researching and notifying affected holders in the class action referenced above. Notification was forwarded to all affected beneficiaries. Please see the following breakdown of costs:

	Research Fee	\$225.00
	1 CUSIP(s) @ \$25.00 Each	\$25.00
<i>(CUSIP research through CUSIP Web, Bloomberg, BNY Mellon's Custody Management System and ISS Website)</i>		
	172 Notifications @ \$0.50 Each	\$86.00
	Total	<u>\$336.00</u>

Please issue payment via check payable to BNY Mellon and mail to:

BNY Mellon – Global Class Actions
BNY Mellon Center
500 Grant St. 151-2610
Pittsburgh, PA 15258

We appreciate your prompt processing of this reimbursement request. When submitting reimbursement, please send a copy of the original letter with your payment and clearly indicate the class action for which you are submitting reimbursement.

Kishor Gaikwad

Authorized Signature

Date: 12/30/2022

Global Class Actions
BNY Mellon Center ♦ 500 Grant St. ♦ Room 151-2610 ♦ Pittsburgh, PA 15258



REMITTANCE ADDRESS	
Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N <i>Email your remittance details to: remittance@broadridge.com</i>	Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423
SERVICE INQUIRIES (631) - 254-7447 BILLING INQUIRIES (631) - 254-7422	
INVOICE TERMS: NET 30 DAYS	

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING

226 SHAREOWNER POSITIONS
OF THE CA INVOICE: GERON CORP
FOR REIMBURSABLE REORG CLASS ACTIONS

JOB INFORMATION	
JOB #	N81490
INVOICE DATE	12/29/2022
MGMT. CO.#	MC6085
INVOICE#	22F0N81490
INVOICE DUE DATE	01/28/2023
JOB MAIL DATE	12/21/2022

DETAIL CHARGES		TOTAL CHARGES
ITEM		
PROCESSING FEE		201.25
POSTAGE		214.57
ENVELOPES/FORMS		.45

*See Invoice detail for breakdown of charges.
 The Processing Fee is primarily for Data Processing (70%)
 and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIMS SOLUTIONS
 ATTN: CLAIMS ADMINISTRATOR
 GERON SECURITIES LITIGATION
 P.O. BOX 4574
 PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL	416.27
PREPAYMENT ()	
SALES TAX DUE	.95
TOTAL DUE US\$	417.22
SEE SALES TAX INFORMATION SHEET FOR DETAILS. SEE EXPLANATIONS ON THE BACK OF THIS PAGE.	



MANAGEMENT#	JOB #	INVOICE#	INVOICE DATE	DUE DATE
MC6085	N81490	22F0N81490	12/29/2022	01/28/2023

REMITTANCE:	
Mail to -	BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423 Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N
TERMS: NET 30 DAYS	

Total Due This Invoice - US\$ 417.22

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
 Include your cusip number on your check made payable to:**

BROADRIDGE

BRVREG

55555 000000 231306000805 24080149000 122922 100000041722 1



INVOICE NUMBER : 22F0N81490
 JOB NUMBER : N81490
 INVOICE DATE : 12/29/2022

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION	ITEM COUNT	ITEM CHARGE	CHARGES	TOTAL
PROCESSING FEE				
ACCOUNT PROCESSING FEE	225	.450	101.25	
BROKER ADMIN FEE	1	100.000	100.00	
TOTAL PROCESSING FEE				201.25
POSTAGE (OUTBOUND)				
F/C LTR ADC	223	1.0800	240.84	
POSTAGE SAVINGS	223	.1178-	26.27 *	
TOTAL POSTAGE(OUTBOUND)				214.57
ENVELOPES / FORMS				
INKJET	223	.00200	.45	
TOTAL ENVELOPES / FORMS				.45
TOTAL DETAILS				416.27
* POSTAGE DISCOUNTS ARE		\$26.27		





INVOICE NUMBER : 22F0N81490
JOB NUMBER : N81490
INVOICE DATE : 12/29/2022

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
FLORIDA	.06	IOWA	.01
MASSACHUSETTS	.24	NEW JERSEY	.15
PENNSYLVANIA	.49		





INVOICE NUMBER : 22F0N81490
 JOB NUMBER : N81490
 INVOICE DATE : 12/29/2022

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	225 1	1.0000000	417.22	.00	417.22
TOTALS:		226	1.0000000	417.22	.00	417.22





INVOICE NUMBER : 22F0N81490
JOB NUMBER : N81490
INVOICE DATE : 12/29/2022

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
MORGAN STANLEY & CO LLC	225		





REMITTANCE ADDRESS	
Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N <i>Email your remittance details to: remittance@broadridge.com</i>	Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING
929 SHAREOWNER POSITIONS
OF THE CA INVOICE:GERON CORP
FOR REIMBURSABLE REORG CLASS ACTIONS

JOB INFORMATION	
JOB #	N79472
INVOICE DATE	12/22/2022
MGMT. CO.#	MC6085
INVOICE#	22F0N79472
INVOICE DUE DATE	01/21/2023
JOB MAIL DATE	12/12/2022

DETAIL CHARGES		TOTAL CHARGES
ITEM		
PROCESSING FEE		1,117.60
POSTAGE		1,334.60
ENVELOPES/FORMS		33.37

*See Invoice detail for breakdown of charges.
 The Processing Fee is primarily for Data Processing (70%)
 and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIMS SOLUTIONS
 ATTN: CLAIMS ADMINISTRATOR
 GERON SECURITIES LITIGATION
 P.O. BOX 4574
 PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL	2,485.57
PREPAYMENT ()	
SALES TAX DUE	11.58
TOTAL DUE US\$	2,497.15
SEE SALES TAX INFORMATION SHEET FOR DETAILS. SEE EXPLANATIONS ON THE BACK OF THIS PAGE.	



Broadridge

MANAGEMENT#	JOB #	INVOICE#	INVOICE DATE	DUE DATE
MC6085	N79472	22F0N79472	12/22/2022	01/21/2023

REMITTANCE:	
Mail to -	BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423
Wire Transfers - BANK OF AMERICA, New York, NY	FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 2,497.15

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
 Include your cusip number on your check made payable to:**

BROADRIDGE

BRVREG

5555 000000 231306000805 24070947200 122222 100000249715 3



INVOICE NUMBER : 22F0N79472
 JOB NUMBER : N79472
 INVOICE DATE : 12/22/2022

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION -----	ITEM COUNT -----	ITEM CHARGE -----	CHARGES -----	TOTAL -----
PROCESSING FEE				
ACCOUNT PROCESSING FEE	928	.450	417.60	
BROKER ADMIN FEE	7	100.000	700.00	
TOTAL PROCESSING FEE				1,117.60
POSTAGE (OUTBOUND)				
CANADA LETTERS	228	2.2840	520.75	
F/C LTR ADC	642	1.0800	693.36	
POSTAGE SAVINGS	642	.1178-	75.63 *	
FOREIGN LETTERS	57	3.7000	210.90	
POSTAGE SAVINGS	57	.3060-	17.45 *	
MEXICO LETTERS	1	2.8000	2.80	
POSTAGE SAVINGS	1	.1260-	.13 *	
TOTAL POSTAGE(OUTBOUND)				1,334.60
ENVELOPES / FORMS				
PPW - PPW INKJET ENVELOPE - LETTER	928	.03396	31.51	
INKJET	928	.00200	1.86	
TOTAL ENVELOPES / FORMS				33.37
TOTAL DETAILS				2,485.57
* POSTAGE DISCOUNTS ARE	\$93.21			





INVOICE NUMBER : 22F0N79472
 JOB NUMBER : N79472
 INVOICE DATE : 12/22/2022

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
ALABAMA	.05	ARIZONA	.17
ARKANSAS	.01	CONNECTICUT	.04
FLORIDA	1.00	GEORGIA	.04
HAWAII	.22	IDAHO	.01
ILLINOIS	.05	INDIANA	.03
IOWA	.12	KANSAS	.05
KENTUCKY	.19	LOUISIANA	.03
MARYLAND	.03	MASSACHUSETTS	.22
MICHIGAN	.03	MINNESOTA	.03
MISSISSIPPI	.05	MISSOURI	.80
NEBRASKA	.07	NEVADA	.03
NEW JERSEY	3.62	NORTH CAROLINA	.04
NORTH DAKOTA	.12	OHIO	1.87
OKLAHOMA	.03	PENNSYLVANIA	1.79
RHODE ISLAND	.01	SOUTH CAROLINA	.07
SOUTH DAKOTA	.01	TENNESSEE	.11
TEXAS	.13	UTAH	.24
WASHINGTON	.16	WEST VIRGINIA	.11





INVOICE NUMBER : 22F0N79472
 JOB NUMBER : N79472
 INVOICE DATE : 12/22/2022

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	928 1	1.0000000	2,497.15	.00	2,497.15
TOTALS:		929	1.0000000	2,497.15	.00	2,497.15





INVOICE NUMBER : 22F0N79472
 JOB NUMBER : N79472
 INVOICE DATE : 12/22/2022

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
AMERIPRISE FINANCIAL	228	BANQUE SCOTIA	10
DEUTSCHE BANK SECURITIES INC.	27	MURIEL SIEBERT & CO., INC.	17
SCOTIABANK	205	TRADESTATION SECURITIES INC.	239
VISION FINANCIAL MARKETS LLC	202		





REMITTANCE ADDRESS

Wire Transfers - BANK OF AMERICA, New York, NY
FED ABA #: 026 009 593 ACH ABA #: 021 200 339
ACCOUNT #: 000 189 000 775
SWIFT CODE: BOFAUS3N
Email your remittance details to: remittance@broadridge.com

Mail to -
BROADRIDGE ICS
P.O. BOX 416423
BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING

**41,539 SHAREOWNER POSITIONS
OF THE CA INVOICE:GERON CORP
FOR REIMBURSABLE REORG CLASS ACTIONS**

JOB INFORMATION

JOB # N79471
INVOICE DATE 12/28/2022
MGMT. CO.# MC6085
INVOICE# 22F0N79471
INVOICE DUE DATE 01/27/2023
JOB MAIL DATE 12/13/2022

DETAIL CHARGES

ITEM	TOTAL CHARGES
PROCESSING FEE	17,784.44
POSTAGE	41,016.20
ENVELOPES/FORMS	83.08

*See Invoice detail for breakdown of charges.
The Processing Fee is primarily for Data Processing (70%)
and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIMS SOLUTIONS
ATTN: CLAIMS ADMINISTRATOR
GERON SECURITIES LITIGATION
P.O. BOX 4574
PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL 58,883.72

PREPAYMENT ()

SALES TAX DUE 575.93

****TOTAL DUE** US\$** 59,459.65

SEE SALES TAX INFORMATION SHEET FOR DETAILS.
SEE EXPLANATIONS ON THE BACK OF THIS PAGE.



REMITTANCE:

Mail to - BROADRIDGE ICS
P.O. BOX 416423
BOSTON, MA 02241-6423
Wire Transfers - BANK OF AMERICA, New York, NY
FED ABA #: 026 009 593 ACH ABA #: 021 200 339
ACCOUNT #: 000 189 000 775
SWIFT CODE: BOFAUS3N

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 59,459.65

MANAGEMENT#	JOB #	INVOICE#	INVOICE DATE	DUE DATE
MC6085	N79471	22F0N79471	12/28/2022	01/27/2023

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
Include your cusip number on your check made payable to:**

BROADRIDGE



INVOICE NUMBER : 22F0N79471
 JOB NUMBER : N79471
 INVOICE DATE : 12/28/2022

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION	ITEM COUNT	ITEM CHARGE	CHARGES	TOTAL
PROCESSING FEE				
ACCOUNT PROCESSING FEE	41538	.380	15,784.44	
BROKER ADMIN FEE	20	100.000	2,000.00	
TOTAL PROCESSING FEE				17,784.44
POSTAGE (OUTBOUND)				
CANADA LETTERS	238	2.2840	543.59	
F/C LTR ADC	40995	1.0800	44,274.60	
POSTAGE SAVINGS	40995	.1178-	4,829.21 *	
FOREIGN LETTERS	294	3.7000	1,087.80	
POSTAGE SAVINGS	294	.3060-	89.99 *	
MEXICO LETTERS	11	2.8000	30.80	
POSTAGE SAVINGS	11	.1260-	1.39 *	
TOTAL POSTAGE(OUTBOUND)				41,016.20
ENVELOPES / FORMS				
INKJET	41538	.00200	83.08	
TOTAL ENVELOPES / FORMS				83.08
TOTAL DETAILS				58,883.72
* POSTAGE DISCOUNTS ARE		\$4,920.59		





INVOICE NUMBER : 22F0N79471
 JOB NUMBER : N79471
 INVOICE DATE : 12/28/2022

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
ALABAMA	3.99	ARIZONA	8.32
ARKANSAS	1.78	CONNECTICUT	.08
DISTRICT OF COLUMBIA	.36	FLORIDA	30.42
GEORGIA	.18	HAWAII	9.53
IDAHO	.02	ILLINOIS	.22
INDIANA	.07	IOWA	1.91
KANSAS	2.51	KENTUCKY	17.54
LOUISIANA	.06	MAINE	.01
MARYLAND	.10	MASSACHUSETTS	8.44
MICHIGAN	.13	MINNESOTA	.10
MISSISSIPPI	1.12	MISSOURI	54.83
NEBRASKA	1.27	NEVADA	.06
NEW JERSEY	168.79	NEW MEXICO	9.32
NORTH CAROLINA	.15	NORTH DAKOTA	7.86
OHIO	100.48	OKLAHOMA	2.40
PENNSYLVANIA	115.13	RHODE ISLAND	.02
SOUTH CAROLINA	3.76	SOUTH DAKOTA	.57
TENNESSEE	5.88	TEXAS	.50
UTAH	2.40	VERMONT	.01
WASHINGTON	10.48	WEST VIRGINIA	4.79
WYOMING	.34		





INVOICE NUMBER : 22F0N79471
 JOB NUMBER : N79471
 INVOICE DATE : 12/28/2022

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	41538 1	1.0000000	59,459.65	.00	59,459.65
TOTALS:		41539	1.0000000	59,459.65	.00	59,459.65





INVOICE NUMBER : 22F0N79471
 JOB NUMBER : N79471
 INVOICE DATE : 12/28/2022

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
BARCLAYS CAPITAL INC 86	176	BMO NESBITT BURNS INC.	229
D.A. DAVIDSON & CO.	55	E*TRADE SECURITIES LLC	7247
EDWARD JONES	856	J.P. MORGAN SECURITIES LLC	453
LPL FINANCIAL	521	MORGAN STANLEY	142
NATIONAL FINANCIAL SERVICES LLC	11356	NOMURA SECURITIES INTERNATIONAL INC	9
RAYMOND JAMES & ASSOCIATES, INC.	464	RBC WEALTH MANAGEMENT	254
ROBERT W. BAIRD & CO. INC.	25	STIFEL, NICOLAUS & COMPANY, INCORPORATED	121
TD AMERITRADE CLEARING, INC	16752	U.S. BANCORP INVESTMENTS	41
VANGUARD BROKERAGE SERVICES	1210	WEDBUSH SECURITIES INC.	109
WELLS FARGO CLEARING SERVICES	1506	WELLS FARGO SECURITIES	12





Trust Services Group
Class Actions
550 South 4th St
14th Floor
Minneapolis, MN 55402

wellsfargo.com

January 24, 2023

Geron Corporation (2)
c/o Epiq Class Action & Claims Solutions
P.O. Box 4574
Portland, OR 97208-4574

Subject: Geron Corporation (2) Securities Litigation

Dear Claims Administrator:

Wells Fargo requests reimbursement for reasonable expenses incurred for supplying notification to our trust customers for the litigation against Geron Corporation (2) Securities Litigation. Please forward a check in the amount of \$25.00; make payable and mail as follows:

Make payable to:

Wells Fargo Bank N.A. as Trustee
RE: Geron Corporation (2) Securities Litigation

Mail to:

Wells Fargo Bank N.A. Trustee
Attn: Chandra Jones – Class Actions Department
1801 Parkview Drive
Lockbox: NW5009
Shoreview, MN 55126

Thank you for your time and consideration. If you have any questions or concerns, please contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Daniel Nelson".

Daniel Nelson
Securities Operations Representative
Private Wealth Management Operations - Class Actions
952-444-8061

Together we'll go far





REMITTANCE ADDRESS

Wire Transfers - BANK OF AMERICA, New York, NY
FED ABA #: 026 009 593 ACH ABA #: 021 200 339
ACCOUNT #: 000 189 000 775
SWIFT CODE: BOFAUS3N
Email your remittance details to: remittance@broadridge.com

Mail to -
BROADRIDGE ICS
P.O. BOX 416423
BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING

**929 SHAREOWNER POSITIONS
OF THE CA INVOICE: GERON CORPORATION
FOR REIMBURSABLE REORG CLASS ACTIONS**

JOB INFORMATION

JOB # N87220
INVOICE DATE 05/09/2023
MGMT. CO.# MC6085
INVOICE# 23F0N87220
INVOICE DUE DATE 06/08/2023
JOB MAIL DATE 04/28/2023

DETAIL CHARGES

ITEM	TOTAL CHARGES
PROCESSING FEE	1,117.60
POSTAGE	779.02
ENVELOPES/FORMS	28.73

*See Invoice detail for breakdown of charges.
The Processing Fee is primarily for Data Processing (70%)
and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIMS SOLUTIONS
ATTN: CLAIMS ADMINISTRATOR
GERON SECURITIES LITIGATION
P.O. BOX 4574
PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL 1,925.35

PREPAYMENT ()

SALES TAX DUE 8.16

****TOTAL DUE** US\$** 1,933.51

SEE SALES TAX INFORMATION SHEET FOR DETAILS.
SEE EXPLANATIONS ON THE BACK OF THIS PAGE.



REMITTANCE:

Mail to - BROADRIDGE ICS
P.O. BOX 416423
BOSTON, MA 02241-6423
Wire Transfers - BANK OF AMERICA, New York, NY
FED ABA #: 026 009 593 ACH ABA #: 021 200 339
ACCOUNT #: 000 189 000 775
SWIFT CODE: BOFAUS3N

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 1,933.51

MANAGEMENT#	JOB #	INVOICE #	INVOICE DATE	DUE DATE
MC6085	N87220	23F0N87220	05/09/2023	06/08/2023

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
Include your cusip number on your check made payable to:**

BROADRIDGE

BRVREG

5555 000000 231306000805 24080722000 050923 100000193351 4



INVOICE NUMBER : 23F0N87220
 JOB NUMBER : N87220
 INVOICE DATE : 05/09/2023

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION -----	ITEM COUNT -----	ITEM CHARGE -----	CHARGES -----	TOTAL -----
PROCESSING FEE				
ACCOUNT PROCESSING FEE	928	.450	417.60	
BROKER ADMIN FEE	7	100.000	700.00	
TOTAL PROCESSING FEE				1,117.60
POSTAGE (OUTBOUND)				
CANADA LETTERS	229	1.4500	332.05	
POSTAGE SAVINGS	229	.0834-	19.12 *	
F/C LTR ADC	643	.6300	405.09	
POSTAGE SAVINGS	643	.0246-	15.82 *	
FOREIGN LETTERS	55	1.4500	79.75	
POSTAGE SAVINGS	55	.0782-	4.30 *	
MEXICO LETTERS	1	1.4500	1.45	
POSTAGE SAVINGS	1	.0782-	.08 *	
TOTAL POSTAGE(OUTBOUND)				779.02
ENVELOPES / FORMS				
10NW - #10 NO WINDOW	928	.02895	26.87	
INKJET	928	.00200	1.86	
TOTAL ENVELOPES / FORMS				28.73
TOTAL DETAILS				1,925.35
* POSTAGE DISCOUNTS ARE	\$39.32			





INVOICE NUMBER : 23F0N87220
 JOB NUMBER : N87220
 INVOICE DATE : 05/09/2023

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
ALABAMA	.05	ARIZONA	.17
ARKANSAS	.01	CONNECTICUT	.03
FLORIDA	.97	GEORGIA	.04
HAWAII	.14	IDAHO	.01
ILLINOIS	.04	INDIANA	.03
IOWA	.12	KANSAS	.05
KENTUCKY	.12	LOUISIANA	.02
MARYLAND	.03	MASSACHUSETTS	.22
MICHIGAN	.03	MINNESOTA	.02
MISSISSIPPI	.05	MISSOURI	.48
NEBRASKA	.07	NEVADA	.02
NEW JERSEY	2.26	NORTH CAROLINA	.03
NORTH DAKOTA	.08	OHIO	1.17
OKLAHOMA	.03	PENNSYLVANIA	1.13
SOUTH CAROLINA	.06	SOUTH DAKOTA	.01
TENNESSEE	.11	TEXAS	.11
UTAH	.23	WASHINGTON	.15
WEST VIRGINIA	.07		





INVOICE NUMBER : 23F0N87220
 JOB NUMBER : N87220
 INVOICE DATE : 05/09/2023

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	928 1	1.0000000	1,933.51	.00	1,933.51
TOTALS:		929	1.0000000	1,933.51	.00	1,933.51





INVOICE NUMBER : 23F0N87220
 JOB NUMBER : N87220
 INVOICE DATE : 05/09/2023

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
AMERIPRISE FINANCIAL	228	BANQUE SCOTIA	10
DEUTSCHE BANK SECURITIES INC.	27	MURIEL SIEBERT & CO., INC.	17
SCOTIABANK	205	TRADESTATION SECURITIES INC.	239
VISION FINANCIAL MARKETS LLC	202		





REMITTANCE ADDRESS	
Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N Email your remittance details to: remittance@broadridge.com	Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423

SERVICE INQUIRIES (631) - 254-7447
BILLING INQUIRIES (631) - 254-7422

INVOICE TERMS: NET 30 DAYS

INVOICE FOR THE FOLLOWING EXPENSES PROCESSING
226 SHAREOWNER POSITIONS
OF THE CA INVOICE: GERON CORPORATION
FOR REIMBURSABLE REORG CLASS ACTIONS

JOB INFORMATION	
JOB #	N87222
INVOICE DATE	05/09/2023
MGMT. CO.#	MC6085
INVOICE#	23F0N87222
INVOICE DUE DATE	06/08/2023
JOB MAIL DATE	04/28/2023

DETAIL CHARGES		TOTAL CHARGES
ITEM		
PROCESSING FEE		201.25
POSTAGE		135.00
ENVELOPES/FORMS		.45

*See Invoice detail for breakdown of charges.
 The Processing Fee is primarily for Data Processing (70%)
 and Material Coordination (30%).*

C/O EPIQ CLASS ACTION & CLAIMS SOLUTIONS
 ATTN: CLAIMS ADMINISTRATOR
 GERON SECURITIES LITIGATION
 P.O. BOX 4574
 PORTLAND OR 972084574

Past due accounts subject to a 1 1/2 % finance charge per month.

SUB TOTAL	336.70
PREPAYMENT	()
SALES TAX DUE	.75
TOTAL DUE US\$	337.45
SEE SALES TAX INFORMATION SHEET FOR DETAILS. SEE EXPLANATIONS ON THE BACK OF THIS PAGE.	



MANAGEMENT#	JOB #	INVOICE#	INVOICE DATE	DUE DATE
MC6085	N87222	23F0N87222	05/09/2023	06/08/2023

REMITTANCE:

Mail to - BROADRIDGE ICS P.O. BOX 416423 BOSTON, MA 02241-6423 Wire Transfers - BANK OF AMERICA, New York, NY FED ABA #: 026 009 593 ACH ABA #: 021 200 339 ACCOUNT #: 000 189 000 775 SWIFT CODE: BOFAUS3N
--

TERMS: NET 30 DAYS

Total Due This Invoice - US\$ 337.45

FUND NAME REIMBURSABLE REORG CLASS ACTIONS

AMOUNT ENCLOSED

**Please return this portion with your payment in US dollars.
 Include your cusip number on your check made payable to:**

BROADRIDGE

BRVREG

55555 000000 231306000805 24080722200 050923 100000033745 5



INVOICE NUMBER : 23F0N87222
 JOB NUMBER : N87222
 INVOICE DATE : 05/09/2023

B R E A K D O W N O F D E T A I L C H A R G E S

DESCRIPTION	ITEM COUNT	ITEM CHARGE	CHARGES	TOTAL
PROCESSING FEE				
ACCOUNT PROCESSING FEE	225	.450	101.25	
BROKER ADMIN FEE	1	100.000	100.00	
TOTAL PROCESSING FEE				201.25
POSTAGE (OUTBOUND)				
F/C LTR ADC	223	.6300	140.49	
POSTAGE SAVINGS	223	.0246-	5.49 *	
TOTAL POSTAGE(OUTBOUND)				135.00
ENVELOPES / FORMS				
INKJET	223	.00200	.45	
TOTAL ENVELOPES / FORMS				.45
TOTAL DETAILS				336.70
* POSTAGE DISCOUNTS ARE		\$5.49		





INVOICE NUMBER : 23F0N87222
 JOB NUMBER : N87222
 INVOICE DATE : 05/09/2023

S A L E S T A X I N F O R M A T I O N S H E E T

STATE	TAX	STATE	TAX
FLORIDA	.06	IOWA	.01
MASSACHUSETTS	.24	NEW JERSEY	.10
PENNSYLVANIA	.34		





INVOICE NUMBER : 23F0N87222
 JOB NUMBER : N87222
 INVOICE DATE : 05/09/2023

C U S I P B R E A K D O W N A N D I N V O I C E A L L O C A T I O N

CUSIP NUMBER	FUND NAME	SHAREHOLDER POSITIONS	PERCENTAGE ALLOCATION	INVOICE CHARGES	INVOICE PREPAYMENTS	NET INVOICE
374163103	GERON CORPORATION-COMMON ISSUER COPY	225 1	1.0000000	337.45	.00	337.45
TOTALS:		226	1.0000000	337.45	.00	337.45





INVOICE NUMBER : 23F0N87222
JOB NUMBER : N87222
INVOICE DATE : 05/09/2023

CLIENT	TOTAL SHAREHOLDER POSITIONS	CLIENT	TOTAL SHAREHOLDER POSITIONS
MORGAN STANLEY & CO LLC	225		

